

LIST OF CONSOLIDATED CASES ALLEGING FEDERAL SECURITIES CLAIMS, AND NATURE OF OTHER CLAIMS ALLEGED IN THOSE CASES

Case	Antitrust Tranche	State Law Claims Against Robinhood	State Law Claims Against Other Broker-Dealers	Federal Securities Tranche	Defendants	Claims
Gatz v. Robinhood Financial, LLC, No. 1:21-cv-00490				x	Robinhood Financial LLC	Rule 10(b)-5 of the Securities Exchange Act of 1934
Daniels v. Robinhood Financial, LLC, et al., No. 21-cv-21261		X	X	X	Robinhood Financial LLC, Robinhood Securities LLC, Robinhood Markets, Inc., TD Ameritrade, Inc., Charles Schwab & Co, Inc., Interactive Brokers, LLC, Citadel Securities, LLC, Webull Financial, LLC, and Open to the Public Investing, Inc.	(1) Breach of contract, (2) Breach of Covenant of Good Faith and Fair Dealing, (3) Negligence, (4) Breach of Fiduciary Duty, (5) Unjust Enrichment, (6) Non-Disclosure or Concealment, (7) Intentional Interference with Prospective Economic Advantage, (8) Negligent Interference with Prospective Economic Advantage, (9) 15 USC §78
Days v. Robinhood Markets, Inc., et al., No. 21-cv-21310		X	X	X	Robinhood Markets, Inc., Robinhood Financial, LLC, and Robinhood Securities, LLC, Apex Clearing Holdings LLC and Apex Clearing Corporation	(1) Breach Of Contract; (2) Breach Of Covenant Of Good Faith And Fair Dealing; (3) Breach Of Fiduciary Duty; (4) Detrimental Reliance; (5) Intentional Interference With Prospective Economic Advantage; (6) Intentional Interference With Prospective Economic Advantage; (7) Violation Of Sec Rule 10-B; (8) Violation Of California's Unfair Competition Law; (9) Violation Of California's Consumer Legal Remedies Act
Diamond v. Robinhood Financial, LLC, et al., No. 21-cv-21263	X	X		X	Robinhood Financial, LLC, Robinhood Securities, LLC, Robinhood Markets, Inc.	(1) Sherman Act 15 U.S.C. § 1; (2) §10(b) of Exchange Act, (3)§ 9(a)(2) of Exchange Act, (4) §17(a)(1) and (3) of Securities Act, (5) Breach of Contract, (6) California Unfair Competition Law, (7) Negligence
Lagmansohn et al. v. Robinhood Markets, Inc., et al., No. 21-cv-21298	X			X	ROBINHOOD FINANCIAL, LLC., ROBINHOOD SECURITIES, LLC., TD AMERITRADE, INC., E*TRADE FINANCIAL CORP.,	(1) 15 U.S.C. § 78k(b) of Exchange Act, (2) Sherman Antitrust Act 15 U.S.C. §§ 1 and 2
Gossett et al. v. Robinhood Financial, LLC, et al., No. 21-cv-21293		X		X	Robinhood Financial, LLC, Robinhood Securities, LLC, Robinhood Markets, Inc., Does 1-10	(1) BREACH OF CONTRACT; (2) BREACH OF IMPLIED TERMS AND COVENANTS; (3) BREACH OF THE IMPLIED COVENANT OF GOOD FAITH AND FAIR DEALING; (4) NEGLIGENCE; (5) BREACH OF FIDUCIARY DUTY; (6) FALSE PROMISE; (7) NEGLIGENT MISREPRESENTATION; (8) UNLAWFUL BUSINESS PRACTICES, [Cal. Bus. & Prof. C. §17200 Et Seq.]; (9) §10(b) OF EXCHANGE ACT
Muncy v. Robinhood Securities, LLC, et al., No. 21-cv-21307				X	Robinhood Financial, LLC, Robinhood Securities, LLC, Robinhood Markets, Inc., Does 1-100	(1) §9(a) of Exchange Act, (2) §10(b) of Exchange Act
Krumenacker v. Robinhood Financial LLC, et al., No. 21-cv-21343		X	X	X	Robinhood Financial, LLC, Robinhood Securities, LLC, Robinhood Markets, Inc. and Charles Schwab & Co., Inc.	(1) §10(b) of Exchange Act, (2) Florida Securities and Investors Protection Act, (3) Florida's Deceptive and Unfair Trade Practices Act,
Kadin v. Robinhood Financial LLC, et al., No. 21-cv-21511		X		X	Robinhood Financial, LLC, Robinhood Securities, LLC, Robinhood Markets, Inc.	(1) Breach of Contract, (2) Breach of Implied Covenant of Good Faith & Fair Dealing, (3) Breach of Fiduciary Duty, (4) Common Law Negligence, (5) §10(b) of Exchange Act, (6) Negligent Misrepresentation, (7) New York General Business Law § 349, (8) Violation Of The New York General Business Law § 350
Quat et al. v. Robinhood Financial, LLC, et al., No. 21-cv-21404				X	Robinhood Financial, LLC, Robinhood Securities, LLC, and Robinhood Markets, Inc.	(1) § 10(b) of Exchange Act
Best et al. v. Robinhood Financial, LLC, et al., No. 21-cv-21534		X		X	Robinhood Financial, LLC, Robinhood Securities, LLC, and Robinhood Markets, Inc.	(1) Breach of Contract, (2) Breach of Covenant of Good Faith and Fair Dealing, (3) Breach of fiduciary duty, (4) Common Law Negligence, (5) §10(b) of Exchange Act, (6) Florida Securities and Investor Protection Act, (7) Florida's Deceptive and Unfair Trade Practices Act, (8) New York General Business Law § 349, (9) New York General Business Law § 350
Eisen v. Apex Clearing Corp, et al., No. 21-cv-21665				X	Apex Clearing Corporation and Interactive Brokers, LLC	(1) § 10(b) of Exchange Act
Carrasco v. Robinhood Financial LLC, et al., No. 21-cv-22702		X		X	Robinhood Financial LLC, Robinhood Securities, LLC, Robinhood Markets, Inc., Does 1 to 50	(1) Fraud/Concealment (CALIFORNIA CIVIL CODE §§ 1572, 1709, and 1710 and/or Violations of 15 USC § 78i, 18 USC § 1030(a), (2) Negligent misrepresentation, (3) Business & Profession Code § 17200, (5) Negligence
Scarborough v. Robinhood Financial, LLC, No. 1:23-cv-21572				X	Robinhood Markets, Inc., Robinhood Financial, LLC, Robinhood Securities, LLC	(1) §9(a)(2) of Exchange Act, (2) §10(b) of Exchange Act

LIST OF CONSOLIDATED CASES WITH FEDERAL SECURITIES CLAIMS, PLAINTIFFS' COUNSEL AND EMAIL ADDRESSES

<u>Case</u>	<u>Plaintiff Counsel</u>	<u>Plaintiff Counsel's Emails</u>
Gatz v. Robinhood Financial, LLC, No. 1:21-cv-00490	Richard J. Gatz	richardgatz@gmail.com
Daniels v. Robinhood Financial, LLC, et al., No. 21-cv-21261	Kevin S. Hannon	khannon@hannonlaw.com
Days v. Robinhood Markets, Inc., et al., No. 21-cv-21310	Matthew L. Venezia Carl Alan Roth Katherine Frenck Murray Keith Joseph Wesley	mvenezia@bgrfirm.com croth@bgrfirm.com kmurray@bgrfirm.com kwesley@bgrfirm.com
Diamond v. Robinhood Financial, LLC, et al., No. 21-cv-21263	Janet R. Varnell Brian W. Warwick Matthew T. Peterson Erika Willis	jvarnell@varnellandwarwick.com bwarwick@varnellandwarwick.com mpeterson@varnellandwarwick.com ewillis@varnellandwarwick.com
Lagmanson et al. v. Robinhood Markets, Inc., et al., No. 21-cv-21298	Jonathan D. Lubin R. Tamara de Silva	jonathan@lubinlegal.com tamara@desilvalawoffices.com
Gossett et al. v. Robinhood Financial, LLC, et al., No. 21-cv-21293	Maurice D. Pessah Michael Morris-Nussbaum Summer E. Benson Stuart Chelin	maurice@pessahgroup.com mmnussbaum@pessahgroup.com sbenson@pessahgroup.com stuart@chelinlaw.com
Krumenacker v. Robinhood Financial LLC, et al., No. 21-cv-21343	L. Timothy Fisher Andrew J. Obergfell Stephen A. Beck	ltfisher@bursor.com aobergfell@bursor.com sbeck@bursor.com
Kadin v. Robinhood Financial LLC, et al., No. 21-cv-21511	Adrian Gucovschi	adrian@gucovschi-law.com
Quat et al. v. Robinhood Financial, LLC, et al., No. 21-cv-21404	Sarah N. Westcot Andrew J. Obergfell	swestcot@bursor.com aobergfell@bursor.com
Best et al. v. Robinhood Financial, LLC, et al., No. 21-cv-21534	Adrian Gucovschi	adrian@gucovschi-law.com
Eisen v. Apex Clearing Corp, et al., No. 21-cv-21665	Adrian Gucovschi	adrian@gucovschi-law.com
Muncy v. Robinhood Securities, LLC, et al., No. 21-cv-21307	Laurence Rosen	lrosen@rosenlegal.com
Carrasco v. Robinhood Financial LLC, et al., No. 21-cv-22702	Michael L. Kennedy Paul A. Suppa	michael@estellekennedylaw.com paul@estellekennedylaw.com
Scarborough v. Robinhood Financial, LLC, No. 1:23-cv-21572	Maurice D. Pessah Stuart Chelin Jeffrey A. Klafter	maurice@pessahgroup.com stuart@chelinlaw.com jak@klafterlesser.com
Muncy v. Robinhood Securities, LLC, et al., No. 21-cv-21307	Laurence Rosen	lrosen@rosenlegal.com