

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA**

CASE NO. 21-2989-MDL-ALTONAGA/Torres

In re: JANUARY 2021 SHORT SQUEEZE
TRADING LITIGATION

This Document Relates to: All Actions Involving the Federal Securities Laws

**PLAINTIFFS' CERTIFICATE OF INTERESTED PARTIES AND
CORPORATE DISCLOSURE STATEMENT**

Pursuant to the Court's September 22, 2022 Order Requiring Scheduling Report and Certificates of Interested Parties (Dkt. No. 511), Lead Plaintiff Blue Laine-Beveridge, named Plaintiffs Abraham Huacuja, Ava Bernard, Brandon Martin, Brendan Clarke, Brian Harbison, Cecilia Rivas, Garland Ragland Jr., Joseph Gurney, Santiago Gil Bohórquez, and Trevor Tarvis (collectively "Plaintiffs"), respectfully file their Certificate of Interested Parties and Corporate Disclosure Statement.

Interested Parties

To the best of Plaintiffs' knowledge, the following are the names of all persons, associated persons, firms, partnerships, or corporations that have, or may have, a financial interest in the outcome of this case, including all subsidiaries, conglomerates, affiliates, parent corporations, and other identifiable legal entities related to a party:

1. Blue Laine-Beveridge – Lead Plaintiff
2. Abraham Huacuja – named Plaintiff
3. Ava Bernard – named Plaintiff
4. Colleen Cooke – assignor to Ava Bernard

5. Brandon Martin – named Plaintiff
6. Brendan Clarke – named Plaintiff
7. Brian Harbison – named Plaintiff
8. Cecilia Rivas – named Plaintiff
9. Garland Ragland, Jr. – named Plaintiff
10. Joseph Gurney – named Plaintiff
11. Santiago Gil Bohórquez – named Plaintiff
12. Trevor Tarvis – named Plaintiff
13. Robinhood Financial, LLC – Defendant
14. Robinhood Securities, LLC – Defendant
15. Robinhood Markets, Inc. – Defendant
16. The Rosen Law Firm, P.A. – Plaintiffs’ law firm
17. Laurence M. Rosen – Plaintiffs’ counsel
18. Hunton Andrews Kurth LLP – Defendants’ law firm
19. Cravath, Swaine & Moore LLP – Defendants’ law firm

Corporate Disclosure Statement

Plaintiffs are individuals, as such there is no corporate ownership at issue.

Dated: October 6, 2022

Respectfully submitted,

THE ROSEN LAW FIRM, P.A.
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and Named Plaintiffs Abraham Huacuja, Ava
Bernard, Brandon Martin, Brendan Clarke, Brian
Harbison, Cecilia Rivas, Garland Ragland Jr.,
Joseph Gurney, Santiago Gil Bohórquez, and
Trevor Tarvis*

CERTIFICATE OF SERVICE

I hereby certify that on October 6, 2022, a true and correct copy of the foregoing document was served by CM/ECF to the parties registered to the Court's CM/ECF system.

/s/ Laurence M. Rosen