STATE OF UTAH

SINGLE AUDIT REPORT

FISCAL YEAR ENDED JUNE 30, 2020

Report No. 20-36

OFFICE OF THE

STATE AUDITOR

OFFICE OF THE
STATE AUDITOR

June 4, 2021

The Members of the Utah State Legislature

The Honorable Spencer J. Cox, Governor, State of Utah

We are pleased to submit the *Single Audit Report* of federal financial assistance for the State of Utah for the year ended June 30, 2020. The audit was conducted in accordance with the requirements of Title 2 U.S. *Code of Federal Regulations* (CFR) Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance).

This report contains the following:

- Our reports on compliance and on internal control, at the financial statement level and at the major federal program level, and on the Schedule of Expenditures of Federal Awards.
- The Schedule of Expenditures of Federal Awards.
- The Schedule of Findings and Questioned Costs, together with the views of responsible officials.
- Management's corrective action plans.
- The Summary Schedule of Prior Audit Findings.

The results of this report are critical since the State of Utah continues to have a heavy dependence on federal financial assistance, which amounted to $6.7 billion in federal expenditures and $1.2 billion in loans, loan guarantees, endowments, and nonmonetary assistance for the fiscal year ended June 30, 2020.

The State of Utah's *Comprehensive Annual Financial Report* for the year ended June 30, 2020 and our report thereon, dated December 18, 2020, have been issued under separate cover.

We express our appreciation to the State agencies' and institutions' program and accounting personnel and to the State Division of Finance who continue to demonstrate professionalism and competence in administering and accounting for the complexities of federal financial assistance.

Sincerely,

John Dougall
State Auditor

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A. Material Weakness in internal control – basic financial statement level.
B. Significant Deficiency of internal control – basic financial statement level.
C. Material Noncompliance – basic financial statement level.
D. Reportable instance of noncompliance or other matter – noncompliance with provisions of laws, regulations, contract, or grant agreements; fraud; or abuse.

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Part III. Findings and Questioned Costs for Federal Awards (continued)

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INTRODUCTION

Background

The Federal Government requires the State of Utah to have an entity-wide audit of its financial statements and its federal programs as a condition of receiving federal financial assistance. The federally-required audit is commonly referred to as the "single audit." The single audit focuses on testing compliance with laws and regulations and related internal controls over compliance for major programs. The requirements for performing the single audit are stated in Subpart F of Title 2 U.S. *Code of Federal Regulations* (CFR) Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance).

Scope

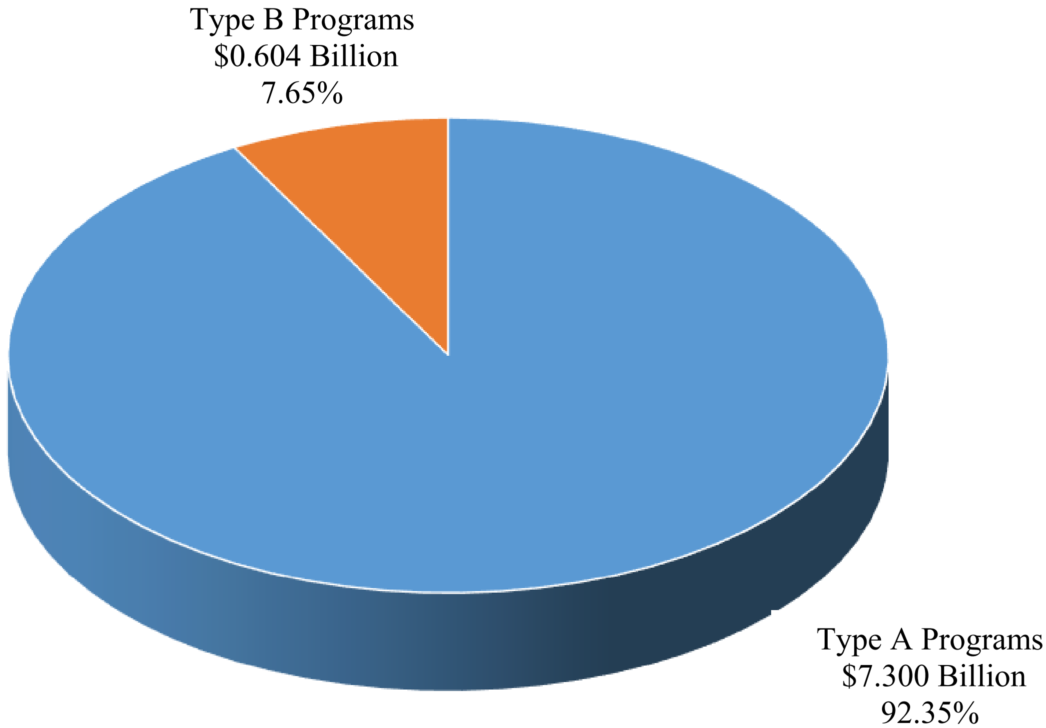
Uniform Guidance requires a risk-based approach to auditing federal programs. Under the risk-based approach, programs are classified as Type A or Type B programs based on a dollar level computed in accordance with Uniform Guidance. Type A programs for the State are those programs which exceeded $23,700,000 in federal awards expended for the fiscal year ended June 30, 2020. All other programs are classified as Type B. For the year ended June 30, 2020, 13 Type A and 6 Type B programs were audited as major programs in accordance with Uniform Guidance requirements. The State had 24 Type A programs and hundreds of Type B programs in fiscal year 2020. (See the Type A vs Type B Programs chart on the following page.)

Presentation

This report includes all required information for the single audit except the State's financial statements and our report thereon, which were issued under separate cover. A Schedule of Expenditures of Federal Awards by federal agency is presented as required. An optional Schedule sorted by state agency is also presented. The required summary of our audit results, including a list of the major programs audited, is presented on pages 233–234. In addition, management's corrective action plan and summary schedule of prior audit findings are presented in separate sections of the report. The type of each finding and the auditor's report(s) to which it relates are listed in the foregoing Table of Contents.

INTRODUCTION

TYPE A vs TYPE B PROGRAMS
TOTAL FEDERAL ASSISTANCE
FOR THE FISCAL YEAR ENDED JUNE 30, 2020
(includes loan balances and disbursements)



OFFICE OF THE
STATE AUDITOR

INDEPENDENT STATE AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

To the Members of the Utah State Legislature
and
The Honorable Spencer J. Cox
Governor, State of Utah

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the State of Utah (State), as of and for the year ended June 30, 2020, and the related notes to the financial statements, which collectively comprise the State's basic financial statements, and have issued our report thereon dated December 18, 2020. Our report includes a reference to other auditors who audited the financial statements of the School and Institutional Trust Funds Office, Student Assistance Programs, Public Employees Health Program, Utah Transit Authority, University of Utah Hospitals and Clinics, the University of Utah's component units, Utah State University Space Dynamics Laboratory, Utah Retirement Systems, and Utah Educational Savings Plan dba my529, as described in our report on the State's financial statements. This report includes our consideration of the results of the other auditors' testing of internal control over financial reporting and compliance and other matters that are reported on separately by those other auditors. However, this report, insofar as it relates to the results of the other auditors, is based solely on the reports of the other auditors.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the State's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the State's internal control. Accordingly, we do not express an opinion on the effectiveness of the State's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or to detect and correct misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that have not been identified. Given these limitations, during our audit, we did not identify any deficiencies in internal control that we consider to be material weaknesses. We did identify certain deficiencies in internal control, as described in the accompanying schedule of findings and recommendations, as items 2020-001, 2020-002, and 2020-003 that we consider to be significant deficiencies.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the State's basic financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests and those of the other auditors disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

The State's Response to Findings

The State's written responses to and corrective action plans for the findings identified in our audit are described in the accompanying "Schedule of Findings and Questioned Costs" and "Corrective Action Plan." The State's responses and corrective action plans were not subjected to the auditing procedures applied in the audit of the financial statements and, accordingly, we express no opinion on them.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose. However, pursuant to *Utah Code* Title 63G Chapter 2, this report is a matter of public record, and, as such, its distribution is not limited.

Office of the State Auditor

Office of the State Auditor

April 30, 2021

OFFICE OF THE
STATE AUDITOR

INDEPENDENT STATE AUDITOR'S REPORT ON:

- **COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM**
- **INTERNAL CONTROL OVER COMPLIANCE**
- **SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY UNIFORM GUIDANCE**

To the Members of the Utah State Legislature
and
The Honorable Spencer J. Cox
Governor, State of Utah

Report on Compliance for Each Major Federal Program

We have audited the State of Utah's (State's) compliance with the types of compliance requirements described in the *2020 OMB Compliance Supplement* that could have a direct and material effect on each of the State's major federal programs for the year ended June 30, 2020. The State's major federal programs are identified in the Summary of Auditor's Results section of the accompanying "Schedule of Findings and Questioned Costs."

We did not audit the Federal Family Education Loan Program (CFDA 84.032). This program was audited by other auditors whose report thereon has been furnished to us, and our opinion, insofar as it relates to compliance requirements for this program, is based solely on the report of the other auditors.

The State's basic financial statements include the operations of Utah Transit Authority (UTA), a discretely presented component unit. UTA expended $86.2 million in federal awards for its fiscal year ended December 31, 2019. Its federal awards were audited by other auditors in accordance with the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). The results of that audit are reported separately.

Management's Responsibility

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of the federal awards applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the State's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards,* issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations* (CFR) Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the State's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our qualified and unmodified opinions on compliance for major federal programs. However, our audit does not provide a legal determination of the State's compliance.

Basis for Qualified Opinions on CFDA 14.239 HOME Investment Partnership Program, CFDA 93.568 Low-Income Home Energy Assistance Program, CFDA 21.019 Coronavirus Relief Fund CFDA, and 16.576 Crime Victim Compensation

As described in the accompanying "Schedule of Findings and Questioned Costs," the State did not comply with:

- CFDA 14.239 HOME Investment Partnership Program Subrecipient Monitoring as described in Finding 2020-015;

- CFDA 93.568 Low-Income Energy Assistance Program, Allowable Activities, Allowable Costs, and Eligibility as described in Finding 2020-009; and

- CFDA 21.019 Coronavirus Relief Fund Allowable Activities, Allowable Costs, Period of Performance, and Subrecipient Monitoring as described in Finding 2020-031.

Compliance with such requirements is necessary, in our opinion, for the State to comply with the requirements applicable to those programs.

Furthermore, as described in the accompanying "Schedule of Findings and Questioned Costs," we were unable to obtain sufficient appropriate audit evidence supporting the State's compliance with CFDA 16.576 Crime Victim Compensation Period of Performance requirements as described in finding 2020-019. Consequently, we were unable to determine whether the State complied with those requirements applicable to those programs.

Qualified Opinions on CFDA 14.239 HOME Investment Partnership Program, CFDA 93.568 Low-Income Home Energy Assistance Program, CFDA 21.019 Coronavirus Relief Fund, and CFDA 16.576 Crime Victim Compensation

In our opinion, except for the noncompliance related to findings 2020-009, 2020-015, and 2020-031 and for the possible effects of the matters noted in finding 2020-019, as described in the Basis for Qualified Opinion paragraphs, the State complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on CFDA 14.239 HOME Investment Partnership Program, CFDA 93.568 Low-Income Home Energy Assistance Program, CFDA 21.019 Coronavirus Relief Fund, and CFDA 16.576 Crime Victim Compensation for the year ended June 30, 2020.

Unmodified Opinion on Each of the Other Major Federal Programs

In our opinion, the State complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its other major federal programs identified in the Summary of Auditor's Results section of the accompanying "Schedule of Findings and Questioned Costs" for the year ended June 30, 2020.

Other Matters

The results of our auditing procedures disclosed other instances of noncompliance, which are required to be reported in accordance with Uniform Guidance and which are identified as **Type H** in the foregoing "Table of Contents" and described in the accompanying "Schedule of Findings and Questioned Costs." Our opinion on each major federal program is not modified with respect to these matters.

The State's responses to and corrective action plans for the noncompliance findings identified in our audit are described in the accompanying "Schedule of Findings and Questioned Costs" and "Corrective Action Plan." The State's responses and corrective action plans were not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on them.

Report on Internal Control Over Compliance

Management of the State is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the State's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State's internal control over compliance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. However, as discussed below, we identified certain deficiencies in internal control over compliance that we consider to be material weaknesses and significant deficiencies.

A *deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent or to detect and correct noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected on a timely basis. We consider the deficiencies in internal control over compliance identified in the foregoing “Table of Contents” as **Type E** and described in the accompanying “Schedule of Findings and Questioned Costs” to be material weaknesses.

A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to be reported under Uniform Guidance. We consider the deficiencies in internal control over compliance identified in the foregoing “Table of Contents” as **Type F** and described in the accompanying ”Schedule of Findings and Questioned Costs” to be significant deficiencies.

The State’s responses to and corrective action plans for the internal control over compliance findings identified in our audit are described in the accompanying “Schedule of Findings and Questioned Costs” and “Corrective Action Plan.” The State’s responses and corrective action plans were not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on them.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of Uniform Guidance. Accordingly, this report is not suitable for any other purpose. However, pursuant to *Utah Code* Title 63G Chapter 2, this report is a matter of public record, and as such, its distribution is not limited.

Report on Schedule of Expenditures of Federal Awards Required by Uniform Guidance

We have audited the financial statements of the State as of and for the year ended June 30, 2020, and have issued our report thereon dated December 18, 2020, which contained an unmodified opinion on those financial statements. Our audit was conducted for the purpose of forming opinions on the financial statements as a whole. The accompanying “Schedule of Expenditures of Federal Awards” is presented for purposes of additional analysis as required by Uniform Guidance and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied by us in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. The accompanying “Schedule of Expenditures of Federal Awards” does not include UTA’s $86.2 million in federal awards expended for its fiscal year ended December 31, 2019, as these expenditures were reported on separately. In our opinion, the “Schedule of Expenditures of Federal Awards” is fairly stated in all material respects in relation to the financial statements as a whole.

Office of the State Auditor

Office of the State Auditor
April 30, 2021 (except for our report on the Schedule of Expenditures and Federal Awards, for which the date is December 18, 2020)

SCHEDULE OF EXPENDITURES

OF FEDERAL AWARDS

By Federal Agency

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
AGENCY FOR INTERNATIONAL DEVELOPMENT						
Agency for International Development						
	98.001	UNR-17-41 University of Nevada at Reno	USU		17,439	
	98.001	2000008307 NATIONAL ACADEMY OF SCIENCES	UOU		100,527	
	98.001	USAID 72026319CA00001 The American Universtity in Cairo	USU		297,003	
Subtotal Research and Development Programs– Agency for International Development					414,969	
Subtotal Research and Development Programs– AGENCY FOR INTERNATIONAL DEVELOPMENT					414,969	
AGRICULTURE, DEPARTMENT OF						
Agricultural Research Service						
	10.001	VARIOUS	UOU		29,740	
	10.001	VARIOUS	USU		367,131	
Subtotal Research and Development Programs– Agricultural Research Service					396,871	
Animal and Plant Health Inspection Service						
	10.025	K2702 Washington State Department of Agriculture	USU		10,198	
	10.025	VARIOUS	USU		326,618	
	10.028	VARIOUS	USU		113,190	
Subtotal Research and Development Programs– Animal and Plant Health Inspection Service					450,006	
Department of Agriculture						
	10	VARIOUS	UVU		12,246	
Subtotal Research and Development Programs– Department of Agriculture					12,246	
Forest Service						
	10	NONE 10043712 US ENDOWMENT FOR FORESTRY & COMMUNITIES	UOU		-21	
	10	0786941-17762 GRANT AB211 UNIVERSITY OF ILLINOIS URBANA-CHAMPAIGN	UOU		10,373	
	10	VARIOUS	UOU		48,521	

State of Utah
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2020

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	10	VARIOUS	USU		329,950	
	10.652	VARIOUS	USU		7,856	
	10.674	VARIOUS	USU		9,295	
	10.680	VARIOUS	USU		4,485	
	10.680	VARIOUS	UOU		33,725	
	10.694	663.7275.01 DESERT RESEARCH INSTITUTE	UOU		-3,501	
	10.699	VARIOUS	USU		388,365	
	10.707	VARIOUS	USU		28,804	
Subtotal Research and Development Programs– Forest Service					857,852	
National Institute of Food and Agriculture						
	10.202	VARIOUS	USU		289,039	
	10.203	VARIOUS	USU		2,589,776	
	10.207	VARIOUS	USU		32,593	
	10.212	2018-33610-28543 Acclima	USU		413	
	10.215	G223-19-W7502 Montana State University	USU		4,636	
	10.215	G219-19-W7502 Montana State University	USU		8,768	
	10.215	VARIOUS	USU		3,130,910	2,687,848
	10.217	VARIOUS	UOU		5,504	
	10.303	VARIOUS	USU		465,343	
	10.304	F0008724402014 Purdue University	USU		2,267	
	10.307	128314_G003756 Washington State University	USU		85,242	
	10.307	VARIOUS	USU		448,638	106,670

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	10.309	133321-G004112 Washington State University	USU		5,154	
	10.309	2017-0398-04 North Carolina State University	USU		42,321	
	10.309	SUB00001765 UGA Research Foundation, Inc.	USU		65,455	
	10.309	79611-10751 Cornell University	USU		69,016	
	10.309	133336-G003923 WASHINGTON STATE UNIVERSITY	UOU		104,291	
	10.310	200961-437 UTAH STATE UNIVERSITY	UOU		7,089	
	10.310	UNR-17-54 University of Nevada at Reno	USU		13,082	
	10.310	1319858-G003955 WASHINGTON STATE UNIVERSITY	UOU		22,859	
	10.310	S000213-USDA Penn State University	USU		34,088	
	10.310	2015-69007-23190 SUB:UNR-15-68 University of Nevada at Reno	USU		85,249	
	10.310	1556406 University of Colorado at Boulder	USU		107,518	
	10.310	VARIOUS	UOU		374,877	
	10.310	VARIOUS	USU		1,359,026	102,495
	10.329	SA18-4060-08 University of California Division of Agriculture and Natural Resources	USU		29,812	
	10.329	C00059074-1 University of Missouri at Columbia	USU		37,773	
	10.329	VARIOUS	USU		73,923	35,849
	10.330	203224USU University of Notre Dame	USU		-3,100	
	10.330	3200002567-20-022 University of Kentucky Research Foundation	USU		3,100	
	10.330	376429 University of Arizona	USU		14,185	

Federal Agency and Major Subdivision / CFDA	Award/Contract / Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS					
10.330	G222-19-W7430 Montana State University	USU		16,876	
10.330	A19-1564-S001 University of California at Davis	USU		21,138	
10.330	VARIOUS	USU		169,717	66,077
10.336	VARIOUS	USU		35,778	
10.500	AD3943-SB-884792 University of Idaho	USU		10,145	
10.500	134194 G004016 Washington State University	USU		17,158	
10.500	VARIOUS	USU		125,639	
Subtotal Research and Development Programs– National Institute of Food and Agriculture				9,905,298	2,998,939
Natural Resources Conservation Service					
10.902	68-3A75-16-736 Pheasants Forever, Inc.	USU		1,051	
10.902	VARIOUS American Farmland Trust	USU		2,752	
10.902	VARIOUS Pheasants Forever, Inc.	USU		68,057	
10.912	3-580990.USU1 Oklahoma State University	USU		459	
Subtotal Research and Development Programs– Natural Resources Conservation Service				72,319	
Risk Management Agency					
10.458	VARIOUS Custom Ag Solutions, Inc.	USU		55,669	5,455
Subtotal Research and Development Programs– Risk Management Agency				55,669	5,455
Subtotal Research and Development Programs– AGRICULTURE, DEPARTMENT OF				11,750,261	3,004,394
COMMERCE, DEPARTMENT OF					
Economic Development Administration					
11.303	ED17DEN3030013 UTAH ADVANCED MATERLS MANUFAC IINITIATV	UOU		-1,015	
11.307	VARIOUS	UOU		97,300	25,180
Subtotal Research and Development Programs– Economic Development Administration				96,285	25,180
National Oceanic and Atmospheric Administration (NOAA)					

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	11.011	505184-78050 NORTHEASTERN UNIVERSITY	UOU		22,560	
	11.431	VARIOUS	UOU		159,816	
	11.438	AC-00106 BERING SEA FISHERMEN'S ASSOCIATION	UOU		-4,230	
	11.438	AC-1705B BERING SEA FISHERMEN'S ASSOCIATION	UOU		-412	
	11.459	VARIOUS	UOU		100,105	2,121
	11.468	VARIOUS	UOU		470,694	158,341
Subtotal Research and Development Programs– National Oceanic and Atmospheric Administration (NOAA)					748,533	160,462
Subtotal Research and Development Programs– COMMERCE, DEPARTMENT OF					844,818	185,642
DEPARTMENT OF DEFENSE						
Advanced Research Projects Agency						
	12	S4628 (PO 194184) MASSACHUSETTS INSTITUTE OF TECHNOLOGY	UOU		102,925	
	12	P00171650 LEIDOS	UOU		116,217	
	12	1(GG012664) COLUMBIA UNIVERSITY	UOU		563,634	303,391
	12	VARIOUS	UOU		616,793	47,940
	12.910	X0220A-A OREGON STATE UNIVERSITY	UOU		169,500	
	12.910	VARIOUS	UOU		2,476,581	442,984
Subtotal Research and Development Programs– Advanced Research Projects Agency					4,045,650	794,315
Defense Threat Reduction Agency						
	12.351	A11961 (A008823) EMORY UNIVERSITY	UOU		255	
	12.351	5711-UU-DTRA-0056 PENNSYLVANIA STATE UNIVERSITY	UOU		126,141	
	12.351	VARIOUS	UOU		141,686	
Subtotal Research and Development Programs– Defense Threat Reduction Agency					268,082	
Department of Defense						

State of Utah
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2020

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	12	HQ0147-11-D-0052-0031 Missile Defense Agency	USU		-7,654	
	12	954572 Sandia Laboratories	USU		-2,991	
	12	ATA ATP/FA9453-07-D-0189 Applied Technology Associates Aerospace	USU		-847	
	12	PO 4201443453 and PO 4201455979 / FA9453-17-C-0077 Raytheon Corp General	USU		-457	
	12	JL190326 L3HARRIS Technologies, Inc.	USU		-273	
	12	HQ0147-11-D-0052-0003 Missile Defense Agency	USU		-205	
	12	HQ0147-11-D-0052-0028 Missile Defense Agency	USU		-81	
	12	202136-636 / Prime 2011633 Sandia Laboratories	USU		-25	
	12	SC1519205(PSyCHIC) CHARLES RIVER ANALYTICS	UOU		-12	
	12	HQ0147-11-D-0052-0027 Missile Defense Agency	USU		348	
	12	20JDM00015 / FA8810-18-C-0005 Ball Aerospace and Tech	USU		609	
	12	7000416856 Massachusetts Institute of Technology (MIT)	USU		651	
	12	HQ0147-16-D-0002, HQ0147-17-F-0081 Missile Defense Agency	USU		2,933	
	12	WU-20-221 WASHINGTON UNIVERSITY IN ST LOUIS	UOU		3,089	
	12	D8537-S1 / HQ0147-16-D-0001/HQ014718F0029 Georgia Institute of Technology	USU		3,197	
	12	SIS180377 Jacobs Technology, Inc.	USU		3,285	
	12	1077-08/FA8650-06-C-1077 Sensing Strategies, Inc.	USU		3,295	
	12	4202201262 Raytheon Space and Airborne Systems	USU		3,891	
	12	VARIOUS Gannett Fleming, Inc.	USU		5,042	

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	12	HQ0147-11-D-0052-0034 Missile Defense Agency	USU		5,324	
	12	SCF1136306/SP0700-99-D-0301 Alion Science and Technology Corporation	USU		6,114	
	12	18-7900-0003-02-C9 TO# 0003 Universal Technology Corporation	USU		8,129	
	12	3302000579 L3HARRIS Technologies, Inc.	USU		9,192	
	12	SDL10-100 / FA9453-12-D-0285 TO 10 Applied Technology Associates Aerospace	USU		9,302	
	12	HQ0147-11-D-0052-0023 Missile Defense Agency	USU		10,515	
	12	TSC-1100-33006/N68335-15-C-0176 Technology Service Corporation	USU		10,761	1,327
	12	2004366418 JOHNS HOPKINS UNIVERSITY	UOU		11,437	
	12	VARIOUS Box Elder Innovations, LLC	USU		15,256	
	12	124-AY-1C-PO4435 ELECTRONIC BIOSCIENCE INC	UOU		18,663	
	12	HQ0147-11-D-0052-0022 Missile Defense Agency	USU		20,287	
	12	142411-0000003-19-02-C13 Universal Technology Corporation	USU		22,932	
	12	259-0248/PO-0007907 / FA8811-19-F-0003 Parsons Infrastructure & Technology Group, Inc.	USU		25,970	
	12	PO19-02201 / GS00Q14OADU431 KBR, Inc.	USU		27,158	
	12	XXXXXX-13-D-0490 0008 Misc Federal Sponsors	USU		27,646	
	12	HQ0147-16-D-0002, HQ0147-18-F-0042 Missile Defense Agency	USU		28,658	
	12	SUBCON002137 University Corporation for Atmospheric Research	USU		28,910	
	12	Subcontract 1018440 / Prime 16-D-7322 BAE Systems	USU		30,857	

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RESEARCH AND DEVELOPMENT PROGRAMS						
	12	Subcontract TSC-1222-60218 / FA8650-20-C-1117 Technology Service Corporation	USU		31,310	
	12	MSA 19S23530AU22 - PO 111302 AECOM Technical Services, Inc.	USU		35,143	
	12	Subcontract TSC-1152-60056/ W56KGU-17-C-0091 Technology Service Corporation	USU		35,956	173
	12	10050327 NEVADA NANOTECH SYSTEMS INC	UOU		36,422	
	12	PO 2962894 /FA8620-15-D-3009/TO FA8620-18F-1005 Northrop Grumman Systems Corporation	USU		36,556	
	12	N00173-12-D-2004 0004 Naval Research Laboratory	USU		37,575	
	12	ROYALL DOD-UNIVERSITY OF UTAH FDTN FOR ADVNCNG VETERANS HLTH RESH	UOU		38,132	
	12	USU-17-D-0005 / FA8622-15-F-8111 HX5, LLC	USU		38,292	
	12	HQ0147-11-D-0052-0017 Missile Defense Agency	USU		39,070	
	12	18-S8401-12-C1 Universal Technology Corporation	USU		44,638	
	12	HQ0147-16-D-0002, HQ085420F0017 Missile Defense Agency	USU		45,527	
	12	SC-2174-6777 Faraday Technology, Inc.	USU		46,951	
	12	6835 Arizona Optical Systems, LLC	USU		53,222	
	12	HQ0147-11-D-0052-0007 Missile Defense Agency	USU		54,467	
	12	01-20190328 SEOPS, LLC	USU		56,912	
	12	CONTRACT 19-05 MILITARY & HEALTH RESEARCH FOUNDATION	UOU		57,608	
	12	162642-20-27-C1 Universal Technology Corporation	USU		59,754	
	12	S901059BAH / FA8750-17-F-0105 Booz Allen Hamilton, Inc.	USU		60,238	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	12	Subcontract TSC-1169-60077 / FA8650-18-C-1142 Technology Service Corporation	USU		71,739	
	12	W81XWH-18-1-0617 TRANSLATIONAL GENOMIC RESEARCH INSTITUTE	UOU		73,185	
	12	W911QY-17-C-0032 ESPIRA	UOU		73,365	
	12	GG12196.PO#2150693 / FA9453-19-1-007 University of Virginia	USU		77,514	
	12	10046058 WASATCH MOLECULAR INC	UOU		81,405	
	12	HQ0147-11-D-0052-0013 Missile Defense Agency	USU		84,911	
	12	50005310 / N00173-15-F-2001 KeyW Corporation	USU		89,540	7,836
	12	10303 / FA8650-17-C-1026 Defense Engineering Corporation	USU		90,000	
	12	TXS0150731 KBRWYLE	UOU		126,721	
	12	Subcontract TSC-1216-60191 / W56KGU-19-C-0057 Technology Service Corporation	USU		131,241	134
	12	HQ0147-16-D-0002, HQ0147-19-F-0004 Missile Defense Agency	USU		140,432	
	12	WSU#16368 WICHITA STATE UNIVERSITY	UOU		142,331	
	12	1013370_UUT OREGON HEALTH & SCIENCE UNIVERSITY	UOU		147,900	
	12	H98230-18-C-0172 Maryland Procurement Office	USU		165,015	
	12	HQ0147-16-D-0002, HQ0147-17-F-0052 Missile Defense Agency	USU		166,368	15,966
	12	PO-0003348 / W15P7T-13-D- Aberdeen Proving Ground	USU		188,028	
	12	201219 / F9453-14-D-0312 TO07 (7247-103) Applied Technology Associates Aerospace	USU		196,478	
	12	HQ0147-11-D-0052-0016 Missile Defense Agency	USU		203,798	

Federal Agency and Major Subdivision CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS					
12	HQ0147-16-D-0002, HQ085420F0026 Missile Defense Agency	USU		205,606	4,410
12	4666 PO953258 HJF65544 HENRY M. JACKSON FNDT ADVNCMNT MIL MED	UOU		209,801	
12	1038-05-13-01 / N68936-16-D-0016 Jacobs Technology, Inc.	USU		218,507	
12	PROJECT 60615310 AECOM Technical Services, Inc.	USU		219,381	
12	PO SC20190009 / Prime N00173-16-F-6402 Praxis, Inc.	USU		228,533	
12	HQ0147-16-D-0002, HQ014718F0014 Missile Defense Agency	USU		229,809	
12	HQ0147-16-D-0002, HQ0854-20-F-0030 Missile Defense Agency	USU		232,145	
12	PO0088/EPACC-PTCRA MILITARY & HEALTH RESEARCH FOUNDATION	UOU		238,431	
12	HQ0147-16-D-0002-0009 Missile Defense Agency	USU		313,018	
12	USU-19-F-8136 / FA8622-19-F-8136 HX5, LLC	USU		331,328	
12	HQ0147-16-D-0002, HQ0147-18-F-0020 Missile Defense Agency	USU		379,270	
12	HQ0147-16-D-0002, HQ0147-17-F-0017 Missile Defense Agency	USU		387,106	
12	SUB1138235 TO001 Serco, Inc.	USU		390,400	
12	Subcontract 42193 / FA8750-19-C-1511 SRI International	USU		439,725	
12	1554466 UNIVERSITY OF COLORADO AT BOULDER	UOU		440,395	
12	HQ0147-16-D-0002, HQ0147-17-F-0032 Missile Defense Agency	USU		462,256	205,322

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RESEARCH AND DEVELOPMENT PROGRAMS						
	12	FP00009533_SA004 VIRGINIA COMMONWEALTH UNIVERSITY	UOU		501,065	
	12	XXXXXX-16-D-0243 0005 Misc Federal Sponsors	USU		596,115	
	12	N00173-19-C-2013 Naval Research Laboratory	USU		656,339	
	12	19S-0155 / Prime W9113M-19-F-0016 Radiance Technologies, Inc.	USU		669,835	
	12	7500166459 / FA8750-17-F-0131 Northrop Grumman Mission Systems	USU		801,472	
	12	HQ0147-16-D-0002, HQ0147-17-F-0076 Missile Defense Agency	USU		814,729	
	12	HQ0147-16-D-0002, HQ0147-17-F-0023 Missile Defense Agency	USU		1,135,011	
	12	HQ0147-16-D-0002, HQ0147-19-F-0134 Missile Defense Agency	USU		1,290,537	
	12	HQ0147-16-D-0002, HQ0147-17-F-0044 Missile Defense Agency	USU		1,326,739	
	12	HQ0147-16-D-0002, HQ0147-19-F-5020 Missile Defense Agency	USU		1,599,577	
	12	N00173-12-D-2004, N00173-17-F-6704 Naval Research Laboratory	USU		1,734,669	
	12	M67854-19-D-2039/M67854-19-F-2078 Marine Corp	USU		1,885,802	10,135
	12	HQ0147-16-D-0002, HQ0147-17-F-0078 Missile Defense Agency	USU		2,057,585	510,012
	12	HQ0147-16-D-0002, HQ0147-17-F-0039 Missile Defense Agency	USU		2,071,943	172,067
	12	HQ0147-16-D-0002, HQ0147-18-F-0117 Missile Defense Agency	USU		2,339,987	
	12	VARIOUS	UOU		2,730,224	38,096

Federal Agency and Major Subdivision	CFDA	Award/Contract / Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	12	HQ0147-16-D-0002, HQ0147-18-F-0052 Missile Defense Agency	USU		3,636,264	1,251,705
	12	VARIOUS	USU		124,185,846	30,253,059
Subtotal Research and Development Programs– Department of Defense					158,348,100	32,470,242
Department of the Air Force, Materiel Command						
	12.800	SP0022325PROJ0007153 NORTHWESTERN UNIVERSITY	UOU		-23,005	
	12.800	VARIOUS	USU		3,983	
	12.800	47261-A MEDGAR EVERS COLLEGE	UOU		88,461	
	12.800	P0284835 UNIVERSITY OF CENTRAL FLORIDA	UOU		105,225	
	12.800	VARIOUS	UOU		1,193,141	479,639
Subtotal Research and Development Programs– Department of the Air Force, Materiel Command					1,367,805	479,639
Department of the Navy, Office of the Chief of Naval Research						
	12.300	65016354-01 UNIVERSITY OF CENTRAL FLORIDA	UOU		74,013	
	12.300	UNIV59880 VANDERBILT UNIVERSITY	UOU		95,644	
	12.300	088513-16628 UNIVERSITY OF IL AT URBANA-CHAMPAIGN	UOU		137,987	
	12.300	203349UU UNIVERSITY OF NOTRE DAME	UOU		146,441	
	12.300	2002694588 JOHNS HOPKINS UNIVERSITY	UOU		177,542	
	12.300	VARIOUS	USU		913,702	111,204
	12.300	VARIOUS	UOU		2,969,112	660,847
Subtotal Research and Development Programs– Department of the Navy, Office of the Chief of Naval Research					4,514,441	772,051
Office of Economic Adjustment						
	12.617	VARIOUS	UOU		1,571,843	1,072,960
Subtotal Research and Development Programs– Office of Economic Adjustment					1,571,843	1,072,960
Office of the Secretary of Defense						

Federal Agency and Major Subdivision / CFDA	Award/Contract / Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS					
12.630	VARIOUS	UOU		2,449,108	1,419,153
Subtotal Research and Development Programs– Office of the Secretary of Defense				2,449,108	1,419,153
U.S. Army Materiel Command					
12.431	VARIOUS Autonomous Solutions, Inc.	USU		13,240	
12.431	VARIOUS	USU		45,297	
12.431	00001271 BROWN UNIVERSITY	UOU		68,007	
12.431	VARIOUS	UOU		1,150,959	649,285
Subtotal Research and Development Programs– U.S. Army Materiel Command				1,277,503	649,285
U.S. Army Medical Command					
12.420	RP952654 JOHNS HOPKINS UNIVERSITY	UOU		-3,096	
12.420	WSU17069 WAYNE STATE UNIVERSITY	UOU		513	
12.420	16-0231/2300179 MASSACHUSETTS EYE AND EAR INFIRMARY	UOU		1,468	
12.420	9012699 (411975-2) UNIVERSITY OF PITTSBURGH	UOU		1,746	
12.420	NONE 10054520 MILITARY SUICIDE RESEARCH CONSORTIUM	UOU		2,000	
12.420	ARJUHP02002478754 JOHNS HOPKINS UNIVERSITY	UOU		9,185	
12.420	NTI-CLOTT17-17 NATIONAL TRAUMA INSTITUTE	UOU		16,397	
12.420	S-1579-01 THE GENEVA FOUNDATION	UOU		44,769	
12.420	JHUPO:2003945663 JOHNS HOPKINS UNIVERSITY	UOU		57,374	
12.420	PO GENFD0001337840 BOSTON CHILDREN'S HOSPITAL	UOU		58,033	
12.420	PO883714 / 3459 HENRY M. JACKSON FNDT ADVNCMNT MIL MED	UOU		58,308	
12.420	USM-GR05777.001 UNIVERSITY OF SOUTHERN MISSISSIPPI	UOU		70,415	

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RESEARCH AND DEVELOPMENT PROGRAMS					
12.420	576708 UNIVERSITY OF PENNSYLVANIA	UOU		81,772	
12.420	0031471(408294-6) UNIVERSITY OF PITTSBURGH	UOU		107,153	
12.420	A18-1929-S001 UNIVERSITY OF CALIFORNIA DAVIS	UOU		108,193	
12.420	3828 HENRY M. JACKSON FNDT ADVNCMNT MIL MED	UOU		180,529	
12.420	MSRC-FY18-04 DENVER RESEARCH INSTITUTE	UOU		419,061	177,036
12.420	FP00004035_SA021 VIRGINIA COMMONWEALTH UNIVERSITY	UOU		465,561	
12.420	VARIOUS	UOU		8,996,055	538,284
Subtotal Research and Development Programs– U.S. Army Medical Command				10,675,436	715,320
Uniformed Services University of the Health Sciences					
12.750	S-10507-02 The Geneva Foundation	USU		5,968	
12.750	3338P0881181 HENRY M. JACKSON FNDT ADVNCMNT MIL MED	UOU		23,506	
12.750	S-1104402 THE GENEVA FOUNDATION	UOU		36,654	
12.750	S-10507-01 THE GENEVA FOUNDATION	UOU		219,740	
12.750	VARIOUS	UOU		461,898	30,126
Subtotal Research and Development Programs– Uniformed Services University of the Health Sciences				747,766	30,126
Subtotal Research and Development Programs– DEPARTMENT OF DEFENSE				185,265,734	38,403,091
DEPARTMENT OF HOMELAND SECURITY					
Department of Homeland Security					
97	VARIOUS	UOU		334,923	
97.044	860333 PO# U0196112 DREXEL UNIVERSITY	UOU		23,440	
97.077	VARIOUS	UOU		324,523	
Subtotal Research and Development Programs– Department of Homeland Security				682,886	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
Subtotal Research and Development Programs– DEPARTMENT OF HOMELAND SECURITY					682,886	
EDUCATION, DEPARTMENT OF						
Department of Education						
	84	R305H170005 AMER COUNCILS FOR INTNL EDUCATION	UOU		15,199	
Subtotal Research and Development Programs– Department of Education					15,199	
Institute of Education Sciences						
	84.305	VARIOUS	USU		948,266	532,523
	84.324	FY2019-005 UNIVERSITY OF KANSAS	UOU		94,091	
	84.324	VARIOUS	WSU		109,319	
Subtotal Research and Development Programs– Institute of Education Sciences					1,151,676	532,523
Office of Elementary and Secondary Education						
	84.283	s12-174 MOD 6 WESTED	UOU		39,172	
	84.283	S-00017208 WESTED	UOU		152,380	
Subtotal Research and Development Programs– Office of Elementary and Secondary Education					191,552	
Office of Federal Student Aid						
	84.033	VARIOUS	USU		95,336	
Subtotal Research and Development Programs– Office of Federal Student Aid					95,336	
Office of Special Education and Rehabilitative Services						
	84.129	VARIOUS	USU		105,328	
	84.264	0000000517 University of Wisconsin at Madison	USU		14,352	
	84.325	VARIOUS	USU		30,177	
Subtotal Research and Development Programs– Office of Special Education and Rehabilitative Services					149,857	
Subtotal Research and Development Programs– EDUCATION, DEPARTMENT OF					1,603,620	532,523
ENERGY, DEPARTMENT OF						
Department of Energy						
	81	10029234 AISI AMERICAN IRON AND STEEL INSTITUTE	UOU		-11	

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RESEARCH AND DEVELOPMENT PROGRAMS						
	81	SA-17-07 SUSTAINABLE MANUFACTURING INNOV ALLNCE	UOU		594	
	81	8266-2 REACTION ENGINEERING INTL	UOU		2,198	
	81	213985 Battelle Energy Alliance, LLC	USU		16,288	
	81	10051673 REACTION ENGINEERING INTL	UOU		17,525	
	81	217672 Battelle Energy Alliance, LLC	USU		18,705	
	81	225228 Battelle Energy Alliance, LLC	USU		30,588	
	81	10051010 VISUS LLC	UOU		38,345	
	81	274628 Battelle	USU		38,399	
	81	8F-30118 Argonne Laboratory	USU		43,304	
	81	SA-19-02 SUSTAINABLE MANUFACTURING INNOV ALLNCE	UOU		43,326	
	81	SC0019548-UTAH 10054516 RNET TECHNOLOGIES INC	UOU		61,363	
	81	SA-19-26 SUSTAINABLE MANUFACTURING INNOV ALLNCE	UOU		65,520	
	81	SA-18-01 SUSTAINABLE MANUFACTURING INNOV ALLNCE	UOU		74,377	
	81	127120076 Kenworth Truck Company	USU		82,197	
	81	NONE 10043459 PACIFICORP	UOU		103,663	
	81	10049682-BIOLOGICALLY INSPIRED AMMONIA FULCRUM BIOSCIENCES	UOU		143,415	
	81	131568 Fluor Marine Propulsion, LLC	USU		165,766	
	81	REI-8262-1 REACTION ENGINEERING INTL	UOU		182,901	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	81	VARIOUS	USU		312,862	
	81	VARIOUS Wireless Advanced Vehicle Electrification, Inc.	USU		330,850	
	81	VARIOUS	UOU		4,245,935	373,483
	81.049	10049506 ALTAROCK ENERGY	UOU		1,069	
	81.049	UWSC9285 (BPO17507) University of Washington	USU		2,020	
	81.049	131471967 SOUTHERN CALIFORNIA EARTHQUAKE CENTER	UOU		6,491	
	81.049	SC0019548-UTAH 10055359 RNET TECHNOLOGIES INC	UOU		8,194	
	81.049	VARIOUS Life-E, LLC	USU		22,262	
	81.049	417664G/UR FAO GR511022 UNIVERSITY OF ROCHESTER	UOU		38,313	
	81.049	695K656 UNIVERSITY OF WISCONSIN-MADISON	UOU		42,660	
	81.049	17284Z7181006 UNIVERSITY OF MARYLAND	UOU		45,903	
	81.049	10051529 WASATCH MOLECULAR INC	UOU		57,904	
	81.049	Z17-90030 UNIVERSITY CORP FOR ATMOSPHERIC RESEARCH	UOU		68,424	
	81.049	134124-G003972 Washington State University	USU		81,253	
	81.049	10054494 / GTL-001 TECHNOLOGY HOLDINGS LLC	UOU		89,988	
	81.049	134124-G003970 WASHINGTON STATE UNIVERSITY	UOU		105,667	
	81.049	201603457-06 University of California	USU		122,692	
	81.049	10048835 PARTICLE FLUX ANALYTICS	UOU		242,009	
	81.049	VARIOUS	USU		799,743	

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RESEARCH AND DEVELOPMENT PROGRAMS						
	81.049	VARIOUS	UOU		5,926,650	1,494,012
	81.086	429399-19138 Virigina Tech Polytechnic Institute	USU		175,327	
	81.086	3300001466 Pacificorp	USU		253,548	
	81.087	100054 (205CAL628) PORTLAND STATE UNIVERSITY	UOU		40,106	
	81.087	10052331 HOTROCK ENERGY RESEARCH ORGANIZATION	UOU		117,247	
	81.087	VARIOUS	USU		149,072	84,979
	81.087	VARIOUS	UOU		5,453,984	1,406,085
	81.089	P0012449 NEW MEXICO INSTITUTE OF MINING & TECH	UOU		-192	
	81.089	PO019687 NEW MEXICO INSTITUTE OF MINING & TECH	UOU		4,624	3,032
	81.089	PO 170624-401183-5801 COLORADO SCHOOL OF MINES	UOU		120,363	
	81.089	3200003079-20-155 UNIVERSITY OF KENTUCKY	UOU		159,040	
	81.089	8250-1 REACTION ENGINEERING INTL	UOU		184,836	
	81.089	GENDSWT20 NEW MEXICO INSTITUTE OF MINING & TECH	UOU		759,514	
	81.089	VARIOUS	UOU		1,630,908	341,872
	81.112	18-S20 GEORGE WASHINGTON UNIVERSITY	UOU		54,844	
	81.121	60049182 OHIO STATE UNIVERSITY RESEARCH FNDTN	UOU		-379	
	81.121	20-0549 BRIGHAM YOUNG UNIVERSITY	UOU		4,062	
	81.121	1557174 PO#1001094525 UNIVERSITY OF COLORADO AT BOULDER	UOU		57,755	

Federal Agency and Major Subdivision CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS					
81.121	18-023 UNIVERSITY OF NEW HAMPSHIRE	UOU		148,592	
81.121	VARIOUS	USU		857,384	725,570
81.121	VARIOUS	UOU		1,072,164	81,885
81.122	VARIOUS	UOU		91,619	
81.124	VARIOUS	UOU		2,111,195	203,302
81.135	118115448 University of California at San Diego	USU		170,456	
81.135	VARIOUS	UOU		919,388	157,713
Subtotal Research and Development Programs– Department of Energy				28,214,809	4,871,933
Subtotal Research and Development Programs– ENERGY, DEPARTMENT OF				28,214,809	4,871,933
ENVIRONMENTAL PROTECTION AGENCY					
66	63-USU-000 Great Lakes Environmental Center, Inc.	USU		7,443	
Subtotal Research and Development Programs–				7,443	
Office of Research and Development (ORD)					
66.509	VARIOUS	USU		32,462	
66.511	LCASW3SG16 WATER RESEARCH FOUNDATION	UOU		32,506	
66.511	VARIOUS	UOU		101,661	
66.514	VARIOUS	UOU		-36	
Subtotal Research and Development Programs– Office of Research and Development (ORD)				166,593	
Office of Solid Waste and Emergency Response					
66.806	N0NE 10040457 rFRIENDS OF GREAT SALT LAKE	UOU		8,648	
Subtotal Research and Development Programs– Office of Solid Waste and Emergency Response				8,648	
Office of Water					

Federal Agency and Major Subdivision CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS					
66.461	VARIOUS	USU		41,425	
Subtotal Research and Development Programs– Office of Water				41,425	
Subtotal Research and Development Programs– ENVIRONMENTAL PROTECTION AGENCY				224,109	
FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES					
Institute of Museum and Library Services					
45.313	VARIOUS	USU		55,212	
Subtotal Research and Development Programs– Institute of Museum and Library Services				55,212	
National Endowment for the Arts					
45.024	VARIOUS	UOU		25,590	
Subtotal Research and Development Programs– National Endowment for the Arts				25,590	
National Endowment for the Humanities					
45.160	VARIOUS	UOU		2,298	
45.161	VARIOUS	UOU		36,347	
Subtotal Research and Development Programs– National Endowment for the Humanities				38,645	
Subtotal Research and Development Programs– FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES				119,447	
HEALTH AND HUMAN SERVICES, DEPARTMENT OF					
Administration for Community Living					
93.048	VARIOUS	UOU		46,576	
93.433	0000000462 University of Wisconsin at Madison	USU		26,676	
93.433	VARIOUS	USU		324,839	62,207
Subtotal Research and Development Programs– Administration for Community Living				398,091	62,207
Agency for Healthcare Research and Quality					
93.226	0039806 (124759-2) UNIVERSITY OF PITTSBURGH	UOU		698	
93.226	CNVA00053096 UNIVERSITY OF PITTSBURGH	UOU		3,890	
93.226	WU-20-225 WASHINGTON UNIVERSITY IN ST LOUIS	UOU		5,437	

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RESEARCH AND DEVELOPMENT PROGRAMS						
	93.226	568241 UNIVERSITY OF PENNSYLVANIA	UOU		6,499	
	93.226	111456 BRIGHAM & WOMENS HOSPITAL	UOU		20,165	
	93.226	2133-209-2012832 CLEMSON UNIVERSITY	UOU		29,906	
	93.226	5R18HS025072 UNIVERSITY OF PITTSBURGH	UOU		36,214	
	93.226	SUBK00010964 PO3005782711 UNIVERSITY OF MICHIGAN	UOU		38,068	
	93.226	3000301409 (A18-0236-S004) CAROLINAS HEALTHCARE SYSTEM	UOU		38,293	
	93.226	2312021528152594L RTI INTERNATIONAL	UOU		41,850	
	93.226	5001451461 MEDSTAR HEALTH	UOU		128,649	
	93.226	VARIOUS	UOU		3,365,611	611,357
Subtotal Research and Development Programs– Agency for Healthcare Research and Quality					3,715,280	611,357
Centers for Disease Control and Prevention						
	93	44978 ABT ASSOCIATES INC	UOU		-188	
	93	T.O. 49775 ABT ASSOCIATES INC	UOU		2,597	
	93	75D30120P07910	UOU	COVID-19	11,013	
	93	20-SA-313301A-631 NATL ALLNCE OF ST AND TERRITRL AIDS DIR	UOU		14,655	
	93	10054011 URBAN INDIAN CENTER OF SALT LAKE	UOU		15,380	
	93	75D301-19-C-05998 HARVARD PILGRIM HEALTHCARE INST	UOU		25,839	
	93	1901002Z1 (P0103797) MICHIGAN TECHNOLOGICAL UNIVERSITY	UOU		33,626	
	93	EGS0045 EAGLE GLOBAL SCIENTIFIC LLC	UOU		35,886	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	93	TASK ORDER 49970 ABT ASSOCIATES INC	UOU		43,859	
	93	NONE 10051889 THE TASK FORCE FOR GLOBAL HEALTH	UOU		87,838	
	93	VARIOUS	UOU		2,601,395	329,176
	93.073	S00427-01 UNIVERSITY OF IOWA	UOU		11,105	
	93.073	VARIOUS	UOU		419,804	
	93.084	231295 YEAR 1 MASSACHUSETTS GENERAL HOSPITAL	UOU		3,530	
	93.084	1902999 UNIVERSITY OF MARYLAND	UOU		56,397	
	93.084	2004040591 JOHNS HOPKINS HOSPITAL	UOU		62,087	
	93.084	VARIOUS	UOU		1,835,462	910,983
	93.136	1600184A 2026 UNIVERSITY OF MARYLAND BALTIMORE	UOU		29,184	
	93.136	VARIOUS	UOU		997,968	445,606
	93.262	SUB00001631 UNIVERSITY OF FLORIDA	UOU		-3	
	93.262	183405351 UNIVERSITY OF WISCONSIN-MILWAUKEE	UOU		7,652	
	93.262	G-61105-03 COLORADO STATE UNIVERSITY	UOU		13,896	
	93.262	VARIOUS	UOU		1,447,108	7,255
	93.283	44306 / T.O. 50771 ABT ASSOCIATES INC	UOU		199	
	93.283	PO 3333 THE TASK FORCE FOR GLOBAL HEALTH	UOU		12,410	
	93.283	PO 3073 / PI-CD32-ICBD THE TASK FORCE FOR GLOBAL HEALTH	UOU		54,195	
	93.283	VARIOUS	UOU		619,371	376,020

Federal Agency and Major Subdivision CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS					
93.315	NONE 10035084 INTERMOUNTAIN HEALTHCARE	UOU		311	
93.315	VARIOUS	UOU		392,927	
93.354	00003179 MD ANDERSON CANCER CENTER	UOU		170,864	
93.421	1082020 222-1402-2 NATL ASSOCIATION OF CHRONIC DISEASE	UOU		28,068	
93.898	VARIOUS	UOU		311,053	
Subtotal Research and Development Programs– Centers for Disease Control and Prevention				9,345,488	2,069,040
Department of Health and Human Services					
93	10014935 AXON MEDICAL INC	UOU		158	
93	0901 NATIONAL MARROW DONOR PROGRAM	UOU		8,774	
93	10045855 /13541 THE EMMES COMPANY LLC	UOU		10,864	
93	VARIOUS	UOU		32,315	
93	6119114400E UNIVERSITY OF SOUTH FLORIDA	UOU		95,852	
Subtotal Research and Development Programs– Department of Health and Human Services				147,963	
Food and Drug Administration					
93.103	3003792592 UNIVERSITY OF MICHIGAN	UOU		566	
93.103	UFOCR00012081 (UFDSP0011718) UNIVERSITY OF FLORIDA	UOU		10,578	
93.103	ZAD00000 UNIVERSITY OF KANSAS	UOU		24,532	
93.103	VARIOUS	UOU		113,524	10,766
Subtotal Research and Development Programs– Food and Drug Administration				149,200	10,766
Health Resources and Services Administration					
93	VARIOUS	UOU		31,195	
93.059	VARIOUS	UOU		317,902	

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RESEARCH AND DEVELOPMENT PROGRAMS						
	93.107	VARIOUS	UOU		298,593	223,058
	93.110	VARIOUS	UOU		787,001	582,307
	93.127	201503204-1 UNIVERSITY OF CALIFORNIA DAVIS	UOU		-16,314	
	93.127	PO 10041358 MEDICAL COLLEGE OF WISCONSIN	UOU		1,939	
	93.127	R1192435 UNIVERSITY AT BUFFALO	UOU		14,552	
	93.127	1016191_UUT OREGON HEALTH & SCIENCE UNIVERSITY	UOU		27,917	
	93.127	A20-1236-S001 UNIVERSITY OF CALIFORNIA DAVIS	UOU		123,515	
	93.127	VARIOUS	UOU		3,585,321	
	93.145	UWSC8703 UNIVERSITY OF WASHINGTON	UOU		3,520	
	93.145	UWSC11302 BPO#42137 UNIVERSITY OF WASHINGTON	UOU		137,682	
	93.153	VARIOUS	UOU		387,193	
	93.178	VARIOUS	UOU		461,961	
	93.186	VARIOUS	UOU		248,796	
	93.211	1GA5RH37461-01	UOU	COVID-19	78	
	93.211	VARIOUS	UOU		248,874	
	93.236	VARIOUS	UOU		-8,133	
	93.247	VARIOUS	UOU		390,230	12,089
	93.253	1H4CHS37362-01	UOU	COVID-19	10,582	
	93.253	VARIOUS	UOU		147,433	
	93.264	VARIOUS	UOU		189,000	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	93.342	VARIOUS	UOU		78,740	
	93.359	VARIOUS	UOU		625,521	89,234
	93.516	FY19.641.003 UNIVERSITY OF COLORADO AT DENVER	UOU		99,080	
	93.732	VARIOUS	UOU		182,271	
	93.884	15-218A-RPA003 Idaho State University	USU		17,315	
	93.884	VARIOUS	UOU		288,142	
	93.918	VARIOUS	UOU		820,261	
	93.969	VARIOUS	UOU		772,302	244,364
Subtotal Research and Development Programs– Health Resources and Services Administration					10,272,469	1,151,052
Indian Health Service						
	93.231	UU10054435 ALBUQUERQUE AREA INDIAN HLTH BRD INC	UOU		78,269	
Subtotal Research and Development Programs– Indian Health Service					78,269	
National Institutes of Health						
	93	10051908 NEOTHERMA ONCOLOGY	UOU		-9,688	
	93	N0NE 10037819 BIOFIRE DIAGNOSTICS INC	UOU		-699	
	93	10052282 REGENSTRIEF INSTITUTE, INC	UOU		-16	
	93	N0NE 10038017 ECOG-ACRIN CANCER RESEARCH GROUP	UOU		146	
	93	NRN: 0216392 RTI INTERNATIONAL	UOU		791	
	93	1503014 RECURSION PHARMACEUTICALS LLC	UOU		820	
	93	NONE 10042955 LAM FOUNDATION	UOU		1,041	
	93	ROCH$ANHL1131 CHILDRENS HOSPITAL PHILADELPHIA	UOU		1,600	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	93	19-84105-09 (17-074) UNIVERSITY OF TEXAS MEDICAL BRANCH	UOU		1,773	
	93	N0NE 10040309 MAYO CLINIC ROCHESTER	UOU		2,523	
	93	10045509 INTERMOUNTAIN HEALTHCARE	UOU		2,808	
	93	UGICA189955 CHILDRENS HOSPITAL PHILADELPHIA	UOU		2,961	
	93	N0NE 10016682 CHILDRENS HOSPITAL PHILADELPHIA	UOU		4,584	
	93	N0NE 10035406 ALL CHILDREN'S RESEARCH INSTITUTE	UOU		4,881	
	93	3002875927 UNIVERSITY OF MICHIGAN	UOU		5,649	
	93	1802 NATIONAL MARROW DONOR PROGRAM	UOU		7,844	
	93	RC103694UT MICHIGAN STATE UNIVERSITY	UOU		9,823	
	93	UOU-195385 MAYO CLINIC ROCHESTER	UOU		12,589	
	93	N0NE 10035080 NEW ENGLAND RESEARCH INSTITUTES	UOU		13,096	
	93	NONE 10042415 CINCINNATI CHILDRENS HOSPITAL MED CTR	UOU		15,464	
	93	6426-509 WESTAT	UOU		16,099	
	93	PO 65497845 / MCR-0131-CPN MAYO CLINIC ROCHESTER	UOU		17,211	
	93	1U10NS077305-01 MASSACHUSETTS GENERAL HOSPITAL	UOU		22,141	
	93	HHSN268201700021C TECHNOLOGY HOLDINGS LLC	UOU		24,774	
	93	N0NE 10037982 JAEB CENTER FOR HEALTH RESEARCH	UOU		24,912	3,108
	93	FY18.903.001 UNIVERSITY OF COLORADO AT DENVER	UOU		27,848	

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RESEARCH AND DEVELOPMENT PROGRAMS						
	93	A032446 SPS#226749 DUKE UNIVERSITY	UOU		37,683	
	93	1U01HL131003-3 CINCINNATI CHILDRENS HOSPITAL MED CTR	UOU		37,739	
	93	N0NE 10033707 OREGON HEALTH & SCIENCE UNIVERSITY	UOU		45,013	
	93	UU442019 HEALTH RHYTHMS	UOU		47,332	
	93	VARIOUS	USU	COVID-19	60,806	
	93	A003630302 UNIVERSITY OF MINNESOTA	UOU		68,906	
	93	SUB#950008021512C CHILDRENS HOSPITAL PHILADELPHIA	UOU		119,415	
	93	000513373-SP004-001 UNIVERSITY OF ALABAMA AT BIRMINGHAM	UOU		690,920	
	93	VARIOUS	USU		3,651,000	664,210
	93	VARIOUS	UOU		8,033,424	579,929
	93.077	00005077 MD ANDERSON CANCER CENTER	UOU		154	
	93.113	WFUHS 552908 WAKE FOREST UNIVERSITY	UOU		34	
	93.113	10054189 NEW ENGLAND RESEARCH INSTITUTES	UOU		320	
	93.113	UFDSP00011534 UNIVERSITY OF FLORIDA	UOU		66,608	
	93.113	VARIOUS	UOU		717,282	
	93.121	10033827 GLYCOMIRA LLC	UOU		9,284	
	93.121	ASUB00000129 ARIZONA STATE UNIVERSITY	UOU		13,105	
	93.121	VARIOUS	UOU		3,207,622	391,039
	93.142	0011233A and 0011234A UNIVERSITY OF TEXAS AT HOUSTON	UOU		330,332	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	93.143	400763-5802 COLORADO SCHOOL OF MINES	UOU		109,133	
	93.172	1R43HG009868-01 FRAMESHIFT LABS LLC	UOU		-1,237	
	93.172	OOS030229-UTAH-84068 KAISER FOUNDATION RESEARCH INSTITUTE	UOU		17,545	
	93.172	1R41HG009629-01 FRAMESHIFT LABS LLC	UOU		24,177	
	93.172	20000.044.02-06 HUDSONALPHA INSTITUTE OF BIOTECHNOLOGY	UOU		60,226	
	93.172	2R44HG009096-02 FRAMESHIFT LABS LLC	UOU		85,551	
	93.172	CNVA00055110(129583-1) UNIVERSITY OF PITTSBURGH	UOU		93,262	
	93.172	5114653 (5111267) UNIV OF NORTH CAROLINA AT CHAPEL HILL	UOU		98,941	
	93.172	5001020-5500001047 BROAD INSTITUTE	UOU		350,266	
	93.172	VARIOUS	UOU		4,583,017	13,199
	93.173	R1172292 RESEARCH FOUNDATION OF SUNY	UOU		25,378	
	93.173	10052265 BLACKROCK MICROSYSTEMS	UOU		27,689	
	93.173	A20-0069-S001 MEDICAL UNIVERSTIY OF SOUTH CAROLINA	UOU		44,288	
	93.173	1008367_UUT OREGON HEALTH & SCIENCE UNIVERSITY	UOU		49,742	
	93.173	GMO 17510 UNIV OF TEXAS SOUTHWESTERN MEDICAL CTR	UOU		52,855	
	93.173	VARIOUS	USU		112,720	44,346
	93.173	18-0526 BRIGHAM YOUNG UNIVERSITY	UOU		117,868	
	93.173	UMS-1163 UNIVERSITY OF MAINE AT FORT KENT	UOU		132,199	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	93.173	18-0523 BRIGHAM YOUNG UNIVERSITY	UOU		179,431	
	93.173	110445A 2058 SR00005457 UNIVERSITY OF MARYLAND	UOU		302,733	
	93.173	VARIOUS	UOU		4,660,817	387,532
	93.213	570169 UNIVERSITY OF PENNSYLVANIA	UOU		7,236	
	93.213	GR107787(CON-800002024) YALE UNIVERSITY	UOU		11,872	
	93.213	11-19630-99-01-G2 MOFFITT CANCER CENTER	UOU		16,255	
	93.213	17-A0-00-008501 PO# M200274468 NYU LANGONE MEDICAL CENTER	UOU		16,311	
	93.213	0255-C501-4609 ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	UOU		18,805	
	93.213	2004336294 JOHNS HOPKINS UNIVERSITY	UOU		18,843	
	93.213	1279 (0752) RUTGERS UNIVERSITY	UOU		36,096	
	93.213	VARIOUS	UOU		1,242,931	367,454
	93.233	VARIOUS	UOU		387,449	69,223
	93.233	VARIOUS	USU		692,393	543,593
	93.242	3004544101 UNIVERSITY OF MICHIGAN	UOU		-14,928	
	93.242	3004134601 UNIVERSITY OF MICHIGAN	UOU		-521	
	93.242	496K952 UNIVERSITY OF WISCONSIN-MADISON	UOU		2,486	
	93.242	UWSC8570 UNIVERSITY OF WASHINGTON	UOU		4,159	
	93.242	2017-0154 PSYCTECH LTD	UOU		7,933	
	93.242	17717 UNIVERSITY OF ILLINOIS AT CHICAGO	UOU		19,978	

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RESEARCH AND DEVELOPMENT PROGRAMS						
	93.242	18-M23 GEORGE WASHINGTON UNIVERSITY	UOU		21,137	
	93.242	18-M32 GEORGE WASHINGTON UNIVERSITY	UOU		35,239	
	93.242	5111756 UNIV OF NORTH CAROLINA AT CHAPEL HILL	UOU		38,457	
	93.242	2004512570 JOHNS HOPKINS UNIVERSITY	UOU		54,941	
	93.242	UU442018 HEALTH RHYTHMS	UOU		60,086	
	93.242	3004653606 UNIVERSITY OF MICHIGAN	UOU		75,852	
	93.242	5115883 UNIV OF NORTH CAROLINA AT CHAPEL HILL	UOU		76,656	
	93.242	3003298612 3005638697 UNIVERSITY OF MICHIGAN	UOU		113,486	
	93.242	690K922 UNIVERSITY OF WISCONSIN-MADISON	UOU		548,007	
	93.242	VARIOUS	UOU		4,791,632	298,823
	93.273	7017137290-1 RHODE ISLAND HOSPITAL	UOU		14,100	
	93.273	16345 UNIVERSITY OF ILLINOIS AT CHICAGO	UOU		29,390	
	93.273	S-001070 UNIVERSITY OF CALIFORNIA RIVERSIDE	UOU		36,515	
	93.273	A00-3115-S001 (MUSC18-025-8C712) MEDICAL UNIVERSTIY OF SOUTH CAROLINA	UOU		38,057	
	93.273	UWSC9335 UNIVERSITY OF WASHINGTON	UOU		99,617	
	93.273	VARIOUS	USU		1,014,172	798,278
	93.273	VARIOUS	UOU		1,156,231	6,227
	93.279	15116-1 HENNEPIN HEALTHCARE RESEARCH INSTITUTE	UOU		-14,203	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	93.279	009942-025 UNIVERSITY OF CINCINNATI	UOU		-9,787	
	93.279	140401 BRIGHAM YOUNG UNIVERSITY	UOU		-3,885	
	93.279	FY19.778.003 UNIVERSITY OF COLORADO AT DENVER	UOU		885	
	93.279	10158SC University of California at San Francisco	USU		2,982	
	93.279	SC37730-1 (P0160021) COLORADO SEMINARY	UOU		3,982	
	93.279	00057127(130219-3) UNIVERSITY OF PITTSBURGH	UOU		7,110	
	93.279	PO 65661L RTI INTERNATIONAL	UOU		8,511	
	93.279	A19-0019-S001 MEDICAL UNIVERSTIY OF SOUTH CAROLINA	UOU		15,647	
	93.279	0000000204 UNIVERSITY OF WISCONSIN-MADISON	UOU		18,637	
	93.279	9920180084 RAND CORPORATION	UOU		21,088	
	93.279	115327068 MP INVOICE# S9002304 UNIVERSITY OF CALIFORNIA SAN DIEGO	UOU		28,839	
	93.279	AWD00000068(132578-6) UNIVERSITY OF PITTSBURGH	UOU		29,519	
	93.279	VARIOUS	USU		63,879	
	93.279	401655 THE MCLEAN HOSPITAL CORPORATION	UOU		87,800	
	93.279	32661 UTAH BARROW NEUROLOGICAL INSTITUTE	UOU		116,706	
	93.279	VARIOUS	UOU		7,850,555	672,634
	93.286	5-40513 UNIVERSITY OF MEMPHIS	UOU		12,183	
	93.286	URK87 FLORIDA ATLANTIC UNIVERSITY	UOU		17,276	

Federal Agency and Major Subdivision CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS					
93.286	11171SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	UOU		33,095	
93.286	122367359 UNIVERSITY OF SOUTHERN CALIFORNIA	UOU		75,642	
93.286	VARIOUS	UOU		2,830,891	166,814
93.307	UTA17-0001145 UNIVERSITY OF TEXAS AT AUSTIN	UOU		-392	
93.307	11-19002-99-01-G2 MOFFITT CANCER CENTER	UOU		10,779	
93.307	18-A1-00-007896 PROJ#110387 NEW YORK UNIVERSITY	UOU		30,420	
93.307	VARIOUS	UOU		1,058,349	455,463
93.310	000519041-007 UNIVERSITY OF ALABAMA AT BIRMINGHAM	UOU		-17,054	
93.310	94745352 UNIVERSITY OF SOUTHERN CALIFORNIA	UOU		-1,464	
93.310	10053351 NEW ENGLAND RESEARCH INSTITUTES	UOU		297	
93.310	226141289C UNIVERSITY OF TEXAS AT EL PASO	UOU		7,225	
93.310	10052860 NEW ENGLAND RESEARCH INSTITUTES	UOU		8,218	
93.310	5U2COD023375 DUKE UNIVERSITY	UOU		16,253	
93.310	5101964-1 BOSTON COLLEGE	UOU		32,351	32,296
93.310	VARIOUS	UOU		4,515,919	184,060
93.350	000518169-006 UNIVERSITY OF ALABAMA AT BIRMINGHAM	UOU		2,371	
93.350	MUSC19-013-8D533 (MUSC17-020-8C706) MEDICAL UNIVERSTIY OF SOUTH CAROLINA	UOU		9,539	
93.350	VUMC 59240 VANDERBILT UNIVERSITY	UOU		26,445	

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RESEARCH AND DEVELOPMENT PROGRAMS						
	93.350	AWD00000243 UNIVERSITY OF PITTSBURGH	UOU		38,125	
	93.350	2037876 DUKE CLINICAL RESEARCH INSTITUTE	UOU		41,311	
	93.350	203-7853 DUKE CLINICAL RESEARCH INSTITUTE	UOU		49,911	
	93.350	60044737UU NORTHWESTERN UNIVERSITY	UOU		88,375	
	93.350	VARIOUS	UOU		10,483,225	374,635
	93.351	SBIR-II-UU-SUB-02 3HELIX INC	UOU		10,563	
	93.351	VARIOUS	UOU		2,223,252	18,271
	93.353	1178701 2019-0372 DANA FARBER CANCER INSTITUTE	UOU		1,928	
	93.353	VARIOUS	UOU		4,605,413	2,313,022
	93.361	FY19.961.001 UNIVERSITY OF COLORADO AT DENVER	UOU		-90	
	93.361	EP2952370 PR8503090-V2 VIRGINIA COMMONWEALTH UNIVERSITY	UOU		4,580	
	93.361	UA15-060 UNIVERSITY OF ALABAMA	UOU		19,162	
	93.361	2017-3499 UNIVERSITY OF CALIFORNIA IRVINE	UOU		20,543	
	93.361	A032608 DUKE UNIVERSITY	UOU		51,366	
	93.361	A031183 (2035600) DUKE UNIVERSITY	UOU		72,082	
	93.361	VARIOUS	UOU		1,988,813	291,107
	93.368	VARIOUS	UOU		1,226,380	
	93.393	RS20152192-06 UNIVERSITY OF OKLAHOMA	UOU		-4,360	
	93.393	0000813360 FRED HUTCHINSON CANCER RESEARCH CENTER	UOU		-479	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	93.393	10052126 WESTAT	UOU		2,289	
	93.393	BD523231 MEMORIAL SLOAN-KETTERING CANCER CTR	UOU		4,835	
	93.393	10-20216-99-01-G2 MOFFITT CANCER CENTER	UOU		9,300	
	93.393	RS20160790-05 UNIVERSITY OF OKLAHOMA	UOU		12,950	
	93.393	GB10524 UNIVERSITY OF VIRGINIA	UOU		13,415	
	93.393	D007018701 University of Minnesota	USU		18,755	
	93.393	11000720-012 (4102-81790) PURDUE UNIVERSITY	UOU		19,326	
	93.393	VUMC73753 VANDERBILT UNIVERSITY MEDICAL CENTER	UOU		20,111	
	93.393	SP00013766-01 GEORGIA STATE UNIVERSITY	UOU		20,903	
	93.393	SUB2158 MD ANDERSON CANCER CENTER	UOU		35,341	
	93.393	2(GG012814-02) [S(GG012814-01)] COLUMBIA UNIVERSITY	UOU		36,955	
	93.393	N006612801 UNIVERSITY OF MINNESOTA	UOU		47,701	
	93.393	SUB#835528 FRED HUTCHINSON CANCER RESEARCH CENTER	UOU		86,309	
	93.393	1557 G 2A332 PTE-441356 RJ 29590 UNIVERSITY OF CALIFORNIA LOS ANGELES	UOU		95,104	
	93.393	3(GG013725-01) / SAPO: G12765 COLUMBIA UNIVERSITY	UOU		156,163	
	93.393	92275293 S9001779 UNIVERSITY OF CALIFORNIA SAN DIEGO	UOU		190,479	49,718
	93.393	VARIOUS	UOU		7,279,012	2,268,980
	93.394	7000000941 (7R01CA211892) BAYLOR COLLEGE OF MEDICINE	UOU		25,219	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	93.394	2016-45 UNIVERSITY OF OKLAHOMA	UOU		78,047	
	93.394	00004322 MD ANDERSON CANCER CENTER	UOU		125,227	
	93.394	VARIOUS	UOU		2,422,840	322,374
	93.395	U10CA18082002 ECOG-ACRIN MEDICAL RESEARCH FDTN	UOU		-1,214	
	93.395	5R01CA184798-05 MEDICAL COLLEGE OF WISCONSIN	UOU		1,595	
	93.395	CA29605 JOHN WYNE CNCR INST@ST JHN'S HLTH CR CTR	UOU		1,978	
	93.395	09560003-323342 VETERANS MEDICAL RESEARCH FOUNDATION	UOU		14,829	
	93.395	1013080_UUTAH OREGON HEALTH & SCIENCE UNIVERSITY	UOU		24,491	
	93.395	53261.2003487.669304 BECKMAN RESH INST OF CITY OF HOPE	UOU		28,313	
	93.395	PO 20104920-RSUB CHILDRENS HOSPITAL PHILADELPHIA	UOU		29,082	
	93.395	10045310 CHILDRENS HOSPITAL PHILADELPHIA	UOU		30,000	
	93.395	10052250 PEEL THERAPEUTICS	UOU		73,601	
	93.395	10054509 CHILDRENS HOSPITAL PHILADELPHIA	UOU		76,407	
	93.395	R01 CA221197 PO# 7000000513 BAYLOR COLLEGE OF MEDICINE	UOU		82,613	
	93.395	1013080_UTAH_GROSSMAN OREGON HEALTH & SCIENCE UNIVERSITY	UOU		83,410	
	93.395	10044354 THERA TARGET INC	UOU		86,166	
	93.395	745001 PROTEOGENOMICS RESH INST FOR SYS MED	UOU		97,986	

State of Utah
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2020

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	93.395	UTT-194321 PO#66186213 MAYO CLINIC ROCHESTER	UOU		129,141	
	93.395	00062660 BIOMIMETIX JV LLC	UOU		177,446	
	93.395	SUBK00008225 UNIVERSITY OF MICHIGAN	UOU		293,840	
	93.395	WU-19-77 / WU-20-165 WASHINGTON UNIVERSITY IN ST LOUIS	UOU		356,069	
	93.395	VARIOUS	UOU		3,567,832	610,760
	93.396	152454.5097127.0103 HARVARD UNIVERSITY	UOU		-352	
	93.396	BD525426 MEMORIAL SLOAN-KETTERING CANCER CTR	UOU		21,179	
	93.396	PO#3001052861 SUB00003640 MD ANDERSON CANCER CENTER	UOU		75,153	
	93.396	576726 UNIVERSITY OF PENNSYLVANIA	UOU		151,378	
	93.396	VARIOUS	UOU		4,973,598	181,275
	93.397	10047645 CITY OF HOPE NATIONAL MEDICAL CENTER	UOU		302,547	
	93.397	ASUB00000013 ARIZONA STATE UNIVERSITY	UOU		328,338	
	93.397	VARIOUS	UOU		3,753,292	30,000
	93.398	VARIOUS	UOU		2,429,500	
	93.399	VARIOUS	UOU		951,235	374,006
	93.837	CIRT BRIGHAM & WOMENS HOSPITAL	UOU		-61,819	
	93.837	3004153447 UNIVERSITY OF MICHIGAN	UOU		-19,560	
	93.837	KEY1795-02 NORTHERN CALIFORNIA INST RESH & EDUC	UOU		-463	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	93.837	10053462 NEW ENGLAND RESEARCH INSTITUTES	UOU		1,241	
	93.837	SITE #LF700 DUKE UNIVERSITY	UOU		1,532	
	93.837	60060457-60041988 OHIO STATE UNIVERSITY RESEARCH FNDTN	UOU		1,752	
	93.837	U01HL130204 BRIGHAM & WOMENS HOSPITAL	UOU		2,808	
	93.837	R01HL125918 YALE UNIVERSITY	UOU		3,833	
	93.837	U10HL068270 NEW ENGLAND RESEARCH INSTITUTES	UOU		4,565	
	93.837	WSU14109 WAYNE STATE UNIVERSITY	UOU		6,078	
	93.837	10052584 NEW ENGLAND RESEARCH INSTITUTES	UOU		6,312	
	93.837	60064759 OHIO STATE UNIVERSITY RESEARCH FNDTN	UOU		6,786	
	93.837	100035 JOSLIN DIABETES CENTER	UOU		7,157	
	93.837	N006187431 (N006187407) UNIVERSITY OF MINNESOTA	UOU		7,268	
	93.837	696038UOU01 GEISINGER HEALTH SYSTEM	UOU		8,293	
	93.837	10052093 NEW ENGLAND RESEARCH INSTITUTES	UOU		10,625	
	93.837	VARIOUS Navigen Pharmaceuticals, Inc.	USU		11,418	
	93.837	138281 CINCINNATI CHILDRENS HOSPITAL MED CTR	UOU		11,960	
	93.837	U24HL135691 NEW ENGLAND RESEARCH INC	UOU		12,053	5,460
	93.837	U2HL135691 NEW ENGLAND RESEARCH INC	UOU		14,862	
	93.837	0056306 (130264-1) UNIVERSITY OF PITTSBURGH	UOU		16,906	

Federal Agency and Major Subdivision CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS					
93.837	U24HL140168 GEORGE WASHINGTON UNIVERSITY	UOU		17,302	
93.837	11580SUB SEATTLE CHILDREN'S HOSPITAL	UOU		17,434	
93.837	2020 CVDC 138275 CHAIR CINCINNATI CHILDRENS HOSPITAL MED CTR	UOU		21,157	
93.837	0214047 RTI INTERNATIONAL	UOU		21,287	20,170
93.837	5U10HL125511 YALE UNIVERSITY SCHOOL OF MEDICINE	UOU		21,461	
93.837	TEAM 4 GROWTH NEW ENGLAND RESEARCH INSTITUTES	UOU		22,971	
93.837	431976-19122 VIRGINIA POLYTECHNIC INSTITUTE & ST UNIV	UOU		26,347	
93.837	60073460 OHIO STATE UNIVERSITY RESEARCH FNDTN	UOU		28,988	
93.837	60043010 UTAH NORTHWESTERN UNIVERSITY	UOU		30,513	
93.837	GR106666 (CON-80001811) YALE UNIVERSITY SCHOOL OF MEDICINE	UOU		31,952	
93.837	3004342614 UNIVERSITY OF MICHIGAN	UOU		44,115	
93.837	228707 MASSACHUSETTS GENERAL HOSPITAL	UOU		45,451	
93.837	13-312-0214047-52919 L RTI INTERNATIONAL	UOU		45,891	
93.837	0138281 PCGC-PROTOCOL CINCINNATI CHILDRENS HOSPITAL MED CTR	UOU		49,448	
93.837	N005339721 UNIVERSITY OF MINNESOTA	UOU		49,770	
93.837	20112501A NATIONAL JEWISH HEALTH	UOU		52,181	
93.837	10045841 NEW ENGLAND RESEARCH INSTITUTES	UOU		57,756	
93.837	NIH U24HL135691 NEW ENGLAND RESEARCH INC	UOU		64,707	8,351

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	93.837	G13744 6(GG012850-1) COLUMBIA UNIVERSITY	UOU		66,380	
	93.837	CNVA00056243 (130146-1) P00424130 UNIVERSITY OF PITTSBURGH	UOU		74,165	
	93.837	20162680-03 UNIVERSITY OF CALIFORNIA DAVIS	UOU		80,152	
	93.837	PO #20094367-RSUB CHILDRENS HOSPITAL PHILADELPHIA	UOU		84,852	
	93.837	000515877-001 UNIVERSITY OF ALABAMA	UOU		113,046	
	93.837	511765 (5111704) UNIV OF NORTH CAROLINA AT CHAPEL HILL	UOU		114,310	
	93.837	000503570-SC009 UNIVERSITY OF ALABAMA	UOU		118,649	10,567
	93.837	GENFD0001319815 BOSTON CHILDREN'S HOSPITAL	UOU		140,433	
	93.837	1(GG011659) COLUMBIA UNIVERSITY	UOU		144,068	
	93.837	3200170321P0962194RSUB CHILDRENS HOSPITAL PHILADELPHIA	UOU		149,183	
	93.837	10051191 MICROSURGICAL INNOVATIONS	UOU		154,524	
	93.837	10044713 NEW ENGLAND RESEARCH INSTITUTES	UOU		174,852	
	93.837	138275 CVDC-DATA HUB CINCINNATI CHILDRENS HOSPITAL MED CTR	UOU		193,025	
	93.837	R912 DARTMOUTH COLLEGE	UOU		194,925	
	93.837	1079SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	UOU		213,186	
	93.837	VARIOUS	UOU		12,248,936	254,303
	93.838	10041714 NANOSYNTH MATERIALS AND SENSORS INC	UOU		-31	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	93.838	ROUTE KEY 3201160619 CHILDRENS HOSPITAL PHILADELPHIA	UOU		2	
	93.838	3201160619 CHILDRENS HOSPITAL PHILADELPHIA	UOU		2	
	93.838	5R01HL 132358-03 PO#0108688 INDIANA UNIVERSITY	UOU		191	
	93.838	9012549 (128373-22) UNIVERSITY OF PITTSBURGH	UOU		1,000	
	93.838	002 COPD FOUNDATION	UOU		1,000	
	93.838	003(5u01hl128954-03) COPD FOUNDATION	UOU		1,743	
	93.838	001-AMEND 1 COPD FOUNDATION	UOU		1,880	
	93.838	003 COPD FOUNDATION	UOU		2,000	
	93.838	N0NE 10039228 INTERMOUNTAIN HEALTHCARE	UOU		27,874	
	93.838	2-AR-20 (1-AF-20) GEORGE WASHINGTON UNIVERSITY	UOU		42,604	
	93.838	5(GG015835-01) COLUMBIA UNIVERSITY	UOU		44,198	
	93.838	SUB10033029 INTERMOUNTAIN HEALTHCARE	UOU		56,786	
	93.838	11317SUB SEATTLE CHILDREN'S RESEARCH INSTITUTE	UOU		57,390	
	93.838	5U01HL128954 UNIVERSITY OF PITTSBURGH	UOU		62,298	
	93.838	474337 UNIVERSITY OF ARIZONA	UOU		67,509	
	93.838	MFMU-ALPS FOLLOWUP GEORGE WASHINGTON UNIVERSITY	UOU		101,246	
	93.838	5111456 UNIV OF NORTH CAROLINA AT CHAPEL HILL	UOU		111,301	
	93.838	UWSC10605 BPO33124 UNIVERSITY OF WASHINGTON	UOU		112,276	
	93.838	VUMC73919 VANDERBILT UNIVERSITY	UOU		118,226	

Federal Agency and Major Subdivision / CFDA	Award/Contract / Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS					
93.838	FP00003678-SA004 VIRGINIA COMMONWEALTH UNIVERSITY	UOU		144,340	
93.838	10461SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	UOU		474,453	
93.838	VARIOUS	UOU		1,420,746	54,527
93.839	WU-17-100 WASHINGTON UNIVERSITY IN ST LOUIS	UOU		-15,145	
93.839	PT105889-SC102422 VIRGINIA COMMONWEALTH UNIVERSITY	UOU		-5,595	
93.839	U10HL069294 CHILDRENS HOSPITAL PHILADELPHIA	UOU		162	
93.839	0801 NATIONAL MARROW DONOR PROGRAM	UOU		10,626	
93.839	1175-SUB CLEVELAND CLINIC FOUNDATION	UOU		12,699	
93.839	WU-20-376 WASHINGTON UNIVERSITY IN ST LOUIS	UOU		32,649	
93.839	1U01HL143477-01 MEDICAL COLLEGE OF WISCONSIN	UOU		46,257	
93.839	S00006 UNIVERSITY OF IOWA	UOU		323,159	
93.839	VARIOUS	UOU		2,497,881	330,544
93.846	RG760-G1 GEORGIA INSTITUTE OF TECHNOLOGY	UOU		-21,342	
93.846	572644 CUTIS UNIVERSITY OF PENNSYLVANIA	UOU		162	
93.846	572644-ARAMIS UNIVERSITY OF PENNSYLVANIA	UOU		162	
93.846	578142 UNIVERSITY OF PENNSYLVANIA	UOU		642	
93.846	560224 UNIVERSITY OF PENNSYLVANIA	UOU		1,025	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	93.846	001 HOSPITAL FOR SPECIAL SURGERY	UOU		4,077	
	93.846	A030247 DUKE UNIVERSITY	UOU		14,720	
	93.846	572644 UNIVERSITY OF PENNSYLVANIA	UOU		28,888	
	93.846	572080 UNIVERSITY OF PENNSYLVANIA	UOU		58,005	
	93.846	217180C UNIVERSITY OF OREGON	UOU		114,532	
	93.846	VARIOUS	UOU		2,325,904	5,430
	93.847	20143114 UNIVERSITY OF CALIFORNIA IRVINE	UOU		-3,592	
	93.847	10047199 NAVIGEN	UOU		-1,146	
	93.847	224717 MASSACHUSETTS GENERAL HOSPITAL	UOU		-910	
	93.847	UWSC8920 UNIVERSITY OF WASHINGTON	UOU		728	
	93.847	32307-1 AUGUSTA UNIVERSITY	UOU		1,033	
	93.847	FP068366-01 J UNIVERSITY OF CHICAGO	UOU		2,271	
	93.847	025538374609 ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	UOU		3,278	
	93.847	UWSC9604-BPO20743 UNIVERSITY OF WASHINGTON	UOU		5,729	
	93.847	701-7137304-1 RHODE ISLAND HOSPITAL	UOU		5,734	
	93.847	NONE 10047398 ARBOR RESEARCH COLLABORATIVE FOR HEALTH	UOU		6,789	
	93.847	S00546 (W000937002) UNIVERSITY OF IOWA	UOU		9,290	
	93.847	S00567-01 UNIVERSITY OF IOWA	UOU		9,992	

Federal Agency and Major Subdivision	CFDA	Award/Contract / Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	93.847	FY19.344.001/PRO-25-A7271 UNIVERSITY OF COLORADO AT DENVER	UOU		13,417	
	93.847	2004017126 JOHNS HOPKINS HOSPITAL	UOU		16,539	
	93.847	3004918540 UNIVERSITY OF MICHIGAN	UOU		17,982	
	93.847	2003108669 JOHNS HOPKINS UNIVERSITY	UOU		19,546	
	93.847	10043586 STREAMDX INC	UOU		23,677	
	93.847	CNVA00060589 (131753-3) UNIVERSITY OF PITTSBURGH	UOU		26,375	
	93.847	3001370490 MD ANDERSON CANCER CENTER	UOU		27,544	
	93.847	SPC-001310 UNIVERSITY OF MIAMI	UOU		28,134	
	93.847	701K816 UNIVERSITY OF WISCONSIN-MADISON	UOU		46,385	
	93.847	GB10510 UNIVERSITY OF VIRGINIA	UOU		53,224	
	93.847	10051344 POTRERO HILL THERAPEUTICS	UOU		58,781	
	93.847	203-7932 DUKE CLINICAL RESEARCH INSTITUTE	UOU		58,802	
	93.847	10053353 STREAMDX INC	UOU		72,971	
	93.847	0255-B222-4609 ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	UOU		107,111	
	93.847	150801 GMO 150801 PO#000000659E UNIV OF TEXAS SOUTHWESTERN MEDICAL CTR	UOU		130,177	
	93.847	WU13237PO2917459W WASHINGTON UNIVERSITY IN ST LOUIS	UOU		144,989	
	93.847	VARIOUS	UOU		10,715,074	648,363
	93.853	114928 BRIGHAM & WOMENS HOSPITAL	UOU		-1,697	

Federal Agency and Major Subdivision / CFDA	Award/Contract / Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS					
93.853	G012275.157195 UNIVERSITY OF VIRGINIA	UOU		-1,249	
93.853	9957SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	UOU		-378	
93.853	51953335 UNIVERSITY OF CALIFORNIA SAN DIEGO	UOU		-42	
93.853	8444SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	UOU		-3	
93.853	SUBK10410CSPR-002 (CSPR#002) UNIVERSITY OF MICHIGAN	UOU		945	
93.853	17-009595 A00 University of Massachusetts	USU		1,468	
93.853	GC12107146770 UNIVERSITY OF VIRGINIA	UOU		1,731	
93.853	11625SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	UOU		2,113	
93.853	679K615 - 137943 - 17-8525 UNIVERSITY OF WISCONSIN-MADISON	UOU		2,831	
93.853	012340-135733 UNIVERSITY OF CINCINNATI	UOU		2,934	
93.853	417096/URFAO:GR510660 UNIVERSITY OF ROCHESTER	UOU		3,963	
93.853	010785-135733 UNIVERSITY OF CINCINNATI	UOU		5,953	
93.853	012043-135733 UNIVERSITY OF CINCINNATI	UOU		6,648	
93.853	UTA-232483 MAYO CLINIC JACKSONVILLE	UOU		8,143	
93.853	3202030620 CHILDRENS HOSPITAL PHILADELPHIA	UOU		8,834	
93.853	012044-135733 (INSTITUTE 22(R22) UNIVERSITY OF CINCINNATI	UOU		10,267	
93.853	FP066261-E UNIVERSITY OF CHICAGO	UOU		13,226	
93.853	752218 UNIVERSITY OF WASHINGTON	UOU		13,341	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	93.853	1006969_UTAH OREGON HEALTH & SCIENCE UNIVERSITY	UOU		16,087	
	93.853	192656-1 WEILL CORNELL MEDICINE	UOU		16,101	
	93.853	UOU-224063-01 MAYO CLINIC JACKSONVILLE	UOU		17,671	
	93.853	9748SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	UOU		18,266	
	93.853	011266-135733 UNIVERSITY OF CINCINNATI	UOU		19,696	
	93.853	R41NS100184 THERA TARGET INC	UOU		22,651	
	93.853	REF #228383 MASSACHUSETTS GENERAL HOSPITAL	UOU		23,465	
	93.853	GB10094 UNIVERSITY OF VIRGINIA	UOU		27,523	
	93.853	230537 MASSACHUSETTS GENERAL HOSPITAL	UOU		35,409	
	93.853	011337-135733 UNIVERSITY OF CINCINNATI	UOU		37,252	
	93.853	532506 UNIVERSITY OF ARIZONA	UOU		49,693	
	93.853	138078-5105159 HARVARD UNIVERSITY	UOU		52,141	
	93.853	121799 BRIGHAM & WOMENS HOSPITAL	UOU		56,659	
	93.853	970614 RESH INST AT NATIONWIDE CHLDRNS HOSP	UOU		63,688	
	93.853	417333/URFAO:GR 510789 UNIVERSITY OF ROCHESTER	UOU		76,536	
	93.853	30005472-02 ALL CHILDREN'S RESEARCH INSTITUTE	UOU		80,278	
	93.853	121807 BRIGHAM & WOMENS HOSPITAL	UOU		81,554	
	93.853	2020-1261 UNIVERSITY OF CALIFORNIA IRVINE	UOU		96,174	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	93.853	223171 MASSACHUSETTS GENERAL HOSPITAL	UOU		101,377	
	93.853	11829SUB SEATTLE CHILDREN'S HOSPITAL	UOU		119,676	
	93.853	1213-SUB CLEVELAND CLINIC FOUNDATION	UOU		135,023	
	93.853	3RDR7 UNIVERSITY OF NEW MEXICO	UOU		154,896	
	93.853	184028-04 170541-04 [15101628-04] WEILL CORNELL MEDICINE	UOU		181,918	
	93.853	FP00007840 VIRGINIA COMMONWEALTH UNIVERSITY	UOU		203,014	
	93.853	VARIOUS	USU		203,505	
	93.853	502063UU FEINSTEIN INSTITUTE FOR MEDICAL RESEARCH	UOU		210,212	
	93.853	2R44NS097047-02 NEUROENE THERAPEUTICS	UOU		226,120	
	93.853	121805 BRIGHAM & WOMENS HOSPITAL	UOU		235,493	
	93.853	A006795101 UNIVERSITY OF MINNESOTA	UOU		259,632	
	93.853	VARIOUS	UOU		14,827,869	964,839
	93.855	6863RADICALS IIA DUKE CLINICAL RESEARCH INSTITUTE	UOU		-31,208	
	93.855	9858SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	UOU		-5,511	
	93.855	PRO-00045657 DUKE UNIVERSITY	UOU		-2,813	
	93.855	9295SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	UOU		225	
	93.855	11861SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	UOU		270	

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RESEARCH AND DEVELOPMENT PROGRAMS					
93.855	5109738 University of North Carolina at Chapel Hill	USU		275	
93.855	10043348 NAVIGEN	UOU		1,299	
93.855	21F139-01 Texas Tech University	USU		8,845	
93.855	16-A0-00-007002-01 NEW YORK UNIVERSITY	UOU		14,632	
93.855	VUMC61446 VANDERBILT UNIVERSITY	UOU		17,567	
93.855	GM0150311 UNIV OF TEXAS SOUTHWESTERN MEDICAL CTR	UOU		20,371	
93.855	17-M32 GEORGE WASHINGTON UNIVERSITY	UOU		22,764	
93.855	21F162-01 Texas Tech University	USU		28,891	
93.855	2038233 PTE UM1AI104681 DUKE UNIVERSITY	UOU		48,455	
93.855	PG20-61120-01 University of Montana	USU		52,570	
93.855	10050996 BRIGHAM & WOMENS HOSPITAL	UOU		58,060	
93.855	R34AI136783-03 UNIVERSITY OF CALGARY	UOU		60,783	
93.855	2301GVE795 UNIVERSITY OF CALIFORNIA LOS ANGELES	UOU		68,460	
93.855	20083701 NATIONAL JEWISH HEALTH	UOU		77,061	
93.855	200441512 JOHNS HOPKINS UNIVERSITY	UOU		89,160	
93.855	SUBAGREEMENT NO. 100 Kineta, Inc.	USU		99,217	
93.855	31116A Albert Einstein College of Medicine	USU		102,826	
93.855	VARIOUS	USU		107,658	
93.855	10035912 BIOFIRE DIAGNOSTICS INC	UOU		109,729	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	93.855	A182273 (T813799) EMORY UNIVERSITY	UOU		119,417	
	93.855	202980UU UNIVERSITY OF NOTRE DAME	UOU		135,519	
	93.855	25281-08-324 THE WISTAR INSTITUTE	UOU		141,658	
	93.855	10049369 GLYCOMIRA LLC	UOU		145,821	
	93.855	5956012798UTAH SANFORD-BURNHAM MEDICAL RESEARCH INST	UOU		150,891	
	93.855	STTR18042019 Sab Capra, LLC	USU		251,906	
	93.855	VARIOUS	UOU		10,820,008	1,133,783
	93.859	WU1685P02928460A WASHINGTON UNIVERSITY IN ST LOUIS	UOU		-2,538	
	93.859	C15A12080(A10183) Yale University	USU		13,124	
	93.859	111479-5115797 HARVARD UNIVERSITY	UOU		19,125	
	93.859	AWD00001240(133730-1) UNIVERSITY OF PITTSBURGH	UOU		30,244	
	93.859	F300738-1 REF#2850 UNIVERSITY OF MARYLAND	UOU		30,925	
	93.859	VARIOUS	UVU		31,981	
	93.859	GB10602.PO #2141113 UNIVERSITY OF VIRGINIA	UOU		67,100	
	93.859	FCCC 15107-04 FOX CHASE CANCER CENTER	UOU		153,818	
	93.859	VARIOUS	USU		526,983	
	93.859	VARIOUS	UOU		26,129,370	3,670,142
	93.865	0254-3544-4609 ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	UOU		-3,305	
	93.865	3200720719 CHILDRENS HOSPITAL PHILADELPHIA	UOU		-1,036	
	93.865	M16A12517 (A10541) YALE UNIVERSITY	UOU		-88	

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RESEARCH AND DEVELOPMENT PROGRAMS					
93.865	1R44HD095355-01 NANONC INC	UOU		-53	
93.865	VUMC 53375 VANDERBILT UNIVERSITY MEDICAL CENTER	UOU		119	
93.865	10050207 RTI INTERNATIONAL	UOU		639	
93.865	FWA00003745 DUKE UNIVERSITY	UOU		654	
93.865	5107013 UNIV OF NORTH CAROLINA AT CHAPEL HILL	UOU		1,154	
93.865	10041405 RTI INTERNATIONAL	UOU		2,009	
93.865	11449SUB SEATTLE CHILDREN'S HOSPITAL	UOU		3,562	
93.865	GENFD0001754387 BOSTON CHILDREN'S HOSPITAL	UOU		7,057	
93.865	UTHHD089922DF PENNSYLVANIA STATE UNIVERSITY	UOU		8,796	
93.865	FY20.812.003 2-5-A9096 UNIVERSITY OF COLORADO AT DENVER	UOU		9,315	
93.865	HD 036790 / 0212456 RTI INTERNATIONAL	UOU		10,460	
93.865	11000764-046 Purdue University	USU		10,472	
93.865	1014948_UUT_A OREGON HEALTH & SCIENCE UNIVERSITY	UOU		11,431	
93.865	UTAHD-089922 PENNSYLVANIA STATE UNIVERSITY	UOU		13,075	
93.865	R012017UTAH SHARP HEALTHCARE FOUNDATION	UOU		17,331	
93.865	10050208 RTI INTERNATIONAL	UOU		17,422	
93.865	TULHSC4671314 TULANE UNIVERSITY	UOU		23,556	
93.865	GB 10584.PO #2150591 UNIVERSITY OF VIRGINIA	UOU		27,310	

Federal Agency and Major Subdivision / CFDA	Award/Contract / Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS					
93.865	SUBK00011597 UNIVERSITY OF MICHIGAN	UOU		32,427	
93.865	10042856 RTI INTERNATIONAL	UOU		35,162	
93.865	1423 MAGEE-WOMENS RESH INST & FDTN	UOU		40,868	
93.865	60047828 UTAH NORTHWESTERN UNIVERSITY	UOU		48,731	
93.865	10041397 RTI INTERNATIONAL	UOU		64,343	
93.865	305632 CINCINNATI CHILDRENS HOSPITAL MED CTR	UOU		64,721	
93.865	10049599 RTI INTERNATIONAL	UOU		66,719	
93.865	3004685885 UNIVERSITY OF MICHIGAN	UOU		67,896	
93.865	10053891 B&W TEK	UOU		68,207	
93.865	M-R012019 UTAH SHARP HEALTHCARE FOUNDATION	UOU		69,042	
93.865	700017-1118-00 RESH INST AT NATIONWIDE CHLDRNS HOSP	UOU		74,021	
93.865	VUMC63064 VANDERBILT UNIVERSITY MEDICAL CENTER	UOU		80,905	
93.865	10055192 NANONC INC	UOU		81,910	
93.865	1009131_UUT OREGON HEALTH & SCIENCE UNIVERSITY	UOU		85,359	
93.865	30004942-11 CHILDREN'S NATIONAL MEDICAL CENTER	UOU		89,556	
93.865	100508302 MP-INV-59001897 UNIVERSITY OF CALIFORNIA SAN DIEGO	UOU		137,976	
93.865	R01HD091302 MEDICAL COLLEGE OF WISCONSIN	UOU		139,869	
93.865	202531-658 UTAH STATE UNIVERSITY	UOU		160,961	

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RESEARCH AND DEVELOPMENT PROGRAMS						
	93.865	700171-0720-00 RESH INST AT NATIONWIDE CHLDRNS HOSP	UOU		174,981	
	93.865	10046105 RTI INTERNATIONAL	UOU		190,153	125,604
	93.865	789K740 UNIVERSITY OF WISCONSIN-MILWAUKEE	UOU		198,975	102,300
	93.865	1015587_UTAH OREGON HEALTH & SCIENCE UNIVERSITY	UOU		230,736	
	93.865	OSP2018139 UNIVERSITY OF MASSACHUSETTS	UOU		278,882	
	93.865	1007823_UTAH OREGON HEALTH & SCIENCE UNIVERSITY	UOU		303,501	
	93.865	60046347 UTAH NORTHWESTERN UNIVERSITY	UOU		308,487	
	93.865	VARIOUS	USU		754,388	305,752
	93.865	21050 GEORGE WASHINGTON UNIVERSITY	UOU		1,059,790	548,931
	93.865	VARIOUS	UOU		9,793,047	1,338,113
	93.866	SUB AWARD 38 ELIMU THERPEUTICS INC	UOU		-22,382	
	93.866	16091646 WEILL CORNELL MEDICINE	UOU		948	
	93.866	1310-SUB CLEVELAND CLINIC FOUNDATION	UOU		1,892	
	93.866	0253-1181-4609 ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	UOU		6,168	
	93.866	A03-3102 DUKE CLINICAL RESEARCH INSTITUTE	UOU		6,384	
	93.866	WFUHS 115478 WAKE FOREST UNIVERSITY	UOU		16,717	
	93.866	A03-1438 (203-5780) DUKE UNIVERSITY	UOU		25,900	
	93.866	UNI-234899 MAYO CLINIC ROCHESTER	UOU		47,480	

Federal Agency and Major Subdivision CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS					
93.866	93026191 UNIVERSITY OF SOUTHERN CALIFORNIA	UOU		52,338	
93.866	WU-20-329 WASHINGTON UNIVERSITY IN ST LOUIS	UOU		60,753	
93.866	OSP2018107/PO#WA00740129 UNIVERSITY OF MASSACHUSETTS	UOU		62,565	
93.866	F0452-02 NEW YORK UNIVERSITY	UOU		190,681	
93.866	VARIOUS	USU		237,605	
93.866	10052173 RECURSION PHARMACEUTICALS LLC	UOU		240,595	
93.866	16-0483 Brigham Young University	USU		287,364	
93.866	16-0484 BRIGHAM YOUNG UNIVERSITY	UOU		474,424	
93.866	VARIOUS	UOU		6,499,338	242,014
93.867	1011464-UUT OREGON HEALTH & SCIENCE UNIVERSITY	UOU		-18	
93.867	11323SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	UOU		1,374	
93.867	1288910 JAEB CENTER FOR HEALTH RESEARCH	UOU		1,918	
93.867	2004664672 JOHNS HOPKINS UNIVERSITY	UOU		6,603	
93.867	2004258457 JOHNS HOPKINS UNIVERSITY	UOU		6,827	
93.867	R01EY025718 MEDICAL COLLEGE OF WISCONSIN	UOU		17,706	
93.867	025577115609 ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	UOU		22,946	
93.867	A031507 (A030429) DUKE UNIVERSITY	UOU		34,114	
93.867	2002459427 JOHNS HOPKINS UNIVERSITY	UOU		39,967	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	93.867	100595816 UNIVERSITY OF SOUTHERN CALIFORNIA	UOU		73,873	
	93.867	500664-78050 NORTHEASTERN UNIVERSITY	UOU		76,975	
	93.867	VARIOUS	USU		82,092	
	93.867	1013001_UUT OREGON HEALTH & SCIENCE UNIVERSITY	UOU		90,974	
	93.867	VARIOUS	UOU		8,126,510	29,126
	93.879	2035841 DUKE UNIVERSITY	UOU		-180	
	93.879	65585-Z0149202 UNIVERSITY OF MARYLAND	UOU		109,409	
	93.879	VARIOUS	UOU		2,992,363	610,625
	93.989	VARIOUS	UOU		580,281	170,833
Subtotal Research and Development Programs– National Institutes of Health					233,404,862	23,092,153
Substance Abuse and Mental Health Services Administration						
	93.243	330 SAMHSA PROJECT; 200668 The Family Place	USU		59,971	
	93.243	NONE 10052176 THE ROAD HOME	UOU		63,709	
	93.243	VARIOUS	UOU		1,044,273	53,444
	93.788	VARIOUS	USU		520,147	26,792
Subtotal Research and Development Programs– Substance Abuse and Mental Health Services Administration					1,688,100	80,236
Subtotal Research and Development Programs– HEALTH AND HUMAN SERVICES, DEPARTMENT OF					259,199,722	27,076,811
INTERIOR, DEPARTMENT OF THE						
Bureau of Indian Affairs and Bureau of Indian Education						
	15.041	VARIOUS	USU		6,764	
Subtotal Research and Development Programs– Bureau of Indian Affairs and Bureau of Indian Education					6,764	
Bureau of Land Management						
	15	NONE 10056226 G2 ARCHAEOLOGY	UOU		29	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	15	VARIOUS	USU		177,971	
	15.224	VARIOUS	USU		17,536	
	15.224	VARIOUS	UOU		29,090	
	15.231	NPCD-005 Department of Wildlife	USU		20,698	
	15.231	VARIOUS	UOU		27,978	
	15.231	2503.16.055535 National Fish and Wildlife Foundation	USU		48,270	
	15.231	VARIOUS	USU		525,009	97,840
	15.232	G-45072-02 Colorado State University	USU		1,611	
	15.232	125073_G003498 WASHINGTON STATE UNIVERSITY	UOU		6,029	
	15.232	UNR-17-28/117GC000024 University of Nevada at Reno	USU		10,070	
	15.232	L0196B-B Oregon State University	USU		12,162	
	15.232	VARIOUS	UOU		62,596	27,351
	15.232	VARIOUS	USU		73,122	2,060
	15.233	VARIOUS	USU		18,727	7,188
	15.236	VARIOUS	USU		133,542	
	15.237	VARIOUS	USU		12,371	
	15.238	VARIOUS	USU		8	
	15.243	VARIOUS	USU		101,258	
	15.244	VARIOUS	USU		517,980	
	15.246	VARIOUS	USU		27,916	

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RESEARCH AND DEVELOPMENT PROGRAMS					
15.247	VARIOUS	USU		25,808	
Subtotal Research and Development Programs– Bureau of Land Management				1,849,781	134,439
Bureau of Reclamation					
15.508	01292018-5060 The Nature Conservancy	USU		-1,958	
15.511	VARIOUS	USU		67,893	
15.517	VARIOUS	USU		44,758	
15.529	VARIOUS	USU		579,090	
15.557	VARIOUS	USU		64,183	
15.560	VARIOUS	USU		105,699	
Subtotal Research and Development Programs– Bureau of Reclamation				859,665	
Fish and Wildlife Service					
15.608	VARIOUS	USU		24,866	
15.611	170000108 State of Alaska Department of Fish and Game	USU		-2,878	
15.611	002873 Wyoming Game and Fish Department	USU		955	
15.611	IDFG-FY18-243 State of Idaho Department of Fish and Game	USU		1,866	
15.611	002997 Wyoming Game and Fish Department	USU		8,800	
15.611	VARIOUS State of Idaho Department of Fish and Game	USU		65,287	
15.611	SG19-04 Department of Wildlife	USU		76,878	
15.611	19-146 State of Alaska Department of Fish and Game	USU		100,139	
15.611	USU-001 Department of Wildlife	USU		118,438	
15.611	F16AF00613 State of North Dakota	USU		136,626	12,000

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RESEARCH AND DEVELOPMENT PROGRAMS					
15.628	002811 Wyoming Game and Fish Department	USU		54,572	
15.631	VARIOUS	USU		5,234	
15.635	VARIOUS	USU		16,241	
15.657	VARIOUS	UVU		66,288	
15.678	G-50042-01 / F17AC00326 Colorado State University	USU		9,392	
Subtotal Research and Development Programs– Fish and Wildlife Service				682,704	12,000
National Park Service					
15.945	462688-19138 Virigina Tech Polytechnic Institute	USU		8,607	
15.945	PK131A-A/P17AC00106 Oregon State University	USU		9,146	
15.945	VARIOUS	UOU		17,308	
15.945	S17172 KANSAS STATE UNIVERSITY	UOU		35,127	15,855
15.945	VARIOUS	USU		507,211	
15.954	VARIOUS	DNR		11,008	
15.954	VARIOUS	USU		11,385	
Subtotal Research and Development Programs– National Park Service				599,792	15,855
U.S. Geological Survey					
15.805	VARIOUS	USU		119,821	7,092
15.807	VARIOUS	UOU		3,904	
15.807	118071441 (19233) SOUTHERN CALIFORNIA EARTHQUAKE CENTER	UOU		4,443	
15.807	VARIOUS	USU		17,439	
15.807	118071441 TASK19078 SOUTHERN CALIFORNIA EARTHQUAKE CENTER	UOU		32,028	

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RESEARCH AND DEVELOPMENT PROGRAMS					
15.808	VARIOUS	USU		173,059	
15.812	VARIOUS	USU		50,344	
15.815	AV18-UT-01 America View	USU		30,551	
15.815	AV18-UT-02 America View	USU		77,279	
15.820	563462 University of Arizona	USU		4,623	
15.820	563709 University of Arizona	USU		15,449	
15.820	476116/G18AC00320 University of Arizona	USU		51,603	
Subtotal Research and Development Programs– U.S. Geological Survey				580,543	7,092
Subtotal Research and Development Programs– INTERIOR, DEPARTMENT OF THE				4,579,249	169,386
JUSTICE, DEPARTMENT OF					
National Institute of Justice					
16.560	VARIOUS	UOU		175,292	
Subtotal Research and Development Programs– National Institute of Justice				175,292	
Violence Against Women Office					
16.556	2017-VF-GX-K141 UTAH LEGAL SERVICES	UOU		5,062	
Subtotal Research and Development Programs– Violence Against Women Office				5,062	
Subtotal Research and Development Programs– JUSTICE, DEPARTMENT OF				180,354	
MISCELLANEOUS					
99	P106069 BAE Systems Information Solutions, Inc.	USU		64	
99	Letter Contract P18-222207 Lockheed Martin Space Systems	USU		3,325	
99	4201577870 Raytheon Corp General	USU		8,399	
99	20JDM00016 / 19-C-0064 Ball Aerospace and Tech	USU		10,097	
99	10DS-0004-UT Misc Other	USU		13,950	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	99	19JDM00007 Ball Aerospace and Tech	USU		31,589	
	99	20JDM00018 Ball Aerospace and Tech	USU		42,335	
	99	4202095828 Raytheon Corp General	USU		74,133	
	99	4202160039 Raytheon Intelligence and Information Systems	USU		77,272	
	99	19SLM00034 / 18-C-0155 Ball Aerospace and Tech	USU		139,726	
	99	A000533041 L3HARRIS Technologies, Inc.	USU		147,407	
	99	Subcontract 10DS-0002-UT Misc Other	USU		197,847	
	99	No B640809 / DE-AC52-07NA27344 Lawrence Livermore National Laboratory	USU		601,593	
	99	Subcontract A000411652 Harris Corporation	USU		1,432,155	
	99	XXX61 Lockheed Martin Space Systems	USU		5,830,107	
	99	VARIOUS	USU		10,562,279	533,152
		Subtotal Research and Development Programs–			19,172,278	533,152
		Subtotal Research and Development Programs– MISCELLANEOUS			19,172,278	533,152
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION						
National Aeronautics and Space Administration						
	43	1546854 &1552770 NASA Jet Propulsion Laboratory	USU		-70,235	
	43	P3053601 and P3097333 Virginia Polytechnic University	USU		-23	
	43	880361/NNL14AQ00C Exelis, Inc.	USU		-13	
	43	P0121586 and P0121981 Northwest Nazarene University, Inc.	USU		-6	
	43	BB00749230 University of California at Berkeley	USU		-6	

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RESEARCH AND DEVELOPMENT PROGRAMS						
	43	T00616 FY16 (1601-TEAMS2-USU) / NNL12AA09C Analytical Mechanics Associates, Inc.	USU		27	
	43	BB00688501 University of California at Berkeley	USU		40	
	43	GATS_SC_180002 / Hampton 03-11/ Prime NASA5-03132 GATS	USU		87	
	43	BB00720109 University of California at Berkeley	USU		101	
	43	BB00703943 University of California at Berkeley	USU		105	
	43	BB00682577 University of California at Berkeley	USU		147	
	43	Subcontract 21101-14-023/NNL11AA00B Science Systems and Applications, Inc.	USU		154	
	43	PO BB00636725 University of California at Berkeley	USU		194	
	43	BB00673342 University of California at Berkeley	USU		195	
	43	PO-1080 Surrey Satellite Technology US, LLC	USU		453	
	43	4103762939 / Prime 80LARC17C0001 Lockheed Martin Space Systems	USU		463	
	43	HST-GO-14654.003-A Space Telescope Science Institute	UVU		1,667	
	43	HST-GO-14051.008-A Space Telescope Science Institute	UVU		2,148	
	43	22003-20-021 / 80GSFC20C0044 (HBG) Science Systems and Applications, Inc.	USU		2,152	
	43	1618674 NASA Jet Propulsion Laboratory	USU		2,319	
	43	HST-GO-14742.002-A SPACE TELESCOPE SCIENCE INSTITUTE	UOU		2,634	
	43	PO-04840 Blue Canyon Technologies, LLC	USU		3,181	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	43	BMSSA-01 BLUE MARBLE SPACE INSTITUTE OF SCIENCE	UOU		3,290	
	43	SUBK00011783 University of Michigan	USU		3,397	
	43	4600006502 Aerospace Corporation	DSU		3,489	
	43	170725 / Prime NNG15CR64C Applied Technology Associates Aerospace	USU		3,571	
	43	PO No. 1651602 / 80NMO0018D0004 NASA Jet Propulsion Laboratory	USU		3,804	
	43	HST-GO-14626.002-A Space Telescope Science Institute	UVU		4,487	
	43	PO 39356/NNG12FD67C The University of Arizona	USU		4,547	
	43	Subcontract 1585396 NASA Jet Propulsion Laboratory	USU		5,764	
	43	1627213 NASA Jet Propulsion Laboratory	USU		9,162	
	43	HST-GO-14626.003-A Space Telescope Science Institute	UVU		9,397	
	43	2016-0010 RLS 6 / NNA10DF16B Millennium Engineering and Integration Company	USU		10,393	
	43	UWSC9766 BPO 23128 UNIVERSITY OF WASHINGTON	UOU		12,447	
	43	PO # MF200017 L3HARRIS Technologies, Inc.	USU		13,655	
	43	VARIOUS Nevada Institute for Autonomous Systems	USU		14,140	
	43	21410-15-032/NNG15HQ01C Science Systems and Applications, Inc.	USU		14,389	
	43	1651396 NASA Jet Propulsion Laboratory	USU		16,380	
	43	HST-GO-14924.001 SPACE TELESCOPE SCIENCE INSTITUTE	UOU		17,770	
	43	HST-GO-14610.012-A SPACE TELESCOPE SCIENCE INSTITUTE	UOU		20,265	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	43	Subcontract 418UC-SSL/NNG12FA45C University of California at Berkeley	USU		22,899	
	43	4104493024 Lockheed Martin Space Systems	USU		25,744	
	43	162933 / 1569206 Johns Hopkins University Applied Physics Laboratory, LLC	USU		25,757	
	43	PO No. 1646627 / 80NM0018D0004 NASA Jet Propulsion Laboratory	USU		26,623	
	43	1588228 / NNH16ZDA005O NASA Jet Propulsion Laboratory	USU		30,414	
	43	232-01 Atmospheric & Space Technology Research Associates, LLC	USU		30,993	
	43	PO No. 1650785 / 80NMO0018D0004 NASA Jet Propulsion Laboratory	USU		35,534	
	43	HST-GO-15133.002-A SPACE TELESCOPE SCIENCE INSTITUTE	UOU		40,945	
	43	426709-19906 / 80NSSC19K0815 Virginia Polytechnic University	USU		44,169	
	43	4104544535 / 1558326 Lockheed Martin Space Systems	USU		48,389	
	43	2019-01 CHEMTRONERGY LLC	UOU		50,328	
	43	08600-019 Universities Space Research Association	USU		56,872	
	43	SUBK00011176 PO#3005658575 UNIVERSITY OF MICHIGAN	UOU		57,716	
	43	Subgrant PO 394122 The University of Arizona	USU		62,283	
	43	'05-17 Hampton University	USU		65,545	
	43	NNH17CV05C-UTAH SIMPSON WEATHER ASSOCIATES	UOU		70,552	
	43	2016-0010 TO 2/NNA11AA15T Millennium Engineering and Integration Company	USU		75,328	
	43	T804046 WYLE INTEGRATED SCIENCE AND ENG GRP	UOU		77,323	

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	43	17BCL00001 / NNX16AC18G Ball Aerospace and Tech	USU		96,452	3,140
	43	20SC-002 / 80NSSC19C0628 Advanced Space, LLC	USU		98,513	
	43	Subcontract 1484857/NNN12AA01C NASA Jet Propulsion Laboratory	USU		112,753	
	43	0000013264/NNX13AN11G University of Maryland Baltimore County	USU		114,407	
	43	515451 / 80NSSC19K0671 The University of Arizona	USU		151,189	
	43	19JDM00011 / 80GSFC18C0083 Ball Aerospace and Tech	USU		179,631	
	43	VARIOUS	UOU		189,934	
	43	517706 / 80NSSC19K0683 The University of Arizona	USU		325,818	
	43	HQ0147-16-D-0002, HQ0147-17-F-0050 Missile Defense Agency	USU		542,386	
	43	ASUB00000160 / NNX15AV71G Arizona State University	USU		779,040	
	43	Sub #1573576 NASA Jet Propulsion Laboratory	USU		1,264,917	
	43	1591746 NASA Jet Propulsion Laboratory	USU		2,435,686	796,001
	43	18RKB00947 Ball Aerospace and Tech	USU		7,383,190	1,031,241
	43	VARIOUS	USU		14,309,069	2,410,228
	43.001	G07-18117X SMITHSONIAN ASTROPHYSICAL OBSERVATORY	UOU		10,195	
	43.001	GO9-20113X SMITHSONIAN ASTROPHYSICAL OBSERVATORY	UOU		18,255	
	43.001	RR175-280/S001041 UNIVERSITY OF GEORGIA	UOU		21,525	
	43.001	775K795 UNIVERSITY OF WISCONSIN-MADISON	UOU		25,319	

Federal Agency and Major Subdivision CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS					
43.001	SV9-89021 SMITHSONIAN ASTROPHYSICAL OBSERVATORY	UOU		32,030	
43.001	VARIOUS	DSU		36,575	14,500
43.001	1554135 University of Colorado	USU		43,772	
43.001	G-01869-02 COLORADO STATE UNIVERSITY	UOU		51,846	
43.001	16-08 TEXAS A&M UNIVERSITY	UOU		80,359	
43.001	A19-0117-S006 (P0259854) RENSSELAER POLYTECHNIC INSTITUTE	UOU		85,573	
43.001	R53782 William Marsh Rice University	USU		109,825	
43.001	00010196 (BB01305223) UNIVERSITY OF CALIFORNIA BERKELEY	UOU		131,678	
43.001	RES512750 CASE WESTERN RESERVE UNIVERSITY	UOU		160,005	
43.001	1552611 UNIVERSITY OF COLORADO AT BOULDER	UOU		240,938	
43.001	SUB62720162 AMERICAN MUSEUM OF NATURAL HISTORY	UOU		270,625	
43.001	VARIOUS	USU		446,919	2,858
43.001	VARIOUS	UOU		2,022,213	75,107
43.002	VARIOUS	USU		50,815	
43.002	M1703311 Texas AandM University	USU		59,321	
43.012	VARIOUS	USU		196,112	
43.012	00009566 University of California at Berkeley	USU		536,340	37,983
Subtotal Research and Development Programs– National Aeronautics and Space Administration				33,576,770	4,371,058
Subtotal Research and Development Programs– NATIONAL AERONAUTICS AND SPACE ADMINISTRATION				33,576,770	4,371,058

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Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
NATIONAL SCIENCE FOUNDATION						
National Science Foundation						
	47	17192062009949 CLEMSON UNIVERSITY	UOU		-584	
	47	86000020995 BOSTON UNIVERSITY	UOU		-5	
	47	10018547 HEAVYSTONE LABORATORY LLC	UOU		10,930	
	47	VARIOUS	USU		62,157	
	47	VARIOUS	UOU		169,003	
	47.041	1933502 University of North Texas	DSU		488	
	47.041	2029515	UOU	COVID-19	4,162	
	47.041	2012-106707 NORTH CAROLINA STATE UNIVERSITY	UOU		12,768	
	47.041	10051769 REROUTING LLC	UOU		19,379	
	47.041	123524860 - MP INVOICE/PO S9002437 UNIVERSITY OF CALIFORNIA SAN DIEGO	UOU		20,093	
	47.041	2004383416 JOHNS HOPKINS UNIVERSITY	UOU		24,762	
	47.041	2030359	UOU	COVID-19	27,530	
	47.041	2012-1067-12 NORTH CAROLINA STATE UNIVERSITY	UOU		69,659	
	47.041	VARIOUS	USU		707,687	27,457
	47.041	VARIOUS	UOU		4,507,521	281,909
	47.049	2(GG012343) The Trustees of Columbia University in the City of New York	USU		357	
	47.049	UU-1828168 BARNARD UNIVERSITY	UOU		4,298	
	47.049	20143123 UNIVERSITY OF CALIFORNIA IRVINE	UOU		30,746	

Federal Agency and Major Subdivision CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS					
47.049	1552269 UNIVERSITY OF COLORADO AT BOULDER	UOU		36,742	
47.049	1123266-388709 CARNEGIE MELLON UNIVERSITY	UOU		40,012	
47.049	203643UU UNIVERSITY OF NOTRE DAME	UOU		48,000	
47.049	NS1609 TUFTS UNIVERSITY	UOU		56,165	
47.049	VARIOUS	UVU		65,893	
47.049	CHE1700982 EMORY UNIVERSITY	UOU		81,490	
47.049	VARIOUS	USU		671,661	
47.049	VARIOUS	UOU		9,095,062	231,550
47.050	A17-0253-S007 UNIVERSITY OF CALIFORNIA DAVIS	UOU		-1,709	
47.050	91264235 UNIVERSITY OF SOUTHERN CALIFORNIA	UOU		-1	
47.050	47(GG009393) PO# G11677 The Trustees of Columbia University in the City of New York	USU		1,859	
47.050	28D(GG009393) COLUMBIA UNIVERSITY	UOU		3,245	
47.050	91264401 University of Southern California	USU		8,183	
47.050	28C(GG009393) COLUMBIA UNIVERSITY	UOU		13,054	
47.050	VARIOUS	UVU		21,788	
47.050	N0NE 10036615 UNIVERSITY OF ARIZONA	UOU		35,477	
47.050	128413-G03666 Washington State University	USU		43,059	
47.050	VARIOUS	WSU		73,590	
47.050	VARIOUS	SLCC		119,965	

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Federal Agency and Major Subdivision / CFDA	Award/Contract / Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS					
47.050	VARIOUS	USU		1,269,611	129,709
47.050	VARIOUS	UOU		3,426,598	656,626
47.070	3200000590-18.256 UNIVERSITY OF KENTUCKY	UOU		-173	
47.070	UTA19-001215 UNIVERSITY OF TEXAS AT AUSTIN	UOU		641	
47.070	067846-1707 UNIVERSITY OF IL AT URBANA-CHAMPAIGN	UOU		2,581	
47.070	521373-UT COLLEGE OF CHARLESTON	UOU		2,777	
47.070	P0235476 UNIVERSITY OF ARIZONA	UOU		2,997	
47.070	VARIOUS National 4-H Council	USU		9,000	
47.070	5115828 UNIV OF NORTH CAROLINA AT CHAPEL HILL	UOU		12,352	
47.070	093054-17401 University of Illinois	USU		15,523	
47.070	502648-78051 NORTHEASTERN UNIVERSITY	UOU		26,472	
47.070	1836742 UNIVERSITY OF KENTUCKY	UOU		39,968	
47.070	1554921 UNIVERSITY OF COLORADO AT BOULDER	UOU		84,976	
47.070	1003107-06 Northern Arizona University	USU		91,137	
47.070	FP066185-B UNIVERSITY OF CHICAGO	UOU		345,927	
47.070	110331201 UNIVERSITY OF SOUTHERN CALIFORNIA	UOU		375,288	
47.070	VARIOUS	USU		1,303,455	689,331
47.070	CNS-182688 US IGNITE	UOU		3,028,418	684,892
47.070	VARIOUS	UOU		8,311,858	1,071,835

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Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	47.074	USU001-0000597686 Battelle	USU		-81	
	47.074	75822-10654 Cornell University	USU		3,584	
	47.074	VARIOUS	UVU		4,681	
	47.074	52820/ 1637459 Marine Biological laboratory-Wood Hold, Mass	USU		8,697	
	47.074	S-001212 UNIVERSITY OF CALIFORNIA RIVERSIDE	UOU		29,666	
	47.074	A19-0587-S001 UNIVERSITY OF CALIFORNIA DAVIS	UOU		36,206	
	47.074	1557433 UNIVERSITY OF COLORADO AT BOULDER	UOU		37,751	
	47.074	S1776A-D Oregon State University	USU		43,148	
	47.074	1003088-02 Northern Arizona University	USU		70,199	
	47.074	US001-0000731765 Battelle	USU		89,317	
	47.074	316602 UNIVERSITY OF ARIZONA	UOU		308,166	
	47.074	VARIOUS	USU		929,965	108,059
	47.074	VARIOUS	UOU		3,755,647	144,960
	47.075	VARIOUS	UVU		1,662	
	47.075	28214-04124 SO1 Syracuse University	WSU		3,666	
	47.075	S-001006 UNIVERSITY OF CALIFORNIA RIVERSIDE	UOU		9,337	
	47.075	SUBAWD001496 University Corporation for Atmospheric Research	USU		19,955	
	47.075	SUBAWARD NO. 4101-81928 Purdue University	USU		32,611	
	47.075	VARIOUS	USU		117,166	5,268

Federal Agency and Major Subdivision / CFDA	Award/Contract / Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS					
47.075	VARIOUS	UOU		561,126	54,505
47.076	CC0342-PG8675-22-59/SUB ARIZONA STATE UNIVERSITY	UOU		504	
47.076	F19UR035/F2019ur0049 ARIZONA STATE UNIVERSITY	UOU		657	
47.076	VARIOUS Pennsylvania State University	SLCC		4,400	
47.076	VARIOUS	SNOW		13,986	
47.076	R-18-0004 University of Houston	SUU		30,359	
47.076	VARIOUS	BATC		55,258	
47.076	VARIOUS National Federation of the Blind	USU		58,836	
47.076	VARIOUS	WSU		76,792	
47.076	VARIOUS	SLCC		218,547	
47.076	VARIOUS	UVU		614,262	
47.076	VARIOUS	UOU		2,888,898	157,247
47.076	VARIOUS	USU		2,935,841	225,661
47.078	VARIOUS	USU		2,530	
47.078	SB28089-A MONTANA TECH	UOU		58,979	
47.079	VARIOUS	UOU		15,777	
47.079	7141560C /GR06613 UNIVERSITY OF NEVADA LAS VEGAS	UOU		61,616	
47.083	012454-002 University of Cincinnati	USU		58,973	
Subtotal Research and Development Programs– National Science Foundation				47,592,576	4,469,009
Subtotal Research and Development Programs– NATIONAL SCIENCE FOUNDATION				47,592,576	4,469,009

NUCLEAR REGULATORY COMMISSION

Nuclear Regulatory Commission

Federal Agency and Major Subdivision	CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS						
	77.008	VARIOUS	UOU		128,237	
	77.008	VARIOUS	USU		143,642	
Subtotal Research and Development Programs– Nuclear Regulatory Commission					271,879	
Subtotal Research and Development Programs– NUCLEAR REGULATORY COMMISSION					271,879	
SMITHSONIAN INSTITUTION						
	60	VARIOUS	USU		3,229	
Subtotal Research and Development Programs–					3,229	
Subtotal Research and Development Programs– SMITHSONIAN INSTITUTION					3,229	
STATE, DEPARTMENT OF						
Bureau of Educational and Cultural Affairs						
	19.401	NONE 10051772 BINATIONAL FULBRIGHT COMM IN EGYPT	UOU		39,449	
Subtotal Research and Development Programs– Bureau of Educational and Cultural Affairs					39,449	
Subtotal Research and Development Programs– STATE, DEPARTMENT OF					39,449	
TRANSPORTATION, DEPARTMENT OF						
Department of Transportation						
	20	10044592 APPLIED ENGINEERING MANAGEMENT CORP	UOU		-375	
	20	NITC2016-UU-21 (1349) PORTLAND STATE UNIVERSITY	UOU		15,656	
	20	NITC2016-UU-19(1336) PORTLAND STATE UNIVERSITY	UOU		22,664	
	20	NITC2016-UU-20(1343) PORTLAND STATE UNIVERSITY	UOU		40,387	
	20	NITC2016-UU-18-1222 PORTLAND STATE UNIVERSITY	UOU		47,210	
Subtotal Research and Development Programs– Department of Transportation					125,542	
Federal Aviation Administration (FAA)						
	20.109	VARIOUS	UOU		162,367	
Subtotal Research and Development Programs– Federal Aviation Administration (FAA)					162,367	
Federal Highway Administration (FHWA)						

State of Utah
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Federal Agency and Major Subdivision CFDA	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS					
20.200	VARIOUS	DOT		1,606,097	
20.205	VARIOUS	DOT		7,127,954	183,570
Subtotal Research and Development Programs– Federal Highway Administration (FHWA)				8,734,051	183,570
Federal Railroad Administration (FRA)					
20.313	095429-17694 AG992 UNIVERSITY OF ILLINOIS URBANA-CHAMPAIGN	UOU		22,749	
Subtotal Research and Development Programs– Federal Railroad Administration (FRA)				22,749	
Federal Transit Administration (FTA)					
20	VARIOUS	UOU		3,814	
20	7SK00020CH SRA International, Inc.	USU		37,959	
20.514	4918-001/490-220-001S1 CALSTART	USU		11,401	
Subtotal Research and Development Programs– Federal Transit Administration (FTA)				53,174	
Office of the Secretary (OST) Administration Secretariate					
20.701	FAR0023138 NORTH DAKOTA STATE UNIVERSITY	UOU		-2,884	
20.701	NITC2016-UU-08 PORTLAND STATE UNIVERSITY	UOU		-20	
20.701	NITC2016-UU-10 PORTLAND STATE UNIVERSITY	UOU		920	
20.701	FAR0023140 North Dakota State University	USU		4,719	
20.701	NITC2016-UU-17/1264 PORTLAND STATE UNIVERSITY	UOU		4,742	
20.701	NITC2016-UU-04 PORTLAND STATE UNIVERSITY	UOU		13,828	13,828
20.701	NITC2016-UU-22 -1281 PORTLAND STATE UNIVERSITY	UOU		17,825	
20.701	NITC2016-UU-24 (1298) PORTLAND STATE UNIVERSITY	UOU		20,945	
20.701	NITC2016-UU-25 NATL INST FOR TRANSPORT AND COMMUNITIES	UOU		21,041	
20.701	NITC2016-UU-23-1328 PORTLAND STATE UNIVERSITY	UOU		21,956	

Federal Agency and Major Subdivision / CFDA	Award/Contract / Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
RESEARCH AND DEVELOPMENT PROGRAMS					
20.701	NITC2016-UU-07 PORTLAND STATE UNIVERSITY	UOU		41,594	21,764
20.701	NITC2016-UU-15-1217 PORTLAND STATE UNIVERSITY	UOU		45,891	
20.701	NITC2016-UU-16 PORTLAND STATE UNIVERSITY	UOU		49,725	
20.701	S1988078; 5233; 451718; 681190 Rutgers The State University of New Jersey	USU		52,433	
20.701	FAR0028684 NORTH DAKOTA STATE UNIVERSITY	UOU		187,430	
20.701	FAR0028869 North Dakota State University	USU		199,116	
Subtotal Research and Development Programs– Office of the Secretary (OST) Administration Secretariate				679,261	35,592
Subtotal Research and Development Programs– TRANSPORTATION, DEPARTMENT OF				9,777,144	219,162
VETERANS AFFAIRS, DEPARTMENT OF					
Department of Veterans Affairs					
64.034	VARIOUS	UOU		178,404	
Subtotal Research and Development Programs– Department of Veterans Affairs				178,404	
VA Health Administration Center					
64	VARIOUS	UOU		7,704	
Subtotal Research and Development Programs– VA Health Administration Center				7,704	
Subtotal Research and Development Programs– VETERANS AFFAIRS, DEPARTMENT OF				186,108	
Total Research and Development				603,699,411	83,836,161

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
CLUSTER PROGRAMS						
AGING CLUSTER						
93.044	(COVID-19) Title III B CARES Supportive Services • Health and Human Services, Department of	2001UTSSC3	DHS	COVID-19	1,109,045	1,109,045
93.044	Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers • Health and Human Services, Department of	VARIOUS	DHS		1,973,136	1,865,075
93.045	(COVID-19) Title III Home Delivered Meals • Health and Human Services, Department of	VARIOUS	DHS	COVID-19	2,714,208	2,714,208
93.045	Special Programs for the Aging_Title III, Part C_Nutrition Services • Health and Human Services, Department of	VARIOUS	DHS		3,606,189	3,129,963
93.053	Nutrition Services Incentive Program • Health and Human Services, Department of	VARIOUS	DHS		1,319,685	1,319,685
			Subtotal – Aging Cluster		10,722,263	10,137,976
CCDF CLUSTER						
93.575	SLCO 4-H Entheos Kearns/Magna • Health and Human Services, Department of	BJ17164 Salt Lake County	USU		-7	
93.575	(COVID-19) - Child Care Discretionary, Disaster Relief • Health and Human Services, Department of	2001UTCCC3	DWS	COVID-19	19,205,212	31,466
93.575	Child Care and Development Block Grant • Health and Human Services, Department of	VARIOUS	DWS		53,568,626	5,134,086
93.596	Child Care Mandatory and Matching Funds of the Child Care and Development Fund • Health and Human Services, Department of	VARIOUS	DWS		32,625,738	
			Subtotal – CCDF Cluster		105,399,569	5,165,552
CHILD NUTRITION CLUSTER						
10.553	School Breakfast Program • Agriculture, Department of	VARIOUS	PED		15,173,150	15,173,150
10.553	(COVID-19) School Breakfast Program • Agriculture, Department of	206UT411N8503	PED	COVID-19	16,836,518	16,836,518
10.555	National School Lunch Program • Agriculture, Department of	In-kind Commodities	PED		15,932,922	15,932,922
10.555	(COVID-19) National School Lunch Program • Agriculture, Department of	206UT411N8503	PED	COVID-19	43,793,504	43,793,504
10.555	National School Lunch Program • Agriculture, Department of	VARIOUS	PED		85,891,462	85,757,577
10.556	(COVID-19) Special Milk Program • Agriculture, Department of	206UT411N8503	PED	COVID-19	870	870
10.556	Special Milk Program for Children • Agriculture, Department of	VARIOUS	PED		24,232	24,232
10.559	Summer Food Service Program for Children • Agriculture, Department of	VARIOUS	PED		440,828	416,195
10.559	(COVID-19) Summer Food Service Program • Agriculture, Department of	206UT411N8503	PED	COVID-19	630,530	630,530

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
CLUSTER PROGRAMS						
		Subtotal – Child Nutrition Cluster			178,724,016	178,565,498
CLEAN WATER STATE REVOLVING FUND CLUSTER						
66.458	Capitalization Grants for Clean Water State Revolving Funds • Environmental Protection Agency	VARIOUS	DEQ		8,660,619	8,366,000
		Subtotal – Clean Water State Revolving Fund Cluster			8,660,619	8,366,000
DISABILITY INSURANCE/SSI CLUSTER						
96.001	Social Security_Disability Insurance • Social Security Administration	VARIOUS	DWS		13,065,299	
		Subtotal – Disability Insurance/SSI Cluster			13,065,299	
DRINKING WATER STATE REVOLVING FUND CLUSTER						
66.468	Capitalization Grants for Drinking Water State Revolving Funds • Environmental Protection Agency	VARIOUS	DEQ		11,454,533	8,618,000
		Subtotal – Drinking Water State Revolving Fund Cluster			11,454,533	8,618,000
ECONOMIC DEVELOPMENT CLUSTER						
11.307	Economic Adjustment Assistance • Commerce, Department of	VARIOUS	WSU		37,245	
11.307	Economic Adjustment Assistance • Commerce, Department of	05-79-05891	DSU		379,312	
		Subtotal – Economic Development Cluster			416,557	
EMPLOYMENT SERVICE CLUSTER						
17.207	Employment Service/Wagner-Peyser Funded Activities • Labor, Department of	VARIOUS	DWS		5,193,339	
17.801	Disabled Veterans' Outreach Program (DVOP) • Labor, Department of	VARIOUS	DWS		967,784	
17.804	Local Veterans' Employment Representative Program • Labor, Department of	VARIOUS	DWS		146,816	
		Subtotal – Employment Service Cluster			6,307,939	
FEDERAL MOTOR CARRIER SAFETY ASSISTANCE PROGRAM						
20.218	Motor Carrier Safety Assistance • Transportation, Department of	VARIOUS	DOT		3,208,554	
		Subtotal – Federal Motor Carrier Safety Assistance Program			3,208,554	
FEDERAL TRANSIT CLUSTER						
20.526	Bus and Bus Facilities Formula Program • Transportation, Department of	VARIOUS	DOT		228,321	1,919,736
		Subtotal – Federal Transit Cluster			228,321	1,919,736
FISH AND WILDLIFE CLUSTER						

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
CLUSTER PROGRAMS						
15.605	Sport Fish Restoration • Interior, Department of the	VARIOUS	DNR		7,270,803	
15.611	Wildlife Restoration and Basic Hunter Education • Interior, Department of the	VARIOUS	DNR		12,976,836	
			Subtotal – Fish and Wildlife Cluster		20,247,639	
FOOD DISTRIBUTION CLUSTER						
10.565	Commodity Supplemental Food Program • Agriculture, Department of	6UT810819	DOH		291,769	
10.568	Emergency Food Assistance Program (Administrative Costs) • Agriculture, Department of	VARIOUS	PED		777,191	777,191
10.569	Emergency Food Assistance Program (Food Commodities) • Agriculture, Department of	In-kind Commodities	PED		14,340,276	14,340,276
			Subtotal – Food Distribution Cluster		15,409,236	15,117,467
HEAD START CLUSTER						
93.600	Head Start • Health and Human Services, Department of	08CD004037-04-00	DWS		125,000	
93.600	Head Start • Health and Human Services, Department of	VARIOUS	SUU		6,023,728	
			Subtotal – Head Start Cluster		6,148,728	
HIGHWAY PLANNING AND CONSTRUCTION CLUSTER						
20.205	Highway Planning and Construction • Transportation, Department of	VARIOUS	DOT		430,524,225	6,959,011
20.219	Recreational Trails Program • Transportation, Department of	VARIOUS	DNR		51,740	
20.219	Recreational Trails Program • Transportation, Department of	VARIOUS	DNR		1,287,222	1,287,222
			Subtotal – Highway Planning and Construction Cluster		431,863,187	8,246,233
HIGHWAY SAFETY CLUSTER						
20.600	State and Community Highway Safety • Transportation, Department of	VARIOUS	DPS		2,241,081	489,059
20.616	National Priority Safety Programs • Transportation, Department of	VARIOUS	DPS		1,946,049	600,999
			Subtotal – Highway Safety Cluster		4,187,130	1,090,058
MEDICAID CLUSTER						
93.775	State Medicaid Fraud Control Units • Health and Human Services, Department of	VARIOUS	AG		1,750,508	
93.777	State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare • Health and Human Services, Department of	VARIOUS	DOH		4,029,348	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
CLUSTER PROGRAMS						
93.778	(COVID-19) (MED) Title 19 Medical Assistance Payments • Health and Human Services, Department of	2005UT5MAP (COVID19)	DOH	COVID-19	85,769,287	1,194,893
93.778	Medical Assistance Program • Health and Human Services, Department of	VARIOUS	DOH		2,234,492,739	23,151,408
				Subtotal – Medicaid Cluster	2,326,041,882	24,346,301
SNAP CLUSTER						
10.551	(COVID-19) Supplemental Nutrition Assistance Program • Agriculture, Department of	VARIOUS	DWS	COVID-19	23,512,157	
10.551	Supplemental Nutrition Assistance Program • Agriculture, Department of	VARIOUS	DWS		218,769,270	
10.561	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program • Agriculture, Department of	VARIOUS	DWS		18,937,043	
				Subtotal – SNAP Cluster	261,218,470	
SPECIAL EDUCATION CLUSTER (IDEA)						
84.027	Idaho TASK12 FY19 • Education, Department of	19-5011 State of Idaho Department of Education	USU		2,271	
84.027	Oregon Jobs Alike Workgroups • Education, Department of	12927 Oregon Department of Education	USU		3,549	
84.027	Washington Special Education A • Education, Department of	20190357 Office of Superintendent of Public Instruction	USU		3,600	
84.027	Oregon Dispute Resolution • Education, Department of	10792 Oregon Department of Education	USU		5,408	
84.027	Idaho Indicator Survey Work • Education, Department of	20-5049 State of Idaho Department of Education	USU		6,188	
84.027	Oregon Interagency Coordinatin • Education, Department of	11461 Oregon Department of Education	USU		7,489	
84.027	Tuba City SEAP Training • Education, Department of	VARIOUS Tuba City Unified School District	USU		10,796	
84.027	Idaho TASK12 and Dispute Resol • Education, Department of	20-5014 State of Idaho Department of Education	USU		11,724	
84.027	Oregon TASK12 FY17-19 • Education, Department of	10740 Oregon Department of Education	USU		12,542	
84.027	Kentucky Complaint Investigati • Education, Department of	PON2 540 1900000748 State of Kentucky	USU		31,285	
84.027	Idaho Indicator Survey Work • Education, Department of	19-5042 State of Idaho Department of Education	USU		33,858	
84.027	Training and Assessment System • Education, Department of	VARIOUS New Hampshire Department of Education	USU		42,761	

State of Utah
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CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
CLUSTER PROGRAMS						
84.027	Arizona Technical Assistance F • Education, Department of	IGA #16-19-ED PART 2 State of Arizona Department of Education	USU		65,337	
84.027	Oregon Technical Assistance • Education, Department of	10797 Oregon Department of Education	USU		81,203	
84.027	Kentucky Technical Assistance • Education, Department of	PON2 5401900003851 State of Kentucky	USU		126,896	
84.027	Arizona Recruitment/Retention • Education, Department of	IGA #16-19-ED PART 1 State of Arizona Department of Education	USU		290,604	
84.027	KANSAS TASN PROJECT • Education, Department of	652-15-1862 Kansas Department of Education	USU		322,186	
84.027	Special Education_Grants to States • Education, Department of	VARIOUS	PED		119,066,719	111,142,034
84.173	Special Education_Preschool Grants • Education, Department of	VARIOUS	PED		3,474,279	3,471,710
		Subtotal – Special Education Cluster (IDEA)			123,598,695	114,613,744
STUDENT FINANCIAL ASSISTANCE PROGRAMS						
84.007	Federal Supplemental Educational Opportunity Grants • Education, Department of	P007A198959	MATC		9,000	
84.007	Federal Supplemental Educational Opportunity Grants • Education, Department of	E-P007A167112	DATC		42,535	
84.007	Federal Supplemental Educational Opportunity Grants • Education, Department of	P007A196314	OWATC		59,101	
84.007	Federal Supplemental Educational Opportunity Grants • Education, Department of	VARIOUS	SNOW		73,324	
84.007	Federal Supplemental Educational Opportunity Grants • Education, Department of	VARIOUS	DSU		188,558	
84.007	Federal Supplemental Educational Opportunity Grants • Education, Department of	P007A154213	SUU		250,847	
84.007	Federal Supplemental Educational Opportunity Grants • Education, Department of	VARIOUS	SLCC		472,739	
84.007	Federal Supplemental Educational Opportunity Grants • Education, Department of	VARIOUS	WSU		490,891	
84.007	Federal Supplemental Educational Opportunity Grants • Education, Department of	VARIOUS	USU		803,681	
84.007	Federal Supplemental Educational Opportunity Grants • Education, Department of	VARIOUS	UOU		898,779	
84.007	Federal Supplemental Educational Opportunity Grants • Education, Department of	P007A194219	UVU		916,717	

State of Utah
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CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
CLUSTER PROGRAMS						
84.033	Federal Work-Study Program • Education, Department of	E-P033A167112	DATC		11,830	
84.033	Federal Work-Study Program • Education, Department of	P033A196314	OWATC		45,543	
84.033	Federal Work-Study Program • Education, Department of	P033A194212	SNOW		95,411	
84.033	Federal Work-Study Program • Education, Department of	P033A154213	SUU		249,764	
84.033	Federal Work-Study Program • Education, Department of	VARIOUS	DSU		274,032	
84.033	Federal Work-Study Program • Education, Department of	VARIOUS	SLCC		286,218	
84.033	Federal Work-Study Program • Education, Department of	VARIOUS	WSU		808,221	
84.033	Federal Work-Study Program • Education, Department of	VARIOUS	USU		845,832	
84.033	Federal Work-Study Program • Education, Department of	P033A194219	UVU		913,498	
84.033	Federal Work-Study Program • Education, Department of	VARIOUS	UOU		1,816,484	
84.038	Federal Perkins Loan Program • Education, Department of	VARIOUS	SUU		71,034	
84.038	Federal Perkins Loan Program • Education, Department of	VARIOUS	C&U		30,873,403	
84.063	Federal Pell Grant Program • Education, Department of	VARIOUS	UVU		35,367	
84.063	Federal Pell Grant Program • Education, Department of	P063P14342	UBATC		118,282	
84.063	Federal Pell Grant Program • Education, Department of	P063P17041915	TATC		257,426	
84.063	Federal Pell Grant Program • Education, Department of	P063P195928	SWATC		407,142	
84.063	Federal Pell Grant Program • Education, Department of	P063P195818	MATC		620,997	
84.063	Federal Pell Grant Program • Education, Department of	VARIOUS	DXATC		669,023	
84.063	Federal Pell Grant Program • Education, Department of	P063P194239	OWATC		918,777	
84.063	Federal Pell Grant Program • Education, Department of	VARIOUS	DATC		946,298	
84.063	Federal Pell Grant Program • Education, Department of	VARIOUS	BATC		980,874	
84.063	Federal Pell Grant Program • Education, Department of	VARIOUS	SNOW		6,908,686	
84.063	Federal Pell Grant Program • Education, Department of	P063P152352	SUU		15,237,134	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
CLUSTER PROGRAMS						
84.063	Federal Pell Grant Program • Education, Department of	VARIOUS	DSU		15,493,925	
84.063	Federal Pell Grant Program • Education, Department of	VARIOUS	SLCC		25,225,195	
84.063	Federal Pell Grant Program • Education, Department of	VARIOUS	UOU		27,951,838	
84.063	Federal Pell Grant Program • Education, Department of	VARIOUS	WSU		28,052,535	
84.063	Federal Pell Grant Program • Education, Department of	VARIOUS	USU		37,718,795	
84.063	Federal Pell Grant Program • Education, Department of	VARIOUS	UVU		55,970,986	
84.268	Federal Direct Student Loans • Education, Department of	VARIOUS	C&U		334,003,262	
84.379	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) • Education, Department of	VARIOUS	UOU		-11,256	
84.379	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) • Education, Department of	VARIOUS	USU		105,555	
84.408	Postsecondary Education Scholarships for Veteran's Dependents • Education, Department of	VARIOUS	UVU		2,179	
93.264	Nurse Faculty Loan Program (NFLP) - Loans Made • Health and Human Services, Department of	VARIOUS	C&U		80,590	
93.264	Nurse Faculty Loan Program (NFLP) - Beginning Loan Balance • Health and Human Services, Department of	VARIOUS	C&U		632,627	
93.342	Health Professions Student Loans - Loans Made • Health and Human Services, Department of	VARIOUS	C&U		10,000	
93.342	Health Professions Student Loans - Beginning Loan Balance • Health and Human Services, Department of	VARIOUS	C&U		3,466,293	
93.364	Nursing Student Loans - Loans Made • Health and Human Services, Department of	VARIOUS	C&U		85,750	
93.364	Nursing Student Loans - Beginning Loan Balance • Health and Human Services, Department of	VARIOUS	C&U		522,766	
		Subtotal – Student Financial Assistance Programs			596,908,488	
TRANSIT SERVICES PROGRAMS CLUSTER						
20.513	Enhanced Mobility of Seniors and Individuals with Disabilities • Transportation, Department of	VARIOUS	DOT		442,755	285,995
20.516	Job Access and Reverse Commute Program • Transportation, Department of	VARIOUS	DOT		64,933	64,933
20.521	New Freedom Program • Transportation, Department of	VARIOUS	DOT		64,934	64,934
		Subtotal – Transit Services Programs Cluster			572,622	415,862

State of Utah
Schedule of Expenditures of Federal Awards
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CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
CLUSTER PROGRAMS						
TRIO CLUSTER						
84.042	TRIO_Student Support Services • Education, Department of	P042A151382	UVU		267,805	
84.042	TRIO_Student Support Services • Education, Department of	P042A150265	SUU		286,139	
84.042	TRIO_Student Support Services • Education, Department of	P042A150069	DSU		345,364	
84.042	TRIO_Student Support Services • Education, Department of	VARIOUS	SNOW		371,008	
84.042	TRIO_Student Support Services • Education, Department of	VARIOUS	UOU		397,623	
84.042	TRIO_Student Support Services • Education, Department of	VARIOUS	WSU		421,181	
84.042	TRIO_Student Support Services • Education, Department of	VARIOUS	SLCC		548,985	
84.042	TRIO_Student Support Services • Education, Department of	VARIOUS	USU		932,347	
84.044	TRIO_Talent Search • Education, Department of	VARIOUS	WSU		246,139	
84.044	TRIO_Talent Search • Education, Department of	VARIOUS	SUU		313,064	
84.044	TRIO_Talent Search • Education, Department of	VARIOUS	SLCC		341,849	
84.044	TRIO_Talent Search • Education, Department of	VARIOUS	USU		413,626	
84.044	TRIO_Talent Search • Education, Department of	P044A160060	DSU		439,477	
84.047	TRIO_Upward Bound • Education, Department of	VARIOUS	USU		67,994	
84.047	TRIO_Upward Bound • Education, Department of	VARIOUS	SNOW		335,833	
84.047	TRIO_Upward Bound • Education, Department of	P047A170502	DSU		367,111	
84.047	TRIO_Upward Bound • Education, Department of	P047A171293	UVU		396,091	
84.047	TRIO_Upward Bound • Education, Department of	VARIOUS	SUU		443,543	
84.047	TRIO_Upward Bound • Education, Department of	VARIOUS	UOU		443,680	
84.047	TRIO_Upward Bound • Education, Department of	VARIOUS	USU		565,886	
84.047	TRIO_Upward Bound • Education, Department of	VARIOUS	WSU		712,387	
84.066	TRIO_Educational Opportunity Centers • Education, Department of	P066A160329	UVU		214,952	51,666
			Subtotal – TRIO Cluster		8,872,084	51,666

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
CLUSTER PROGRAMS						
WIOA CLUSTER						
17.258	WIOA Adult Program • Labor, Department of	VARIOUS	DWS		2,120,522	
17.259	WIOA Youth Activities • Labor, Department of	VARIOUS	DWS		1,824,272	
17.278	WIOA Dislocated Worker Formula Grants • Labor, Department of	VARIOUS	DWS		4,490,690	
			Subtotal – WIOA Cluster		8,435,484	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
AGENCY FOR INTERNATIONAL DEVELOPMENT						
98	U.S. Agency for International Development	VARIOUS	UOU		1,874,480	463,923
			Subtotal – 98		1,874,480	463,923
		Subtotal – Agency for International Development			1,874,480	463,923
AGRICULTURE, DEPARTMENT OF						
10	Special Collections	13-MU-11040700-023	SUU		3,300	
10	Utah Dispatch Systems	18-FI-11046000-046	DNR		4,677	
10	Supplemental Fire Project Agreement (FBS)	19-FP-11046000-025	DNR		7,221	
10	USDA Forest Service Scanning	1284JC18P0003	DNR		14,720	
10	TCN Manti-LaSal RAP 2017	17-PA-11041000-020 The Corps Network	USU		24,336	
10	Department of Agriculture	VARIOUS	UOU		34,141	
10	Coal Hollow & Pole Creek Landslide Inventory Mapping	19-CS-11041000-007	DNR		38,027	
10	Department of Agriculture	VARIOUS	WSU		40,039	
10	US Forest Service	None	DAG		60,000	
10	Department of Agriculture	VARIOUS	USU		64,231	
10	Information Management Solutions for NIMAC, DATIM, and FIA	19-CS-11242305-075	SUU		194,180	
10	Department of Agriculture	VARIOUS	DWS		419,026	
10	Forest Service Northern Research Station	15-CS-11242305-126	SUU		439,517	
			Subtotal – 10		1,343,415	
10.001	Agricultural Research_Basic and Applied Research	VARIOUS	WSU		8,344	
10.001	Agricultural Research_Basic and Applied Research	VARIOUS	USU		19,895	
			Subtotal – 10.001		28,239	
10.025	Plant and Animal Disease, Pest Control, and Animal Care	VARIOUS	USU		142,714	
10.025	Plant and Animal Disease, Pest Control, and Animal Care	VARIOUS	DAG		316,651	
			Subtotal – 10.025		459,365	
10.069	Conservation Reserve Program	68-8D43-17-506	DAG		219,088	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
			Subtotal – 10.069		219,088	
10.162	Inspection Grading and Standardization	VARIOUS	DAG		58,734	
			Subtotal – 10.162		58,734	
10.163	Market Protection and Promotion	12-34-A-507	DAG		158,343	
			Subtotal – 10.163		158,343	
10.168	Farmers' Market and Local Food Promotion Program	VARIOUS	USU		126,413	2,417
			Subtotal – 10.168		126,413	2,417
10.170	Specialty Crop Block Grant Program - Farm Bill	VARIOUS	DAG		237,844	227,990
			Subtotal – 10.170		237,844	227,990
10.178	Trade Mitigation Program Eligible Recipient Agency Operational Funds	VARIOUS	PED		342,000	342,000
			Subtotal – 10.178		342,000	342,000
10.215	Enhancing business management	G138-20-W7504 Montana State University	USU		7,103	7,103
10.215	Identifying Stacked Conservati	G235-19-W7500 Montana State University	USU		10,071	10,071
10.215	Identifying Stacked Conservati	G235-19-W7500 Montana State University	USU		10,331	10,331
10.215	Utah Western SARE PDP State Pr	G158-19-W7506 Montana State University	USU		16,258	
10.215	Identifying Stacked Conservati	G235-19-W7500 Montana State University	USU		21,548	21,548
10.215	Enhancing business management	G138-20-W7504 Montana State University	USU		22,547	
10.215	Identifying Stacked Conservati	G235-19-W7500 Montana State University	USU		40,471	
			Subtotal – 10.215		128,329	49,053
10.223	FUTURE HISPANIC ENGINEERS	2015-38422-24059 (04) UNIVERSITY OF TEXAS- PAN AMERICAN	UOU		2,500	
			Subtotal – 10.223		2,500	
10.304	Utah Plant Pest Diagnostic Lab	201603796-06 University of California	USU		20,324	
			Subtotal – 10.304		20,324	
10.310	Agriculture and Food Research Initiative (AFRI)	VARIOUS	USU		106,668	
			Subtotal – 10.310		106,668	
10.311	Beginning Farmer and Rancher Development Program	VARIOUS	USU		232,316	135,019
			Subtotal – 10.311		232,316	135,019

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
10.318	Women and Minorities in Science, Technology, Engineering, and Mathematics Fields	2018-38503-28803	SUU		18,101	
			Subtotal – 10.318		18,101	
10.329	Crop Protection and Pest Management Competitive Grants Program	VARIOUS	USU		123,780	
			Subtotal – 10.329		123,780	
10.331	Food Insecurity Nutrition Incentive Grants Program	20197003030405	DOH		18,959	
			Subtotal – 10.331		18,959	
10.433	Rural Housing Preservation Loans - Beginning Loan Balance	VARIOUS	DWS		1,934,378	
			Subtotal – 10.433		1,934,378	
10.435	State Mediation Grants	1552-003-087600545	DAG		5,349	
			Subtotal – 10.435		5,349	
10.447	Rural Development Loans- Beginning Loan Balance	VARIOUS	DWS		3,566,936	
			Subtotal – 10.447		3,566,936	
10.460	Risk Management Education Partnerships	VARIOUS	USU		67,175	
			Subtotal – 10.460		67,175	
10.475	Cooperative Agreements with States for Intrastate Meat and Poultry Inspection	VARIOUS	DAG		1,357,362	
			Subtotal – 10.475		1,357,362	
10.500	2020 Air Force 4-H Partnership	A00-0983-S078 Kansas State University	USU		287	
10.500	2020 4-H Military Partnership	A00-0983-S045 Kansas State University	USU		3,716	
10.500	Risk Management Education for	134194-G004010 Washington State University	USU		5,000	5,000
10.500	Davis County 4-H Take Flight D	S19158 Kansas State University	USU		5,681	
10.500	Safety in Agriculture for Yout	35-5420-2004-003 University of Nebraska	USU		9,010	
10.500	USU Extension 4-H Military Par	S19070 Kansas State University	USU		22,169	
10.500	Risk Management Education for	134194-G004010 Washington State University	USU		35,000	
10.500	Cooperative Extension Service	VARIOUS Washington State University	SNOW		47,658	
10.500	Cooperative Extension Service	VARIOUS	USU		174,324	50,863
10.500	Cooperative Extension Service	VARIOUS	USU		363,915	

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CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
10.500	Cooperative Extension Service	VARIOUS	USU		2,548,533	
			Subtotal – 10.500		3,215,293	55,863
10.516	Rural Health and Safety Education Competitive Grants Program	VARIOUS	USU		97,543	5,199
			Subtotal – 10.516		97,543	5,199
10.534	CACFP Meal Service Training Grants	188UT014N7604	PED		-2,174	
			Subtotal – 10.534		-2,174	
10.545	Farmers' Market Supplemental Nutrition Assistance Program Support Grants	3UT400373	DWS		6,652	6,652
			Subtotal – 10.545		6,652	6,652
10.557	Special Supplemental Nutrition Program for Women, Infants, and Children	VARIOUS	DOH		33,909,986	31,420,364
			Subtotal – 10.557		33,909,986	31,420,364
10.558	Child and Adult Care Food Program	VARIOUS	SUU		184,205	
10.558	Child and Adult Care Food Program	VARIOUS	PED		2,197,555	2,197,555
			Subtotal – 10.558		2,381,760	2,197,555
10.560	State Administrative Expenses for Child Nutrition	VARIOUS	PED		2,475,658	
			Subtotal – 10.560		2,475,658	
10.578	WIC Grants to States (WGS)	VARIOUS	DOH		3,710,439	41,660
			Subtotal – 10.578		3,710,439	41,660
10.579	Child Nutrition Discretionary Grants Limited Availability	183UT608N8103	PED		79,783	79,783
			Subtotal – 10.579		79,783	79,783
10.582	Fresh Fruit and Vegetable Program	VARIOUS	PED		2,853,355	2,853,355
			Subtotal – 10.582		2,853,355	2,853,355
10.652	Forestry Research	VARIOUS	USU		21,048	
10.652	Forestry Research	13-PA-11040700-054	SUU		32,315	
			Subtotal – 10.652		53,363	
10.664	Cooperative Forestry Assistance	VARIOUS	SUU		24,530	
10.664	Cooperative Forestry Assistance	VARIOUS	DNR		4,097,778	262,421
			Subtotal – 10.664		4,122,308	262,421

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
10.674	Wood Utilization Assistance	VARIOUS	USU		11,522	
			Subtotal – 10.674		11,522	
10.675	Urban and Community Forestry Program	VARIOUS	SUU		443,650	
			Subtotal – 10.675		443,650	
10.676	Forest Legacy Program	VARIOUS	DNR		943,069	
			Subtotal – 10.676		943,069	
10.680	Forest Health Protection	VARIOUS	DNR		20,187	
			Subtotal – 10.680		20,187	
10.691	Good Neighbor Authority	VARIOUS	DNR		2,237,044	
			Subtotal – 10.691		2,237,044	
10.699	Partnership Agreements	VARIOUS	DNR		1	
10.699	THE CORPS NETWORK - USFS RAP 2	17-PA-11046000-008 The Corps Network	USU		111,267	
10.699	Partnership Agreements	VARIOUS	USU		174,845	
			Subtotal – 10.699		286,113	
10.855	Distance Learning and Telemedicine Loans and Grants	UTAH 715-A16	SNOW		9,509	
10.855	Distance Learning and Telemedicine Loans and Grants	VARIOUS	USU		127,605	
			Subtotal – 10.855		137,114	
10.902	Soil and Water Conservation	VARIOUS	DNR		205,269	
			Subtotal – 10.902		205,269	
10.914	Wildlife Habitat Incentive Program	VARIOUS	DNR		66,212	
			Subtotal – 10.914		66,212	
10.916	Watershed Rehabilitation Program	VARIOUS	DNR		246,060	
			Subtotal – 10.916		246,060	
10.932	Regional Conservation Partnership Program	VARIOUS	DNR		1,819,321	
			Subtotal – 10.932		1,819,321	
		Subtotal – Agriculture, Department of			69,875,145	37,679,331
COMMERCE, DEPARTMENT OF						
11	Department of Commerce	VARIOUS	UOU		22,431	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
11	National Mesonet Program	S2017-0132; Synoptic Data Corporation	USU		155,848	
				Subtotal – 11	178,279	
11.303	Economic Development_Technical Assistance	ED18DEN3030035	DSU		194,836	
				Subtotal – 11.303	194,836	
11.431	Climate and Atmospheric Research	VARIOUS	UOU		25,589	
11.431	Assessing Drought Impacts for	SUBAED001350 University Corporation for Atmospheric Research	USU		27,434	
				Subtotal – 11.431	53,023	
11.549	State and Local Implementation Grant Program	49-10-S18049	UCA		175,663	
				Subtotal – 11.549	175,663	
11.611	Manufacturing Extension Partnership	VARIOUS	UOU		585,235	261,528
				Subtotal – 11.611	585,235	261,528
				Subtotal – Commerce, Department of	1,187,036	261,528
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE						
94.002	Retired and Senior Volunteer P	VARIOUS Sunshine Terrace Foundation	USU		19,874	
				Subtotal – 94.002	19,874	
94.003	State Commissions	VARIOUS	DHA		288,710	
				Subtotal – 94.003	288,710	
94.006	TCN AmeriCorps TIP 2020	TIP_UCC_2019 The Corps Network	USU		65,172	
94.006	YOUTH BUILD AMERICORP	13NDHMA0010101 YOUTHBUILD USA	OWATC		94,138	
94.006	TCN AmeriCorps TIP 2019	18NDHDC002 The Corps Network	USU		230,713	
94.006	AmeriCorps	VARIOUS	DHA		4,277,178	4,196,640
				Subtotal – 94.006	4,667,201	4,196,640
94.007	Program Development and Innovation Grants	None	SLCC		119,995	
				Subtotal – 94.007	119,995	
94.009	Training and Technical Assistance	VARIOUS	DHA		337,482	9,946
				Subtotal – 94.009	337,482	9,946
94.013	Volunteers in Service to America	11VSPUT001	DWS		17,546	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
94.013	Volunteers in Service to America	VARIOUS	USU		414,550	
			Subtotal – 94.013		432,096	
94.020	CNCS Disaster Response Cooperative Agreement	VARIOUS	USU		251,112	
			Subtotal – 94.020		251,112	
94.024	SOCIAL FINANCE COST SHARE	10049772 SOCIAL FINANCE	UOU		-36	
94.024	Social Innovation Fund Pay for Success	VARIOUS	UOU		114,922	
			Subtotal – 94.024		114,886	
94.027	AmeriCorps VISTA Recruitment Support	VARIOUS	USU		8,806	
			Subtotal – 94.027		8,806	
		Subtotal – Corporation for National and Community Service			6,240,162	4,206,586
DEPARTMENT OF DEFENSE						
12	NAVFAC Manual Update--Chapter-	418357-19138 Virigina Tech Polytechnic Institute	USU		1,268	
12	Department of Defense	VARIOUS	USU		2,500	
12	2019 AFRL University Design Ch	TSC-1191-60000 Technology Services Corporation	USU		4,817	
12	2020 AFRL University Design Ch	MON001-S-016-07 DZYNE Technologies	USU		5,586	
12	Department of Defense	STAMP02-WSU-2020 Edaptive Computing Inc.	WSU		65,045	
12	COMPOSITE DAMPING TESTING	9737-AM05 / 10039795 MATERIALS SCIENCES CORPORATION	UOU		100,756	
12	PERFORMANCE PORTABLE FRAMEWORK	D16PC00183-UTAH RNET TECHNOLOGIES INC	UOU		109,652	
12	Department of Defense	VARIOUS	UOU		174,035	
			Subtotal – 12		463,659	
12.002	Procurement Technical Assistance For Business Firms	VARIOUS	GOED		510,881	
			Subtotal – 12.002		510,881	
12.113	State Memorandum of Agreement Program for the Reimbursement of Technical Services	VARIOUS	DEQ		589,450	
			Subtotal – 12.113		589,450	
12.300	BMT CTN 1702	1702 NATIONAL MARROW DONOR PROGRAM	UOU		2,171	

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CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
12.300	RITN FY15	209163 NATIONAL MARROW DONOR PROGRAM	UOU		8,000	
12.300	HARP STTR	10053814 HARP ENGINEERING LLC	UOU		23,377	
			Subtotal – 12.300		33,548	
12.357	LANGUAGE TRAINING CENTER	PGO1801-UTAH-18 INSTITUTE OF INTERNATIONAL EDUCATION	UOU		231,397	
			Subtotal – 12.357		231,397	
12.400	Military Construction, National Guard	VARIOUS	UNG		977,733	
			Subtotal – 12.400		977,733	
12.401	National Guard Military Operations and Maintenance (O&M) Projects	VARIOUS	UNG		42,338,151	
			Subtotal – 12.401		42,338,151	
12.610	Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies	VARIOUS	GOE		41,826	41,826
			Subtotal – 12.610		41,826	41,826
12.630	ECOS	ITRC-16-UT Environmental Council of States	DEQ		4,268	
			Subtotal – 12.630		4,268	
12.900	Language Grant Program	VARIOUS	UOU		66,136	
			Subtotal – 12.900		66,136	
12.901	Mathematical Sciences Grants Program	VARIOUS	USU		9,854	
			Subtotal – 12.901		9,854	
		Subtotal – Department of Defense			45,266,903	41,826
DEPARTMENT OF HOMELAND SECURITY						
97	EMAC Deployment (Hurricane Harvey)	Accrual for 1262-RR-6347 State of Texas	DPS		16,033	16,033
97	EMAC Deployment (Hurricane Michael)	Accrual for 1519-RR-8332 State of Florida	DPS		39,101	39,101
97	EMAC Deployment (July 2018 Mendocino Fire)	1470RR7926-7914 State of California	DPS		269,281	269,281
			Subtotal – 97		324,415	324,415
97.008	Non-Profit Security Program	EMW2018UA00041	DPS		45,955	45,955
			Subtotal – 97.008		45,955	45,955
97.012	Boating Safety Financial Assistance	3311FA110149	DNR		1,327,875	
			Subtotal – 97.012		1,327,875	

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CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
97.023	Community Assistance Program State Support Services Element (CAP-SSSE)	EMD2019CA00001	DPS		104,690	
			Subtotal – 97.023		104,690	
97.024	Emergency Food and Shelter National Board Program	VARIOUS	DWS		2,508	
			Subtotal – 97.024		2,508	
97.032	Crisis Counseling	4525DRUTISCC	DPS		72,143	70,283
			Subtotal – 97.032		72,143	70,283
97.036	Disaster Grants - Public Assistance (Presidentially Declared Disasters)	FEMA-4311DRUTP1	DPS		1,008,885	1,008,885
			Subtotal – 97.036		1,008,885	1,008,885
97.039	Hazard Mitigation Grant	4311DRUTP5UT500	DPS		72,628	72,628
			Subtotal – 97.039		72,628	72,628
97.041	National Dam Safety Program	VARIOUS	DNR		110,124	
			Subtotal – 97.041		110,124	
97.042	Emergency Management Performance Grants	VARIOUS	DPS		4,839,619	1,639,964
			Subtotal – 97.042		4,839,619	1,639,964
97.044	Assistance to Firefighters Grant	VARIOUS	UVU		147,500	
			Subtotal – 97.044		147,500	
97.045	Cooperating Technical Partners	VARIOUS	DPS		2,217,608	
			Subtotal – 97.045		2,217,608	
97.046	Fire Management Assistance Grant	VARIOUS	DPS		677,159	677,159
			Subtotal – 97.046		677,159	677,159
97.047	Pre-Disaster Mitigation	VARIOUS	DPS		2,175,541	1,905,482
			Subtotal – 97.047		2,175,541	1,905,482
97.050	Presidential Declared Disaster Assistance to Individuals and Households - Other Needs	4548DRUT	DPS		73,126	21,976
			Subtotal – 97.050		73,126	21,976
97.067	Homeland Security Grant Program	VARIOUS	DPS		3,418,370	2,841,103
			Subtotal – 97.067		3,418,370	2,841,103
97.082	Earthquake Consortium	EMD2019CA00009	DPS		26,651	1,721
			Subtotal – 97.082		26,651	1,721

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
		Subtotal – Department of Homeland Security			16,644,797	8,609,571
EDUCATION, DEPARTMENT OF						
84	Department of Education	VARIOUS	UOU		5,811	
84	National Assessment of Educational Progress	VARIOUS	PED		169,763	
84	Kansas Technical Assistance	652-15-1870 Kansas Department of Education	USU		266,051	
			Subtotal – 84		441,625	
84.002	Adult Education - Basic Grants to States	VARIOUS	PED		3,909,409	3,410,979
			Subtotal – 84.002		3,909,409	3,410,979
84.010	Title I Grants to Local Educational Agencies	VARIOUS	PED		87,251,911	86,228,168
			Subtotal – 84.010		87,251,911	86,228,168
84.011	Migrant Education_State Grant Program	VARIOUS	PED		1,450,595	1,249,421
			Subtotal – 84.011		1,450,595	1,249,421
84.013	Title I State Agency Program for Neglected and Delinquent Children and Youth	VARIOUS	PED		947,790	743,875
			Subtotal – 84.013		947,790	743,875
84.015	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	VARIOUS	UOU		1,546,976	678,781
			Subtotal – 84.015		1,546,976	678,781
84.016	Undergraduate International Studies and Foreign Language Programs	VARIOUS	WSU		92,469	
			Subtotal – 84.016		92,469	
84.031A	Higher Education_Institutional Aid	P031A140224	UVU		238,897	
84.031	Higher Education_Institutional Aid	VARIOUS	USU		322,294	
84.031	Higher Education_Institutional Aid	P031A160253	SLCC		430,087	
84.031	Higher Education_Institutional Aid	VARIOUS	WSU		524,708	
			Subtotal – 84.031		1,515,986	
84.032	STUDENT LOAN PURCHASE PROGRAM, NET	VARIOUS	SAP		-15,131,765	
84.032	STUDENT LOAN GUARANTEE PROGRAM FEES	VARIOUS	SAP		643,073	
84.032	Federal Family Education Loans Reinsurance - Guarantees Made	VARIOUS	SAP		12,985,528	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
84.032	Federal Family Education Loans Reinsurance - Beginning Guarantee Amount	VARIOUS	SAP		684,578,679	
			Subtotal – 84.032		683,075,515	
84.037	Perkins Loan Cancellations	VARIOUS	UVU		95,106	
			Subtotal – 84.037		95,106	
84.048	Perkins Spring FY20 Special Project	VARIOUS San Juan School District	USU		74,150	
84.048	2020 SE Region Perkins Pathway	VARIOUS San Juan School District	USU		100,851	
84.048	USU Perkins FY20	VARIOUS Box Elder County School District	USU		118,135	
84.048	Career and Technical Education -- Basic Grants to States	VARIOUS	PED		15,357,216	13,998,962
			Subtotal – 84.048		15,650,352	13,998,962
84.126	Rehabilitation Services_Vocational Rehabilitation Grants to States	VARIOUS	DWS		27,556,069	1,752,920
			Subtotal – 84.126		27,556,069	1,752,920
84.129	Rehabilitation Long-Term Training	VARIOUS	USU		565,328	
			Subtotal – 84.129		565,328	
84.144F	Migrant Education_Coordination Program	VARIOUS	PED		68,000	
			Subtotal – 84.144		68,000	
84.177	Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind	VARIOUS	DWS		224,595	224,595
			Subtotal – 84.177		224,595	224,595
84.181A	Special Education-Grants for Infants and Families	H181A190111	DOH		5,689,730	4,668,371
			Subtotal – 84.181		5,689,730	4,668,371
84.187	Supported Employment Services for Individuals with the Most Significant Disabilities	VARIOUS	DWS		274,048	
			Subtotal – 84.187		274,048	
84.196	Education for Homeless Children and Youth	VARIOUS	PED		416,024	316,810
			Subtotal – 84.196		416,024	316,810
84.229	Language Resource Centers	VARIOUS	UOU		148,729	
			Subtotal – 84.229		148,729	
84.287	Twenty-First Century Community Learning Centers	VARIOUS	PED		5,638,102	5,345,158
			Subtotal – 84.287		5,638,102	5,345,158

State of Utah
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CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
84.323	Arizona Web Project	16-02-EDSG State of Arizona Department of Education	USU		8,364	
84.323	Arizona State Personnel Develo	16-01-EDSG State of Arizona Department of Education	USU		159,526	
84.323	Special Education - State Personnel Development	H323A170024	PED		825,985	454,120
			Subtotal – 84.323		993,875	454,120
84.325A	Collaboration for Educator Development, Accountability, and Reform (CEEDAR)	H325A120003 University of Florida	PED		6,868	
84.325	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities	VARIOUS	USU		187,880	
84.325	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities	VARIOUS	UOU		733,212	10,564
84.325	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities	VARIOUS	USU		1,051,536	
			Subtotal – 84.325		1,979,496	10,564
84.326	Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	H326T180005	PED		117,687	
			Subtotal – 84.326		117,687	
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs	P334A150032	SUU		27,429	
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs	MOU Ogden City School District	WSU		150,577	
84.334S	Gaining Early Awareness and Readiness for Undergraduate Programs	VARIOUS	UVU		4,700,995	411,887
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs	VARIOUS	USU		7,213,433	4,790,412
			Subtotal – 84.334		12,092,434	5,202,299
84.335A	Child Care Access Means Parents in School	P335A130200	SUU		91,164	
84.335A	Child Care Access Means Parents in School	VARIOUS	UOU		200,471	
84.335A	Child Care Access Means Parents in School	VARIOUS	UVU		203,399	
84.335	Child Care Access Means Parents in School	VARIOUS	SLCC		347,793	
			Subtotal – 84.335		842,827	
84.358B	Rural Education	VARIOUS	PED		-7,194	-7,494
84.358	Rural Education	VARIOUS	USU		29,800	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
			Subtotal – 84.358		22,606	-7,494
84.365	English Language Acquisition State Grants	VARIOUS	PED		4,713,588	4,534,662
			Subtotal – 84.365		4,713,588	4,534,662
84.367	Supporting Effective Instruction State Grants	VARIOUS	PED		12,881,994	12,295,930
			Subtotal – 84.367		12,881,994	12,295,930
84.369	Grants for State Assessments and Related Activities	VARIOUS	PED		6,141,353	
			Subtotal – 84.369		6,141,353	
84.372A	Statewide Longitudinal Data Systems	R372A150014	PED		1,882,244	
			Subtotal – 84.372		1,882,244	
84.373	NTAC - Improve State Capacity for IDEA Part B & C Fiscal Data	100041.Y4.001-TAES01 Applied Engineering Management Corporation	USU		2,748	
84.373	NTAC - Improve State Capacity for IDEA Part B & C Fiscal Data	S-00015246 WestEd	USU		105,653	
			Subtotal – 84.373		108,401	
84.377	School Improvement Grants	VARIOUS	PED		-100,105	-133,224
			Subtotal – 84.377		-100,105	-133,224
84.407	Transition Programs for Students with Intellectual Disabilities into Higher	VARIOUS	USU		248,922	
			Subtotal – 84.407		248,922	
84.418	Promoting Readiness of Minors in Supplemental Security Income	H418P140002	DWS		298,012	44,946
			Subtotal – 84.418		298,012	44,946
84.424	New Mexico Multi-Layered Syste	19-924-00180 State of New Mexico Department of Education	USU		47,005	
84.424A	Student Support and Academic Enrichment Program	VARIOUS	PED		3,703,958	3,605,902
			Subtotal – 84.424		3,750,963	3,605,902
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F200249	TATC	COVID-19	10,142	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	E-P425E201822	DATC	COVID-19	57,752	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F201712	SWATC	COVID-19	68,547	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E201637	SWATC	COVID-19	68,548	
84.425N	(COVID-19) Education Stabilization Fund: Fund for the Improvement of Postsecondary Education (FIPSE)	P425N200397	DXATC	COVID-19	71,680	

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CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F201749	UBATC	COVID-19	83,682	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E201804	UBATC	COVID-19	83,683	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F201572	BATC	COVID-19	83,803	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E201354	BATC	COVID-19	98,708	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E203946	DXATC	COVID-19	101,403	
84.425N	(COVID-19) Education Stabilization Fund: Fund for the Improvement of Postsecondary Education (FIPSE)	P425E204702	TATC	COVID-19	133,042	
84.425M	(COVID-19) Education Stabilization Fund: Strengthening Instutions Program (SIP)	P425M200281	SLCC	COVID-19	203,182	
84.425N	(COVID-19) Education Stabilization Fund: Fund for the Improvement of Postsecondary Education (FIPSE)	P425N200087	UBATC	COVID-19	232,016	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F201274	OWATC	COVID-19	298,022	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E202491	OWATC	COVID-19	298,022	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E200877	SNOW	COVID-19	299,100	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F201257	SNOW	COVID-19	299,100	
84.425M	(COVID-19) Education Stabilization Fund: Strengthening Instutions Program (SIP)	P425M200131	DSU	COVID-19	303,304	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E204777	MATC	COVID-19	304,741	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F204262	MATC	COVID-19	304,741	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E200119	UOU	COVID-19	354,300	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E201490	SUU	COVID-19	399,440	
84.425M	(COVID-19) Education Stabilization Fund: Strengthening Instutions Program (SIP)	P425M200542	WSU	COVID-19	580,432	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F201605	WSU	COVID-19	595,637	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F201626	DSU	COVID-19	670,058	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E201179	WSU	COVID-19	930,483	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F201784	UVU	COVID-19	943,411	
84.425C	(COVID-19) Governor’s Emergency Education Relief (GEER) Fund	S425C200031	PED	COVID-19	1,097,968	1,097,968

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E201701	DSU	COVID-19	1,167,000	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F202127	SUU	COVID-19	1,798,529	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F201700	SLCC	COVID-19	2,146,921	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F200889	USU	COVID-19	2,264,661	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E200941	SLCC	COVID-19	2,800,055	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E200089	USU	COVID-19	4,085,535	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E200688	UVU	COVID-19	7,546,850	
84.425D	(COVID-19) Elementary and Secondary School Emergency Relief (ESSER) Fund	S425D200032	PED	COVID-19	18,472,742	18,436,754
				Subtotal – 84.425	49,257,240	19,534,722
				Subtotal – Education, Department of	931,789,896	164,160,467
ELECTION ASSISTANCE COMMISSION						
90.401	Help America Vote Act Requirements Payments	VARIOUS	GOV		901,501	899,448
				Subtotal – 90.401	901,501	899,448
				Subtotal – Election Assistance Commission	901,501	899,448
ENERGY, DEPARTMENT OF						
81	Department of Energy	VARIOUS	USU		2,117	
81	INL SSHAC level 3 study: Resou	223228 Battelle Energy Alliance, LLC	USU		6,000	
81	NANOSCALE LUBRICANT MECHANICS	FELL0WSHIPN0BLE KRELL INSTITUTE	UOU		6,000	
81	Alliance for Sustainable Energy	None	WSU		10,766	
81	Joint Appointment work with Pa	232060 Battelle	USU		31,112	
81	Department of Energy	VARIOUS	UOU		294,927	
				Subtotal – 81	350,922	
81.041	State Energy Program	VARIOUS	GOE		467,393	89,230
81.041	State Energy Program Loans - Beginning Loan Balance	VARIOUS	GOV		1,379,443	
				Subtotal – 81.041	1,846,836	89,230
81.042	Weatherization Assistance for Low-Income Persons	EE0007953	DWS		2,426,710	2,105,272

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
			Subtotal – 81.042		2,426,710	2,105,272
81.106	Waste Isolation Pilot Plant	30-312-08 WIPP Western Governor's Association	DEQ		57,739	
			Subtotal – 81.106		57,739	
81.117	Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance	VARIOUS	UOU		365,429	
			Subtotal – 81.117		365,429	
81.119	State Energy Program Special Projects	VARIOUS	GOE		255,073	243,409
			Subtotal – 81.119		255,073	243,409
81.121	Nuclear Energy Research, Development and Demonstration	VARIOUS	UOU		52,501	
81.121	Nuclear Energy Research, Development and Demonstration	VARIOUS	USU		114,535	
			Subtotal – 81.121		167,036	
81.136	Long-Term Surveillance and Maintenance	DEFG0107LM00113	DEQ		12,528	
81.136	Long-Term Surveillance and Maintenance	DE-LM0000461	DNR		27,323	
			Subtotal – 81.136		39,851	
81.502	ECOS	ITRC-16-UT Environmental Council of States	DEQ		4,268	
			Subtotal – 81.502		4,268	
		Subtotal – Energy, Department of			5,513,864	2,437,911
ENVIRONMENTAL PROTECTION AGENCY						
66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act	XA-83720001	WSU		49,670	
66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act	VARIOUS	DEQ		483,537	
			Subtotal – 66.034		533,207	
66.039	National Clean Diesel Emissions Reduction Program	VARIOUS	DEQ		598,828	
			Subtotal – 66.039		598,828	
66.040	State Clean Diesel Grant Program	VARIOUS	DEQ		3,926	
			Subtotal – 66.040		3,926	
66.202	Congressionally Mandated Projects	VARIOUS	DEQ		3,888,729	644,300
			Subtotal – 66.202		3,888,729	644,300
66.204	Multipurpose Grants to States and Tribes	96880401	DNR		17,572	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
			Subtotal – 66.204		17,572	
66.419	Water Pollution Control State, Interstate, and Tribal Program Support	VARIOUS	DEQ		419,959	
			Subtotal – 66.419		419,959	
66.433	State Underground Water Source Protection	G00842619	DNR		83,000	
			Subtotal – 66.433		83,000	
66.454	Water Quality Management Planning	C6008567-19	DEQ		107,069	
			Subtotal – 66.454		107,069	
66.460	Nonpoint Source Implementation Grants	VARIOUS	DEQ		894,841	576,304
			Subtotal – 66.460		894,841	576,304
66.461	Regional Wetland Program Development Grants	CD968526-01	DEQ		113,734	
66.461	Regional Wetland Program Development Grants	VARIOUS	DNR		159,765	
			Subtotal – 66.461		273,499	
66.514	Science to Achieve Results (STAR) Fellowship Program	VARIOUS	UOU		4,506	
			Subtotal – 66.514		4,506	
66.605	Performance Partnership Grants	VARIOUS	DEQ		7,988,164	396,510
			Subtotal – 66.605		7,988,164	396,510
66.608	Environmental Information Exchange Network Grant Program and Related Assistance	VARIOUS	DNR		92,412	
66.608	Environmental Information Exchange Network Grant Program and Related Assistance	VARIOUS	DEQ		179,715	
			Subtotal – 66.608		272,127	
66.700	Consolidated Pesticide Enforcement Cooperative Agreements	VARIOUS	DAG		530,760	
			Subtotal – 66.700		530,760	
66.716	Pesticide Applicator Safety Tr	SA-2020-34 eXtension Foundation	USU		8,600	
66.716	Pesticide Safety Education Man	SA-2019-11 eXtension Foundation	USU		12,595	
			Subtotal – 66.716		21,195	
66.802	Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements	VARIOUS	DEQ		1,428,441	
			Subtotal – 66.802		1,428,441	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
66.804	Underground Storage Tank Prevention, Detection and Compliance Program	VARIOUS	DEQ		316,605	
			Subtotal – 66.804		316,605	
66.805	Leaking Underground Storage Tank Trust Fund Corrective Action Program	VARIOUS	DEQ		776,420	
			Subtotal – 66.805		776,420	
66.813	ECOS	ITRC-16-UT Environmental Council of States	DEQ		4,268	
			Subtotal – 66.813		4,268	
66.817	State and Tribal Response Program Grants	VARIOUS	DEQ		577,636	
			Subtotal – 66.817		577,636	
66.956	Targeted Air Sheds Grant Program	VARIOUS	DEQ		65,057	62,109
			Subtotal – 66.956		65,057	62,109
			Subtotal – Environmental Protection Agency		18,805,809	1,679,223
EQUAL EMPLOYMENT OPPORTUNITY COMMISSION						
30.001	Employment Discrimination_Title VII of the Civil Rights Act of 1964	VARIOUS	LBR		350,402	
			Subtotal – 30.001		350,402	
			Subtotal – Equal Employment Opportunity Commission		350,402	
EXECUTIVE OFFICE OF THE PRESIDENT						
95.001	High Intensity Drug Trafficking Areas Program	VARIOUS	DPS		654,708	319,246
			Subtotal – 95.001		654,708	319,246
			Subtotal – Executive Office Of The President		654,708	319,246
FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES						
45.024	Promotion of the Arts_Grants to Organizations and Individuals	VARIOUS	USU		866	
45.024	Promotion of the Arts_Grants to Organizations and Individuals	VARIOUS	SUU		39,989	
45.024	Promotion of the Arts_Grants to Organizations and Individuals	VARIOUS	UOU		112,840	
			Subtotal – 45.024		153,695	
45.025	Promotion of the Arts_Partnership Agreements	VARIOUS	DHA		1,086,980	1,233,295
			Subtotal – 45.025		1,086,980	1,233,295
45.129	(COVID-19) General Operating Support for	1202055251062016 Utah Humanities Council	USU	COVID-19	62	
			Subtotal – 45.129		62	
45.149	Promotion of the Humanities_Division of Preservation and Access	VARIOUS	SUU		2,909	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
			Subtotal – 45.149		2,909	
45.163	Promotion of the Humanities_Professional Development	VARIOUS	SUU		14,926	
45.163	Promotion of the Humanities_Professional Development	VARIOUS	UOU		40,481	
			Subtotal – 45.163		55,407	
45.169	Promotion of the Humanities_Office of Digital Humanities	HAA26906720	UVU		12,851	
			Subtotal – 45.169		12,851	
45.310	(COVID-19) State Grants	LS-246562-OLS-20	DHA	COVID-19	118,227	118,227
45.310	Grants to States	VARIOUS	DHA		2,221,408	705,220
			Subtotal – 45.310		2,339,635	823,447
45.312	National Leadership Grants	VARIOUS	UOU		3,404	
			Subtotal – 45.312		3,404	
		Subtotal – Federal Council on the Arts and the Humanities			3,654,943	2,056,742
GENERAL SERVICES ADMINISTRATION						
39.003	Donation of Federal Surplus Personal Property	VARIOUS	DAS		433,561	
			Subtotal – 39.003		433,561	
			Subtotal – General Services Administration		433,561	
HEALTH AND HUMAN SERVICES, DEPARTMENT OF						
93	Association of Food and Drug Officials	None	DAG		4,572	
93	Department of Health and Human Services	2005-UT-5002	DOH		198,798	
			Subtotal – 93		203,370	
93.041	Special Programs for the Aging_Title VII, Chapter 3_Programs for Prevention of Elder Abuse, Neglect, and Exploitation	18AAUTT7EA	DHS		6,185	
			Subtotal – 93.041		6,185	
93.042	Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals	VARIOUS	DHS		94,974	69,363
93.042	(COVID-19) Title VII CARES Ombudsman Services	2001UTOMC3	DHS	COVID-19	125,917	125,917
			Subtotal – 93.042		220,891	195,280
93.043	Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services	VARIOUS	DHS		154,204	154,204
			Subtotal – 93.043		154,204	154,204

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
93.048	Special Programs for the Aging_Title IV_and Title II_Discretionary Projects	VARIOUS	USU		19,071	
93.048	Special Programs for the Aging_Title IV_and Title II_Discretionary Projects	VARIOUS	DHS		218,641	183,314
			Subtotal – 93.048		237,712	183,314
93.052	(COVID-19) Title III E CARES Caregiver	2001UTFCC3	DHS	COVID-19	577,989	577,989
93.052	National Family Caregiver Support, Title III, Part E	VARIOUS	DHS		866,136	866,136
			Subtotal – 93.052		1,444,125	1,444,125
93.065	Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure	5NU88EH001324-02	DOH		294,809	
			Subtotal – 93.065		294,809	
93.068	Chronic Diseases: Research, Control, and Prevention	5NU58DP001005-04	DOH		134,583	
			Subtotal – 93.068		134,583	
93.069	Public Health Emergency Preparedness	1NU90TP922027-01	DOH		6,669,578	4,380,023
			Subtotal – 93.069		6,669,578	4,380,023
93.070	Environmental Public Health and Emergency Response	VARIOUS	DOH		1,630,311	246,936
			Subtotal – 93.070		1,630,311	246,936
93.071	Medicare Enrollment Assistance Program	VARIOUS	DHS		152,609	135,000
			Subtotal – 93.071		152,609	135,000
93.073	Birth Defects and Developmental Disabilities - Prevention and Surveillance	6NU50DD004948-04	DOH		179,246	
			Subtotal – 93.073		179,246	
93.079	Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance	5NU87PS004342-02	DOH		85,599	
			Subtotal – 93.079		85,599	
93.086	DHS: BYU Relationship Relationship Program	A02435 Brigham Young University	SUU		21,425	
93.086	Healthy Marriage Promotion and Responsible Fatherhood Grants	VARIOUS	USU		1,515,063	108,772
			Subtotal – 93.086		1,536,488	108,772
93.092	Affordable Care Act (ACA) Personal Responsibility Education Program	1801UTPREP	DOH		551,760	433,357
			Subtotal – 93.092		551,760	433,357
93.103	Food and Drug Administration_Research	VARIOUS	DAG		1,406,750	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
			Subtotal – 93.103		1,406,750	
93.110	Maternal and Child Health Federal Consolidated Programs	VARIOUS	DOH		507,621	256,654
93.110	Maternal and Child Health Federal Consolidated Programs	VARIOUS	USU		1,456,849	80,000
			Subtotal – 93.110		1,964,470	336,654
93.116	Project Grants and Cooperative Agreements for Tuberculosis Control Programs	1NU52PS910197-01	DOH		209,034	60,290
			Subtotal – 93.116		209,034	60,290
93.127	Emergency Medical Services for Children	5H33MC06680-14	DOH		105,616	96,626
			Subtotal – 93.127		105,616	96,626
93.130	Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices	6U68HP11436-11	DOH		174,641	6,838
			Subtotal – 93.130		174,641	6,838
93.136	Injury Prevention and Control Research and State and Community Based Programs	VARIOUS	DOH		4,772,903	631,765
			Subtotal – 93.136		4,772,903	631,765
93.150	Projects for Assistance in Transition from Homelessness (PATH)	19SM16045A	DHS		557,741	549,273
			Subtotal – 93.150		557,741	549,273
93.165	Grants to States for Loan Repayment Program	5H56HP31914-02	DOH		157,500	
			Subtotal – 93.165		157,500	
93.184	Disabilities Prevention	5NU27DD000003-04	DOH		154,368	
			Subtotal – 93.184		154,368	
93.211	HRSA: Rural Opioid Response	GA1RH33545 SW Utah Community Healthcare Centers, Four Corners Behavioral Health, Utah Support Advocates for Recovery Awareness	SUU		20,969	
93.211	HRSA: Rural Opioid Response	GA1RH33544 SW Utah Community Healthcare Centers, Four Corners Behavioral Health, Utah Support Advocates for Recovery Awareness	SUU		63,359	
93.211	HRSA: Rural Opioid Response	G25RH32477	SUU		84,495	
			Subtotal – 93.211		168,823	
93.234	Traumatic Brain Injury State Demonstration Grant Program	90TBSG0043-02	DOH		106,625	
			Subtotal – 93.234		106,625	

State of Utah
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2020

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
93.235	Affordable Care Act (ACA) Abstinence Education Program	1901UTSRAE	DOH		348,258	265,059
			Subtotal – 93.235		348,258	265,059
93.240	State Capacity Building	6NU61TS000279-03	DOH		276,425	
			Subtotal – 93.240		276,425	
93.241	State Rural Hospital Flexibility Program	VARIOUS	DOH		397,972	144,394
			Subtotal – 93.241		397,972	144,394
93.243	Substance Abuse and Mental Health Services_Projects of Regional and National Significance	VARIOUS	USU		87,576	
93.243	Substance Abuse and Mental Health Services_Projects of Regional and National Significance	VARIOUS	WSU		105,541	
93.243	Substance Abuse and Mental Health Services_Projects of Regional and National Significance	VARIOUS	PED		1,699,940	1,491,238
93.243	Substance Abuse and Mental Health Services_Projects of Regional and National Significance	VARIOUS	DHS		6,753,543	4,207,965
			Subtotal – 93.243		8,646,600	5,699,203
93.251	Family Support Workshops	KC281200 Idaho Department of Health and Welfare	USU		8,179	
93.251	Guam Deaf Mentor Basic Trainin	HRSA-2019-01-USU SKI-HI University of Guam	USU		17,277	
93.251	Care Coordination Workshop	KC281300 Idaho Department of Health and Welfare	USU		30,705	
93.251	Universal Newborn Hearing Screening	VARIOUS	DOH		254,919	66,043
			Subtotal – 93.251		311,080	66,043
93.262	Agricultural Safety Education	G-71107-01 Colorado State University	USU		978	978
93.262	Train the Trainer: Building Ca	G-71102-01 Colorado State University	USU		6,012	
93.262	Agricultural Safety Education	G-71107-01 Colorado State University	USU		9,247	9,247
93.262	Agricultural Safety Education	G-71107-01 Colorado State University	USU		60,912	
			Subtotal – 93.262		77,149	10,225
93.268	Immunization Cooperative Agreements	VARIOUS	DOH		29,535,339	27,157,615
			Subtotal – 93.268		29,535,339	27,157,615
93.270	Adult Viral Hepatitis Prevention and Control	VARIOUS	DOH		326,429	600

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
			Subtotal – 93.270		326,429	600
93.283	Centers for Disease Control and Prevention_Investigations and Technical Assistance	6NU50OE000085-04	DOH		55,055	13,375
			Subtotal – 93.283		55,055	13,375
93.286	Discovery and Applied Research for Technological Innovations to Improve Human Health	VARIOUS	WSU		11,785	
			Subtotal – 93.286		11,785	
93.296	State Partnership Grant Program to Improve Minority Health	5STTMP151108-05	DOH		145,137	14,950
			Subtotal – 93.296		145,137	14,950
93.301	Small Rural Hospital Improvement Grant Program	VARIOUS	DOH		212,616	91,686
93.301	(COVID-19) Coronavirus State Hospital Improvement Program	1H3JRH37424-01	DOH	COVID-19	923,761	920,880
			Subtotal – 93.301		1,136,377	1,012,566
93.305	National State Based Tobacco Control Programs	VARIOUS	DOH		1,114,422	431,310
			Subtotal – 93.305		1,114,422	431,310
93.314	Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program	6NUR3DD000089-03	DOH		150,763	
			Subtotal – 93.314		150,763	
93.323	(COVID-19) Epidemiology and Lab Capacity for Infectious Disease (ELC)	6NU50CK000536-01	DOH	COVID-19	342,844	14,766
93.323	Epidemiology and Laboratory Capacity for Infectious Diseases (ELC)	5NU50CK000372-05	DOH		4,670,428	258,145
			Subtotal – 93.323		5,013,272	272,911
93.324	State Health Insurance Assistance Program	VARIOUS	DHS		309,519	204,789
			Subtotal – 93.324		309,519	204,789
93.336	Behavioral Risk Factor Surveillance System	6NU58DP006051-05	DOH		526,229	
			Subtotal – 93.336		526,229	
93.354	Public Health Emergency Response: Cooperative Agreement for Emergency Response: Public Health Crisis Response	6NU90TP921966-01	DOH		1,440,584	169,303
93.354	(COVID-19) Cooperative Agreement for Emergency Response:Public Health Crisis Response	1NU90TP922119-01	DOH	COVID-19	2,907,722	245,000
			Subtotal – 93.354		4,348,306	414,303
93.369	SILC: Training and Technical A	19-1825 TIRR Memorial Herman	USU		122,203	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
93.369	ACL Independent Living State Grants	VARIOUS	DWS		337,094	337,094
93.369	CIL: Training and Technical As	2020-YR3-1812-UTAHSTATEU-CILNE TIRR Memorial Herman	USU		394,867	
			Subtotal – 93.369		854,164	337,094
93.380	The CDC Public Health Cancer Genomics Program: Translating Research into Public Health Practice	6NU58DP006698-01	DOH		212,436	
			Subtotal – 93.380		212,436	
93.421	Act Early Ambassadors	VARIOUS Association of University Centers on Disabilities	USU		2,712	
			Subtotal – 93.421		2,712	
93.426	Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke-Financed in part by 2018 Prevention and Public Health Funds	6NU58DP006512-02	DOH		1,581,181	273,228
			Subtotal – 93.426		1,581,181	273,228
93.432	CIL: Training and Technical As	19-1590 TIRR Memorial Herman	USU		3,380	
			Subtotal – 93.432		3,380	
93.434	Every Student Succeeds Act/Preschool Development Grants	90TP0049-01-02	DWS		240,024	
			Subtotal – 93.434		240,024	
93.435	Innovative State and Local Public Health Strategies to prevent and Manage Diabetes and Heart Disease and Stroke-	6NU58DP006609-02	DOH		1,867,867	1,140,688
			Subtotal – 93.435		1,867,867	1,140,688
93.436	WELL-INTEGRATED SCREENING AND EVALUATION FOR WOMEN ACROSS THE NATION (WISEWOMAN)	5NU58DP006652-02	DOH		1,064,976	330,701
			Subtotal – 93.436		1,064,976	330,701
93.439	State Physical Activity and Nutrition (SPAN	5NU58DP006496-02	DOH		905,068	233,542
			Subtotal – 93.439		905,068	233,542
93.449	Ruminant Feed Ban Support Project	HHSF223201810011C	DAG		32,171	
			Subtotal – 93.449		32,171	
93.464	ACL Assistive Technology	VARIOUS	USU		537,572	
			Subtotal – 93.464		537,572	
93.472	Title IV-E Prevention and Family Services and Programs	2001UTPSGP	DHS		165,916	
			Subtotal – 93.472		165,916	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
93.478	Preventing Maternal Deaths: Supporting Maternal Mortality Review Committees	1NU58DP006685-01	DOH		164,569	5,720
			Subtotal – 93.478		164,569	5,720
93.521	The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements;PPHF	6U50CK000372-04	DOH		25,666	
			Subtotal – 93.521		25,666	
93.556	Promoting Safe and Stable Families	VARIOUS	DHS		2,040,527	100,000
			Subtotal – 93.556		2,040,527	100,000
93.558	Temporary Assistance for Needy Families	VARIOUS	DWS		50,452,731	
			Subtotal – 93.558		50,452,731	
93.563	Child Support Enforcement	VARIOUS	DHS		24,780,331	
			Subtotal – 93.563		24,780,331	
93.566	Refugee and Entrant Assistance_State Administered Programs	VARIOUS	DWS		5,890,539	3,893,655
			Subtotal – 93.566		5,890,539	3,893,655
93.568	(COVID-19) - Low-Income Home Energy Assistance Program	2001UTE5C3	DWS	COVID-19	4,818,050	
93.568	Low-Income Home Energy Assistance	VARIOUS	DWS		26,093,575	9,934,104
			Subtotal – 93.568		30,911,625	9,934,104
93.569	(COVID-19) - Community Services Block Grant	2001UTCSC3	DWS	COVID-19	29,400	29,400
93.569	Community Services Block Grant	VARIOUS	DWS		3,682,258	3,527,274
			Subtotal – 93.569		3,711,658	3,556,674
93.576	Refugee and Entrant Assistance_Discretionary Grants	90RX0305-03	DOH		57,705	57,705
			Subtotal – 93.576		57,705	57,705
93.586	State Court Improvement Program	VARIOUS	JUD		429,985	
			Subtotal – 93.586		429,985	
93.590	Community-Based Child Abuse Prevention Grants	VARIOUS	DHS		373,046	
			Subtotal – 93.590		373,046	
93.597	Grants to States for Access and Visitation Programs	1901UTSAVP	JUD		100,000	
			Subtotal – 93.597		100,000	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
93.599	Chafee Education and Training Vouchers Program (ETV)	VARIOUS	DHS		195,172	
			Subtotal – 93.599		195,172	
93.603	Adoption and Legal Guardianship Incentive Payments	VARIOUS	DHS		630,174	
			Subtotal – 93.603		630,174	
93.630	Developmental Disabilities Basic Support and Advocacy Grants	VARIOUS	DHS		769,537	60,528
			Subtotal – 93.630		769,537	60,528
93.631	Developmental Disabilities Projects of National Significance	VARIOUS	USU		253,781	
			Subtotal – 93.631		253,781	
93.632	Serious Mental Illness Nationa	PO 7800004501 University of Kentucky Research Foundation	USU		77,863	
93.632	Serious Mental Illness Nationa	3200002810-20-087 University of Kentucky Research Foundation	USU		220,085	
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service	VARIOUS	USU		507,718	
			Subtotal – 93.632		805,666	
93.643	Children's Justice Grants to States	G1801UTCJA1	AG		224,453	
			Subtotal – 93.643		224,453	
93.645	Stephanie Tubbs Jones Child Welfare Services Program	VARIOUS	DHS		3,946,700	
			Subtotal – 93.645		3,946,700	
93.658	Foster Care_Title IV-E	VARIOUS	DHS		30,892,030	
			Subtotal – 93.658		30,892,030	
93.659	Adoption Assistance	VARIOUS	DHS		12,708,347	
			Subtotal – 93.659		12,708,347	
93.665	(COVID-19) Utah Emergency (COVID-19) Program for Mental Health/Substance Use Disorders	20FG00265AC3	DHS	COVID-19	100,678	87,108
			Subtotal – 93.665		100,678	87,108
93.667	Bridgerland Literacy/BRAG	VARIOUS Bear River Association of Governments	USU		1,432	
93.667	Social Services Block Grant	G-1901UTSOSR	DWS		15,000	
93.667	Social Services Block Grant	VARIOUS	DHS		22,358,088	2,182,788

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
			Subtotal – 93.667		22,374,520	2,182,788
93.669	Child Abuse and Neglect State Grants	1801UTNCAN	DHS		563,618	
			Subtotal – 93.669		563,618	
93.671	Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services	1901UTFVPS	DHS		1,295,758	
			Subtotal – 93.671		1,295,758	
93.674	Chafee Foster Care Independence Program	1901UTCILP	DHS		790,957	
			Subtotal – 93.674		790,957	
93.732	Mental and Behavioral Health Education and Training Grants	17M01HP31269	DHS		248,874	
			Subtotal – 93.732		248,874	
93.734	Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs – financed by Prevention and Public Health Funds (PPHF)	VARIOUS	DOH		216,037	
			Subtotal – 93.734		216,037	
93.735	State Public Health Approaches for Ensuring Quitline Capacity – Funded in part by Prevention and Public Health Funds (PPHF)	6NU58DP005339-04	DOH		77,760	
			Subtotal – 93.735		77,760	
93.747	Elder Abuse Prevention Interventions Program	90EJSG004201	DHS		82,581	
			Subtotal – 93.747		82,581	
93.761	Evidence-Based Falls Prevention Programs Financed Solely by Prevention and Public Health Funds (PPHF)	90FPSG0007-01	DOH		191,875	78,081
			Subtotal – 93.761		191,875	78,081
93.767	Children's Health Insurance Program	VARIOUS	DOH		125,538,837	391,431
			Subtotal – 93.767		125,538,837	391,431
93.788	Opioid STR	VARIOUS	DHS		10,942,696	7,599,845
			Subtotal – 93.788		10,942,696	7,599,845
93.815	Domestic Ebola Supplement to the Epidemiology and Laboratory Capacity for Infectious Diseases (ELC).	6NU50CK000372-01	DOH		119,933	
			Subtotal – 93.815		119,933	
93.817	Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities	6U3REP150501-01	DOH		174,039	168,245

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
			Subtotal – 93.817		174,039	168,245
93.870	Maternal, Infant and Early Childhood Home Visiting Grant Program	1X10MC32223-01	DOH		2,559,289	2,117,030
			Subtotal – 93.870		2,559,289	2,117,030
93.889	(COVID-19) National Bioterrorism Hospital Preparedness Program (HPP)	6UREP190560-01	DOH	COVID-19	70,841	70,841
93.889	National Bioterrorism Hospital Preparedness Program	1U3REP190560-01	DOH		1,867,164	1,350,855
			Subtotal – 93.889		1,938,005	1,421,696
93.898	Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations	6NU58DP006321-03	DOH		3,013,081	202,200
			Subtotal – 93.898		3,013,081	202,200
93.912	Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program	VARIOUS	USU		141,829	14,654
			Subtotal – 93.912		141,829	14,654
93.913	Grants to States for Operation of State Offices of Rural Health	6H95RH00129-29	DOH		166,041	5,625
			Subtotal – 93.913		166,041	5,625
93.917	(COVID-19) Ryan White HIV/AIDS Program Part B	1X7CHA36914-01	DOH	COVID-19	18,158	
93.917	HIV Care Formula Grants	VARIOUS	DOH		7,848,861	411,903
			Subtotal – 93.917		7,867,019	411,903
93.940	HIV Prevention Activities_Health Department Based	6NU62PS924568-02	DOH		954,549	64,641
			Subtotal – 93.940		954,549	64,641
93.945	Assistance Programs for Chronic Disease Prevention and Control	6NU58DP006454-02	DOH		258,486	
			Subtotal – 93.945		258,486	
93.946	Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs	VARIOUS	DOH		318,999	
			Subtotal – 93.946		318,999	
93.958	Block Grants for Community Mental Health Services	VARIOUS	DHS		5,570,488	4,283,830
			Subtotal – 93.958		5,570,488	4,283,830
93.959	Partnership and Community Coll	018000.340888.02 Mississippi State University	USU		70,113	
93.959	Block Grants for Prevention and Treatment of Substance Abuse	VARIOUS	DHS		19,370,293	17,025,277
			Subtotal – 93.959		19,440,406	17,025,277

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
93.977	Preventive Health Services_Sexually Transmitted Diseases Control Grants	VARIOUS	DOH		564,340	34,247
			Subtotal – 93.977		564,340	34,247
93.991	Preventive Health and Health Services Block Grant	6NB01OT009217-01	DOH		1,488,512	1,067,436
			Subtotal – 93.991		1,488,512	1,067,436
93.994	Maternal and Child Health Services Block Grant to the States	1B04MC33870-01	DOH		6,139,328	1,784,261
			Subtotal – 93.994		6,139,328	1,784,261
93.997	Assisted Outpatient Treatment	16SM63545A	DHS		729,307	626,481
			Subtotal – 93.997		729,307	626,481
		Subtotal – Health and Human Services, Department of			466,709,609	104,160,218
HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF						
14.169	Housing Counseling Assistance Program	VARIOUS	USU		54,254	
			Subtotal – 14.169		54,254	
14.228	(COVID-19) - Community Development Block Grant - CDBG-CV	VARIOUS	DWS	COVID-19	1,160,303	1,160,303
14.228	Community Development Block Grants/State's Program and Non-Entitlement Grants in Hawaii	VARIOUS	DWS		6,060,277	5,738,513
			Subtotal – 14.228		7,220,580	6,898,816
14.230	Rental Housing Rehabilitation - Beginning Loan Balance	VARIOUS	DWS		214,787	
			Subtotal – 14.230		214,787	
14.231	(COVID-19) - Emergency Solutions Grant (ESG)	E-20-DW-49-0001	DWS	COVID-19	386,081	38,286
14.231	Emergency Solutions Grant Program	VARIOUS	DWS		1,301,879	1,119,314
			Subtotal – 14.231		1,687,960	1,157,600
14.239	HOME INVESTMENT PARTNERSHIP	VARIOUS	DWS		472,044	126,040
14.239	Program Income - HOME INVESTMENT PARTNERSHIP	PROGRAM INCOME	DWS		4,596,429	1,495,000
14.239	HOME Investment Partnerships Program Loans - Loans Made	VARIOUS	DWS		7,011,220	
14.239	HOME Investment Partnerships Program Loans - Beginning Loan Balance	VARIOUS	DWS		75,651,329	
			Subtotal – 14.239		87,731,022	1,621,040
14.241	Housing Opportunities for Persons with AIDS	VARIOUS	DWS		202,256	195,686
			Subtotal – 14.241		202,256	195,686

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
14.267	Continuum of Care Program	VARIOUS	DWS		402,420	
			Subtotal – 14.267		402,420	
14.275	Housing Trust Fund	VARIOUS	DWS		293,940	
14.275	Housing Trust Fund Loans - Beginning Loan Balance	VARIOUS	DWS		2,350,000	
14.275	Housing Trust Fund Loans - Loans Made	VARIOUS	DWS		4,935,832	
			Subtotal – 14.275		7,579,772	
14.401	Fair Housing Assistance Program_State and Local	FF208K198003	LBR		261,700	
			Subtotal – 14.401		261,700	
		Subtotal – Housing and Urban Development, Department of			105,354,751	9,873,142
INTERIOR, DEPARTMENT OF THE						
15	Cheyenne Eagle Butte School Se	VARIOUS Cheyenne Eagle Butte School	USU		2,000	
15	Department of the Interior	VARIOUS	UOU		8,353	
15	Department of the Interior	VARIOUS	USU		15,899	
15	Tuba City Training	VARIOUS Tuba City Boarding School	USU		24,500	
15	BLM Taylor Grazing	None	DAG		117,588	
			Subtotal – 15		168,340	
15.224	Cultural and Paleontological Resources Management	VARIOUS	DNR		28,352	
15.224	Cultural and Paleontological Resources Management	VARIOUS	DHA		58,250	
15.224	Cultural and Paleontological Resources Management	VARIOUS	SUU		81,224	
			Subtotal – 15.224		167,826	
15.225	Recreation Resource Management	VARIOUS	USU		-1,132	
15.225	Recreation Resource Management	VARIOUS	DNR		23,852	
15.225	Recreation Resource Management	VARIOUS	SUU		57,527	
			Subtotal – 15.225		80,247	
15.228	BLM Wildland Urban Interface Community Fire Assistance	VARIOUS	DNR		128,343	
			Subtotal – 15.228		128,343	

State of Utah
Schedule of Expenditures of Federal Awards
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CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
15.231	Fish, Wildlife and Plant Conservation Resource Management	VARIOUS	SUU		105,498	
15.231	Fish, Wildlife and Plant Conservation Resource Management	VARIOUS	DNR		6,824,972	
			Subtotal – 15.231		6,930,470	
15.233	Forests and Woodlands Resource Management	VARIOUS	DNR		4,803,050	
			Subtotal – 15.233		4,803,050	
15.236	Environmental Quality and Protection Resource Management	L16AC00228	DEQ		66,957	
15.236	Environmental Quality and Protection Resource Management	L17AC00178	DNR		891,698	
			Subtotal – 15.236		958,655	
15.237	Rangeland Resource Management	VARIOUS	USU		2,890	
			Subtotal – 15.237		2,890	
15.238	Challenge Cost Share	L14AC00352	DNR		52,562	
			Subtotal – 15.238		52,562	
15.243	BLM Youth Conservation	VARIOUS	USU		75,834	
15.243	BLM Youth Conservation	VARIOUS	SUU		470,942	
			Subtotal – 15.243		546,776	
15.250	Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining	S19AP20036-0001-G310	DNR		1,805,181	
			Subtotal – 15.250		1,805,181	
15.252	Abandoned Mine Land Reclamation (AMLR)	VARIOUS	DNR		3,122,056	
			Subtotal – 15.252		3,122,056	
15.427	Federal Oil and Gas Royalty Management State and Tribal Coordination	D16AC00009	TAX		558,333	
			Subtotal – 15.427		558,333	
15.503	B.O.R. - Olsen Neihart	09PG490017	DEQ		653	
			Subtotal – 15.503		653	
15.504	Diamond Fork Mitiagation Project	11-FC-UT-1810	DNR		3,748	
15.504	Bluehead Sucker Propagation	18FCUT-2270	DNR		9,940	
15.504	Provo River Restoration PRRP	10-FC-UT-1670	DNR		15,959	
15.504	Native Cutthroat Trout conservation	15FC-UT-2070	DNR		15,960	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
15.504	Establishment of the Provo River Delta	20FCUT-2370	DNR		20,783	
15.504	Southern Leatherside Chub Conservation	16FCUT-2060	DNR		25,537	
15.504	Provo River Restoration PRRP	20FCUT-2390	DNR		27,653	
15.504	Admin and Management	10-FC-UT-1660	DNR		27,654	
15.504	Interim Management of Mitigation Properties	20FCUT-2380	DNR		37,886	
15.504	Utah Lake Wetland Preserve	20FCUT-2360	DNR		80,106	
15.504	Utah Lake Wetland Preserve/Juab Co	10-FC-UT-1650	DNR		172,125	
			Subtotal – 15.504		437,351	
15.509	BOR/COLORADO RIVER BASIN SALINITY	R16AC0023	DAG		409,898	253,554
			Subtotal – 15.509		409,898	253,554
15.511	BOR Data Sharing	R16AC00094	DHA		5,015	
15.511	CURATION OF BOR COLLECTIONS	R16AP00020	UOU		27,240	
			Subtotal – 15.511		32,255	
15.517	Native Species Conservation	VARIOUS	DNR		176,747	
			Subtotal – 15.517		176,747	
15.524	BOR Steinaker/Red Fleet	R14AC00071	DNR		393,902	
15.524	BOR Echo	R18AP00051	DNR		430,815	
			Subtotal – 15.524		824,717	
15.529	Razor Back Sucker	R16AP00164	DNR		60,551	
15.529	Upper Colorado River Recovery	R14AP00007	DNR		63,204	
15.529	San Juan Non-native Lower San Juan	R18AC00053	DNR		198,646	
15.529	Upper Colorado River Recovery	R19AP00059	DNR		973,375	
			Subtotal – 15.529		1,295,776	
15.535	Upper Colorado River Basin Fish and Wildlife Mitigation	R19AC00017	DNR		170,200	
			Subtotal – 15.535		170,200	
15.608	Fish and Wildlife Management Assistance	VARIOUS	DNR		295,385	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
			Subtotal – 15.608		295,385	
15.615	Cooperative Endangered Species Conservation Fund	VARIOUS	DNR		3,137,340	
			Subtotal – 15.615		3,137,340	
15.634	State Wildlife Grants	VARIOUS	DNR		688,056	
			Subtotal – 15.634		688,056	
15.654	National Wildlife Refuge System Enhancements	F20AC00200	DSU		1,829	
			Subtotal – 15.654		1,829	
15.655	Migratory Bird Monitoring, Assessment and Conservation	VARIOUS	DNR		27,214	
			Subtotal – 15.655		27,214	
15.670	Adaptive Science	VARIOUS	DNR		250,000	
			Subtotal – 15.670		250,000	
15.678	Cooperative Ecosystem Studies Units	VARIOUS	UOU		-2,062	
15.678	Cooperative Ecosystem Studies Units	F20AC00259	WSU		336	
			Subtotal – 15.678		-1,726	
15.807	Earthquake Hazards Reduction Program	VARIOUS	DNR		62,631	
15.807	Earthquake Hazards Reduction Program	VARIOUS	UOU		726,706	
			Subtotal – 15.807		789,337	
15.808	U.S. Geological Survey_ Research and Data Collection	VARIOUS	UOU		296,491	
			Subtotal – 15.808		296,491	
15.810	National Cooperative Geologic Mapping	VARIOUS	DNR		146,848	
			Subtotal – 15.810		146,848	
15.814	National Geological and Geophysical Data Preservation	G19AP00089	DNR		93,322	
			Subtotal – 15.814		93,322	
15.817	National Geospatial Program: Building The National Map	G20AC00110	DTS		600,000	
			Subtotal – 15.817		600,000	
15.818	Volcano Hazards Program Research and Monitoring	VARIOUS	UOU		309,387	
			Subtotal – 15.818		309,387	
15.904	Historic Preservation Fund Grants-In-Aid	VARIOUS	DHA		849,654	182,937

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
			Subtotal – 15.904		849,654	182,937
15.916	Outdoor Recreation_Acquisition, Development and Planning	VARIOUS	DNR		1,078,491	
			Subtotal – 15.916		1,078,491	
15.931	Conservation Activities by Youth Service Organizations	VARIOUS	USU		196,232	
			Subtotal – 15.931		196,232	
15.944	Natural Resource Stewardship	VARIOUS	DNR		65,609	
			Subtotal – 15.944		65,609	
15.945	Cooperative Research and Training Programs – Resources of the National Park System	P18AC01342	DNR		27,600	
15.945	Cooperative Research and Training Programs – Resources of the National Park System	P15AC01869	DSU		32,375	
15.945	Cooperative Research and Training Programs – Resources of the National Park System	VARIOUS	UOU		35,793	
15.945	Cooperative Research and Training Programs – Resources of the National Park System	VARIOUS	SUU		858,782	
			Subtotal – 15.945		954,550	
15.980	National Ground-Water Monitoring Network	VARIOUS	DNR		9,919	
			Subtotal – 15.980		9,919	
		Subtotal – Interior, Department of the			32,460,264	436,491
JUSTICE, DEPARTMENT OF						
16	DOJ/US Marshal's Joint Law Enforcement Operations Task Force	JLEOTFS4	AG		780	
16	National Mentoring Program 10	VARIOUS National 4-H Council	USU		914	
16	Department of Justice	VARIOUS	INS		5,971	
16	RIC-AAU TRAFFICKING	NONE 10050538 ASIAN ASSOCIATION OF UTAH	UOU		7,062	
16	JLTC Contract - DOJ, DEA	VARIOUS	UNG		5,341,909	
			Subtotal – 16		5,356,636	
16.017	Sexual Assault Services Formula Program	VARIOUS	GOV		354,316	345,516
			Subtotal – 16.017		354,316	345,516
16.034	(COVID-19) Emergency Supplemental Funding Program	2020-VDBX-0025	GOV	COVID-19	597	
			Subtotal – 16.034		597	

State of Utah
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2020

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
16.320	AAU TIP	N0NE 10040944 ASIAN ASSOCIATION OF UTAH	UOU		-1	
16.320	Services for Trafficking Victims	2018-VT-BX-K085	AG		227,723	
			Subtotal – 16.320		227,722	
16.525	Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus	VARIOUS	UOU		16,283	3,294
16.525	Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus	VARIOUS	USU		41,129	
			Subtotal – 16.525		57,412	3,294
16.529	Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities	2018-FW-AX-K006	DHS		84,870	1,600
			Subtotal – 16.529		84,870	1,600
16.540	Juvenile Justice and Delinquency Prevention_Allocation to States	VARIOUS	GOV		300,475	246,089
			Subtotal – 16.540		300,475	246,089
16.543	Missing Children's Assistance	2018-MC-FX-K022	AG		371,131	
			Subtotal – 16.543		371,131	
16.550	State Justice Statistics Program for Statistical Analysis Centers	VARIOUS	GOV		367,694	329,471
			Subtotal – 16.550		367,694	329,471
16.560	National Institute of Justice Research, Evaluation, and Development Project Grants	2019NEBX0001	DPS		7,090	7,090
			Subtotal – 16.560		7,090	7,090
16.575	Crime Victim Assistance	VARIOUS	GOV		23,113,840	20,306,393
			Subtotal – 16.575		23,113,840	20,306,393
16.576	Crime Victim Compensation	VARIOUS	GOV		2,387,672	
			Subtotal – 16.576		2,387,672	
16.582	Crime Victim Assistance/Discretionary Grants	2019-V3-GX-0013	DHS		50,680	
16.582	Crime Victim Assistance/Discretionary Grants	VARIOUS	GOV		148,619	
			Subtotal – 16.582		199,299	
16.585	Drug Court Discretionary Grant Program	2017-DC-BX-0015	DHS		512,539	339,558
			Subtotal – 16.585		512,539	339,558
16.588	Violence Against Women Formula Grants	VARIOUS	GOV		1,759,146	1,469,806

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
			Subtotal – 16.588		1,759,146	1,469,806
16.593	Residential Substance Abuse Treatment for State Prisoners	VARIOUS	GOV		146,551	143,399
			Subtotal – 16.593		146,551	143,399
16.606	State Criminal Alien Assistance Program	VARIOUS	DOC		568,492	
			Subtotal – 16.606		568,492	
16.726	National Mentoring Program 10	VARIOUS National 4-H Council	USU		4,065	
16.726	4-H Mentoring NMP Lead Advisor	2018-JU-FX-0005 National 4-H Council	USU		16,963	
16.726	National Mentoring Program 9	2018-JU-FX-0005 (SUB) National 4-H Council	USU		32,691	
16.726	4-H Health Rocks! Mentoring Pi	2018-JU-FX-0005 (SUB) National 4-H Council	USU		32,785	
16.726	Juvenile Mentoring Program	VARIOUS	USU		261,793	
			Subtotal – 16.726		348,297	
16.734	Special Data Collections and Statistical Studies	2016FUCXK068	DPS		11,056	
			Subtotal – 16.734		11,056	
16.738	Edward Byrne Memorial Justice Assistance Grant Program	VARIOUS	GOV		1,525,012	1,432,797
			Subtotal – 16.738		1,525,012	1,432,797
16.741	DNA Backlog Reduction Program	VARIOUS	DPS		276,503	
			Subtotal – 16.741		276,503	
16.742	Paul Coverdell Forensic Sciences Improvement Grant Program	VARIOUS	DPS		129,463	45,569
			Subtotal – 16.742		129,463	45,569
16.751	Edward Byrne Memorial Competitive Grant Program	VARIOUS	GOV		47,602	47,602
			Subtotal – 16.751		47,602	47,602
16.754	Harold Rogers Prescription Drug Monitoring Program	VARIOUS	DOH		891,089	210,053
			Subtotal – 16.754		891,089	210,053
16.758	OJJDP FY18 Victims of Child Abuse (VOCA) Children's Advocay Centers National Subgrants Program	SALT-UT--3QIAA20 National Children's Alliance	AG		132,008	84,000
			Subtotal – 16.758		132,008	84,000
16.812	Second Chance Act Reentry Initiative	2017-MU-MU-0027	DHS		290,541	
16.812	Second Chance Act Reentry Initiative	VARIOUS	DOC		960,781	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
			Subtotal – 16.812		1,251,322	
16.813	NICS Act Record Improvement Program	2018-NSBX-K019	GOV		74,010	74,010
			Subtotal – 16.813		74,010	74,010
16.816	John R. Justice Prosecutors and Defenders Incentive Act	2019-RJ-BX-0014	AG		36,457	
			Subtotal – 16.816		36,457	
16.833	National Sexual Assault Kit Initiative	VARIOUS	GOV		1,103,314	1,028,711
			Subtotal – 16.833		1,103,314	1,028,711
16.922	Equitable Sharing Program	VARIOUS	AG		1,665	
16.922	Equitable Sharing Program (Palantir System)	UTEQ00030 Salt Lake City Police Department	DPS		207,503	
			Subtotal – 16.922		209,168	
			Subtotal – Justice, Department of		41,850,783	26,114,958
LABOR, DEPARTMENT OF						
17	Jobs for Veterans' State Grant: Consolidated DVOP LVER	VARIOUS	DWS		40,616	
			Subtotal – 17		40,616	
17.002	Labor Force Statistics	VARIOUS	DWS		1,123,926	
			Subtotal – 17.002		1,123,926	
17.005	Compensation and Working Conditions	VARIOUS	LBR		96,793	
			Subtotal – 17.005		96,793	
17.225	Unemployment Insurance	VARIOUS	DWS		26,738,927	148,939
17.225	STATE FUNDED UNEMPLOYMENT EXPENDITURES UI	VARIOUS	DWS		447,269,147	
17.225	(COVID-19) - Unemployment Insurance	VARIOUS	DWS	COVID-19	712,808,706	
			Subtotal – 17.225		1,186,816,780	148,939
17.235	Senior Community Service Employment Program	AD-33714O60	DHS		477,857	456,857
			Subtotal – 17.235		477,857	456,857
17.245	Trade Adjustment Assistance	VARIOUS	DWS		972,393	
			Subtotal – 17.245		972,393	
17.268	H-1B Job Training Grants	VARIOUS	SUU		44,899	
17.268	H-1B Job Training Grants	HG33045-19-60-A-49	WSU		93,485	24,906

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
			Subtotal – 17.268		138,384	24,906
17.271	Work Opportunity Tax Credit Program (WOTC)	VARIOUS	DWS		143,198	
			Subtotal – 17.271		143,198	
17.273	Temporary Labor Certification for Foreign Workers	VARIOUS	DWS		213,275	
			Subtotal – 17.273		213,275	
17.274	Youthbuild	YB-21310-11-60-A-49	OWATC		432,186	
			Subtotal – 17.274		432,186	
17.285	Apprenticeship USA Grants	AP-29333-16-55-A-49	DWS		150,686	
			Subtotal – 17.285		150,686	
17.503	Occupational Safety and Health_State Program	VARIOUS	LBR		1,646,300	
			Subtotal – 17.503		1,646,300	
17.504	Consultation Agreements	VARIOUS	LBR		678,000	
			Subtotal – 17.504		678,000	
17.600	Mine Health and Safety Grants	VARIOUS	USU		262,497	
			Subtotal – 17.600		262,497	
			Subtotal – Labor, Department of		1,193,192,891	630,702
MISCELLANEOUS						
99	Miscellaneous	VARIOUS	USU		6,127	
99	(COVID-19) CARES Act CPB Funding	VARIOUS CPB	USU	COVID-19	112,136	
			Subtotal – 99		118,263	
			Subtotal – Miscellaneous		118,263	
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION						
43	ABGRADCON 2	GT ABRADCON2 GEORGIA INSTITUTE OF TECHNOLOGY	UOU		24,064	
43	Los Alamos National Security	433324	SUU		33,166	
43	National Aeronautics and Space Administration	VARIOUS	UOU		58,547	
			Subtotal – 43		115,777	
43.001	Science	VARIOUS	USU		16,618	
43.001	Science	NNX15AN37G	WSU		22,243	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
43.001	Science	VARIOUS	USU		41,392	
			Subtotal – 43.001		80,253	
43.008	Education	VARIOUS	UOU		764,528	572,306
			Subtotal – 43.008		764,528	572,306
43.012	Space Technology	VARIOUS	UOU		12,278	
43.012	US-COMP INSTITUTE	1607060Z10 MICHIGAN TECHNOLOGICAL UNIVERSITY	UOU		377,587	21,295
			Subtotal – 43.012		389,865	21,295
		Subtotal – National Aeronautics and Space Administration			1,350,423	593,601
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION						
89.003	National Historical Publications and Records Grants	VARIOUS	DAS		33,716	21,915
			Subtotal – 89.003		33,716	21,915
		Subtotal – National Archives and Records Administration			33,716	21,915
NATIONAL SCIENCE FOUNDATION						
47.050	Geosciences	EAR-1347558	WSU		7,553	
			Subtotal – 47.050		7,553	
47.076	Education and Human Resources	VARIOUS	DHA		42,614	
			Subtotal – 47.076		42,614	
		Subtotal – National Science Foundation			50,167	
NUCLEAR REGULATORY COMMISSION						
77.008	U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program	VARIOUS	UOU		142,315	
			Subtotal – 77.008		142,315	
		Subtotal – Nuclear Regulatory Commission			142,315	
SECURITIES AND EXCHANGE COMMISSION						
58	Securities and Exchange Commission	MOD24000-16-0001 MODOCAFY 18IPAHANSEN	WSU		8,470	
			Subtotal – 58		8,470	
		Subtotal – Securities and Exchange Commission			8,470	
SMALL BUSINESS ADMINISTRATION						
59.037	(COVID-19) Small Business Development Centers	VARIOUS	USU	COVID-19	3,218	

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
59.037	Small Business Development Centers	VARIOUS	USU		1,268,719	989,278
			Subtotal – 59.037		1,271,937	989,278
			Subtotal – Small Business Administration		1,271,937	989,278
SOCIAL SECURITY ADMINISTRATION						
96	Social Security Administration	VARIOUS	DWS		2,504,005	44,515
			Subtotal – 96		2,504,005	44,515
96.008	Social Security - Work Incentives Planning and Assistance Program	WIP1605048605	DWS		110,000	
			Subtotal – 96.008		110,000	
			Subtotal – Social Security Administration		2,614,005	44,515
STATE, DEPARTMENT OF						
19.009	UGRAD SP19-FA19	UGRAD-PAKISTAN FY17 3073-01 INTL RESEARCH & EXCHANGES BOARD	UOU		101,404	
			Subtotal – 19.009		101,404	
			Subtotal – State, Department of		101,404	
TRANSPORTATION, DEPARTMENT OF						
20	Motor Fuel Tax Compliance	UT STC FTE 1(649)(01)	TAX		1,381	
20	Department of Transportation	VARIOUS	UOU		2,117,980	
			Subtotal – 20		2,119,361	
20.106	Airport Improvement Program	VARIOUS	DOT		499,386	
			Subtotal – 20.106		499,386	
20.200	Highway Research and Development Program	VARIOUS	DOT		115,950	
			Subtotal – 20.200		115,950	
20.215	Highway Training and Education	VARIOUS	DOT		140,610	
			Subtotal – 20.215		140,610	
20.232	Commercial Driver's License Program Improvement Grant	FMCDL026717	DPS		6,896	
			Subtotal – 20.232		6,896	
20.237	Motor Carrier Safety Assistance High Priority Activities Grants and Cooperative Agreements	VARIOUS	DOT		516,462	
			Subtotal – 20.237		516,462	
20.505	Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research	VARIOUS	DOT		101,426	95,032

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
			Subtotal – 20.505		101,426	95,032
20.509	Formula Grants for Rural Areas	VARIOUS	DOT		4,755,789	5,573,380
			Subtotal – 20.509		4,755,789	5,573,380
20.528	Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program	VARIOUS	DOT		233,211	
			Subtotal – 20.528		233,211	
20.614	National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants	VARIOUS	DPS		79,565	
			Subtotal – 20.614		79,565	
20.700	Pipeline Safety Program State Base Grant	DOT-GB-90041	CRC		344,680	
			Subtotal – 20.700		344,680	
20.703	Interagency Hazardous Materials Public Sector Training and Planning Grants	VARIOUS	DPS		313,215	48,583
			Subtotal – 20.703		313,215	48,583
20.933	National Infrastructure Investments	VARIOUS	DOT		3,627,685	
			Subtotal – 20.933		3,627,685	
		Subtotal – Transportation, Department of			12,854,236	5,716,995
TREASURY, DEPARTMENT OF THE						
21	Treasury Equitable Sharing Forfeiture	UT018015A	AG		10,149	
			Subtotal – 21		10,149	
21.008	Low Income Taxpayer Clinics	VARIOUS	UOU		34,681	
			Subtotal – 21.008		34,681	
21.019	(COVID-19) Interest Earned on Advanced Funds	None	GOV	COVID-19	2,101,266	
21.019	(COVID-19) Relief Fund - Loans Made	None	GOV	COVID-19	10,955,000	
21.019	(COVID-19) Relief Fund	None	GOV	COVID-19	138,986,234	54,782,366
			Subtotal – 21.019		152,042,500	54,782,366
		Subtotal – Treasury, Department of the			152,087,330	54,782,366
VETERANS AFFAIRS, DEPARTMENT OF						
64	Department of Veterans Affairs	VARIOUS	DSU		2,960	
64	Department of Veterans Affairs	VARIOUS	USU		16,391	

State of Utah
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2020

CFDA	Name	Award/Contract Name of Pass-through Entity	Agency	COVID-19	Expenditures	Provided to Subrecipients
OTHER PROGRAMS						
64	Department of Veterans Affairs	660-C64019	DEQ		54,152	
64	Department of Veterans Affairs	V101 (223C) P-5459	DVA		204,384	
64	VA Interagency Personnel Agreement (IPA)	VARIOUS	UOU		10,799,188	
				Subtotal – 64	11,077,075	
64.012	Veterans Prescription Service	VA259-14-D-0317	DVA		844,800	
				Subtotal – 64.012	844,800	
64.015	Veterans State Nursing Home Care	None	DVA		33,117,041	
				Subtotal – 64.015	33,117,041	
64.034	VA Assistance to United States Paralympic Integrated Adaptive Sports Program	VARIOUS	UOU		32,596	
64.034	VA Assistance to United States Paralympic Integrated Adaptive Sports Program	VARIOUS	USU		44,923	
				Subtotal – 64.034	77,519	
64.101	Burial Expenses Allowance for Veterans	None	DVA		253,021	
				Subtotal – 64.101	253,021	
				Subtotal – Veterans Affairs, Department of	45,369,456	
				TOTAL FEDERAL EXPENDITURES	7,904,153,953	886,670,237

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SCHEDULE OF EXPENDITURES

OF FEDERAL AWARDS

By State Agency

State of Utah
Schedule of Expenditures of Federal Awards By State Agency
For the Year Ended June 30, 2020

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
ADMINISTRATIVE SERVICES, DEPARTMENT OF						
39.003	Donation of Federal Surplus Personal Property	VARIOUS			433,561	
89.003	National Historical Publications and Records Grants	VARIOUS			33,716	21,915
		Subtotal – Administrative Services, Department Of			467,277	21,915
AGRICULTURE AND FOOD, DEPARTMENT OF						
10	US Forest Service	None			60,000	
10.025	Plant and Animal Disease, Pest Control, and Animal Care	VARIOUS			316,651	
10.069	Conservation Reserve Program	68-8D43-17-506			219,088	
10.162	Inspection Grading and Standardization	VARIOUS			58,734	
10.163	Market Protection and Promotion	12-34-A-507			158,343	
10.170	Specialty Crop Block Grant Program - Farm Bill	VARIOUS			237,844	227,990
10.435	State Mediation Grants	1552-003-087600545			5,349	
10.475	Cooperative Agreements with States for Intrastate Meat and Poultry Inspection	VARIOUS			1,357,362	
15	BLM Taylor Grazing	None			117,588	
15.509	BOR/COLORADO RIVER BASIN SALINITY	R16AC0023			409,898	253,554
66.700	Consolidated Pesticide Enforcement Cooperative Agreements	VARIOUS			530,760	
93	Association of Food and Drug Officials	None			4,572	
93.103	Food and Drug Administration_Research	VARIOUS			1,406,750	
93.449	Ruminant Feed Ban Support Project	HHSF223201810011C			32,171	
		Subtotal – Agriculture And Food, Department Of			4,915,110	481,544
ATTORNEY GENERAL						
16	DOJ/US Marshal's Joint Law Enforcement Operations Task Force	JLEOTFS4			780	
16.320	Services for Trafficking Victims	2018-VT-BX-K085			227,723	
16.543	Missing Children's Assistance	2018-MC-FX-K022			371,131	
16.758	OJJDP FY18 Victims of Child Abuse (VOCA) Children's Advocay Centers National Subgrants Program	SALT-UT--3QIAA20 National Children's Alliance			132,008	84,000
16.816	John R. Justice Prosecutors and Defenders Incentive Act	2019-RJ-BX-0014			36,457	
16.922	Equitable Sharing Program	VARIOUS			1,665	

State of Utah
Schedule of Expenditures of Federal Awards By State Agency
For the Year Ended June 30, 2020

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
21	Treasury Equitable Sharing Forfeiture	UT018015A			10,149	
93.643	Children's Justice Grants to States	G1801UTCJA1			224,453	
93.775	State Medicaid Fraud Control Units	VARIOUS			1,750,508	
		Subtotal – Attorney General			2,754,874	84,000
COMMERCE, DEPARTMENT OF						
20.700	Pipeline Safety Program State Base Grant	DOT-GB-90041			344,680	
		Subtotal – Commerce, Department Of			344,680	
CORRECTIONS, DEPARTMENT OF						
16.606	State Criminal Alien Assistance Program	VARIOUS			568,492	
16.812	Second Chance Act Reentry Initiative	VARIOUS			960,781	
		Subtotal – Corrections, Department Of			1,529,273	
EDUCATION, UTAH STATE BOARD OF						
10.178	Trade Mitigation Program Eligible Recipient Agency Operational Funds	VARIOUS			342,000	342,000
10.534	CACFP Meal Service Training Grants	188UT014N7604			-2,174	
10.553	School Breakfast Program	VARIOUS			15,173,150	15,173,150
10.553	(COVID-19) School Breakfast Program	206UT411N8503		COVID-19	16,836,518	16,836,518
10.555	National School Lunch Program	In-kind Commodities			15,932,922	15,932,922
10.555	(COVID-19) National School Lunch Program	206UT411N8503		COVID-19	43,793,504	43,793,504
10.555	National School Lunch Program	VARIOUS			85,891,462	85,757,577
10.556	(COVID-19) Special Milk Program	206UT411N8503		COVID-19	870	870
10.556	Special Milk Program for Children	VARIOUS			24,232	24,232
10.558	Child and Adult Care Food Program	VARIOUS			2,197,555	2,197,555
10.559	Summer Food Service Program for Children	VARIOUS			440,828	416,195
10.559	(COVID-19) Summer Food Service Program	206UT411N8503		COVID-19	630,530	630,530
10.560	State Administrative Expenses for Child Nutrition	VARIOUS			2,475,658	
10.568	Emergency Food Assistance Program (Administrative Costs)	VARIOUS			777,191	777,191
10.569	Emergency Food Assistance Program (Food Commodities)	In-kind Commodities			14,340,276	14,340,276
10.579	Child Nutrition Discretionary Grants Limited Availability	183UT608N8103			79,783	79,783

State of Utah
Schedule of Expenditures of Federal Awards By State Agency
For the Year Ended June 30, 2020

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
10.582	Fresh Fruit and Vegetable Program	VARIOUS			2,853,355	2,853,355
84	National Assessment of Educational Progress	VARIOUS			169,763	
84.002	Adult Education - Basic Grants to States	VARIOUS			3,909,409	3,410,979
84.010	Title I Grants to Local Educational Agencies	VARIOUS			87,251,911	86,228,168
84.011	Migrant Education_State Grant Program	VARIOUS			1,450,595	1,249,421
84.013	Title I State Agency Program for Neglected and Delinquent Children and Youth	VARIOUS			947,790	743,875
84.027	Special Education_Grants to States	VARIOUS			119,066,719	111,142,034
84.048	Career and Technical Education -- Basic Grants to States	VARIOUS			15,357,216	13,998,962
84.144F	Migrant Education_Coordination Program	VARIOUS			68,000	
84.173	Special Education_Preschool Grants	VARIOUS			3,474,279	3,471,710
84.196	Education for Homeless Children and Youth	VARIOUS			416,024	316,810
84.287	Twenty-First Century Community Learning Centers	VARIOUS			5,638,102	5,345,158
84.323	Special Education - State Personnel Development	H323A170024			825,985	454,120
84.325A	Collaboration for Educator Development, Accountability, and Reform (CEEDAR)	H325A120003 University of Florida			6,868	
84.326	Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	H326T180005			117,687	
84.358B	Rural Education	VARIOUS			-7,194	-7,494
84.365	English Language Acquisition State Grants	VARIOUS			4,713,588	4,534,662
84.367	Supporting Effective Instruction State Grants	VARIOUS			12,881,994	12,295,930
84.369	Grants for State Assessments and Related Activities	VARIOUS			6,141,353	
84.372A	Statewide Longitudinal Data Systems	R372A150014			1,882,244	
84.377	School Improvement Grants	VARIOUS			-100,105	-133,224
84.424A	Student Support and Academic Enrichment Program	VARIOUS			3,703,958	3,605,902
84.425C	(COVID-19) Governor's Emergency Education Relief (GEER) Fund	S425C200031		COVID-19	1,097,968	1,097,968
84.425D	(COVID-19) Elementary and Secondary School Emergency Relief (ESSER) Fund	S425D200032		COVID-19	18,472,742	18,436,754
93.243	Substance Abuse and Mental Health Services_Projects of Regional and National Significance	VARIOUS			1,699,940	1,491,238
		Subtotal – Education, Utah State Board Of			490,974,496	466,838,631

State of Utah
Schedule of Expenditures of Federal Awards By State Agency
For the Year Ended June 30, 2020

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
ENVIRONMENTAL QUALITY, DEPARTMENT OF						
12.113	State Memorandum of Agreement Program for the Reimbursement of Technical Services	VARIOUS			589,450	
12.630	ECOS	ITRC-16-UT Environmental Council of States			4,268	
15.236	Environmental Quality and Protection Resource Management	L16AC00228			66,957	
15.503	B.O.R. - Olsen Neihart	09PG490017			653	
64	Department of Veterans Affairs	660-C64019			54,152	
66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act	VARIOUS			483,537	
66.039	National Clean Diesel Emissions Reduction Program	VARIOUS			598,828	
66.040	State Clean Diesel Grant Program	VARIOUS			3,926	
66.202	Congressionally Mandated Projects	VARIOUS			3,888,729	644,300
66.419	Water Pollution Control State, Interstate, and Tribal Program Support	VARIOUS			419,959	
66.454	Water Quality Management Planning	C6008567-19			107,069	
66.458	Capitalization Grants for Clean Water State Revolving Funds	VARIOUS			8,660,619	8,366,000
66.460	Nonpoint Source Implementation Grants	VARIOUS			894,841	576,304
66.461	Regional Wetland Program Development Grants	CD968526-01			113,734	
66.468	Capitalization Grants for Drinking Water State Revolving Funds	VARIOUS			11,454,533	8,618,000
66.605	Performance Partnership Grants	VARIOUS			7,988,164	396,510
66.608	Environmental Information Exchange Network Grant Program and Related Assistance	VARIOUS			179,715	
66.802	Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements	VARIOUS			1,428,441	
66.804	Underground Storage Tank Prevention, Detection and Compliance Program	VARIOUS			316,605	
66.805	Leaking Underground Storage Tank Trust Fund Corrective Action Program	VARIOUS			776,420	
66.813	ECOS	ITRC-16-UT Environmental Council of States			4,268	
66.817	State and Tribal Response Program Grants	VARIOUS			577,636	
66.956	Targeted Air Sheds Grant Program	VARIOUS			65,057	62,109
81.106	Waste Isolation Pilot Plant	30-312-08 WIPP Western Governor's Association			57,739	
81.136	Long-Term Surveillance and Maintenance	DEFG0107LM00113			12,528	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
81.502	ECOS	ITRC-16-UT Environmental Council of States			4,268	
		Subtotal – Environmental Quality, Department Of			38,752,096	18,663,223
GOVERNOR'S OFFICE						
16.017	Sexual Assault Services Formula Program	VARIOUS			354,316	345,516
16.034	(COVID-19) Emergency Supplemental Funding Program	2020-VDBX-0025		COVID-19	597	
16.540	Juvenile Justice and Delinquency Prevention_Allocation to States	VARIOUS			300,475	246,089
16.550	State Justice Statistics Program for Statistical Analysis Centers	VARIOUS			367,694	329,471
16.575	Crime Victim Assistance	VARIOUS			23,113,840	20,306,393
16.576	Crime Victim Compensation	VARIOUS			2,387,672	
16.582	Crime Victim Assistance/Discretionary Grants	VARIOUS			148,619	
16.588	Violence Against Women Formula Grants	VARIOUS			1,759,146	1,469,806
16.593	Residential Substance Abuse Treatment for State Prisoners	VARIOUS			146,551	143,399
16.738	Edward Byrne Memorial Justice Assistance Grant Program	VARIOUS			1,525,012	1,432,797
16.751	Edward Byrne Memorial Competitive Grant Program	VARIOUS			47,602	47,602
16.813	NICS Act Record Improvement Program	2018-NSBX-K019			74,010	74,010
16.833	National Sexual Assault Kit Initiative	VARIOUS			1,103,314	1,028,711
21.019	(COVID-19) Interest Earned on Advanced Funds	None		COVID-19	2,101,266	
21.019	(COVID-19) Relief Fund - Loans Made	None		COVID-19	10,955,000	
21.019	(COVID-19) Relief Fund	None		COVID-19	138,986,234	54,782,366
81.041	State Energy Program Loans - Beginning Loan Balance	VARIOUS			1,379,443	
90.401	Help America Vote Act Requirements Payments	VARIOUS			901,501	899,448
		Subtotal – Governor's Office			185,652,292	81,105,608
GOVERNOR'S OFFICE OF ECONOMIC DEVELOPMENT						
12.002	Procurement Technical Assistance For Business Firms	VARIOUS			510,881	
		Subtotal – Governor's Office Of Economic Development			510,881	
GOVERNOR'S OFFICE OF ENERGY						
12.610	Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies	VARIOUS			41,826	41,826
81.041	State Energy Program	VARIOUS			467,393	89,230

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
81.119	State Energy Program Special Projects	VARIOUS			255,073	243,409
		Subtotal – Governor's Office Of Energy			764,292	374,465
HEALTH, DEPARTMENT OF						
10.331	Food Insecurity Nutrition Incentive Grants Program	20197003030405			18,959	
10.557	Special Supplemental Nutrition Program for Women, Infants, and Children	VARIOUS			33,909,986	31,420,364
10.565	Commodity Supplemental Food Program	6UT810819			291,769	
10.578	WIC Grants to States (WGS)	VARIOUS			3,710,439	41,660
16.754	Harold Rogers Prescription Drug Monitoring Program	VARIOUS			891,089	210,053
84.181A	Special Education-Grants for Infants and Families	H181A190111			5,689,730	4,668,371
93	Department of Health and Human Services	2005-UT-5002			198,798	
93.065	Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure	5NU88EH001324-02			294,809	
93.068	Chronic Diseases: Research, Control, and Prevention	5NU58DP001005-04			134,583	
93.069	Public Health Emergency Preparedness	1NU90TP922027-01			6,669,578	4,380,023
93.070	Environmental Public Health and Emergency Response	VARIOUS			1,630,311	246,936
93.073	Birth Defects and Developmental Disabilities - Prevention and Surveillance	6NU50DD004948-04			179,246	
93.079	Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance	5NU87PS004342-02			85,599	
93.092	Affordable Care Act (ACA) Personal Responsibility Education Program	1801UTPREP			551,760	433,357
93.110	Maternal and Child Health Federal Consolidated Programs	VARIOUS			507,621	256,654
93.116	Project Grants and Cooperative Agreements for Tuberculosis Control Programs	1NU52PS910197-01			209,034	60,290
93.127	Emergency Medical Services for Children	5H33MC06680-14			105,616	96,626
93.130	Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices	6U68HP11436-11			174,641	6,838
93.136	Injury Prevention and Control Research and State and Community Based Programs	VARIOUS			4,772,903	631,765
93.165	Grants to States for Loan Repayment Program	5H56HP31914-02			157,500	
93.184	Disabilities Prevention	5NU27DD000003-04			154,368	
93.234	Traumatic Brain Injury State Demonstration Grant Program	90TBSG0043-02			106,625	
93.235	Affordable Care Act (ACA) Abstinence Education Program	1901UTSRAE			348,258	265,059

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
93.240	State Capacity Building	6NU61TS000279-03			276,425	
93.241	State Rural Hospital Flexibility Program	VARIOUS			397,972	144,394
93.251	Universal Newborn Hearing Screening	VARIOUS			254,919	66,043
93.268	Immunization Cooperative Agreements	VARIOUS			29,535,339	27,157,615
93.270	Adult Viral Hepatitis Prevention and Control	VARIOUS			326,429	600
93.283	Centers for Disease Control and Prevention_Investigations and Technical Assistance	6NU50OE000085-04			55,055	13,375
93.296	State Partnership Grant Program to Improve Minority Health	5STTMP151108-05			145,137	14,950
93.301	Small Rural Hospital Improvement Grant Program	VARIOUS			212,616	91,686
93.301	(COVID-19) Coronavirus State Hospital Improvement Program	1H3JRH37424-01		COVID-19	923,761	920,880
93.305	National State Based Tobacco Control Programs	VARIOUS			1,114,422	431,310
93.314	Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program	6NUR3DD000089-03			150,763	
93.323	(COVID-19) Epidemiology and Lab Capacity for Infectious Disease (ELC)	6NU50CK000536-01		COVID-19	342,844	14,766
93.323	Epidemiology and Laboratory Capacity for Infectious Diseases (ELC)	5NU50CK000372-05			4,670,428	258,145
93.336	Behavioral Risk Factor Surveillance System	6NU58DP006051-05			526,229	
93.354	Public Health Emergency Response: Cooperative Agreement for Emergency Response: Public Health Crisis Response	6NU90TP921966-01			1,440,584	169,303
93.354	(COVID-19) Cooperative Agreement for Emergency Response:Public Health Crisis Response	1NU90TP922119-01		COVID-19	2,907,722	245,000
93.380	The CDC Public Health Cancer Genomics Program: Translating Research into Public Health Practice	6NU58DP006698-01			212,436	
93.426	Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke-Financed in part by 2018 Prevention and Public Health Funds	6NU58DP006512-02			1,581,181	273,228
93.435	Innovative State and Local Public Health Strategies to prevent and Manage Diabetes and Heart Disease and Stroke-	6NU58DP006609-02			1,867,867	1,140,688
93.436	WELL-INTEGRATED SCREENING AND EVALUATION FOR WOMEN ACROSS THE NATION (WISEWOMAN)	5NU58DP006652-02			1,064,976	330,701
93.439	State Physical Activity and Nutrition (SPAN	5NU58DP006496-02			905,068	233,542
93.478	Preventing Maternal Deaths: Supporting Maternal Mortality Review Committees	1NU58DP006685-01			164,569	5,720

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
93.521	The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements;PPHF	6U50CK000372-04			25,666	
93.576	Refugee and Entrant Assistance_Discretionary Grants	90RX0305-03			57,705	57,705
93.734	Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs – financed by Prevention and Public Health Funds (PPHF)	VARIOUS			216,037	
93.735	State Public Health Approaches for Ensuring Quitline Capacity – Funded in part by Prevention and Public Health Funds (PPHF)	6NU58DP005339-04			77,760	
93.761	Evidence-Based Falls Prevention Programs Financed Solely by Prevention and Public Health Funds (PPHF)	90FPSG0007-01			191,875	78,081
93.767	Children's Health Insurance Program	VARIOUS			125,538,837	391,431
93.777	State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare	VARIOUS			4,029,348	
93.778	(COVID-19) (MED) Title 19 Medical Assistance Payments	2005UT5MAP (COVID19)		COVID-19	85,769,287	1,194,893
93.778	Medical Assistance Program	VARIOUS			2,234,492,739	23,151,408
93.815	Domestic Ebola Supplement to the Epidemiology and Laboratory Capacity for Infectious Diseases (ELC).	6NU50CK000372-01			119,933	
93.817	Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities	6U3REP150501-01			174,039	168,245
93.870	Maternal, Infant and Early Childhood Home Visiting Grant Program	1X10MC32223-01			2,559,289	2,117,030
93.889	(COVID-19) National Bioterrorism Hospital Preparedness Program (HPP)	6UREP190560-01		COVID-19	70,841	70,841
93.889	National Bioterrorism Hospital Preparedness Program	1U3REP190560-01			1,867,164	1,350,855
93.898	Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations	6NU58DP006321-03			3,013,081	202,200
93.913	Grants to States for Operation of State Offices of Rural Health	6H95RH00129-29			166,041	5,625
93.917	(COVID-19) Ryan White HIV/AIDS Program Part B	1X7CHA36914-01		COVID-19	18,158	
93.917	HIV Care Formula Grants	VARIOUS			7,848,861	411,903
93.940	HIV Prevention Activities_Health Department Based	6NU62PS924568-02			954,549	64,641
93.945	Assistance Programs for Chronic Disease Prevention and Control	6NU58DP006454-02			258,486	
93.946	Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs	VARIOUS			318,999	
93.977	Preventive Health Services_Sexually Transmitted Diseases Control Grants	VARIOUS			564,340	34,247
93.991	Preventive Health and Health Services Block Grant	6NB01OT009217-01			1,488,512	1,067,436

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
93.994	Maternal and Child Health Services Block Grant to the States	1B04MC33870-01			6,139,328	1,784,261
		Subtotal – Health, Department Of			2,585,830,839	106,380,744
HERITAGE AND ARTS, DEPARTMENT OF						
15.224	Cultural and Paleontological Resources Management	VARIOUS			58,250	
15.511	BOR Data Sharing	R16AC00094			5,015	
15.904	Historic Preservation Fund Grants-In-Aid	VARIOUS			849,654	182,937
45.025	Promotion of the Arts_Partnership Agreements	VARIOUS			1,086,980	1,233,295
45.310	(COVID-19) State Grants	LS-246562-OLS-20		COVID-19	118,227	118,227
45.310	Grants to States	VARIOUS			2,221,408	705,220
47.076	Education and Human Resources	VARIOUS			42,614	
94.003	State Commissions	VARIOUS			288,710	
94.006	AmeriCorps	VARIOUS			4,277,178	4,196,640
94.009	Training and Technical Assistance	VARIOUS			337,482	9,946
		Subtotal – Heritage And Arts, Department Of			9,285,518	6,446,265
HUMAN SERVICES, DEPARTMENT OF						
16.529	Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities	2018-FW-AX-K006			84,870	1,600
16.582	Crime Victim Assistance/Discretionary Grants	2019-V3-GX-0013			50,680	
16.585	Drug Court Discretionary Grant Program	2017-DC-BX-0015			512,539	339,558
16.812	Second Chance Act Reentry Initiative	2017-MU-MU-0027			290,541	
17.235	Senior Community Service Employment Program	AD-33714O60			477,857	456,857
93.041	Special Programs for the Aging_Title VII, Chapter 3_Programs for Prevention of Elder Abuse, Neglect, and Exploitation	18AAUTT7EA			6,185	
93.042	Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals	VARIOUS			94,974	69,363
93.042	(COVID-19) Title VII CARES Ombudsman Services	2001UTOMC3		COVID-19	125,917	125,917
93.043	Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services	VARIOUS			154,204	154,204
93.044	(COVID-19) Title III B CARES Supportive Services	2001UTSSC3		COVID-19	1,109,045	1,109,045
93.044	Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers	VARIOUS			1,973,136	1,865,075

State of Utah
Schedule of Expenditures of Federal Awards By State Agency
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CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
93.045	(COVID-19) Title III Home Delivered Meals	VARIOUS		COVID-19	2,714,208	2,714,208
93.045	Special Programs for the Aging_Title III, Part C_Nutrition Services	VARIOUS			3,606,189	3,129,963
93.048	Special Programs for the Aging_Title IV_and Title II_Discretionary Projects	VARIOUS			218,641	183,314
93.052	(COVID-19) Title III E CARES Caregiver	2001UTFCC3		COVID-19	577,989	577,989
93.052	National Family Caregiver Support, Title III, Part E	VARIOUS			866,136	866,136
93.053	Nutrition Services Incentive Program	VARIOUS			1,319,685	1,319,685
93.071	Medicare Enrollment Assistance Program	VARIOUS			152,609	135,000
93.150	Projects for Assistance in Transition from Homelessness (PATH)	19SM16045A			557,741	549,273
93.243	Substance Abuse and Mental Health Services_Projects of Regional and National Significance	VARIOUS			6,753,543	4,207,965
93.324	State Health Insurance Assistance Program	VARIOUS			309,519	204,789
93.472	Title IV-E Prevention and Family Services and Programs	2001UTPSGP			165,916	
93.556	Promoting Safe and Stable Families	VARIOUS			2,040,527	100,000
93.563	Child Support Enforcement	VARIOUS			24,780,331	
93.590	Community-Based Child Abuse Prevention Grants	VARIOUS			373,046	
93.599	Chafee Education and Training Vouchers Program (ETV)	VARIOUS			195,172	
93.603	Adoption and Legal Guardianship Incentive Payments	VARIOUS			630,174	
93.630	Developmental Disabilities Basic Support and Advocacy Grants	VARIOUS			769,537	60,528
93.645	Stephanie Tubbs Jones Child Welfare Services Program	VARIOUS			3,946,700	
93.658	Foster Care_Title IV-E	VARIOUS			30,892,030	
93.659	Adoption Assistance	VARIOUS			12,708,347	
93.665	(COVID-19) Utah Emergency (COVID-19) Program for Mental Health/Substance Use Disorders	20FG00265AC3		COVID-19	100,678	87,108
93.667	Social Services Block Grant	VARIOUS			22,358,088	2,182,788
93.669	Child Abuse and Neglect State Grants	1801UTNCAN			563,618	
93.671	Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services	1901UTFVPS			1,295,758	
93.674	Chafee Foster Care Independence Program	1901UTCILP			790,957	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
93.732	Mental and Behavioral Health Education and Training Grants	17M01HP31269			248,874	
93.747	Elder Abuse Prevention Interventions Program	90EJSG004201			82,581	
93.788	Opioid STR	VARIOUS			10,942,696	7,599,845
93.958	Block Grants for Community Mental Health Services	VARIOUS			5,570,488	4,283,830
93.959	Block Grants for Prevention and Treatment of Substance Abuse	VARIOUS			19,370,293	17,025,277
93.997	Assisted Outpatient Treatment	16SM63545A			729,307	626,481
		Subtotal – Human Services, Department Of			160,511,326	49,975,798
INSURANCE, DEPARTMENT OF						
16	Department of Justice	VARIOUS			5,971	
		Subtotal – Insurance, Department Of			5,971	
JUDICIAL BRANCH						
93.586	State Court Improvement Program	VARIOUS			429,985	
93.597	Grants to States for Access and Visitation Programs	1901UTSAVP			100,000	
		Subtotal – Judicial Branch			529,985	
LABOR COMMISSION						
14.401	Fair Housing Assistance Program_State and Local	FF208K198003			261,700	
17.005	Compensation and Working Conditions	VARIOUS			96,793	
17.503	Occupational Safety and Health_State Program	VARIOUS			1,646,300	
17.504	Consultation Agreements	VARIOUS			678,000	
30.001	Employment Discrimination_Title VII of the Civil Rights Act of 1964	VARIOUS			350,402	
		Subtotal – Labor Commission			3,033,195	
NATURAL RESOURCES, DEPARTMENT OF						
10	Utah Dispatch Systems	18-FI-11046000-046			4,677	
10	Supplemental Fire Project Agreement (FBS)	19-FP-11046000-025			7,221	
10	USDA Forest Service Scanning	1284JC18P0003			14,720	
10	Coal Hollow & Pole Creek Landslide Inventory Mapping	19-CS-11041000-007			38,027	
10.664	Cooperative Forestry Assistance	VARIOUS			4,097,778	262,421
10.676	Forest Legacy Program	VARIOUS			943,069	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
10.680	Forest Health Protection	VARIOUS			20,187	
10.691	Good Neighbor Authority	VARIOUS			2,237,044	
10.699	Partnership Agreements	VARIOUS			1	
10.902	Soil and Water Conservation	VARIOUS			205,269	
10.914	Wildlife Habitat Incentive Program	VARIOUS			66,212	
10.916	Watershed Rehabilitation Program	VARIOUS			246,060	
10.932	Regional Conservation Partnership Program	VARIOUS			1,819,321	
15.224	Cultural and Paleontological Resources Management	VARIOUS			28,352	
15.225	Recreation Resource Management	VARIOUS			23,852	
15.228	BLM Wildland Urban Interface Community Fire Assistance	VARIOUS			128,343	
15.231	Fish, Wildlife and Plant Conservation Resource Management	VARIOUS			6,824,972	
15.233	Forests and Woodlands Resource Management	VARIOUS			4,803,050	
15.236	Environmental Quality and Protection Resource Management	L17AC00178			891,698	
15.238	Challenge Cost Share	L14AC00352			52,562	
15.250	Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining	S19AP20036-0001-G310			1,805,181	
15.252	Abandoned Mine Land Reclamation (AMLR)	VARIOUS			3,122,056	
15.504	Diamond Fork Mitiagation Project	11-FC-UT-1810			3,748	
15.504	Bluehead Sucker Propagation	18FCUT-2270			9,940	
15.504	Provo River Restoration PRRP	10-FC-UT-1670			15,959	
15.504	Native Cutthroat Trout conservation	15FC-UT-2070			15,960	
15.504	Establishment of the Provo River Delta	20FCUT-2370			20,783	
15.504	Southern Leatherside Chub Conservation	16FCUT-2060			25,537	
15.504	Provo River Restoration PRRP	20FCUT-2390			27,653	
15.504	Admin and Management	10-FC-UT-1660			27,654	
15.504	Interim Management of Mitigation Properties	20FCUT-2380			37,886	
15.504	Utah Lake Wetland Preserve	20FCUT-2360			80,106	

State of Utah
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For the Year Ended June 30, 2020

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
15.504	Utah Lake Wetland Preserve/Juab Co	10-FC-UT-1650			172,125	
15.517	Native Species Conservation	VARIOUS			176,747	
15.524	BOR Steinaker/Red Fleet	R14AC00071			393,902	
15.524	BOR Echo	R18AP00051			430,815	
15.529	Razor Back Sucker	R16AP00164			60,551	
15.529	Upper Colorado River Recovery	R14AP00007			63,204	
15.529	San Juan Non-native Lower San Juan	R18AC00053			198,646	
15.529	Upper Colorado River Recovery	R19AP00059			973,375	
15.535	Upper Colorado River Basin Fish and Wildlife Mitigation	R19AC00017			170,200	
15.605	Sport Fish Restoration	VARIOUS			7,270,803	
15.608	Fish and Wildlife Management Assistance	VARIOUS			295,385	
15.611	Wildlife Restoration and Basic Hunter Education	VARIOUS			12,976,836	
15.615	Cooperative Endangered Species Conservation Fund	VARIOUS			3,137,340	
15.634	State Wildlife Grants	VARIOUS			688,056	
15.655	Migratory Bird Monitoring, Assessment and Conservation	VARIOUS			27,214	
15.670	Adaptive Science	VARIOUS			250,000	
15.807	Earthquake Hazards Reduction Program	VARIOUS			62,631	
15.810	National Cooperative Geologic Mapping	VARIOUS			146,848	
15.814	National Geological and Geophysical Data Preservation	G19AP00089			93,322	
15.916	Outdoor Recreation_Acquisition, Development and Planning	VARIOUS			1,078,491	
15.944	Natural Resource Stewardship	VARIOUS			65,609	
15.945	Cooperative Research and Training Programs – Resources of the National Park System	P18AC01342			27,600	
15.954	National Park Service Conservation, Protection, Outreach, and Education	P18AC01435	R&D		11,008	
15.980	National Ground-Water Monitoring Network	VARIOUS			9,919	
20.219	Recreational Trails Program	VARIOUS			51,740	
20.219	Recreational Trails Program	VARIOUS			1,287,222	1,287,222

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
66.204	Multipurpose Grants to States and Tribes	96880401			17,572	
66.433	State Underground Water Source Protection	G00842619			83,000	
66.461	Regional Wetland Program Development Grants	VARIOUS			159,765	
66.608	Environmental Information Exchange Network Grant Program and Related Assistance	VARIOUS			92,412	
81.136	Long-Term Surveillance and Maintenance	DE-LM0000461			27,323	
97.012	Boating Safety Financial Assistance	3311FA110149			1,327,875	
97.041	National Dam Safety Program	VARIOUS			110,124	
		Subtotal – Natural Resources, Department Of			59,582,538	1,549,643
PUBLIC SAFETY, DEPARTMENT OF						
16.560	National Institute of Justice Research, Evaluation, and Development Project Grants	2019NEBX0001			7,090	7,090
16.734	Special Data Collections and Statistical Studies	2016FUCXK068			11,056	
16.741	DNA Backlog Reduction Program	VARIOUS			276,503	
16.742	Paul Coverdell Forensic Sciences Improvement Grant Program	VARIOUS			129,463	45,569
16.922	Equitable Sharing Program (Palantir System)	UTEQ00030 Salt Lake City Police Department			207,503	
20.232	Commercial Driver's License Program Improvement Grant	FMCDL026717			6,896	
20.600	State and Community Highway Safety	VARIOUS			2,241,081	489,059
20.614	National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants	VARIOUS			79,565	
20.616	National Priority Safety Programs	VARIOUS			1,946,049	600,999
20.703	Interagency Hazardous Materials Public Sector Training and Planning Grants	VARIOUS			313,215	48,583
95.001	High Intensity Drug Trafficking Areas Program	VARIOUS			654,708	319,246
97	EMAC Deployment (Hurricane Harvey)	Accrual for 1262-RR-6347 State of Texas			16,033	16,033
97	EMAC Deployment (Hurricane Michael)	Accrual for 1519-RR-8332 State of Florida			39,101	39,101
97	EMAC Deployment (July 2018 Mendocino Fire)	1470RR7926-7914 State of California			269,281	269,281
97.008	Non-Profit Security Program	EMW2018UA00041			45,955	45,955
97.023	Community Assistance Program State Support Services Element (CAP-SSSE)	EMD2019CA00001			104,690	
97.032	Crisis Counseling	4525DRUTISCC			72,143	70,283
97.036	Disaster Grants - Public Assistance (Presidentially Declared Disasters)	FEMA-4311DRUTP1			1,008,885	1,008,885

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
97.039	Hazard Mitigation Grant	4311DRUTP5UT500			72,628	72,628
97.042	Emergency Management Performance Grants	VARIOUS			4,839,619	1,639,964
97.045	Cooperating Technical Partners	VARIOUS			2,217,608	
97.046	Fire Management Assistance Grant	VARIOUS			677,159	677,159
97.047	Pre-Disaster Mitigation	VARIOUS			2,175,541	1,905,482
97.050	Presidential Declared Disaster Assistance to Individuals and Households - Other Needs	4548DRUT			73,126	21,976
97.067	Homeland Security Grant Program	VARIOUS			3,418,370	2,841,103
97.082	Earthquake Consortium	EMD2019CA00009			26,651	1,721
		Subtotal – Public Safety, Department Of			20,929,919	10,120,117
STUDENT ASSISTANCE PROGRAMS						
84.032	STUDENT LOAN PURCHASE PROGRAM, NET	VARIOUS			-15,131,765	
84.032	STUDENT LOAN GUARANTEE PROGRAM FEES	VARIOUS			643,073	
84.032	Federal Family Education Loans Reinsurance - Guarantees Made	VARIOUS			12,985,528	
84.032	Federal Family Education Loans Reinsurance - Beginning Guarantee Amount	VARIOUS			684,578,679	
		Subtotal – Student Assistance Programs			683,075,515	
TAX COMMISSION						
15.427	Federal Oil and Gas Royalty Management State and Tribal Coordination	D16AC00009			558,333	
20	Motor Fuel Tax Compliance	UT STC FTE 1(649)(01)			1,381	
		Subtotal – Tax Commission			559,714	
TECHNOLOGY SERVICES, DEPARTMENT OF						
15.817	National Geospatial Program: Building The National Map	G20AC00110			600,000	
		Subtotal – Technology Services, Department Of			600,000	
TRANSPORTATION, DEPARTMENT OF						
20.106	Airport Improvement Program	VARIOUS			499,386	
20.200	Highway Research and Development Program	VARIOUS			115,950	
20.200	Highway Research and Development Program	VARIOUS	R&D		1,606,097	
20.205	Highway Planning and Construction	VARIOUS	R&D		7,127,954	183,570
20.205	Highway Planning and Construction	VARIOUS			430,524,225	6,959,011

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
20.215	Highway Training and Education	VARIOUS			140,610	
20.218	Motor Carrier Safety Assistance	VARIOUS			3,208,554	
20.237	Motor Carrier Safety Assistance High Priority Activities Grants and Cooperative Agreements	VARIOUS			516,462	
20.505	Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research	VARIOUS			101,426	95,032
20.509	Formula Grants for Rural Areas	VARIOUS			4,755,789	5,573,380
20.513	Enhanced Mobility of Seniors and Individuals with Disabilities	VARIOUS			442,755	285,995
20.516	Job Access and Reverse Commute Program	VARIOUS			64,933	64,933
20.521	New Freedom Program	VARIOUS			64,934	64,934
20.526	Bus and Bus Facilities Formula Program	VARIOUS			228,321	1,919,736
20.528	Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program	VARIOUS			233,211	
20.933	National Infrastructure Investments	VARIOUS			3,627,685	
		Subtotal – Transportation, Department Of			453,258,292	15,146,591
UTAH NATIONAL GUARD						
12.400	Military Construction, National Guard	VARIOUS			977,733	
12.401	National Guard Military Operations and Maintenance (O&M) Projects	VARIOUS			42,338,151	
16	JLTC Contract - DOJ, DEA	VARIOUS			5,341,909	
		Subtotal – Utah National Guard			48,657,793	
VETERANS' AND MILITARY AFFAIRS, DEPARTMENT OF						
64	Department of Veterans Affairs	V101 (223C) P-5459			204,384	
64.012	Veterans Prescription Service	VA259-14-D-0317			844,800	
64.015	Veterans State Nursing Home Care	None			33,117,041	
64.101	Burial Expenses Allowance for Veterans	None			253,021	
		Subtotal – Veterans' And Military Affairs, Department Of			34,419,246	
WORKFORCE SERVICES, DEPARTMENT OF						
10	Department of Agriculture	VARIOUS			419,026	
10.433	Rural Housing Preservation Loans - Beginning Loan Balance	VARIOUS			1,934,378	
10.447	Rural Development Loans- Beginning Loan Balance	VARIOUS			3,566,936	

State of Utah
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CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
10.545	Farmers' Market Supplemental Nutrition Assistance Program Support Grants	3UT400373			6,652	6,652
10.551	(COVID-19) Supplemental Nutrition Assistance Program	VARIOUS		COVID-19	23,512,157	
10.551	Supplemental Nutrition Assistance Program	VARIOUS			218,769,270	
10.561	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	VARIOUS			18,937,043	
14.228	(COVID-19) - Community Development Block Grant - CDBG-CV	VARIOUS		COVID-19	1,160,303	1,160,303
14.228	Community Development Block Grants/State's Program and Non-Entitlement Grants in Hawaii	VARIOUS			6,060,277	5,738,513
14.230	Rental Housing Rehabilitation - Beginning Loan Balance	VARIOUS			214,787	
14.231	(COVID-19) - Emergency Solutions Grant (ESG)	E-20-DW-49-0001		COVID-19	386,081	38,286
14.231	Emergency Solutions Grant Program	VARIOUS			1,301,879	1,119,314
14.239	HOME INVESTMENT PARTNERSHIP	VARIOUS			472,044	126,040
14.239	Program Income - HOME INVESTMENT PARTNERSHIP	PROGRAM INCOME			4,596,429	1,495,000
14.239	HOME Investment Partnerships Program Loans - Loans Made	VARIOUS			7,011,220	
14.239	HOME Investment Partnerships Program Loans - Beginning Loan Balance	VARIOUS			75,651,329	
14.241	Housing Opportunities for Persons with AIDS	VARIOUS			202,256	195,686
14.267	Continuum of Care Program	VARIOUS			402,420	
14.275	Housing Trust Fund	VARIOUS			293,940	
14.275	Housing Trust Fund Loans - Beginning Loan Balance	VARIOUS			2,350,000	
14.275	Housing Trust Fund Loans - Loans Made	VARIOUS			4,935,832	
17	Jobs for Veterans' State Grant: Consolidated DVOP LVER	VARIOUS			40,616	
17.002	Labor Force Statistics	VARIOUS			1,123,926	
17.207	Employment Service/Wagner-Peyser Funded Activities	VARIOUS			5,193,339	
17.225	Unemployment Insurance	VARIOUS			26,738,927	148,939
17.225	STATE FUNDED UNEMPLOYMENT EXPENDITURES UI	VARIOUS			447,269,147	
17.225	(COVID-19) - Unemployment Insurance	VARIOUS		COVID-19	712,808,706	
17.245	Trade Adjustment Assistance	VARIOUS			972,393	
17.258	WIOA Adult Program	VARIOUS			2,120,522	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
17.259	WIOA Youth Activities	VARIOUS			1,824,272	
17.271	Work Opportunity Tax Credit Program (WOTC)	VARIOUS			143,198	
17.273	Temporary Labor Certification for Foreign Workers	VARIOUS			213,275	
17.278	WIOA Dislocated Worker Formula Grants	VARIOUS			4,490,690	
17.285	Apprenticeship USA Grants	AP-29333-16-55-A-49			150,686	
17.801	Disabled Veterans' Outreach Program (DVOP)	VARIOUS			967,784	
17.804	Local Veterans' Employment Representative Program	VARIOUS			146,816	
81.042	Weatherization Assistance for Low-Income Persons	EE0007953			2,426,710	2,105,272
84.126	Rehabilitation Services_Vocational Rehabilitation Grants to States	VARIOUS			27,556,069	1,752,920
84.177	Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind	VARIOUS			224,595	224,595
84.187	Supported Employment Services for Individuals with the Most Significant Disabilities	VARIOUS			274,048	
84.418	Promoting Readiness of Minors in Supplemental Security Income	H418P140002			298,012	44,946
93.369	ACL Independent Living State Grants	VARIOUS			337,094	337,094
93.434	Every Student Succeeds Act/Preschool Development Grants	90TP0049-01-02			240,024	
93.558	Temporary Assistance for Needy Families	VARIOUS			50,452,731	
93.566	Refugee and Entrant Assistance_State Administered Programs	VARIOUS			5,890,539	3,893,655
93.568	(COVID-19) - Low-Income Home Energy Assistance Program	2001UTE5C3		COVID-19	4,818,050	
93.568	Low-Income Home Energy Assistance	VARIOUS			26,093,575	9,934,104
93.569	(COVID-19) - Community Services Block Grant	2001UTCSC3		COVID-19	29,400	29,400
93.569	Community Services Block Grant	VARIOUS			3,682,258	3,527,274
93.575	(COVID-19) - Child Care Discretionary, Disaster Relief	2001UTCCC3		COVID-19	19,205,212	31,466
93.575	Child Care and Development Block Grant	VARIOUS			53,568,626	5,134,086
93.596	Child Care Mandatory and Matching Funds of the Child Care and Development Fund	VARIOUS			32,625,738	
93.600	Head Start	08CD004037-04-00			125,000	
93.667	Social Services Block Grant	G-1901UTSOSR			15,000	
94.013	Volunteers in Service to America	11VSPUT001			17,546	

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CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
PRIMARY GOVERNMENT						
96	Social Security Administration	VARIOUS			2,504,005	44,515
96.001	Social Security_Disability Insurance	VARIOUS			13,065,299	
96.008	Social Security - Work Incentives Planning and Assistance Program	WIP1605048605			110,000	
97.024	Emergency Food and Shelter National Board Program	VARIOUS			2,508	
		Subtotal – Workforce Services, Department Of			1,819,950,595	37,088,060
		Subtotal – Primary Government			6,606,895,717	794,276,604

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CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
BRIDGERLAND TECHNICAL COLLEGE						
47.076	Education and Human Resources	1801154	R&D		55,258	
84.063	Federal Pell Grant Program	VARIOUS			980,874	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F201572		COVID-19	83,803	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E201354		COVID-19	98,708	
		Subtotal – Bridgerland Technical College			1,218,643	
COLLEGE AND UNIVERSITY						
84.038	Federal Perkins Loan Program	VARIOUS			30,873,403	
84.268	Federal Direct Student Loans	VARIOUS			334,003,262	
93.264	Nurse Faculty Loan Program (NFLP) - Loans Made	VARIOUS			80,590	
93.264	Nurse Faculty Loan Program (NFLP) - Beginning Loan Balance	VARIOUS			632,627	
93.342	Health Professions Student Loans - Loans Made	VARIOUS			10,000	
93.342	Health Professions Student Loans - Beginning Loan Balance	VARIOUS			3,466,293	
93.364	Nursing Student Loans - Loans Made	VARIOUS			85,750	
93.364	Nursing Student Loans - Beginning Loan Balance	VARIOUS			522,766	
		Subtotal – College And University			369,674,691	
DAVIS TECHNICAL COLLEGE						
84.007	Federal Supplemental Educational Opportunity Grants	E-P007A167112			42,535	
84.033	Federal Work-Study Program	E-P033A167112			11,830	
84.063	Federal Pell Grant Program	VARIOUS			946,298	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	E-P425E201822		COVID-19	57,752	
		Subtotal – Davis Technical College			1,058,415	
DIXIE STATE UNIVERSITY						
11.303	Economic Development_Technical Assistance	ED18DEN3030035			194,836	
11.307	Economic Adjustment Assistance	05-79-05891			379,312	
15.654	National Wildlife Refuge System Enhancements	F20AC00200			1,829	
15.945	Cooperative Research and Training Programs – Resources of the National Park System	P15AC01869			32,375	
43	Aerospace - Daily Atmospheric Ionospheric Lim Imager (DAILI) Mission	4600006502 Aerospace Corporation	R&D		3,489	
43.001	Competed, GUVI Extended Mission Investigation	80NSSC18K0697	R&D		36,575	14,500

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CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
DIXIE STATE UNIVERSITY						
47.041	High Surface Area Reverse Electrowetting Mechanoelectrical Transduction	1933502 University of North Texas	R&D		488	
64	Department of Veterans Affairs	VARIOUS			2,960	
84.007	Federal Supplemental Educational Opportunity Grants	VARIOUS			188,558	
84.033	Federal Work-Study Program	VARIOUS			274,032	
84.042	TRIO_Student Support Services	P042A150069			345,364	
84.044	TRIO_Talent Search	P044A160060			439,477	
84.047	TRIO_Upward Bound	P047A170502			367,111	
84.063	Federal Pell Grant Program	VARIOUS			15,493,925	
84.425M	(COVID-19) Education Stabilization Fund: Strengthening Instutions Program (SIP)	P425M200131		COVID-19	303,304	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F201626		COVID-19	670,058	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E201701		COVID-19	1,167,000	
		Subtotal – Dixie State University			19,900,693	14,500
DIXIE TECHNICAL COLLEGE						
84.063	Federal Pell Grant Program	VARIOUS			669,023	
84.425N	(COVID-19) Education Stabilization Fund: Fund for the Improvement of Postsecondary Education (FIPSE)	P425N200397		COVID-19	71,680	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E203946		COVID-19	101,403	
		Subtotal – Dixie Technical College			842,106	
MOUNTAINLAND TECHNICAL COLLEGE						
84.007	Federal Supplemental Educational Opportunity Grants	P007A198959			9,000	
84.063	Federal Pell Grant Program	P063P195818			620,997	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E204777		COVID-19	304,741	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F204262		COVID-19	304,741	
		Subtotal – Mountainland Technical College			1,239,479	
OGDEN-WEBER TECHNICAL COLLEGE						
17.274	Youthbuild	YB-21310-11-60-A-49			432,186	
84.007	Federal Supplemental Educational Opportunity Grants	P007A196314			59,101	
84.033	Federal Work-Study Program	P033A196314			45,543	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
OGDEN-WEBER TECHNICAL COLLEGE						
84.063	Federal Pell Grant Program	P063P194239			918,777	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E202491		COVID-19	298,022	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F201274		COVID-19	298,022	
94.006	YOUTH BUILD AMERICORP	13NDHMA0010101 YOUTHBUILD USA			94,138	
		Subtotal – Ogden-weber Technical College			2,145,789	
SALT LAKE COMMUNITY COLLEGE						
47.050	Geosciences	VARIOUS	R&D		119,965	
47.076	NSF Nano Tech Professional Development	VARIOUS Pennsylvania State University	R&D		4,400	
47.076	Education and Human Resources	VARIOUS	R&D		218,547	
84.007	Federal Supplemental Educational Opportunity Grants	VARIOUS			472,739	
84.031	Higher Education_Institutional Aid	P031A160253			430,087	
84.033	Federal Work-Study Program	VARIOUS			286,218	
84.042	TRIO_Student Support Services	VARIOUS			548,985	
84.044	TRIO_Talent Search	VARIOUS			341,849	
84.063	Federal Pell Grant Program	VARIOUS			25,225,195	
84.335	Child Care Access Means Parents in School	VARIOUS			347,793	
84.425M	(COVID-19) Education Stabilization Fund: Strengthening Instutions Program (SIP)	P425M200281		COVID-19	203,182	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F201700		COVID-19	2,146,921	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E200941		COVID-19	2,800,055	
94.007	Program Development and Innovation Grants	None			119,995	
		Subtotal – Salt Lake Community College			33,265,931	
SNOW COLLEGE						
10.500	Cooperative Extension Service	VARIOUS Washington State University			47,658	
10.855	Distance Learning and Telemedicine Loans and Grants	UTAH 715-A16			9,509	
47.076	Education and Human Resources	1601397	R&D		13,986	
84.007	Federal Supplemental Educational Opportunity Grants	VARIOUS			73,324	
84.033	Federal Work-Study Program	P033A194212			95,411	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
SNOW COLLEGE						
84.042	TRIO_Student Support Services	VARIOUS			371,008	
84.047	TRIO_Upward Bound	VARIOUS			335,833	
84.063	Federal Pell Grant Program	VARIOUS			6,908,686	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E200877		COVID-19	299,100	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F201257		COVID-19	299,100	
			Subtotal – Snow College		8,453,615	
SOUTHERN UTAH UNIVERSITY						
10	Special Collections	13-MU-11040700-023			3,300	
10	Information Management Solutions for NIMAC, DATIM, and FIA	19-CS-11242305-075			194,180	
10	Forest Service Northern Research Station	15-CS-11242305-126			439,517	
10.318	Women and Minorities in Science, Technology, Engineering, and Mathematics Fields	2018-38503-28803			18,101	
10.558	Child and Adult Care Food Program	VARIOUS			184,205	
10.652	Forestry Research	13-PA-11040700-054			32,315	
10.664	Cooperative Forestry Assistance	VARIOUS			24,530	
10.675	Urban and Community Forestry Program	VARIOUS			443,650	
15.224	Cultural and Paleontological Resources Management	VARIOUS			81,224	
15.225	Recreation Resource Management	VARIOUS			57,527	
15.231	Fish, Wildlife and Plant Conservation Resource Management	VARIOUS			105,498	
15.243	BLM Youth Conservation	VARIOUS			470,942	
15.945	Cooperative Research and Training Programs – Resources of the National Park System	VARIOUS			858,782	
17.268	H-1B Job Training Grants	VARIOUS			44,899	
43	Los Alamos National Security	433324			33,166	
45.024	Promotion of the Arts_Grants to Organizations and Individuals	VARIOUS			39,989	
45.149	Promotion of the Humanities_Division of Preservation and Access	VARIOUS			2,909	
45.163	Promotion of the Humanities_Professional Development	VARIOUS			14,926	
47.076	IUSE: EHR: From Discovery to Market	R-18-0004 University of Houston	R&D		30,359	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
SOUTHERN UTAH UNIVERSITY						
84.007	Federal Supplemental Educational Opportunity Grants	P007A154213			250,847	
84.033	Federal Work-Study Program	P033A154213			249,764	
84.038	Federal Perkins Loan Program	VARIOUS			71,034	
84.042	TRIO_Student Support Services	P042A150265			286,139	
84.044	TRIO_Talent Search	VARIOUS			313,064	
84.047	TRIO_Upward Bound	VARIOUS			443,543	
84.063	Federal Pell Grant Program	P063P152352			15,237,134	
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs	P334A150032			27,429	
84.335A	Child Care Access Means Parents in School	P335A130200			91,164	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E201490		COVID-19	399,440	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F202127		COVID-19	1,798,529	
93.086	DHS: BYU Relationship Relationship Program	A02435 Brigham Young University			21,425	
93.211	HRSA: Rural Opioid Response	GA1RH33545 SW Utah Community Healthcare Centers, Four Corners Behavioral Health, Utah Support Advocates for Recovery Awareness			20,969	
93.211	HRSA: Rural Opioid Response	GA1RH33544 SW Utah Community Healthcare Centers, Four Corners Behavioral Health, Utah Support Advocates for Recovery Awareness			63,359	
93.211	HRSA: Rural Opioid Response	G25RH32477			84,495	
93.600	Head Start	VARIOUS			6,023,728	
			Subtotal – Southern Utah University		28,462,082	
SOUTHWEST TECHNICAL COLLEGE						
84.063	Federal Pell Grant Program	P063P195928			407,142	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F201712		COVID-19	68,547	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E201637		COVID-19	68,548	
			Subtotal – Southwest Technical College		544,237	
TOOELE TECHNICAL COLLEGE						
84.063	Federal Pell Grant Program	P063P17041915			257,426	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F200249		COVID-19	10,142	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
TOOELE TECHNICAL COLLEGE						
84.425N	(COVID-19) Education Stabilization Fund: Fund for the Improvement of Postsecondary Education (FIPSE)	P425E204702		COVID-19	133,042	
		Subtotal – Tooele Technical College			400,610	
UINTAH BASIN TECHNOLOGY COLLEGE						
84.063	Federal Pell Grant Program	P063P14342			118,282	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F201749		COVID-19	83,682	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E201804		COVID-19	83,683	
84.425N	(COVID-19) Education Stabilization Fund: Fund for the Improvement of Postsecondary Education (FIPSE)	P425N200087		COVID-19	232,016	
		Subtotal – Uintah Basin Technology College			517,663	
UNIVERSITY OF UTAH						
10	DEVELOPMENT OF BUCKLING RESTRA	NONE 10043712 US ENDOWMENT FOR FORESTRY & COMMUNITIES	R&D		-21	
10	LARGE LOTS - STUDENT SUPPORT	0786941-17762 GRANT AB211 UNIVERSITY OF ILLINOIS URBANA-CHAMPAIGN	R&D		10,373	
10	Department of Agriculture	VARIOUS			34,141	
10	Department of Agriculture	VARIOUS	R&D		48,521	
10.001	Agricultural Research_Basic and Applied Research	VARIOUS	R&D		29,740	
10.217	Higher Education - Institution Challenge Grants Program	VARIOUS	R&D		5,504	
10.223	FUTURE HISPANIC ENGINEERS	2015-38422-24059 (04) UNIVERSITY OF TEXAS- PAN AMERICAN			2,500	
10.309	SCRI: FRAME	133336-G003923 WASHINGTON STATE UNIVERSITY	R&D		104,291	
10.310	WATER FOR AG	200961-437 UTAH STATE UNIVERSITY	R&D		7,089	
10.310	TECHNOLOGY FOR TRADE	1319858-G003955 WASHINGTON STATE UNIVERSITY	R&D		22,859	
10.310	Agriculture and Food Research Initiative (AFRI)	VARIOUS	R&D		374,877	
10.680	Forest Health Protection	VARIOUS	R&D		33,725	
10.694	INVERSION WRF	663.7275.01 DESERT RESEARCH INSTITUTE	R&D		-3,501	
11	Department of Commerce	VARIOUS			22,431	
11.011	SUBMARINE FOREST	505184-78050 NORTHEASTERN UNIVERSITY	R&D		22,560	
11.303	ADVANCED COMPOSITES MANUFACTUR	ED17DEN3030013 UTAH ADVANCED MATERLS MANUFAC IINITIATV	R&D		-1,015	

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COMPONENT UNITS						
UNIVERSITY OF UTAH						
11.307	Economic Adjustment Assistance	VARIOUS	R&D		97,300	25,180
11.431	Climate and Atmospheric Research	VARIOUS			25,589	
11.431	Climate and Atmospheric Research	VARIOUS	R&D		159,816	
11.438	KUSKOKWIM BASELINE	AC-00106 BERING SEA FISHERMEN'S ASSOCIATION	R&D		-4,230	
11.438	YUKON OTOLITHS	AC-1705B BERING SEA FISHERMEN'S ASSOCIATION	R&D		-412	
11.459	Weather and Air Quality Research	VARIOUS	R&D		100,105	2,121
11.468	Applied Meteorological Research	VARIOUS	R&D		470,694	158,341
11.611	Manufacturing Extension Partnership	VARIOUS			585,235	261,528
12	CAUSE	SC1519205(PSyCHIC) CHARLES RIVER ANALYTICS	R&D		-12	
12	NERVE TRANSFERS	WU-20-221 WASHINGTON UNIVERSITY IN ST LOUIS	R&D		3,089	
12	PROGENITOR CELLS - METRC	2004366418 JOHNS HOPKINS UNIVERSITY	R&D		11,437	
12	EBS SUBCONTRACT: ICR	124-AY-1C-PO4435 ELECTRONIC BIOSCIENCE INC	R&D		18,663	
12	UAS CBRNE SENSORS	10050327 NEVADA NANOTECH SYSTEMS INC	R&D		36,422	
12	FAVHR -LATENT MODELING IN TBI	ROYALL DOD-UNIVERSITY OF UTAH FDTN FOR ADVNCNG VETERANS HLTH RESH	R&D		38,132	
12	VENTRIGHT	CONTRACT 19-05 MILITARY & HEALTH RESEARCH FOUNDATION	R&D		57,608	
12	HARI_DOD_TGEN_SUB	W81XWH-18-1-0617 TRANSLATIONAL GENOMIC RESEARCH INSTITUTE	R&D		73,185	
12	ESPIRA ARMY PHASE II SUBCON	W911QY-17-C-0032 ESPIRA	R&D		73,365	
12	STRUCTURAL REACTIVE MATERIALS	10046058 WASATCH MOLECULAR INC	R&D		81,405	
12	COMPOSITE DAMPING TESTING	9737-AM05 / 10039795 MATERIALS SCIENCES CORPORATION			100,756	
12	GENETIC CIRCUIT DESIGN FOR EX	S4628 (PO 194184) MASSACHUSETTS INSTITUTE OF TECHNOLOGY	R&D		102,925	
12	PERFORMANCE PORTABLE FRAMEWORK	D16PC00183-UTAH RNET TECHNOLOGIES INC			109,652	
12	DARPA STOIC LEIDOS PROPOSAL	P00171650 LEIDOS	R&D		116,217	
12	WYLE	TXS0150731 KBRWYLE	R&D		126,721	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
12	COMPOSITE REPAIR AND MODELING	WSU#16368 WICHITA STATE UNIVERSITY	R&D		142,331	
12	DUAL-TASK TURNING MEASURES	1013370_UUT OREGON HEALTH & SCIENCE UNIVERSITY	R&D		147,900	
12	Department of Defense	VARIOUS			174,035	
12	ISOSCAPES FOR HUMAN PROVENANC	4666 PO953258 HJF65544 HENRY M. JACKSON FNDT ADVNCMNT MIL MED	R&D		209,801	
12	MHRF JOHNSEN 2019 19-05	PO0088/EPACC-PTCRA MILITARY & HEALTH RESEARCH FOUNDATION	R&D		238,431	
12	REASSESSMENT OF PTE MODELS	1554466 UNIVERSITY OF COLORADO AT BOULDER	R&D		440,395	
12	LIMBIC	FP00009533_SA004 VIRGINIA COMMONWEALTH UNIVERSITY	R&D		501,065	
12	DARPA NESD: BISC INTERFACE	1(GG012664) COLUMBIA UNIVERSITY	R&D		563,634	303,391
12	Department of Defense	VARIOUS	R&D		616,793	47,940
12	Department of Defense	VARIOUS	R&D		2,730,224	38,096
12.300	BMT CTN 1702	1702 NATIONAL MARROW DONOR PROGRAM			2,171	
12.300	RITN FY15	209163 NATIONAL MARROW DONOR PROGRAM			8,000	
12.300	HARP STTR	10053814 HARP ENGINEERING LLC			23,377	
12.300	MULTI-LEVEL PHASE PLATES FOR G	65016354-01 UNIVERSITY OF CENTRAL FLORIDA	R&D		74,013	
12.300	AFFORDANCES FOR MEASURES	UNIV59880 VANDERBILT UNIVERSITY	R&D		95,644	
12.300	SECURING SOFTWARE SYSTEMS	088513-16628 UNIVERSITY OF IL AT URBANA-CHAMPAIGN	R&D		137,987	
12.300	C-FOG	203349UU UNIVERSITY OF NOTRE DAME	R&D		146,441	
12.300	METALLOID CLUSTER NETWORKS	2002694588 JOHNS HOPKINS UNIVERSITY	R&D		177,542	
12.300	Basic and Applied Scientific Research	VARIOUS	R&D		2,969,112	660,847
12.351	SYNTHETIC ACHE FUNCTION	A11961 (A008823) EMORY UNIVERSITY	R&D		255	
12.351	RADIATION DAMAGE IN GAN	5711-UU-DTRA-0056 PENNSYLVANIA STATE UNIVERSITY	R&D		126,141	
12.351	Basic Scientific Research - Combating Weapons of Mass Destruction	VARIOUS	R&D		141,686	
12.357	LANGUAGE TRAINING CENTER	PGO1801-UTAH-18 INSTITUTE OF INTERNATIONAL EDUCATION			231,397	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
12.420	THE MAJOR EXTREMITY TRAUMA RESEARCH CONSORTIUM	RP952654 JOHNS HOPKINS UNIVERSITY	R&D		-3,096	
12.420	HDRA AA BREAST PROSTATE CANCER	WSU17069 WAYNE STATE UNIVERSITY	R&D		513	
12.420	PHASE II TRIAL ASPIRIN DOD	16-0231/2300179 MASSACHUSETTS EYE AND EAR INFIRMARY	R&D		1,468	
12.420	STAAMP (FIXED PRICE)	9012699 (411975-2) UNIVERSITY OF PITTSBURGH	R&D		1,746	
12.420	SUICIDAL THOUGHTS & ATTEMPTS	NONE 10054520 MILITARY SUICIDE RESEARCH CONSORTIUM	R&D		2,000	
12.420	AGARWAL JOHN HOPKINS J14146	ARJUHP02002478754 JOHNS HOPKINS UNIVERSITY	R&D		9,185	
12.420	CLOTT	NTI-CLOTT17-17 NATIONAL TRAUMA INSTITUTE	R&D		16,397	
12.420	GENEVA FOUNDATION KNEE INJURIE	S-1579-01 THE GENEVA FOUNDATION	R&D		44,769	
12.420	METALS II	JHUPO:2003945663 JOHNS HOPKINS UNIVERSITY	R&D		57,374	
12.420	LAL BCH SUB OCT 2016	PO GENFD0001337840 BOSTON CHILDREN'S HOSPITAL	R&D		58,033	
12.420	HO STUDY - DEPT ARMY	PO883714 / 3459 HENRY M. JACKSON FNDT ADVNCMNT MIL MED	R&D		58,308	
12.420	PROJECT SAFE GUARD	USM-GR05777.001 UNIVERSITY OF SOUTHERN MISSISSIPPI	R&D		70,415	
12.420	EMA LEJEUNE STUDY	576708 UNIVERSITY OF PENNSYLVANIA	R&D		81,772	
12.420	TBI BIOLOGICAL DIAGNOSIS	0031471(408294-6) UNIVERSITY OF PITTSBURGH	R&D		107,153	
12.420	UC DAVIS TBI BURN	A18-1929-S001 UNIVERSITY OF CALIFORNIA DAVIS	R&D		108,193	
12.420	MINIPIG BRAIN PROPERTIES	3828 HENRY M. JACKSON FNDT ADVNCMNT MIL MED	R&D		180,529	
12.420	COUPLES CRISIS PLANNING	MSRC-FY18-04 DENVER RESEARCH INSTITUTE	R&D		419,061	177,036
12.420	CENC	FP00004035_SA021 VIRGINIA COMMONWEALTH UNIVERSITY	R&D		465,561	
12.420	Military Medical Research and Development	VARIOUS	R&D		8,996,055	538,284
12.431	FRACTIONAL PDES	00001271 BROWN UNIVERSITY	R&D		68,007	
12.431	Basic Scientific Research	VARIOUS	R&D		1,150,959	649,285
12.617	Economic Adjustment Assistance for State Governments	VARIOUS	R&D		1,571,843	1,072,960
12.630	Basic, Applied, and Advanced Research in Science and Engineering	VARIOUS	R&D		2,449,108	1,419,153

State of Utah
Schedule of Expenditures of Federal Awards By State Agency
For the Year Ended June 30, 2020

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
12.750	HJF HO STUDY - CRSR	3338P0881181 HENRY M. JACKSON FNDT ADVNCMNT MIL MED	R&D		23,506	
12.750	DE JONG GENEVA SUB OCT 2018	S-1104402 THE GENEVA FOUNDATION	R&D		36,654	
12.750	BLUE LIGHT PROJECT	S-10507-01 THE GENEVA FOUNDATION	R&D		219,740	
12.750	Uniformed Services University Medical Research Projects	VARIOUS	R&D		461,898	30,126
12.800	Electrochemical Probes	SP0022325PROJ0007153 NORTHWESTERN UNIVERSITY	R&D		-23,005	
12.800	MITOCHONDRIAL BIOHYBRID ELECTR	47261-A MEDGAR EVERS COLLEGE	R&D		88,461	
12.800	PT-symmetric materials	P0284835 UNIVERSITY OF CENTRAL FLORIDA	R&D		105,225	
12.800	Air Force Defense Research Sciences Program	VARIOUS	R&D		1,193,141	479,639
12.900	Language Grant Program	VARIOUS			66,136	
12.910	OPICS	X0220A-A OREGON STATE UNIVERSITY	R&D		169,500	
12.910	Research and Technology Development	VARIOUS	R&D		2,476,581	442,984
15	LINCOLN COUNTY BRM	NONE 10056226 G2 ARCHAEOLOGY	R&D		29	
15	Department of the Interior	VARIOUS			8,353	
15.224	Cultural and Paleontological Resources Management	VARIOUS	R&D		29,090	
15.231	Fish, Wildlife and Plant Conservation Resource Management	VARIOUS	R&D		27,978	
15.232	AIRPACT-FIRE	125073_G003498 WASHINGTON STATE UNIVERSITY	R&D		6,029	
15.232	Wildland Fire Research and Studies	VARIOUS	R&D		62,596	27,351
15.511	CURATION OF BOR COLLECTIONS	R16AP00020			27,240	
15.678	Cooperative Ecosystem Studies Units	VARIOUS			-2,062	
15.807	Earthquake Hazards Reduction Program	VARIOUS	R&D		3,904	
15.807	THERMAL MODEL	118071441 (19233) SOUTHERN CALIFORNIA EARTHQUAKE CENTER	R&D		4,443	
15.807	SCEC 2019	118071441 TASK19078 SOUTHERN CALIFORNIA EARTHQUAKE CENTER	R&D		32,028	
15.807	Earthquake Hazards Reduction Program	VARIOUS			726,706	
15.808	U.S. Geological Survey_ Research and Data Collection	VARIOUS			296,491	
15.818	Volcano Hazards Program Research and Monitoring	VARIOUS			309,387	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
15.945	Cooperative Research and Training Programs – Resources of the National Park System	VARIOUS	R&D		17,308	
15.945	KSU THRO SUBCONTRACT	S17172 KANSAS STATE UNIVERSITY	R&D		35,127	15,855
15.945	Cooperative Research and Training Programs – Resources of the National Park System	VARIOUS			35,793	
16	RIC-AAU TRAFFICKING	NONE 10050538 ASIAN ASSOCIATION OF UTAH			7,062	
16.320	AAU TIP	N0NE 10040944 ASIAN ASSOCIATION OF UTAH			-1	
16.525	Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus	VARIOUS			16,283	3,294
16.556	UT LEGAL SERVICES EVALUATION	2017-VF-GX-K141 UTAH LEGAL SERVICES	R&D		5,062	
16.560	National Institute of Justice Research, Evaluation, and Development Project Grants	VARIOUS	R&D		175,292	
19.009	UGRAD SP19-FA19	UGRAD-PAKISTAN FY17 3073-01 INTL RESEARCH & EXCHANGES BOARD			101,404	
19.401	EGYPT FOOD-WATER FULBRIGHT	NONE 10051772 BINATIONAL FULBRIGHT COMM IN EGYPT	R&D		39,449	
20	CASUAL CARPOOLING	10044592 APPLIED ENGINEERING MANAGEMENT CORP	R&D		-375	
20	Department of Transportation	VARIOUS	R&D		3,814	
20	NITC STUDENT GROUP 2019-20	NITC2016-UU-21 (1349) PORTLAND STATE UNIVERSITY	R&D		15,656	
20	NITC EXECUTIVE COMMITTEE 2020	NITC2016-UU-19(1336) PORTLAND STATE UNIVERSITY	R&D		22,664	
20	NITC STUDENT SCHOLARSHIPS '20	NITC2016-UU-20(1343) PORTLAND STATE UNIVERSITY	R&D		40,387	
20	ELECTRIC BUS	NITC2016-UU-18-1222 PORTLAND STATE UNIVERSITY	R&D		47,210	
20	Department of Transportation	VARIOUS			2,117,980	
20.109	Air Transportation Centers of Excellence	VARIOUS	R&D		162,367	
20.313	RAIL STRESS ESTIMATION	095429-17694 AG992 UNIVERSITY OF ILLINOIS URBANA-CHAMPAIGN	R&D		22,749	
20.701	UTC - MPC	FAR0023138 NORTH DAKOTA STATE UNIVERSITY	R&D		-2,884	
20.701	LIFE-SPACE MOBILITY AND AGING	NITC2016-UU-08 PORTLAND STATE UNIVERSITY	R&D		-20	
20.701	NITC TRAVEL 2018	NITC2016-UU-10 PORTLAND STATE UNIVERSITY	R&D		920	
20.701	UTAH TRAJECTORY DATA	NITC2016-UU-17/1264 PORTLAND STATE UNIVERSITY	R&D		4,742	
20.701	SOCIAL-TRANSPORTATION ANALYTIC	NITC2016-UU-04 PORTLAND STATE UNIVERSITY	R&D		13,828	13,828

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
20.701	MICRO-MOBILITY SAFETY	NITC2016-UU-22 -1281 PORTLAND STATE UNIVERSITY	R&D		17,825	
20.701	MULTIMODAL	NITC2016-UU-24 (1298) PORTLAND STATE UNIVERSITY	R&D		20,945	
20.701	CONSTRUCTION WORKZONE	NITC2016-UU-25 NATL INST FOR TRANSPORT AND COMMUNITIES	R&D		21,041	
20.701	TOD & AFFORDABLE HOUSING	NITC2016-UU-23-1328 PORTLAND STATE UNIVERSITY	R&D		21,956	
20.701	DISRUPTIVE TRAFFIC EVENTS	NITC2016-UU-07 PORTLAND STATE UNIVERSITY	R&D		41,594	21,764
20.701	POLYCENTRICITY	NITC2016-UU-15-1217 PORTLAND STATE UNIVERSITY	R&D		45,891	
20.701	CV SYSTEM DESIGN	NITC2016-UU-16 PORTLAND STATE UNIVERSITY	R&D		49,725	
20.701	MPC TRANSPORTATION CENTER	FAR0028684 NORTH DAKOTA STATE UNIVERSITY	R&D		187,430	
21.008	Low Income Taxpayer Clinics	VARIOUS			34,681	
43	IMPROVING BH MASS MEASUREMENTS	HST-GO-14742.002-A SPACE TELESCOPE SCIENCE INSTITUTE	R&D		2,634	
43	ASTROBIOLOGY TO INCARCERATED	BMSSA-01 BLUE MARBLE SPACE INSTITUTE OF SCIENCE	R&D		3,290	
43	WINGS SURVEY	UWSC9766 BPO 23128 UNIVERSITY OF WASHINGTON	R&D		12,447	
43	BLACK HOLE DIVERSITY	HST-GO-14924.001 SPACE TELESCOPE SCIENCE INSTITUTE	R&D		17,770	
43	M33 SURVEY	HST-GO-14610.012-A SPACE TELESCOPE SCIENCE INSTITUTE	R&D		20,265	
43	ABGRADCON 2	GT ABRADCON2 GEORGIA INSTITUTE OF TECHNOLOGY			24,064	
43	GALAXY BULGES	HST-GO-15133.002-A SPACE TELESCOPE SCIENCE INSTITUTE	R&D		40,945	
43	AIRCRAFT ENERGY STORAGE	2019-01 CHEMTRONERGY LLC	R&D		50,328	
43	NASA CYGNSS SCIENCE	SUBK00011176 PO#3005658575 UNIVERSITY OF MICHIGAN	R&D		57,716	
43	National Aeronautics and Space Administration	VARIOUS			58,547	
43	NASA CPEX WITH DAWN	NNH17CV05C-UTAH SIMPSON WEATHER ASSOCIATES	R&D		70,552	
43	ACTIVE SHIELDING	T804046 WYLE INTEGRATED SCIENCE AND ENG GRP	R&D		77,323	
43	National Aeronautics and Space Administration	VARIOUS	R&D		189,934	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
43.001	A VIOLENT MERGER IN THE CLUSTE	G07-18117X SMITHSONIAN ASTROPHYSICAL OBSERVATORY	R&D		10,195	
43.001	CHANDRA OBSERVATION OF A2345	GO9-20113X SMITHSONIAN ASTROPHYSICAL OBSERVATORY	R&D		18,255	
43.001	NASA URBAN WATER-ENERGY-FOOD	RR175-280/S001041 UNIVERSITY OF GEORGIA	R&D		21,525	
43.001	U WISC (NASA) SUB	775K795 UNIVERSITY OF WISCONSIN-MADISON	R&D		25,319	
43.001	PLUTO'S LOW MASS SATELLITES	SV9-89021 SMITHSONIAN ASTROPHYSICAL OBSERVATORY	R&D		32,030	
43.001	WRF-SFIRE FOR FIRE DECISIONS	G-01869-02 COLORADO STATE UNIVERSITY	R&D		51,846	
43.001	VALIDATION GPM PRECIPITATION	16-08 TEXAS A&M UNIVERSITY	R&D		80,359	
43.001	RENSSELAER/NASA SUBCONTRACT	A19-0117-S006 (P0259854) RENSSELAER POLYTECHNIC INSTITUTE	R&D		85,573	
43.001	ENCELADUS ORGANIC ANALYZER	00010196 (BB01305223) UNIVERSITY OF CALIFORNIA BERKELEY	R&D		131,678	
43.001	ANTARCTIC SEARCH FOR METEORITE	RES512750 CASE WESTERN RESERVE UNIVERSITY	R&D		160,005	
43.001	ROCK-POWERED LIFE	1552611 UNIVERSITY OF COLORADO AT BOULDER	R&D		240,938	
43.001	OPENSPACE: DYNAMIC VISUALIZAT	SUB62720162 AMERICAN MUSEUM OF NATURAL HISTORY	R&D		270,625	
43.001	Science	VARIOUS	R&D		2,022,213	75,107
43.008	Education	VARIOUS			764,528	572,306
43.012	Space Technology	VARIOUS			12,278	
43.012	US-COMP INSTITUTE	1607060Z10 MICHIGAN TECHNOLOGICAL UNIVERSITY			377,587	21,295
45.024	Promotion of the Arts_Grants to Organizations and Individuals	VARIOUS	R&D		25,590	
45.024	Promotion of the Arts_Grants to Organizations and Individuals	VARIOUS			112,840	
45.160	Promotion of the Humanities_Fellowships and Stipends	VARIOUS	R&D		2,298	
45.161	Promotion of the Humanities_Research	VARIOUS	R&D		36,347	
45.163	Promotion of the Humanities_Professional Development	VARIOUS			40,481	
45.312	National Leadership Grants	VARIOUS			3,404	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
47	CONDO OF CONDO	17192062009949 CLEMSON UNIVERSITY	R&D		-584	
47	EVOLVABLE LIVING COMPUTING	86000020995 BOSTON UNIVERSITY	R&D		-5	
47	TESTING AND EVALUATION OF FUNCTIONALLY GRADED WC-CO - CO	10018547 HEAVYSTONE LABORATORY LLC	R&D		10,930	
47	National Science Foundation	VARIOUS	R&D		169,003	
47.041	(COVID-19) Virus Wastewater research	2029515	R&D	COVID-19	4,162	
47.041	ENERGY HARVESTING FOR WEARABLE	2012-106707 NORTH CAROLINA STATE UNIVERSITY	R&D		12,768	
47.041	RRAMFPGASUBTITUTE	10051769 REROUTING LLC	R&D		19,379	
47.041	MODULAR TESTBED BUILDING	123524860 - MP INVOICE/PO S9002437 UNIVERSITY OF CALIFORNIA SAN DIEGO	R&D		20,093	
47.041	IWASA NSF SUPP SUBCONTRACT	2004383416 JOHNS HOPKINS UNIVERSITY	R&D		24,762	
47.041	(COVID-19) Virus Rapid Testing Research	2030359	R&D	COVID-19	27,530	
47.041	DUAL MODE ENERGY HARVESTING	2012-1067-12 NORTH CAROLINA STATE UNIVERSITY	R&D		69,659	
47.041	Engineering Grants	VARIOUS	R&D		4,507,521	281,909
47.049	MRI SCT UTAH SUBCONTRACT	UU-1828168 BARNARD UNIVERSITY	R&D		4,298	
47.049	PLASMONIC NANOCRESCENT ANTENNA	20143123 UNIVERSITY OF CALIFORNIA IRVINE	R&D		30,746	
47.049	MRSEC-SOFT MATERIALS	1552269 UNIVERSITY OF COLORADO AT BOULDER	R&D		36,742	
47.049	HIGH-THROUGHPUT HEDM AT APS	1123266-388709 CARNEGIE MELLON UNIVERSITY	R&D		40,012	
47.049	CCI ND SYNTHESIS	203643UU UNIVERSITY OF NOTRE DAME	R&D		48,000	
47.049	SINGLET OXYGEN-RESPONSIVE FLUO	NS1609 TUFTS UNIVERSITY	R&D		56,165	
47.049	SELECTIVE CH	CHE1700982 EMORY UNIVERSITY	R&D		81,490	
47.049	Mathematical and Physical Sciences	VARIOUS	R&D		9,095,062	231,550
47.050	CIG	A17-0253-S007 UNIVERSITY OF CALIFORNIA DAVIS	R&D		-1,709	
47.050	SOUTHERN CALIFORNIA H/V RATIO	91264235 UNIVERSITY OF SOUTHERN CALIFORNIA	R&D		-1	
47.050	SCHLANGER AWARD	28D(GG009393) COLUMBIA UNIVERSITY	R&D		3,245	
47.050	ECORD 381 - PEA REQUEST	28C(GG009393) COLUMBIA UNIVERSITY	R&D		13,054	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
47.050	SNOW, ENERGY & CARBON IN ZONE	N0NE 10036615 UNIVERSITY OF ARIZONA	R&D		35,477	
47.050	Geosciences	VARIOUS	R&D		3,426,598	656,626
47.070	INSTAGENI MAINTENANCE	3200000590-18.256 UNIVERSITY OF KENTUCKY	R&D		-173	
47.070	FUTURE EXASCALE SYSTEMS	UTA19-001215 UNIVERSITY OF TEXAS AT AUSTIN	R&D		641	
47.070	BLUE WATER FELLOWSHIP	067846-1707 UNIVERSITY OF IL AT URBANA-CHAMPAIGN	R&D		2,581	
47.070	A CURRICULAR DESIGN COMMUNITY	521373-UT COLLEGE OF CHARLESTON	R&D		2,777	
47.070	NSF: DIBBS INTELLIGENCE	P0235476 UNIVERSITY OF ARIZONA	R&D		2,997	
47.070	FABRIC	5115828 UNIV OF NORTH CAROLINA AT CHAPEL HILL	R&D		12,352	
47.070	RACKET	502648-78051 NORTHEASTERN UNIVERSITY	R&D		26,472	
47.070	ENTER	1836742 UNIVERSITY OF KENTUCKY	R&D		39,968	
47.070	CC* CI WORKFLOW FACILITATOR	1554921 UNIVERSITY OF COLORADO AT BOULDER	R&D		84,976	
47.070	SLATE - MOBILITY OF CAPABILITY	FP066185-B UNIVERSITY OF CHICAGO	R&D		345,927	
47.070	CYBERINFRASTRUCTURE COE PILOT	110331201 UNIVERSITY OF SOUTHERN CALIFORNIA	R&D		375,288	
47.070	POWDER	CNS-182688 US IGNITE	R&D		3,028,418	684,892
47.070	Computer and Information Science and Engineering	VARIOUS	R&D		8,311,858	1,071,835
47.074	URBAN TREES	S-001212 UNIVERSITY OF CALIFORNIA RIVERSIDE	R&D		29,666	
47.074	UC DAVIS SUB-RESUB	A19-0587-S001 UNIVERSITY OF CALIFORNIA DAVIS	R&D		36,206	
47.074	IWASA UC BOULDER SUBAWARD	1557433 UNIVERSITY OF COLORADO AT BOULDER	R&D		37,751	
47.074	MAIZE ENDOSPERM	316602 UNIVERSITY OF ARIZONA	R&D		308,166	
47.074	Biological Sciences	VARIOUS	R&D		3,755,647	144,960
47.075	REGIONAL INEQUALITY	S-001006 UNIVERSITY OF CALIFORNIA RIVERSIDE	R&D		9,337	
47.075	Social, Behavioral, and Economic Sciences	VARIOUS	R&D		561,126	54,505
47.076	HOST SOCIAL STATUS	CC0342-PG8675-22-59/SUB ARIZONA STATE UNIVERSITY	R&D		504	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
47.076	PATHOGEN DIVERSITY	F19UR035/F2019ur0049 ARIZONA STATE UNIVERSITY	R&D		657	
47.076	Education and Human Resources	VARIOUS	R&D		2,888,898	157,247
47.078	SUN SPEARS	SB28089-A MONTANA TECH	R&D		58,979	
47.079	Office of International Science and Engineering	VARIOUS	R&D		15,777	
47.079	ADVANCED ARTIFICIAL MUSCLES	7141560C /GR06613 UNIVERSITY OF NEVADA LAS VEGAS	R&D		61,616	
64	Department of Veterans Affairs	VARIOUS	R&D		7,704	
64	VA Interagency Personnel Agreement (IPA)	VARIOUS			10,799,188	
64.034	VA Assistance to United States Paralympic Integrated Adaptive Sports Program	VARIOUS			32,596	
64.034	VA Assistance to United States Paralympic Integrated Adaptive Sports Program	VARIOUS	R&D		178,404	
66.511	CLASIC	LCASW3SG16 WATER RESEARCH FOUNDATION	R&D		32,506	
66.511	Office of Research and Development Consolidated Research/Training/Fellowships	VARIOUS	R&D		101,661	
66.514	Science to Achieve Results (STAR) Fellowship Program	VARIOUS	R&D		-36	
66.514	Science to Achieve Results (STAR) Fellowship Program	VARIOUS			4,506	
66.806	MAGCORP	N0NE 10040457 rFRIENDS OF GREAT SALT LAKE	R&D		8,648	
77.008	U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program	VARIOUS	R&D		128,237	
77.008	U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program	VARIOUS			142,315	
81	A NOVEL FLASH IRONMAKING PROCESS	10029234 AISI AMERICAN IRON AND STEEL INSTITUTE	R&D		-11	
81	REMADE DEPUTY NODE LEADER	SA-17-07 SUSTAINABLE MANUFACTURING INNOV ALLNCE	R&D		594	
81	LOS SENSOR	8266-2 REACTION ENGINEERING INTL	R&D		2,198	
81	NANOSCALE LUBRICANT MECHANICS	FELL0WSHIPN0BLE KRELL INSTITUTE			6,000	
81	SBIR EROSION OIL AND GAS	10051673 REACTION ENGINEERING INTL	R&D		17,525	
81	FAST SCALABLE DATA MOVEMENT	10051010 VISUS LLC	R&D		38,345	
81	TIRE RECYCLING	SA-19-02 SUSTAINABLE MANUFACTURING INNOV ALLNCE	R&D		43,326	
81	TCOM	SC0019548-UTAH 10054516 RNET TECHNOLOGIES INC	R&D		61,363	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
81	E-WASTE RECYCLING	SA-19-26 SUSTAINABLE MANUFACTURING INNOV ALLNCE	R&D		65,520	
81	RAPID SORTING OF SCRAP METALS	SA-18-01 SUSTAINABLE MANUFACTURING INNOV ALLNCE	R&D		74,377	
81	DOE: WESTSMARTEV	NONE 10043459 PACIFICORP	R&D		103,663	
81	BIOLOGICALLY INSPIRED AMMONIA	10049682-BIOLOGICALLY INSPIRED AMMONIA FULCRUM BIOSCIENCES	R&D		143,415	
81	REI - FLARES PHASE II	REI-8262-1 REACTION ENGINEERING INTL	R&D		182,901	
81	Department of Energy	VARIOUS			294,927	
81	Department of Energy	VARIOUS	R&D		4,245,935	373,483
81.049	ALTA ROCK	10049506 ALTAROCK ENERGY	R&D		1,069	
81.049	SCEC2020	131471967 SOUTHERN CALIFORNIA EARTHQUAKE CENTER	R&D		6,491	
81.049	EXTREME SCALE LINEAR ALGEBRA	SC0019548-UTAH 10055359 RNET TECHNOLOGIES INC	R&D		8,194	
81.049	QUANTUM BEHAVIOR HED	417664G/UR FAO GR511022 UNIVERSITY OF ROCHESTER	R&D		38,313	
81.049	COLD PRECIPITATION DATA	695K656 UNIVERSITY OF WISCONSIN-MADISON	R&D		42,660	
81.049	ENERGY FRONTIERS	17284Z7181006 UNIVERSITY OF MARYLAND	R&D		45,903	
81.049	MEMBRANES FOR SOLAR FUELS	10051529 WASATCH MOLECULAR INC	R&D		57,904	
81.049	STOCHASTIC MICROPHYSICS PARAM	Z17-90030 UNIVERSITY CORP FOR ATMOSPHERIC RESEARCH	R&D		68,424	
81.049	SUBCONTRACT TO GTL REACTOR	10054494 / GTL-001 TECHNOLOGY HOLDINGS LLC	R&D		89,988	
81.049	BETCY EFRC	134124-G003970 WASHINGTON STATE UNIVERSITY	R&D		105,667	
81.049	HOTPLATE SENSOR	10048835 PARTICLE FLUX ANALYTICS	R&D		242,009	
81.049	Office of Science Financial Assistance Program	VARIOUS	R&D		5,926,650	1,494,012
81.087	PV SOLAR ENERGY	100054 (205CAL628) PORTLAND STATE UNIVERSITY	R&D		40,106	
81.087	ZONAL ISOLATION	10052331 HOTROCK ENERGY RESEARCH ORGANIZATION	R&D		117,247	
81.087	Renewable Energy Research and Development	VARIOUS	R&D		5,453,984	1,406,085
81.089	Tracer Testing Farnsworth, TX	P0012449 NEW MEXICO INSTITUTE OF MINING & TECH	R&D		-192	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
81.089	REGIONAL CCUS DEPLOYMENT	PO019687 NEW MEXICO INSTITUTE OF MINING & TECH	R&D		4,624	3,032
81.089	INTEGRATION OF GEOPHYSICAL SIM	PO 170624-401183-5801 COLORADO SCHOOL OF MINES	R&D		120,363	
81.089	PRODUCTION-RARE EARTH OXIDES	3200003079-20-155 UNIVERSITY OF KENTUCKY	R&D		159,040	
81.089	REI DOE HP OXY-COAL 2016	8250-1 REACTION ENGINEERING INTL	R&D		184,836	
81.089	SWP CARBON SEQUESTRATION; PHASE III	GENDSWT20 NEW MEXICO INSTITUTE OF MINING & TECH	R&D		759,514	
81.089	Fossil Energy Research and Development	VARIOUS	R&D		1,630,908	341,872
81.112	HIGH P-T DEFORMATION MECHANISM	18-S20 GEORGE WASHINGTON UNIVERSITY	R&D		54,844	
81.117	Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance	VARIOUS			365,429	
81.121	ALPHA SPECTROSCOPY OF SALTS	60049182 OHIO STATE UNIVERSITY RESEARCH FNDTN	R&D		-379	
81.121	HYBRID NUCLEAR ENERGY	20-0549 BRIGHAM YOUNG UNIVERSITY	R&D		4,062	
81.121	Nuclear Energy Research, Development and Demonstration	VARIOUS			52,501	
81.121	NEUP-CU BOULDER 2018	1557174 PO#1001094525 UNIVERSITY OF COLORADO AT BOULDER	R&D		57,755	
81.121	BISON CAPABILITIES	18-023 UNIVERSITY OF NEW HAMPSHIRE	R&D		148,592	
81.121	Nuclear Energy Research, Development and Demonstration	VARIOUS	R&D		1,072,164	81,885
81.122	Electricity Delivery and Energy Reliability, Research, Development and Analysis	VARIOUS	R&D		91,619	
81.124	Predictive Science Academic Alliance Program	VARIOUS	R&D		2,111,195	203,302
81.135	Advanced Research Projects Agency - Energy	VARIOUS	R&D		919,388	157,713
84	Department of Education	VARIOUS			5,811	
84	DLI & STUDENT ACHIEVEMENT	R305H170005 AMER COUNCILS FOR INTNL EDUCATION	R&D		15,199	
84.007	Federal Supplemental Educational Opportunity Grants	VARIOUS			898,779	
84.015	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	VARIOUS			1,546,976	678,781
84.033	Federal Work-Study Program	VARIOUS			1,816,484	

State of Utah
Schedule of Expenditures of Federal Awards By State Agency
For the Year Ended June 30, 2020

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
84.042	TRIO_Student Support Services	VARIOUS			397,623	
84.047	TRIO_Upward Bound	VARIOUS			443,680	
84.063	Federal Pell Grant Program	VARIOUS			27,951,838	
84.229	Language Resource Centers	VARIOUS			148,729	
84.283	WCC EVALUATION	s12-174 MOD 6 WESTED	R&D		39,172	
84.283	REGION 15 CC EVALUATION	S-00017208 WESTED	R&D		152,380	
84.324	BEHAVIORAL OUTCOMES	FY2019-005 UNIVERSITY OF KANSAS	R&D		94,091	
84.325	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities	VARIOUS			733,212	10,564
84.335A	Child Care Access Means Parents in School	VARIOUS			200,471	
84.379	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)	VARIOUS			-11,256	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E200119		COVID-19	354,300	
93	QUANTIFIABLE THERMAL THERAPY	10051908 NEOTHERMA ONCOLOGY	R&D		-9,688	
93	PAVIA BFD GI PANEL SUB FEB '15	N0NE 10037819 BIOFIRE DIAGNOSTICS INC	R&D		-699	
93	FLU PANDEMIC	44978 ABT ASSOCIATES INC	R&D		-188	
93	INDIAN HEALTH SERVICES PROJ	10052282 REGENSTRIEF INSTITUTE, INC	R&D		-16	
93	ECOG-ACRIN LAPS PURCHASE AGREE	N0NE 10038017 ECOG-ACRIN CANCER RESEARCH GROUP	R&D		146	
93	IMPROVED PATIENT RECOVERY AFTER ANESTHESIA WITH HYPERCAP	10014935 AXON MEDICAL INC	R&D		158	
93	YODER.CYCLED PHOTO.7.19	NRN: 0216392 RTI INTERNATIONAL	R&D		791	
93	DRUG REPURPOSING PLATFROM	1503014 RECURSION PHARMACEUTICALS LLC	R&D		820	
93	MIDAS	NONE 10042955 LAM FOUNDATION	R&D		1,041	
93	ANHL1131	ROCH$ANHL1131 CHILDRENS HOSPITAL PHILADELPHIA	R&D		1,600	
93	LUMBAR SURGERY LOW BACK PAIN	19-84105-09 (17-074) UNIVERSITY OF TEXAS MEDICAL BRANCH	R&D		1,773	
93	ALLIANCE NCORP RESEARCH BASE	N0NE 10040309 MAYO CLINIC ROCHESTER	R&D		2,523	
93	CDC FLU PANDEMIC 2 TO49775	T.O. 49775 ABT ASSOCIATES INC	R&D		2,597	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93	VIOLET-PETAL	10045509 INTERMOUNTAIN HEALTHCARE	R&D		2,808	
93	NIH COG NCORP (UG1CA189955)	UGICA189955 CHILDRENS HOSPITAL PHILADELPHIA	R&D		2,961	
93	CTSU PHASE II SUPPLEMENTAL	N0NE 10016682 CHILDRENS HOSPITAL PHILADELPHIA	R&D		4,584	
93	KIDS-DOTT	N0NE 10035406 ALL CHILDREN'S RESEARCH INSTITUTE	R&D		4,881	
93	REVIVE IT	3002875927 UNIVERSITY OF MICHIGAN	R&D		5,649	
93	BMT CTN 1802	1802 NATIONAL MARROW DONOR PROGRAM	R&D		7,844	
93	A RANDOMIZED, MULTI-CENTER, PHASE III STUDY OF ALLOGENEI	0901 NATIONAL MARROW DONOR PROGRAM	R&D		8,774	
93	MSU SUBCONTRACT	RC103694UT MICHIGAN STATE UNIVERSITY	R&D		9,823	
93	EYEGENE SUB	10045855 /13541 THE EMMES COMPANY LLC	R&D		10,864	
93	(COVID-19) MIND	75D30120P07910	R&D	COVID-19	11,013	
93	BREAST CANCER TESTING PANELS	UOU-195385 MAYO CLINIC ROCHESTER	R&D		12,589	
93	BEST-CLI TRIAL	N0NE 10035080 NEW ENGLAND RESEARCH INSTITUTES	R&D		13,096	
93	PLUMB NASTAD SSP DEC 2019	20-SA-313301A-631 NATL ALLNCE OF ST AND TERRITRL AIDS DIR	R&D		14,655	
93	AI/AN HEALTH EQUITY INITIATIV	10054011 URBAN INDIAN CENTER OF SALT LAKE	R&D		15,380	
93	CEGIR 7802	NONE 10042415 CINCINNATI CHILDRENS HOSPITAL MED CTR	R&D		15,464	
93	PLCO/CDCC	6426-509 WESTAT	R&D		16,099	
93	FAP - ERLOTINIB - MAYO	PO 65497845 / MCR-0131-CPN MAYO CLINIC ROCHESTER	R&D		17,211	
93	NN 108	1U10NS077305-01 MASSACHUSETTS GENERAL HOSPITAL	R&D		22,141	
93	TREATMENT OF ASTHMA	HHSN268201700021C TECHNOLOGY HOLDINGS LLC	R&D		24,774	
93	ROP1	N0NE 10037982 JAEB CENTER FOR HEALTH RESEARCH	R&D		24,912	3,108
93	SURVEILLANCE OF PNEUMONIA	75D301-19-C-05998 HARVARD PILGRIM HEALTHCARE INST	R&D		25,839	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93	CCN-DENVER	FY18.903.001 UNIVERSITY OF COLORADO AT DENVER	R&D		27,848	
93	Department of Health and Human Services	VARIOUS	R&D		31,195	
93	Department of Health and Human Services	VARIOUS	R&D		32,315	
93	RESPIRABLE CHARACTERIZATION	1901002Z1 (P0103797) MICHIGAN TECHNOLOGICAL UNIVERSITY	R&D		33,626	
93	BOTTO CDC JAN 2020	EGS0045 EAGLE GLOBAL SCIENTIFIC LLC	R&D		35,886	
93	WATT DUKE SUB SEPT 2019	A032446 SPS#226749 DUKE UNIVERSITY	R&D		37,683	
93	CVDC POSTDOC SUPPORT	1U01HL131003-3 CINCINNATI CHILDRENS HOSPITAL MED CTR	R&D		37,739	
93	FLUE PANDEMIC 2	TASK ORDER 49970 ABT ASSOCIATES INC	R&D		43,859	
93	SWOG CONTRACT	N0NE 10033707 OREGON HEALTH & SCIENCE UNIVERSITY	R&D		45,013	
93	HEALTHRHYTHMS LOVE	UU442019 HEALTH RHYTHMS	R&D		47,332	
93	DVAS	A003630302 UNIVERSITY OF MINNESOTA	R&D		68,906	
93	BOTTO TFGH CDC SUB 2018-2019	NONE 10051889 THE TASK FORCE FOR GLOBAL HEALTH	R&D		87,838	
93	TRIALNET MAJOR AFFILIATE	6119114400E UNIVERSITY OF SOUTH FLORIDA	R&D		95,852	
93	NIH NCTN GRANT (U10CA180886)	SUB#950008021512C CHILDRENS HOSPITAL PHILADELPHIA	R&D		119,415	
93	INTEGRATING NOVEL MECHANISMS	000513373-SP004-001 UNIVERSITY OF ALABAMA AT BIRMINGHAM	R&D		690,920	
93	Department of Health and Human Services	VARIOUS	R&D		2,601,395	329,176
93	Department of Health and Human Services	VARIOUS	R&D		8,033,424	579,929
93.048	Special Programs for the Aging_Title IV_and Title II_Discretionary Projects	VARIOUS	R&D		46,576	
93.059	Training in General, Pediatric, and Public Health Dentistry	VARIOUS	R&D		317,902	
93.073	FELDKAMP UOFI SUB FEB 2018	S00427-01 UNIVERSITY OF IOWA	R&D		11,105	
93.073	Birth Defects and Developmental Disabilities - Prevention and Surveillance	VARIOUS	R&D		419,804	
93.077	NICOTINE CONTENT	00005077 MD ANDERSON CANCER CENTER	R&D		154	
93.084	GLOBAL TRAVEPINET PROGRAM	231295 YEAR 1 MASSACHUSETTS GENERAL HOSPITAL	R&D		3,530	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.084	EPICENTER CHART REVIEW	1902999 UNIVERSITY OF MARYLAND	R&D		56,397	
93.084	JHMI SUBCONTRACT	2004040591 JOHNS HOPKINS HOSPITAL	R&D		62,087	
93.084	Prevention of Disease, Disability, and Death by Infectious Diseases	VARIOUS	R&D		1,835,462	910,983
93.103	PHASE 3 IVFAT PNAC FDA SUB	3003792592 UNIVERSITY OF MICHIGAN	R&D		566	
93.103	DCA IN PDC DEFICIENCY	UFOCR00012081 (UFDSP0011718) UNIVERSITY OF FLORIDA	R&D		10,578	
93.103	ARIMOCLOMOL IN IBM	ZAD00000 UNIVERSITY OF KANSAS	R&D		24,532	
93.103	Food and Drug Administration_Research	VARIOUS	R&D		113,524	10,766
93.107	Area Health Education Centers Point of Service Maintenance and Enhancement Awards	VARIOUS	R&D		298,593	223,058
93.110	Maternal and Child Health Federal Consolidated Programs	VARIOUS	R&D		787,001	582,307
93.113	TAYLOR R01	WFUHS 552908 WAKE FOREST UNIVERSITY	R&D		34	
93.113	OXANDROLONE PERCEPTIONS STUDY	10054189 NEW ENGLAND RESEARCH INSTITUTES	R&D		320	
93.113	QUINLAN UF RESUBMIT 2016	UFDSP00011534 UNIVERSITY OF FLORIDA	R&D		66,608	
93.113	Environmental Health	VARIOUS	R&D		717,282	
93.121	PERIO PHASE 2	10033827 GLYCOMIRA LLC	R&D		9,284	
93.121	UNIV. OF ARIZONA SUBCONTRACT	ASUB00000129 ARIZONA STATE UNIVERSITY	R&D		13,105	
93.121	Oral Diseases and Disorders Research	VARIOUS	R&D		3,207,622	391,039
93.127	NELSON UCD PECARN SUB REN 2015	201503204-1 UNIVERSITY OF CALIFORNIA DAVIS	R&D		-16,314	
93.127	MANN MCW SUB JAN 2016	PO 10041358 MEDICAL COLLEGE OF WISCONSIN	R&D		1,939	
93.127	MANN BUFFALO SUB FEB 2019	R1192435 UNIVERSITY AT BUFFALO	R&D		14,552	
93.127	MANN OHSU SUB MARCH 2019	1016191_UUT OREGON HEALTH & SCIENCE UNIVERSITY	R&D		27,917	
93.127	NELSON UCD SUB FEB 2019	A20-1236-S001 UNIVERSITY OF CALIFORNIA DAVIS	R&D		123,515	
93.127	Emergency Medical Services for Children	VARIOUS	R&D		3,585,321	
93.136	UMB CDC SUBCONTRACT	1600184A 2026 UNIVERSITY OF MARYLAND BALTIMORE	R&D		29,184	
93.136	Injury Prevention and Control Research and State and Community Based Programs	VARIOUS	R&D		997,968	445,606

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.142	HAZARDOUS MATERIALS TRAINING	0011233A and 0011234A UNIVERSITY OF TEXAS AT HOUSTON	R&D		330,332	
93.143	A SYSTEMS BIOLOGY APPROACH	400763-5802 COLORADO SCHOOL OF MINES	R&D		109,133	
93.145	NATIONAL AIDS EDU & TRAIN CTR.	UWSC8703 UNIVERSITY OF WASHINGTON	R&D		3,520	
93.145	AETC	UWSC11302 BPO#42137 UNIVERSITY OF WASHINGTON	R&D		137,682	
93.153	Coordinated Services and Access to Research for Women, Infants, Children, and Youth	VARIOUS	R&D		387,193	
93.172	MARTH/FRAMESHIFT-NOVEL IBIO	1R43HG009868-01 FRAMESHIFT LABS LLC	R&D		-1,237	
93.172	VALIDATION OF CSER	OOS030229-UTAH-84068 KAISER FOUNDATION RESEARCH INSTITUTE	R&D		17,545	
93.172	FRAMESHIFT STTR SUB 2016	1R41HG009629-01 FRAMESHIFT LABS LLC	R&D		24,177	
93.172	SOUTH SEQ	20000.044.02-06 HUDSONALPHA INSTITUTE OF BIOTECHNOLOGY	R&D		60,226	
93.172	MARTH/FRAMESHIFT SBIR II 2017	2R44HG009096-02 FRAMESHIFT LABS LLC	R&D		85,551	
93.172	PHENOTYPIC CONVERGENCE	CNVA00055110(129583-1) UNIVERSITY OF PITTSBURGH	R&D		93,262	
93.172	THE CLINICAL GENOME RESOURCE	5114653 (5111267) UNIV OF NORTH CAROLINA AT CHAPEL HILL	R&D		98,941	
93.172	QUINLAN BROAD RESUBMIT 2016	5001020-5500001047 BROAD INSTITUTE	R&D		350,266	
93.172	Human Genome Research	VARIOUS	R&D		4,583,017	13,199
93.173	SALIVARY PROTEIN	R1172292 RESEARCH FOUNDATION OF SUNY	R&D		25,378	
93.173	SIC ENCAPSULATION FOR ANI	10052265 BLACKROCK MICROSYSTEMS	R&D		27,689	
93.173	SPARC SUBCONTRACT	A20-0069-S001 MEDICAL UNIVERSTIY OF SOUTH CAROLINA	R&D		44,288	
93.173	OLFACTORY DYSFUNCTION CRS	1008367_UUT OREGON HEALTH & SCIENCE UNIVERSITY	R&D		49,742	
93.173	SIMULATOR FOR PHONOSURGERY	GMO 17510 UNIV OF TEXAS SOUTHWESTERN MEDICAL CTR	R&D		52,855	
93.173	NIH R01 THOMSON NIDCD	18-0526 BRIGHAM YOUNG UNIVERSITY	R&D		117,868	
93.173	SIMULATING VOICE DISORDERS	UMS-1163 UNIVERSITY OF MAINE AT FORT KENT	R&D		132,199	
93.173	R01 RESUB TANNER BYU JULY2017	18-0523 BRIGHAM YOUNG UNIVERSITY	R&D		179,431	
93.173	UMD SUBCONTRACT	110445A 2058 SR00005457 UNIVERSITY OF MARYLAND	R&D		302,733	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.173	Research Related to Deafness and Communication Disorders	VARIOUS	R&D		4,660,817	387,532
93.178	Nursing Workforce Diversity	VARIOUS	R&D		461,961	
93.186	National Research Service Award in Primary Care Medicine	VARIOUS	R&D		248,796	
93.211	(COVID-19) TRC	1GA5RH37461-01	R&D	COVID-19	78	
93.211	Telehealth Programs	VARIOUS	R&D		248,874	
93.213	PRAGMATIC HEMODIALYSIS TRIALS	570169 UNIVERSITY OF PENNSYLVANIA	R&D		7,236	
93.213	COPES EXTRA - UH3	GR107787(CON-800002024) YALE UNIVERSITY	R&D		11,872	
93.213	REDUCTION OF ALCOHOL	11-19630-99-01-G2 MOFFITT CANCER CENTER	R&D		16,255	
93.213	MADSEN UG3-UH3 SUB NYU JUN2017	17-A0-00-008501 PO# M200274468 NYU LANGONE MEDICAL CENTER	R&D		16,311	
93.213	GOLDSTEIN R01 SUBCONTRACT	0255-C501-4609 ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	R&D		18,805	
93.213	FINAN R61/R33 SUBCONTRACT	2004336294 JOHNS HOPKINS UNIVERSITY	R&D		18,843	
93.213	COOPERMAN R21/R33 SUBCONTRACT	1279 (0752) RUTGERS UNIVERSITY	R&D		36,096	
93.213	Research and Training in Complementary and Alternative Medicine	VARIOUS	R&D		1,242,931	367,454
93.226	ENACT	0039806 (124759-2) UNIVERSITY OF PITTSBURGH	R&D		698	
93.226	OPIOID USE DISORDER	CNVA00053096 UNIVERSITY OF PITTSBURGH	R&D		3,890	
93.226	HERSH WUSTL SUB OCT 2019	WU-20-225 WASHINGTON UNIVERSITY IN ST LOUIS	R&D		5,437	
93.226	MRSA IN LONG TERM CARE	568241 UNIVERSITY OF PENNSYLVANIA	R&D		6,499	
93.226	SAFE PERFORMANCE OF EHR	111456 BRIGHAM & WOMENS HOSPITAL	R&D		20,165	
93.226	PROCEDURES FOR VOMIT CLEAN UP	2133-209-2012832 CLEMSON UNIVERSITY	R&D		29,906	
93.226	MEDICATION ASSIGNED TREATMENT	5R18HS025072 UNIVERSITY OF PITTSBURGH	R&D		36,214	
93.226	ANTIBIOTIC PRESCRIBING	SUBK00010964 PO3005782711 UNIVERSITY OF MICHIGAN	R&D		38,068	
93.226	CAROLINAS-ACUTE PE	3000301409 (A18-0236-S004) CAROLINAS HEALTHCARE SYSTEM	R&D		38,293	
93.226	PATIENT-CENTERED OUTCOMES	2312021528152594L RTI INTERNATIONAL	R&D		41,850	
93.226	GEORGETOWN SUBCONTRACT	5001451461 MEDSTAR HEALTH	R&D		128,649	
93.226	Research on Healthcare Costs, Quality and Outcomes	VARIOUS	R&D		3,365,611	611,357

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.231	EPI PROGRAM FOR AI/AL TRIBES	UU10054435 ALBUQUERQUE AREA INDIAN HLTH BRD INC	R&D		78,269	
93.233	National Center on Sleep Disorders Research	VARIOUS	R&D		387,449	69,223
93.236	Grants to States to Support Oral Health Workforce Activities	VARIOUS	R&D		-8,133	
93.242	KELLER MICH SUB AUG 2017	3004544101 UNIVERSITY OF MICHIGAN	R&D		-14,928	
93.242	CASPER ED-STARS AS DEC SUPPLEMENT	3004134601 UNIVERSITY OF MICHIGAN	R&D		-521	
93.242	LEPPERT SUBCONTRACT	496K952 UNIVERSITY OF WISCONSIN-MADISON	R&D		2,486	
93.242	NIH PTSD YEAR 2	UWSC8570 UNIVERSITY OF WASHINGTON	R&D		4,159	
93.242	CBIT-TRAINER	2017-0154 PSYCTECH LTD	R&D		7,933	
93.242	SCALING-UP STEPPED CARE	17717 UNIVERSITY OF ILLINOIS AT CHICAGO	R&D		19,978	
93.242	ONLINE INTERVENTION DEVELOPMNT	18-M23 GEORGE WASHINGTON UNIVERSITY	R&D		21,137	
93.242	PATHS	18-M32 GEORGE WASHINGTON UNIVERSITY	R&D		35,239	
93.242	RELATIONSHIP DOMAINS	5111756 UNIV OF NORTH CAROLINA AT CHAPEL HILL	R&D		38,457	
93.242	RO1 GENECT MULTI-SITE	2004512570 JOHNS HOPKINS UNIVERSITY	R&D		54,941	
93.242	STABILIZING BEHAVIORAL RHYTHMS	UU442018 HEALTH RHYTHMS	R&D		60,086	
93.242	CASPER MICH ED-STARS SEPT 2016	3004653606 UNIVERSITY OF MICHIGAN	R&D		75,852	
93.242	PREDICTING BINGE AND BURGE	5115883 UNIV OF NORTH CAROLINA AT CHAPEL HILL	R&D		76,656	
93.242	CASPER ED-STARS	3003298612 3005638697 UNIVERSITY OF MICHIGAN	R&D		113,486	
93.242	SUBCONTRACT TO WISCONSIN/LAINH	690K922 UNIVERSITY OF WISCONSIN-MADISON	R&D		548,007	
93.242	Mental Health Research Grants	VARIOUS	R&D		4,791,632	298,823
93.243	FAST	NONE 10052176 THE ROAD HOME	R&D		63,709	
93.243	Substance Abuse and Mental Health Services_Projects of Regional and National Significance	VARIOUS	R&D		1,044,273	53,444
93.247	Advanced Nursing Education Grant Program	VARIOUS	R&D		390,230	12,089
93.253	(COVID-19) CARES FEDERAL COVID GRANT	1H4CHS37362-01	R&D	COVID-19	10,582	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.253	Poison Center Support and Enhancement Grant Program	VARIOUS	R&D		147,433	
93.262	DRONES FOR SAFETY INSPECTION	SUB00001631 UNIVERSITY OF FLORIDA	R&D		-3	
93.262	EXPOSURE RESPONSE FOR LBP	183405351 UNIVERSITY OF WISCONSIN-MILWAUKEE	R&D		7,652	
93.262	RAMSAY COLORADO	G-61105-03 COLORADO STATE UNIVERSITY	R&D		13,896	
93.262	Occupational Safety and Health Program	VARIOUS	R&D		1,447,108	7,255
93.264	Nurse Faculty Loan Program (NFLP)	VARIOUS	R&D		189,000	
93.273	PRUITT RIH SUB SEPT 2016	7017137290-1 RHODE ISLAND HOSPITAL	R&D		14,100	
93.273	MINORITY STRESS, DRINKING	16345 UNIVERSITY OF ILLINOIS AT CHICAGO	R&D		29,390	
93.273	ALCOHOL DISORDER PREVENTION	S-001070 UNIVERSITY OF CALIFORNIA RIVERSIDE	R&D		36,515	
93.273	DRUGS IN BIPOLAR ALCOHOLICS	A00-3115-S001 (MUSC18-025-8C712) MEDICAL UNIVERSTIY OF SOUTH CAROLINA	R&D		38,057	
93.273	TECHNOLOGY-BASED EVALUATION	UWSC9335 UNIVERSITY OF WASHINGTON	R&D		99,617	
93.273	Alcohol Research Programs	VARIOUS	R&D		1,156,231	6,227
93.279	CTN BUPRENORPHINE	15116-1 HENNEPIN HEALTHCARE RESEARCH INSTITUTE	R&D		-14,203	
93.279	PRESCRIPTION DRUG MONITORING	009942-025 UNIVERSITY OF CINCINNATI	R&D		-9,787	
93.279	Nicotine and Alcohol Co-Depend	140401 BRIGHAM YOUNG UNIVERSITY	R&D		-3,885	
93.279	UTERO CANNABIS NEONATAL BRAIN	FY19.778.003 UNIVERSITY OF COLORADO AT DENVER	R&D		885	
93.279	CANNABIS DURING PREGNANCY	SC37730-1 (P0160021) COLORADO SEMINARY	R&D		3,982	
93.279	PITTSBURGH GELLAD SUBCONTRACT	00057127(130219-3) UNIVERSITY OF PITTSBURGH	R&D		7,110	
93.279	MANN RTI/NIDA SUB JUN 2019	PO 65661L RTI INTERNATIONAL	R&D		8,511	
93.279	MUSC NICOTINE R01 SUBAWARD	A19-0019-S001 MEDICAL UNIVERSTIY OF SOUTH CAROLINA	R&D		15,647	
93.279	AHSR CONFERENCE R13 SUB	0000000204 UNIVERSITY OF WISCONSIN-MADISON	R&D		18,637	
93.279	OAT IN MEDICAID IMPACT	9920180084 RAND CORPORATION	R&D		21,088	
93.279	ABCD COORDINATING CENTER	115327068 MP INVOICE# S9002304 UNIVERSITY OF CALIFORNIA SAN DIEGO	R&D		28,839	

State of Utah
Schedule of Expenditures of Federal Awards By State Agency
For the Year Ended June 30, 2020

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.279	OPIOID USE DISORDER TREATMENT	AWD00000068(132578-6) UNIVERSITY OF PITTSBURGH	R&D		29,519	
93.279	CTN 0099 BUPRENORPHINE	401655 THE MCLEAN HOSPITAL CORPORATION	R&D		87,800	
93.279	MII	32661 UTAH BARROW NEUROLOGICAL INSTITUTE	R&D		116,706	
93.279	Drug Abuse and Addiction Research Programs	VARIOUS	R&D		7,850,555	672,634
93.283	CDC FLU PANDEMIC 3 TO50771	44306 / T.O. 50771 ABT ASSOCIATES INC	R&D		199	
93.283	MATLINK	PO 3333 THE TASK FORCE FOR GLOBAL HEALTH	R&D		12,410	
93.283	BOTTO TFGH CDC SUB 2019-2020	PO 3073 / PI-CD32-ICBD THE TASK FORCE FOR GLOBAL HEALTH	R&D		54,195	
93.283	Centers for Disease Control and Prevention_Investigations and Technical Assistance	VARIOUS	R&D		619,371	376,020
93.286	MD2K	5-40513 UNIVERSITY OF MEMPHIS	R&D		12,183	
93.286	NIH SUB WITH FAU	URK87 FLORIDA ATLANTIC UNIVERSITY	R&D		17,276	
93.286	MRI GUIDED THERMAL THERAPY	11171SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	R&D		33,095	
93.286	WARREN CRCNS NIH	122367359 UNIVERSITY OF SOUTHERN CALIFORNIA	R&D		75,642	
93.286	Discovery and Applied Research for Technological Innovations to Improve Human Health	VARIOUS	R&D		2,830,891	166,814
93.307	UOFTX LATINO SMOKERS	UTA17-0001145 UNIVERSITY OF TEXAS AT AUSTIN	R&D		-392	
93.307	VINCI R00	11-19002-99-01-G2 MOFFITT CANCER CENTER	R&D		10,779	
93.307	NYU SUBCONTRACT	18-A1-00-007896 PROJ#110387 NEW YORK UNIVERSITY	R&D		30,420	
93.307	Minority Health and Health Disparities Research	VARIOUS	R&D		1,058,349	455,463
93.310	SOUTHERN ALL OF US NETWORK	000519041-007 UNIVERSITY OF ALABAMA AT BIRMINGHAM	R&D		-17,054	
93.310	LA DREAMERS	94745352 UNIVERSITY OF SOUTHERN CALIFORNIA	R&D		-1,464	
93.310	MINDS TRIAL	10053351 NEW ENGLAND RESEARCH INSTITUTES	R&D		297	
93.310	BUILDING SCHOLARS	226141289C UNIVERSITY OF TEXAS AT EL PASO	R&D		7,225	
93.310	OXANDROLONE - ROWER	10052860 NEW ENGLAND RESEARCH INSTITUTES	R&D		8,218	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.310	WRISTBAND SUPPLEMENT PROJECT	5U2COD023375 DUKE UNIVERSITY	R&D		16,253	
93.310	NRMN	5101964-1 BOSTON COLLEGE	R&D		32,351	32,296
93.310	Trans-NIH Research Support	VARIOUS	R&D		4,515,919	184,060
93.315	SPINA BIFIDA COMPONENT C	NONE 10035084 INTERMOUNTAIN HEALTHCARE	R&D		311	
93.315	Rare Disorders: Research, Surveillance, Health Promotion, and Education	VARIOUS	R&D		392,927	
93.342	Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students	VARIOUS	R&D		78,740	
93.350	ATALANTA	000518169-006 UNIVERSITY OF ALABAMA AT BIRMINGHAM	R&D		2,371	
93.350	LASALLE CTSA NETWORK SUPP UL1	MUSC19-013-8D533 (MUSC17-020-8C706) MEDICAL UNIVERSTIY OF SOUTH CAROLINA	R&D		9,539	
93.350	LASALLE VANDERBILT U24 RIC SUB	VUMC 59240 VANDERBILT UNIVERSITY	R&D		26,445	
93.350	ACT WAVE 3 - UOP	AWD00000243 UNIVERSITY OF PITTSBURGH	R&D		38,125	
93.350	HEAL-PILOT-01 DOSE TRIAL	2037876 DUKE CLINICAL RESEARCH INSTITUTE	R&D		41,311	
93.350	STRESS	203-7853 DUKE CLINICAL RESEARCH INSTITUTE	R&D		49,911	
93.350	PROMIS	60044737UU NORTHWESTERN UNIVERSITY	R&D		88,375	
93.350	National Center for Advancing Translational Sciences	VARIOUS	R&D		10,483,225	374,635
93.351	SBIR - DAMAGE TO COLLAGEN	SBIR-II-UU-SUB-02 3HELIX INC	R&D		10,563	
93.351	Research Infrastructure Programs	VARIOUS	R&D		2,223,252	18,271
93.353	02.05.19_DANA_FARBER	1178701 2019-0372 DANA FARBER CANCER INSTITUTE	R&D		1,928	
93.353	21st Century Cures Act - Beau Biden Cancer Moonshot	VARIOUS	R&D		4,605,413	2,313,022
93.354	MELANOMA	00003179 MD ANDERSON CANCER CENTER	R&D		170,864	
93.359	Nurse Education, Practice Quality and Retention Grants	VARIOUS	R&D		625,521	89,234
93.361	UCDENVER SUBCONTRACT FALL 18	FY19.961.001 UNIVERSITY OF COLORADO AT DENVER	R&D		-90	
93.361	LINDER VCU SUBCONTRACT	EP2952370 PR8503090-V2 VIRGINIA COMMONWEALTH UNIVERSITY	R&D		4,580	
93.361	QUADRUPEDAL HUMAN-ASSISTIVE RO	UA15-060 UNIVERSITY OF ALABAMA	R&D		19,162	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.361	STRONG	2017-3499 UNIVERSITY OF CALIFORNIA IRVINE	R&D		20,543	
93.361	PRESSURE ULCERS	A032608 DUKE UNIVERSITY	R&D		51,366	
93.361	PRESSURE ULCERS	A031183 (2035600) DUKE UNIVERSITY	R&D		72,082	
93.361	Nursing Research	VARIOUS	R&D		1,988,813	291,107
93.368	21st Century Cures Act - Precision Medicine Initiative	VARIOUS	R&D		1,226,380	
93.393	CERVICAL CANCER	RS20152192-06 UNIVERSITY OF OKLAHOMA	R&D		-4,360	
93.393	PATHOLOGICAL EPIDEMIOLOGY	0000813360 FRED HUTCHINSON CANCER RESEARCH CENTER	R&D		-479	
93.393	PLCO	10052126 WESTAT	R&D		2,289	
93.393	GERMLINE MULTIPLE MYELOMA	BD523231 MEMORIAL SLOAN-KETTERING CANCER CTR	R&D		4,835	
93.393	MOFFITT SUB SUCCESS GRANT	10-20216-99-01-G2 MOFFITT CANCER CENTER	R&D		9,300	
93.393	FINANCIAL INCENTIVES	RS20160790-05 UNIVERSITY OF OKLAHOMA	R&D		12,950	
93.393	GENOMIC AND TRANSCRIPTOMIC	GB10524 UNIVERSITY OF VIRGINIA	R&D		13,415	
93.393	PURDUE NCI SUBCONTRACT	11000720-012 (4102-81790) PURDUE UNIVERSITY	R&D		19,326	
93.393	CESAR SUB JUNE2018	VUMC73753 VANDERBILT UNIVERSITY MEDICAL CENTER	R&D		20,111	
93.393	10.05.18_WETTER_GSU_SUB	SP00013766-01 GEORGIA STATE UNIVERSITY	R&D		20,903	
93.393	MD ANDERSON SUB - COLORECTAL	SUB2158 MD ANDERSON CANCER CENTER	R&D		35,341	
93.393	CONTROLLING ESOPHAGEAL CANCER	2(GG012814-02) [S(GG012814-01)] COLUMBIA UNIVERSITY	R&D		36,955	
93.393	COMPREHENSIVE EVALUATION	N006612801 UNIVERSITY OF MINNESOTA	R&D		47,701	
93.393	R01-CA189184	SUB#835528 FRED HUTCHINSON CANCER RESEARCH CENTER	R&D		86,309	
93.393	RAPID-PC	1557 G 2A332 PTE-441356 RJ 29590 UNIVERSITY OF CALIFORNIA LOS ANGELES	R&D		95,104	
93.393	BREAST CANCER COHORT	3(GG013725-01) / SAPO: G12765 COLUMBIA UNIVERSITY	R&D		156,163	
93.393	4DN TPA INITIATIVE	92275293 S9001779 UNIVERSITY OF CALIFORNIA SAN DIEGO	R&D		190,479	49,718
93.393	Cancer Cause and Prevention Research	VARIOUS	R&D		7,279,012	2,268,980
93.394	ULCERATIVE COLITIS	7000000941 (7R01CA211892) BAYLOR COLLEGE OF MEDICINE	R&D		25,219	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.394	SUBCON_FAJARDO-OKLAHOMA_2015	2016-45 UNIVERSITY OF OKLAHOMA	R&D		78,047	
93.394	EARLY DETECTION CANCER U01 SUB	00004322 MD ANDERSON CANCER CENTER	R&D		125,227	
93.394	Cancer Detection and Diagnosis Research	VARIOUS	R&D		2,422,840	322,374
93.395	ECOG-ACRIN FOUNDATION SUB	U10CA18082002 ECOG-ACRIN MEDICAL RESEARCH FDTN	R&D		-1,214	
93.395	13854-LAST - Y5	5R01CA184798-05 MEDICAL COLLEGE OF WISCONSIN	R&D		1,595	
93.395	A PHASE III MULTICENTER RANDOMIZED TRIAL OF SENTINAL LYM	CA29605 JOHN WYNE CNCR INST@ST JHN'S HLTH CR CTR	R&D		1,978	
93.395	POST-POLYPECTOMY SURVEILLANCE	09560003-323342 VETERANS MEDICAL RESEARCH FOUNDATION	R&D		14,829	
93.395	SWOG LEADERSHIP ROLE	1013080_UUTAH OREGON HEALTH & SCIENCE UNIVERSITY	R&D		24,491	
93.395	REOLYSIN-BASED COMBINATION	53261.2003487.669304 BECKMAN RESH INST OF CITY OF HOPE	R&D		28,313	
93.395	MEYERS COG LEADERSHIP 2019	PO 20104920-RSUB CHILDRENS HOSPITAL PHILADELPHIA	R&D		29,082	
93.395	COG RARE TUMOR 2016-2017	10045310 CHILDRENS HOSPITAL PHILADELPHIA	R&D		30,000	
93.395	SCHIFFMAN_PEEL THERAPEUTICS	10052250 PEEL THERAPEUTICS	R&D		73,601	
93.395	NIH NCTN GRANT (2U10CA180886)	10054509 CHILDRENS HOSPITAL PHILADELPHIA	R&D		76,407	
93.395	WHITE MATTER TOXICITY IN PEDS	R01 CA221197 PO# 7000000513 BAYLOR COLLEGE OF MEDICINE	R&D		82,613	
93.395	GROSSMANN-OHSU_SUB	1013080_UTAH_GROSSMAN OREGON HEALTH & SCIENCE UNIVERSITY	R&D		83,410	
93.395	THERA TARGET STTR SUBAWARD	10044354 THERA TARGET INC	R&D		86,166	
93.395	MECHANISTIC STUDY OF ANTITUMOR	745001 PROTEOGENOMICS RESH INST FOR SYS MED	R&D		97,986	
93.395	MATSEN CCDR PILOT JUNE2018	UTT-194321 PO#66186213 MAYO CLINIC ROCHESTER	R&D		129,141	
93.395	BMX-HGG-001	00062660 BIOMIMETIX JV LLC	R&D		177,446	
93.395	MHEALTH DATA	SUBK00008225 UNIVERSITY OF MICHIGAN	R&D		293,840	
93.395	11.15.17_WUSTL_SUBCONTRACT	WU-19-77 / WU-20-165 WASHINGTON UNIVERSITY IN ST LOUIS	R&D		356,069	
93.395	Cancer Treatment Research	VARIOUS	R&D		3,567,832	610,760

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.396	VIS ANALYSIS LRG PAT COHORTS	152454.5097127.0103 HARVARD UNIVERSITY	R&D		-352	
93.396	U24 SCLC CONSORTIUM	BD525426 MEMORIAL SLOAN-KETTERING CANCER CTR	R&D		21,179	
93.396	U01 SCREEN DETECTED LESIONS	PO#3001052861 SUB00003640 MD ANDERSON CANCER CENTER	R&D		75,153	
93.396	SEROUS OVARIAN CANCER	576726 UNIVERSITY OF PENNSYLVANIA	R&D		151,378	
93.396	Cancer Biology Research	VARIOUS	R&D		4,973,598	181,275
93.397	COHEN_SUB_CITY OF HOPE_U54	10047645 CITY OF HOPE NATIONAL MEDICAL CENTER	R&D		302,547	
93.397	ASU (ACE)-SUBCONTRACT	ASUB00000013 ARIZONA STATE UNIVERSITY	R&D		328,338	
93.397	Cancer Centers Support Grants	VARIOUS	R&D		3,753,292	30,000
93.398	Cancer Research Manpower	VARIOUS	R&D		2,429,500	
93.399	Cancer Control	VARIOUS	R&D		951,235	374,006
93.421	NACDD	1082020 222-1402-2 NATL ASSOCIATION OF CHRONIC DISEASE	R&D		28,068	
93.516	RM-PHTC	FY19.641.003 UNIVERSITY OF COLORADO AT DENVER	R&D		99,080	
93.732	Mental and Behavioral Health Education and Training Grants	VARIOUS	R&D		182,271	
93.837	CIRT (CARDIO INFLAMMATION)	CIRT BRIGHAM & WOMENS HOSPITAL	R&D		-61,819	
93.837	DEAN THAPCA CONT AUG 2016	3004153447 UNIVERSITY OF MICHIGAN	R&D		-19,560	
93.837	READMISSION	KEY1795-02 NORTHERN CALIFORNIA INST RESH & EDUC	R&D		-463	
93.837	WARE PHN SCHOLAR MAY 2019	10053462 NEW ENGLAND RESEARCH INSTITUTES	R&D		1,241	
93.837	LIFE STUDY_HF	SITE #LF700 DUKE UNIVERSITY	R&D		1,532	
93.837	ALDOSTERONE DMD OHIO STATE SUB	60060457-60041988 OHIO STATE UNIVERSITY RESEARCH FNDTN	R&D		1,752	
93.837	INVESTED SUBCONTRACT- BWH	U01HL130204 BRIGHAM & WOMENS HOSPITAL	R&D		2,808	
93.837	EXERCISE IN GENETIC CV DISEASE	R01HL125918 YALE UNIVERSITY	R&D		3,833	
93.837	FALD	U10HL068270 NEW ENGLAND RESEARCH INSTITUTES	R&D		4,565	
93.837	EVERITT WAYNE STATE SUB 2014	WSU14109 WAYNE STATE UNIVERSITY	R&D		6,078	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.837	OXANDROLONE STUDY	10052584 NEW ENGLAND RESEARCH INSTITUTES	R&D		6,312	
93.837	PRECISION MED FOR CHEMO-RELAT	60064759 OHIO STATE UNIVERSITY RESEARCH FNDTN	R&D		6,786	
93.837	METABOLIC PATHWAYS	100035 JOSLIN DIABETES CENTER	R&D		7,157	
93.837	BP AND KIDNEY FUNCTION	N006187431 (N006187407) UNIVERSITY OF MINNESOTA	R&D		7,268	
93.837	FRANKLIN R01SUB MOON/GEISINGER	696038UOU01 GEISINGER HEALTH SYSTEM	R&D		8,293	
93.837	OXANDROLONE-PI	10052093 NEW ENGLAND RESEARCH INSTITUTES	R&D		10,625	
93.837	PCGC FIXED PRICE	138281 CINCINNATI CHILDRENS HOSPITAL MED CTR	R&D		11,960	
93.837	MILLER PHN FEB 2018	U24HL135691 NEW ENGLAND RESEARCH INC	R&D		12,053	5,460
93.837	MINICH PHN ASC CHAIR 2018	U2HL135691 NEW ENGLAND RESEARCH INC	R&D		14,862	
93.837	REDUCING SEDENTARY BEHAVIOR	0056306 (130264-1) UNIVERSITY OF PITTSBURGH	R&D		16,906	
93.837	PRAVASTATIN	U24HL140168 GEORGE WASHINGTON UNIVERSITY	R&D		17,302	
93.837	GALLIUM STUDY	11580SUB SEATTLE CHILDREN'S HOSPITAL	R&D		17,434	
93.837	CVDC STEERING COMMITTEE CHAIR	2020 CVDC 138275 CHAIR CINCINNATI CHILDRENS HOSPITAL MED CTR	R&D		21,157	
93.837	HEART HEALTH CAPITATION	0214047 RTI INTERNATIONAL	R&D		21,287	20,170
93.837	TRANSFORM-YALE (TRANSFER)	5U10HL125511 YALE UNIVERSITY SCHOOL OF MEDICINE	R&D		21,461	
93.837	LAMBERT T4G CHAIR FEB 2018	TEAM 4 GROWTH NEW ENGLAND RESEARCH INSTITUTES	R&D		22,971	
93.837	EXTRACELLULAR SPACE	431976-19122 VIRGINIA POLYTECHNIC INSTITUTE & ST UNIV	R&D		26,347	
93.837	LOFT-HF	60073460 OHIO STATE UNIVERSITY RESEARCH FNDTN	R&D		28,988	
93.837	MCS-A-QOL	60043010 UTAH NORTHWESTERN UNIVERSITY	R&D		30,513	
93.837	TRANSFORM HF-MECHANISTIC SUB	GR106666 (CON-80001811) YALE UNIVERSITY SCHOOL OF MEDICINE	R&D		31,952	
93.837	E-CHEM CATHETER PROJECT 2	3004342614 UNIVERSITY OF MICHIGAN	R&D		44,115	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.837	PETERSON - SUB - LONG QT	228707 MASSACHUSETTS GENERAL HOSPITAL	R&D		45,451	
93.837	RTI HEART HEALTH CR	13-312-0214047-52919 L RTI INTERNATIONAL	R&D		45,891	
93.837	CHD BRAIN AND GENES	0138281 PCGC-PROTOCOL CINCINNATI CHILDRENS HOSPITAL MED CTR	R&D		49,448	
93.837	ACCESS	N005339721 UNIVERSITY OF MINNESOTA	R&D		49,770	
93.837	NAVAJO NATION - ASTHMA	20112501A NATIONAL JEWISH HEALTH	R&D		52,181	
93.837	DO IT TRIAL, PHN	10045841 NEW ENGLAND RESEARCH INSTITUTES	R&D		57,756	
93.837	TRUONG PHN SCHOLAR FEB 2018	NIH U24HL135691 NEW ENGLAND RESEARCH INC	R&D		64,707	8,351
93.837	FH SCREENING	G13744 6(GG012850-1) COLUMBIA UNIVERSITY	R&D		66,380	
93.837	TAILORED MHEALTH	CNVA00056243 (130146-1) P00424130 UNIVERSITY OF PITTSBURGH	R&D		74,165	
93.837	CASPER UCD SUB FEB 2016	20162680-03 UNIVERSITY OF CALIFORNIA DAVIS	R&D		80,152	
93.837	REEDER CHOP CPR-NOVA 2018	PO #20094367-RSUB CHILDRENS HOSPITAL PHILADELPHIA	R&D		84,852	
93.837	HEMODYNAMIC ADAPTATION	000515877-001 UNIVERSITY OF ALABAMA	R&D		113,046	
93.837	MULTISCALE MODELING	511765 (5111704) UNIV OF NORTH CAROLINA AT CHAPEL HILL	R&D		114,310	
93.837	CHRONIC HYPERTENSION PREGNANCY	000503570-SC009 UNIVERSITY OF ALABAMA	R&D		118,649	10,567
93.837	FCM FOR SURGARY BCH R01 SUB	GENFD0001319815 BOSTON CHILDREN'S HOSPITAL	R&D		140,433	
93.837	R01 COLUMBIA SUB	1(GG011659) COLUMBIA UNIVERSITY	R&D		144,068	
93.837	REEDER CHOP CPCCRN SUB 2015	3200170321P0962194RSUB CHILDRENS HOSPITAL PHILADELPHIA	R&D		149,183	
93.837	VASCULAR COUPLING DEVICE	10051191 MICROSURGICAL INNOVATIONS	R&D		154,524	
93.837	FUEL EXTENSION TRIAL	10044713 NEW ENGLAND RESEARCH INSTITUTES	R&D		174,852	
93.837	CVDC UTAH GENOMIC DATA SHARING	138275 CVDC-DATA HUB CINCINNATI CHILDRENS HOSPITAL MED CTR	R&D		193,025	
93.837	INFORMATION EXTRACTION	R912 DARTMOUTH COLLEGE	R&D		194,925	
93.837	PEDIATRIC TB	1079SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	R&D		213,186	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.837	Cardiovascular Diseases Research	VARIOUS	R&D		12,248,936	254,303
93.838	TB MONITORING	10041714 NANOSYNTH MATERIALS AND SENSORS INC	R&D		-31	
93.838	HUFF CHOP SUB MAR 2019	ROUTE KEY 3201160619 CHILDRENS HOSPITAL PHILADELPHIA	R&D		2	
93.838	WILLER CHOP SUB DEC 2018	3201160619 CHILDRENS HOSPITAL PHILADELPHIA	R&D		2	
93.838	ACUTE KIDNEY INJURY	5R01HL 132358-03 PO#0108688 INDIANA UNIVERSITY	R&D		191	
93.838	RETHINC TRIAL	002 COPD FOUNDATION	R&D		1,000	
93.838	INSIGHT	9012549 (128373-22) UNIVERSITY OF PITTSBURGH	R&D		1,000	
93.838	RETHINC	003(5u01hl128954-03) COPD FOUNDATION	R&D		1,743	
93.838	INSIGHT (PTC)	001-AMEND 1 COPD FOUNDATION	R&D		1,880	
93.838	RETHINC	003 COPD FOUNDATION	R&D		2,000	
93.838	ROSE PETAL STUDY	N0NE 10039228 INTERMOUNTAIN HEALTHCARE	R&D		27,874	
93.838	ALPS FOLLOW UP	2-AR-20 (1-AF-20) GEORGE WASHINGTON UNIVERSITY	R&D		42,604	
93.838	SPIROMICS II-HF	5(GG015835-01) COLUMBIA UNIVERSITY	R&D		44,198	
93.838	PETAL	SUB10033029 INTERMOUNTAIN HEALTHCARE	R&D		56,786	
93.838	OPTIMIZE-IP-12	11317SUB SEATTLE CHILDREN'S RESEARCH INSTITUTE	R&D		57,390	
93.838	PTC NEMO CLEANUP IPF	5U01HL128954 UNIVERSITY OF PITTSBURGH	R&D		62,298	
93.838	CASPER UOFA SUB JUNE 2017	474337 UNIVERSITY OF ARIZONA	R&D		67,509	
93.838	ALPS FOLLOW-UP STUDY	MFMU-ALPS FOLLOWUP GEORGE WASHINGTON UNIVERSITY	R&D		101,246	
93.838	SPIROMICS GIC SUPPORT	5111456 UNIV OF NORTH CAROLINA AT CHAPEL HILL	R&D		111,301	
93.838	STONE UOFW R01 SUB SEPT 2017	UWSC10605 BPO33124 UNIVERSITY OF WASHINGTON	R&D		112,276	
93.838	PULMONARY HYPERTENSION	VUMC73919 VANDERBILT UNIVERSITY	R&D		118,226	
93.838	VIRGINIA SUB	FP00003678-SA004 VIRGINIA COMMONWEALTH UNIVERSITY	R&D		144,340	
93.838	SPIROMICS II	10461SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	R&D		474,453	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.838	Lung Diseases Research	VARIOUS	R&D		1,420,746	54,527
93.839	HEWES MATIC STUDY OCT 2016	WU-17-100 WASHINGTON UNIVERSITY IN ST LOUIS	R&D		-15,145	
93.839	THE CHEMISTRY AND BIOLOGY OF HEPARAN SULFATE	PT105889-SC102422 VIRGINIA COMMONWEALTH UNIVERSITY	R&D		-5,595	
93.839	NMDP BMT-CTN 1202	U10HL069294 CHILDRENS HOSPITAL PHILADELPHIA	R&D		162	
93.839	BMT CTN 0801: A PHASE II/III RANDOMIZED, MULTICENTER TRI	0801 NATIONAL MARROW DONOR PROGRAM	R&D		10,626	
93.839	UG3/UH3 CCF SUBCONTRACT	1175-SUB CLEVELAND CLINIC FOUNDATION	R&D		12,699	
93.839	SUBWASHU-R01 PLATELET BIO	WU-20-376 WASHINGTON UNIVERSITY IN ST LOUIS	R&D		32,649	
93.839	COOK MCW U01 SUB 2018-2020	1U01HL143477-01 MEDICAL COLLEGE OF WISCONSIN	R&D		46,257	
93.839	ESTROGEN-MEDIATED MODULATOR	S00006 UNIVERSITY OF IOWA	R&D		323,159	
93.839	Blood Diseases and Resources Research	VARIOUS	R&D		2,497,881	330,544
93.846	VASCULAR GROWTH	RG760-G1 GEORGIA INSTITUTE OF TECHNOLOGY	R&D		-21,342	
93.846	VCRC 5562 ARAMIS	572644-ARAMIS UNIVERSITY OF PENNSYLVANIA	R&D		162	
93.846	VCRC 5563 CUTIS	572644 CUTIS UNIVERSITY OF PENNSYLVANIA	R&D		162	
93.846	VCRC	578142 UNIVERSITY OF PENNSYLVANIA	R&D		642	
93.846	PROMIS	560224 UNIVERSITY OF PENNSYLVANIA	R&D		1,025	
93.846	HIGH-RISK PATIENTS WITH APS	001 HOSPITAL FOR SPECIAL SURGERY	R&D		4,077	
93.846	INMAN DUKE SUB FEB 2018	A030247 DUKE UNIVERSITY	R&D		14,720	
93.846	VCRC	572644 UNIVERSITY OF PENNSYLVANIA	R&D		28,888	
93.846	PSA- U PENN	572080 UNIVERSITY OF PENNSYLVANIA	R&D		58,005	
93.846	VASCULAR GROWTH	217180C UNIVERSITY OF OREGON	R&D		114,532	
93.846	Arthritis, Musculoskeletal and Skin Diseases Research	VARIOUS	R&D		2,325,904	5,430
93.847	GENETIC MODIFIERS OF IRON	20143114 UNIVERSITY OF CALIFORNIA IRVINE	R&D		-3,592	
93.847	NAVIGEN SUB - SBIR PHASE 1	10047199 NAVIGEN	R&D		-1,146	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.847	PETERSON SUB - BLOCH	224717 MASSACHUSETTS GENERAL HOSPITAL	R&D		-910	
93.847	BIOPD	UWSC8920 UNIVERSITY OF WASHINGTON	R&D		728	
93.847	GENE DISCOVERY IN DIABETIC CKD	32307-1 AUGUSTA UNIVERSITY	R&D		1,033	
93.847	BOTKIN TIC CHICAGO SUB AWARD	FP068366-01 J UNIVERSITY OF CHICAGO	R&D		2,271	
93.847	RDCRC	025538374609 ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	R&D		3,278	
93.847	ED-EMERGE FUNDED	UWSC9604-BPO20743 UNIVERSITY OF WASHINGTON	R&D		5,729	
93.847	CASPER RIH SUB RESUB DEC 2016	701-7137304-1 RHODE ISLAND HOSPITAL	R&D		5,734	
93.847	GUTHERY FORCE STUDY 2017	NONE 10047398 ARBOR RESEARCH COLLABORATIVE FOR HEALTH	R&D		6,789	
93.847	POHL UOFI INSPPIRE 2 JUL 2017	S00546 (W000937002) UNIVERSITY OF IOWA	R&D		9,290	
93.847	MYERS R21 SUB IOWA FEB2017	S00567-01 UNIVERSITY OF IOWA	R&D		9,992	
93.847	CU NIH R21 SUBCONTRACT	FY19.344.001/PRO-25-A7271 UNIVERSITY OF COLORADO AT DENVER	R&D		13,417	
93.847	NOVEL KIDNEY INJURY TOOLS	2004017126 JOHNS HOPKINS HOSPITAL	R&D		16,539	
93.847	U MICH SUBCONTRACT (WRIGHT)	3004918540 UNIVERSITY OF MICHIGAN	R&D		17,982	
93.847	METABOLOMICS AND GENOMICS	2003108669 JOHNS HOPKINS UNIVERSITY	R&D		19,546	
93.847	HOTALING STREAMDX SEPT2016	10043586 STREAMDX INC	R&D		23,677	
93.847	SPRR REGULATION OF ENAC	CNVA00060589 (131753-3) UNIVERSITY OF PITTSBURGH	R&D		26,375	
93.847	DYNAMIC PREDICTION	3001370490 MD ANDERSON CANCER CENTER	R&D		27,544	
93.847	LYSYL OXIDASE - AV FISTULA	SPC-001310 UNIVERSITY OF MIAMI	R&D		28,134	
93.847	FIRST STUDY	701K816 UNIVERSITY OF WISCONSIN-MADISON	R&D		46,385	
93.847	SURVIVAL SELECTION AND CARE	GB10510 UNIVERSITY OF VIRGINIA	R&D		53,224	
93.847	SUBSTTRPOTRERO-PHASE II	10051344 POTRERO HILL THERAPEUTICS	R&D		58,781	
93.847	HILO	203-7932 DUKE CLINICAL RESEARCH INSTITUTE	R&D		58,802	
93.847	STREAM DX SBIR PHASE IIB	10053353 STREAMDX INC	R&D		72,971	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.847	RDCRC RENEWAL YRS 11-15	0255-B222-4609 ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	R&D		107,111	
93.847	EXOCRINE REGULATORS	150801 GMO 150801 PO#000000659E UNIV OF TEXAS SOUTHWESTERN MEDICAL CTR	R&D		130,177	
93.847	PILOT & FEASIBILITY PROGRAM	WU13237PO2917459W WASHINGTON UNIVERSITY IN ST LOUIS	R&D		144,989	
93.847	Diabetes, Digestive, and Kidney Diseases Extramural Research	VARIOUS	R&D		10,715,074	648,363
93.853	Project 2 U54 MGH114928	114928 BRIGHAM & WOMENS HOSPITAL	R&D		-1,697	
93.853	HOLSTI ESETT PK-PD MAR 2018	G012275.157195 UNIVERSITY OF VIRGINIA	R&D		-1,249	
93.853	TRACK-TBI	9957SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	R&D		-378	
93.853	ACCELERATED ACHE REACTIVATORS	51953335 UNIVERSITY OF CALIFORNIA SAN DIEGO	R&D		-42	
93.853	BRAIN VASCULAR MALFORMATION	8444SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	R&D		-3	
93.853	BOOST3	SUBK10410CSPR-002 (CSPR#002) UNIVERSITY OF MICHIGAN	R&D		945	
93.853	SHINE	GC12107146770 UNIVERSITY OF VIRGINIA	R&D		1,731	
93.853	KAMM-U OF CALIFORNIA SF	11625SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	R&D		2,113	
93.853	ZIELINSKI UW MADISON SUB 2015	679K615 - 137943 - 17-8525 UNIVERSITY OF WISCONSIN-MADISON	R&D		2,831	
93.853	ARCADIA CSI	012340-135733 UNIVERSITY OF CINCINNATI	R&D		2,934	
93.853	FOR DMD	417096/URFAO:GR510660 UNIVERSITY OF ROCHESTER	R&D		3,963	
93.853	ARCADIA	010785-135733 UNIVERSITY OF CINCINNATI	R&D		5,953	
93.853	ASPIRE	012043-135733 UNIVERSITY OF CINCINNATI	R&D		6,648	
93.853	CREST-H	UTA-232483 MAYO CLINIC JACKSONVILLE	R&D		8,143	
93.853	BONKOWSKY CHOP SUB ADMIN CORE	3202030620 CHILDRENS HOSPITAL PHILADELPHIA	R&D		8,834	
93.853	SATURN	012044-135733 (INSTITUTE 22(R22) UNIVERSITY OF CINCINNATI	R&D		10,267	
93.853	FP066261	FP066261-E UNIVERSITY OF CHICAGO	R&D		13,226	
93.853	PRETERM EPO NEUROPROTECTION	752218 UNIVERSITY OF WASHINGTON	R&D		13,341	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.853	ESSETT	1006969_UTAH OREGON HEALTH & SCIENCE UNIVERSITY	R&D		16,087	
93.853	THALAMIC STIMULATION	192656-1 WEILL CORNELL MEDICINE	R&D		16,101	
93.853	CREST-II	UOU-224063-01 MAYO CLINIC JACKSONVILLE	R&D		17,671	
93.853	BASERGA HEAL RESUB SEPT 2015	9748SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	R&D		18,266	
93.853	R22 MOST	011266-135733 UNIVERSITY OF CINCINNATI	R&D		19,696	
93.853	SELP FOR EMOLIZATION OF ANEURY	R41NS100184 THERA TARGET INC	R&D		22,651	
93.853	SALVO	REF #228383 MASSACHUSETTS GENERAL HOSPITAL	R&D		23,465	
93.853	ESETT UOFVIRGNIA SUB AUG 2016	GB10094 UNIVERSITY OF VIRGINIA	R&D		27,523	
93.853	ANTICONVULSANT SCREENING	230537 MASSACHUSETTS GENERAL HOSPITAL	R&D		35,409	
93.853	SLEEP SMART	011337-135733 UNIVERSITY OF CINCINNATI	R&D		37,252	
93.853	U OF ARIZONA SUB	532506 UNIVERSITY OF ARIZONA	R&D		49,693	
93.853	HARVARD SUB - DOUGLASS	138078-5105159 HARVARD UNIVERSITY	R&D		52,141	
93.853	TRAINING & EDUCATION CORE	121799 BRIGHAM & WOMENS HOSPITAL	R&D		56,659	
93.853	WEISS SUB TO NATIONWIDE	970614 RESH INST AT NATIONWIDE CHLDRNS HOSP	R&D		63,688	
93.853	BUTTERFIELD ROCHESTER SUB2018	417333/URFAO:GR 510789 UNIVERSITY OF ROCHESTER	R&D		76,536	
93.853	CRI - MPNST	30005472-02 ALL CHILDREN'S RESEARCH INSTITUTE	R&D		80,278	
93.853	RUTTER U54 SUB	121807 BRIGHAM & WOMENS HOSPITAL	R&D		81,554	
93.853	FUJINAMI SUB FROM UC IRVINE	2020-1261 UNIVERSITY OF CALIFORNIA IRVINE	R&D		96,174	
93.853	BIOMARKERS FOR EPILEPTOGENESIS	223171 MASSACHUSETTS GENERAL HOSPITAL	R&D		101,377	
93.853	THORELL SCH SUB RESUB JUN 2017	11829SUB SEATTLE CHILDREN'S HOSPITAL	R&D		119,676	
93.853	CLEVELAND CLINIC SUBAWARD	1213-SUB CLEVELAND CLINIC FOUNDATION	R&D		135,023	
93.853	SHUTTLEWORTH GRANT	3RDR7 UNIVERSITY OF NEW MEXICO	R&D		154,896	
93.853	CENTRAL THALAMIC TRACT	184028-04 170541-04 [15101628-04] WEILL CORNELL MEDICINE	R&D		181,918	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.853	TOPCSPN	FP00007840 VIRGINIA COMMONWEALTH UNIVERSITY	R&D		203,014	
93.853	WARREN AUDITORY NERVE IMPLANT	502063UU FEINSTEIN INSTITUTE FOR MEDICAL RESEARCH	R&D		210,212	
93.853	EVAL OF LEAD ASDS	2R44NS097047-02 NEUROENE THERAPEUTICS	R&D		226,120	
93.853	BWH PROJECT 2	121805 BRIGHAM & WOMENS HOSPITAL	R&D		235,493	
93.853	AUDITORY NERVE IMPLANT	A006795101 UNIVERSITY OF MINNESOTA	R&D		259,632	
93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders	VARIOUS	R&D		14,827,869	964,839
93.855	RADICAL IIA	6863RADICALS IIA DUKE CLINICAL RESEARCH INSTITUTE	R&D		-31,208	
93.855	SHYR/CHEN UCSF SUB PITDC 2017	9858SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	R&D		-5,511	
93.855	PEACE	PRO-00045657 DUKE UNIVERSITY	R&D		-2,813	
93.855	RITUXIMAB FOR TREATMENT OF SSC	9295SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	R&D		225	
93.855	BOYER PIDTC UCSF SUB NOV 2019	11861SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	R&D		270	
93.855	D-PEPTIDE RSV	10043348 NAVIGEN	R&D		1,299	
93.855	ICPR SUBCONTRACT	16-A0-00-007002-01 NEW YORK UNIVERSITY	R&D		14,632	
93.855	AMPOFO VANDERBILT SUB RESUB	VUMC61446 VANDERBILT UNIVERSITY	R&D		17,567	
93.855	COFACTORS FOR TAT FUNCTION	GM0150311 UNIV OF TEXAS SOUTHWESTERN MEDICAL CTR	R&D		20,371	
93.855	FAMILY OF COMPOUNDS	17-M32 GEORGE WASHINGTON UNIVERSITY	R&D		22,764	
93.855	ARLG SUBCOMMITTEE	2038233 PTE UM1AI104681 DUKE UNIVERSITY	R&D		48,455	
93.855	RTB-004	10050996 BRIGHAM & WOMENS HOSPITAL	R&D		58,060	
93.855	CASPER/PAVIA UOC R34 APR 2018	R34AI136783-03 UNIVERSITY OF CALGARY	R&D		60,783	
93.855	B-CELL IMMUNE RESPONSE	2301GVE795 UNIVERSITY OF CALIFORNIA LOS ANGELES	R&D		68,460	
93.855	ANTI IL5 AND CHURG STRAUSS SYN	20083701 NATIONAL JEWISH HEALTH	R&D		77,061	
93.855	BURULI ULCER	200441512 JOHNS HOPKINS UNIVERSITY	R&D		89,160	
93.855	BLASCHKE BIOFIRE SUB JUNE 2014	10035912 BIOFIRE DIAGNOSTICS INC	R&D		109,729	

State of Utah
Schedule of Expenditures of Federal Awards By State Agency
For the Year Ended June 30, 2020

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.855	EMORY U19 SUB - ANTIA	A182273 (T813799) EMORY UNIVERSITY	R&D		119,417	
93.855	NOTRE DAME SUBCONTRACT (BAKER	202980UU UNIVERSITY OF NOTRE DAME	R&D		135,519	
93.855	UM1 WITH U PENN- PLANELLES	25281-08-324 THE WISTAR INSTITUTE	R&D		141,658	
93.855	GLYCOMIRA SUB	10049369 GLYCOMIRA LLC	R&D		145,821	
93.855	SMAC MIMETICS	5956012798UTAH SANFORD-BURNHAM MEDICAL RESEARCH INST	R&D		150,891	
93.855	Allergy and Infectious Diseases Research	VARIOUS	R&D		10,820,008	1,133,783
93.859	HOLUBKOV SEPSIS R01 WASHU SUB	WU1685P02928460A WASHINGTON UNIVERSITY IN ST LOUIS	R&D		-2,538	
93.859	MECH. OF LDP TARGETING	111479-5115797 HARVARD UNIVERSITY	R&D		19,125	
93.859	CPCCRN PHENOMS-HOLUBKOV	AWD00001240(133730-1) UNIVERSITY OF PITTSBURGH	R&D		30,244	
93.859	HOLUBKOV SEPSIS R01 MD SUB	F300738-1 REF#2850 UNIVERSITY OF MARYLAND	R&D		30,925	
93.859	UVA SUB - VIRAL ASSEMBLY	GB10602.PO #2141113 UNIVERSITY OF VIRGINIA	R&D		67,100	
93.859	FOX CHASE SUBCONTRACT	FCCC 15107-04 FOX CHASE CANCER CENTER	R&D		153,818	
93.859	Biomedical Research and Research Training	VARIOUS	R&D		26,129,370	3,670,142
93.865	FELDKAMP MSSM SUB AUG 2017	0254-3544-4609 ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	R&D		-3,305	
93.865	COOK CHOP SEPT 2016	3200720719 CHILDRENS HOSPITAL PHILADELPHIA	R&D		-1,036	
93.865	RMN PROTOCOLS	M16A12517 (A10541) YALE UNIVERSITY	R&D		-88	
93.865	SPERM SAMPLE PREPARATION	1R44HD095355-01 NANONC INC	R&D		-53	
93.865	HIP TRIAL 2016-2017	VUMC 53375 VANDERBILT UNIVERSITY MEDICAL CENTER	R&D		119	
93.865	TERM REFERENCE	10050207 RTI INTERNATIONAL	R&D		639	
93.865	BIOPIC	FWA00003745 DUKE UNIVERSITY	R&D		654	
93.865	MOMPOD CAP	5107013 UNIV OF NORTH CAROLINA AT CHAPEL HILL	R&D		1,154	
93.865	HYPOTHERMIA FOR ENCEPHALOPATHY	10041405 RTI INTERNATIONAL	R&D		2,009	
93.865	Holubkov LAPSE DCC	11449SUB SEATTLE CHILDREN'S HOSPITAL	R&D		3,562	
93.865	WORKMAN BCH SHIPSS 2019-2024	GENFD0001754387 BOSTON CHILDREN'S HOSPITAL	R&D		7,057	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.865	TCCMS	UTHHD089922DF PENNSYLVANIA STATE UNIVERSITY	R&D		8,796	
93.865	CAMPBELL UCD SUB JUNE 2019	FY20.812.003 2-5-A9096 UNIVERSITY OF COLORADO AT DENVER	R&D		9,315	
93.865	YODER RTI MAR 2017 MILRINONE	HD 036790 / 0212456 RTI INTERNATIONAL	R&D		10,460	
93.865	LONGO OHSU SUB SEPT. 2018	1014948_UUT_A OREGON HEALTH & SCIENCE UNIVERSITY	R&D		11,431	
93.865	HERMAN PENN STATE SUB NOV 2015	UTAHD-089922 PENNSYLVANIA STATE UNIVERSITY	R&D		13,075	
93.865	PREMOD2	R012017UTAH SHARP HEALTHCARE FOUNDATION	R&D		17,331	
93.865	YODER NRN PDA TRIAL 2018	10050208 RTI INTERNATIONAL	R&D		17,422	
93.865	PHACS SUBCONTRACT	TULHSC4671314 TULANE UNIVERSITY	R&D		23,556	
93.865	RELATIONSHIPS, AGE, AND HEALTH	GB 10584.PO #2150591 UNIVERSITY OF VIRGINIA	R&D		27,310	
93.865	MIHYST	SUBK00011597 UNIVERSITY OF MICHIGAN	R&D		32,427	
93.865	YODER NRN FOLLOW-UP JUNE 2016	10042856 RTI INTERNATIONAL	R&D		35,162	
93.865	17-OHPC	1423 MAGEE-WOMENS RESH INST & FDTN	R&D		40,868	
93.865	FINO IRE K12	60047828 UTAH NORTHWESTERN UNIVERSITY	R&D		48,731	
93.865	GENERIC DATA BASE STUDY (GDB)	10041397 RTI INTERNATIONAL	R&D		64,343	
93.865	CASPER CCHMC SUB TRANS 2018	305632 CINCINNATI CHILDRENS HOSPITAL MED CTR	R&D		64,721	
93.865	YODER RTI MOCHA 2018	10049599 RTI INTERNATIONAL	R&D		66,719	
93.865	GLISSMEYER BIOSIG II JAN 2017	3004685885 UNIVERSITY OF MICHIGAN	R&D		67,896	
93.865	B&W TEK- TB POC SBIR	10053891 B&W TEK	R&D		68,207	
93.865	MINVI	M-R012019 UTAH SHARP HEALTHCARE FOUNDATION	R&D		69,042	
93.865	MIOS	700017-1118-00 RESH INST AT NATIONWIDE CHLDRNS HOSP	R&D		74,021	
93.865	GRUBB VU SUB JULY 2017	VUMC63064 VANDERBILT UNIVERSITY MEDICAL CENTER	R&D		80,905	
93.865	JENKINS SBIR PHASEII SEPT2019	10055192 NANONC INC	R&D		81,910	
93.865	MANN OHSU SUB JAN 2017	1009131_UUT OREGON HEALTH & SCIENCE UNIVERSITY	R&D		85,359	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.865	CASPER CNMC SUB OCT 2017	30004942-11 CHILDREN'S NATIONAL MEDICAL CENTER	R&D		89,556	
93.865	MEG IN PEDIATRIC TBI	100508302 MP-INV-59001897 UNIVERSITY OF CALIFORNIA SAN DIEGO	R&D		137,976	
93.865	CASPER MCW SUB RESUB JAN 2017	R01HD091302 MEDICAL COLLEGE OF WISCONSIN	R&D		139,869	
93.865	IMPROVING BOVINE CLONING	202531-658 UTAH STATE UNIVERSITY	R&D		160,961	
93.865	COOK/SZADKOWSKI RINCH SUB 2/17	700171-0720-00 RESH INST AT NATIONWIDE CHLDRNS HOSP	R&D		174,981	
93.865	YODER RTI MAY 2017 DARBE	10046105 RTI INTERNATIONAL	R&D		190,153	125,604
93.865	U01 ON DEMAND CONTRACEPTION	789K740 UNIVERSITY OF WISCONSIN-MILWAUKEE	R&D		198,975	102,300
93.865	OHSU GEMINI YR 5	1015587_UTAH OREGON HEALTH & SCIENCE UNIVERSITY	R&D		230,736	
93.865	NOVEL THERAPEUTICS	OSP2018139 UNIVERSITY OF MASSACHUSETTS	R&D		278,882	
93.865	HUMAN PLACENTAL STRUCTURE	1007823_UTAH OREGON HEALTH & SCIENCE UNIVERSITY	R&D		303,501	
93.865	COOK NWU SUB FEB 2016	60046347 UTAH NORTHWESTERN UNIVERSITY	R&D		308,487	
93.865	MFMU STUDY PROTOCOLS	21050 GEORGE WASHINGTON UNIVERSITY	R&D		1,059,790	548,931
93.865	Child Health and Human Development Extramural Research	VARIOUS	R&D		9,793,047	1,338,113
93.866	R43 MELIORIX SUBCONTRACT	SUB AWARD 38 ELIMU THERPEUTICS INC	R&D		-22,382	
93.866	GUTHERY GROWTH STUDY SEPT 2016	16091646 WEILL CORNELL MEDICINE	R&D		948	
93.866	INDIVIDUALIZED DISEASE	1310-SUB CLEVELAND CLINIC FOUNDATION	R&D		1,892	
93.866	ELLINGTON MT SINAI SUBAWARD	0253-1181-4609 ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	R&D		6,168	
93.866	PREVENTABLE	A03-3102 DUKE CLINICAL RESEARCH INSTITUTE	R&D		6,384	
93.866	SPRINT ASK	WFUHS 115478 WAKE FOREST UNIVERSITY	R&D		16,717	
93.866	SEX DIFFERENCES IN HEALTH	A03-1438 (203-5780) DUKE UNIVERSITY	R&D		25,900	
93.866	KEEPS CONTINUATION (MAYO SUB)	UNI-234899 MAYO CLINIC ROCHESTER	R&D		47,480	
93.866	Langenecker Nicotine Study	93026191 UNIVERSITY OF SOUTHERN CALIFORNIA	R&D		52,338	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.866	WASHINGTON UNIVERSITY SUB	WU-20-329 WASHINGTON UNIVERSITY IN ST LOUIS	R&D		60,753	
93.866	SPECTORX	OSP2018107/PO#WA00740129 UNIVERSITY OF MASSACHUSETTS	R&D		62,565	
93.866	DEMENTIA SYSTEM MANAGEMENT	F0452-02 NEW YORK UNIVERSITY	R&D		190,681	
93.866	IDENTIFICATION OF SENOLYTICS	10052173 RECURSION PHARMACEUTICALS LLC	R&D		240,595	
93.866	ALZHEIMER'S DISEASE BYU	16-0484 BRIGHAM YOUNG UNIVERSITY	R&D		474,424	
93.866	Aging Research	VARIOUS	R&D		6,499,338	242,014
93.867	POSTERIOR CAPSULE OPACIFIC.	1011464-UUT OREGON HEALTH & SCIENCE UNIVERSITY	R&D		-18	
93.867	ADJUST	11323SC UNIVERSITY OF CALIFORNIA SAN FRANCISCO	R&D		1,374	
93.867	AMBLYOPIA TREATMENT STUDY 16: AUGMENTING ATROPINE TREATM	1288910 JAEB CENTER FOR HEALTH RESEARCH	R&D		1,918	
93.867	META-MUST	2004664672 JOHNS HOPKINS UNIVERSITY	R&D		6,603	
93.867	ADVISE	2004258457 JOHNS HOPKINS UNIVERSITY	R&D		6,827	
93.867	MAB21L IN EYE DEVELOPMENT	R01EY025718 MEDICAL COLLEGE OF WISCONSIN	R&D		17,706	
93.867	META-MUST	025577115609 ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	R&D		22,946	
93.867	OCT IN HIE INFANTS	A031507 (A030429) DUKE UNIVERSITY	R&D		34,114	
93.867	META-MUST POINT/MERIT TRIALS	2002459427 JOHNS HOPKINS UNIVERSITY	R&D		39,967	
93.867	CONNECTOMES & COMP MODELS	100595816 UNIVERSITY OF SOUTHERN CALIFORNIA	R&D		73,873	
93.867	MULTIPLEXED MICROPROBE ARRAYS	500664-78050 NORTHEASTERN UNIVERSITY	R&D		76,975	
93.867	DIETARY FACTORS-RETINAL AGING	1013001_UUT OREGON HEALTH & SCIENCE UNIVERSITY	R&D		90,974	
93.867	Vision Research	VARIOUS	R&D		8,126,510	29,126
93.879	QUANTIFYING SYSTEM	2035841 DUKE UNIVERSITY	R&D		-180	
93.879	NEIGHBORHOOD LOOKING GLASS	65585-Z0149202 UNIVERSITY OF MARYLAND	R&D		109,409	
93.879	Medical Library Assistance	VARIOUS	R&D		2,992,363	610,625
93.884	Grants for Primary Care Training and Enhancement	VARIOUS	R&D		288,142	

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COMPONENT UNITS						
UNIVERSITY OF UTAH						
93.898	Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations	VARIOUS	R&D		311,053	
93.918	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease	VARIOUS	R&D		820,261	
93.969	PPHF Geriatric Education Centers	VARIOUS	R&D		772,302	244,364
93.989	International Research and Research Training	VARIOUS	R&D		580,281	170,833
94.024	SOCIAL FINANCE COST SHARE	10049772 SOCIAL FINANCE			-36	
94.024	Social Innovation Fund Pay for Success	VARIOUS			114,922	
97	Department of Homeland Security	VARIOUS	R&D		334,923	
97.044	FOCUS SURVEY	860333 PO# U0196112 DREXEL UNIVERSITY	R&D		23,440	
97.077	Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection	VARIOUS	R&D		324,523	
98	U.S. Agency for International Development	VARIOUS			1,874,480	463,923
98.001	DRINKING WATER IN PAKISTAN	2000008307 NATIONAL ACADEMY OF SCIENCES	R&D		100,527	
		Subtotal – University Of Utah			405,547,382	40,187,520
UTAH COMMUNICATION AUTHORITY						
11.549	State and Local Implementation Grant Program	49-10-S18049			175,663	
		Subtotal – Utah Communication Authority			175,663	
UTAH STATE UNIVERSITY						
10	TCN Manti-LaSal RAP 2017	17-PA-11041000-020 The Corps Network			24,336	
10	Department of Agriculture	VARIOUS			64,231	
10	Department of Agriculture	VARIOUS	R&D		329,950	
10.001	Agricultural Research_Basic and Applied Research	VARIOUS			19,895	
10.001	Agricultural Research_Basic and Applied Research	VARIOUS	R&D		367,131	
10.025	Bee bycatch in different moth	K2702 Washington State Department of Agriculture	R&D		10,198	
10.025	Plant and Animal Disease, Pest Control, and Animal Care	VARIOUS			142,714	
10.025	Plant and Animal Disease, Pest Control, and Animal Care	VARIOUS	R&D		326,618	
10.028	Wildlife Services	VARIOUS	R&D		113,190	
10.168	Farmers' Market and Local Food Promotion Program	VARIOUS			126,413	2,417

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COMPONENT UNITS						
UTAH STATE UNIVERSITY						
10.202	Cooperative Forestry Research	VARIOUS	R&D		289,039	
10.203	Payments to Agricultural Experiment Stations Under the Hatch Act	VARIOUS	R&D		2,589,776	
10.207	Animal Health and Disease Research	VARIOUS	R&D		32,593	
10.212	A Novel Thermo-Time Domain Ref	2018-33610-28543 Acclima	R&D		413	
10.215	Promoting crop diversification	G223-19-W7502 Montana State University	R&D		4,636	
10.215	Enhancing business management	G138-20-W7504 Montana State University			7,103	7,103
10.215	Management strategies for Toma	G219-19-W7502 Montana State University	R&D		8,768	
10.215	Identifying Stacked Conservati	G235-19-W7500 Montana State University			10,071	10,071
10.215	Identifying Stacked Conservati	G235-19-W7500 Montana State University			10,331	10,331
10.215	Utah Western SARE PDP State Pr	G158-19-W7506 Montana State University			16,258	
10.215	Identifying Stacked Conservati	G235-19-W7500 Montana State University			21,548	21,548
10.215	Enhancing business management	G138-20-W7504 Montana State University			22,547	
10.215	Identifying Stacked Conservati	G235-19-W7500 Montana State University			40,471	
10.215	Sustainable Agriculture Research and Education	VARIOUS	R&D		3,130,910	2,687,848
10.303	Integrated Programs	VARIOUS	R&D		465,343	
10.304	EDEN Listening Session	F0008724402014 Purdue University	R&D		2,267	
10.304	Utah Plant Pest Diagnostic Lab	201603796-06 University of California			20,324	
10.307	Breeding and Agonomy of Quinoa	128314_G003756 Washington State University	R&D		85,242	
10.307	Organic Agriculture Research and Extension Initiative	VARIOUS	R&D		448,638	106,670
10.309	Stop the rot: Combating onion	133321-G004112 Washington State University	R&D		5,154	
10.309	Management of Brown Marmorated	2017-0398-04 North Carolina State University	R&D		42,321	
10.309	Optimizing the cost-effectiven	SUB00001765 UGA Research Foundation, Inc.	R&D		65,455	
10.309	Accelerating the development,	79611-10751 Cornell University	R&D		69,016	
10.310	Sustainable Rural Development	UNR-17-54 University of Nevada at Reno	R&D		13,082	
10.310	Thriving Agricultural Systems	S000213-USDA Penn State University	R&D		34,088	
10.310	Weaving Waters Through Dry Lan	2015-69007-23190 SUB:UNR-15-68 University of Nevada at Reno	R&D		85,249	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
10.310	Agriculture and Food Research Initiative (AFRI)	VARIOUS			106,668	
10.310	RANCHING, RANGELANDS, AND RESI	1556406 University of Colorado at Boulder	R&D		107,518	
10.310	Agriculture and Food Research Initiative (AFRI)	VARIOUS	R&D		245,588	6,455
10.310	Agriculture and Food Research Initiative (AFRI)	VARIOUS	R&D		1,113,438	96,040
10.311	Beginning Farmer and Rancher Development Program	VARIOUS			232,316	135,019
10.329	Integrated pest management str	SA18-4060-08 University of California Division of Agriculture and Natural Resources	R&D		29,812	
10.329	Developing innovative IPM tact	C00059074-1 University of Missouri at Columbia	R&D		37,773	
10.329	Crop Protection and Pest Management Competitive Grants Program	VARIOUS	R&D		73,923	35,849
10.329	Crop Protection and Pest Management Competitive Grants Program	VARIOUS			123,780	
10.330	Developing an attractant for L	203224USU University of Notre Dame	R&D		-3,100	
10.330	Developing an attractant for L	3200002567-20-022 University of Kentucky Research Foundation	R&D		3,100	
10.330	Overhauling alfalva weevil man	376429 University of Arizona	R&D		14,185	
10.330	Protecting Alfalfa Yield from	G222-19-W7430 Montana State University	R&D		16,876	
10.330	Developing alfalfa varieties f	A19-1564-S001 University of California at Davis	R&D		21,138	
10.330	Alfalfa and Forage Research Program	VARIOUS	R&D		169,717	66,077
10.336	Veterinary Services Grant Program	VARIOUS	R&D		35,778	
10.458	Managing Risk on Utah's Farms	VARIOUS Custom Ag Solutions, Inc.	R&D		5,455	5,455
10.458	Managing Risk on Utah's Farms	VARIOUS Custom Ag Solutions, Inc.	R&D		50,214	
10.460	Risk Management Education Partnerships	VARIOUS			67,175	
10.500	2020 Air Force 4-H Partnership	A00-0983-S078 Kansas State University			287	
10.500	2020 4-H Military Partnership	A00-0983-S045 Kansas State University			3,716	
10.500	Risk Management Education for	134194-G004010 Washington State University			5,000	5,000
10.500	Davis County 4-H Take Flight D	S19158 Kansas State University			5,681	
10.500	Safety in Agriculture for Yout	35-5420-2004-003 University of Nebraska			9,010	
10.500	Dairy Revenue Risk Protection	AD3943-SB-884792 University of Idaho	R&D		10,145	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
10.500	Risk and Profitability in Beef	134194 G004016 Washington State University	R&D		17,158	
10.500	USU Extension 4-H Military Par	S19070 Kansas State University			22,169	
10.500	Risk Management Education for	134194-G004010 Washington State University			35,000	
10.500	Cooperative Extension Service	VARIOUS	R&D		125,639	
10.500	Cooperative Extension Service	VARIOUS			174,324	50,863
10.500	Cooperative Extension Service	VARIOUS			363,915	
10.500	Cooperative Extension Service	VARIOUS			2,548,533	
10.516	Rural Health and Safety Education Competitive Grants Program	VARIOUS			97,543	5,199
10.652	Forestry Research	VARIOUS	R&D		7,856	
10.652	Forestry Research	VARIOUS			21,048	
10.674	Wood Utilization Assistance	VARIOUS	R&D		9,295	
10.674	Wood Utilization Assistance	VARIOUS			11,522	
10.680	Forest Health Protection	VARIOUS	R&D		4,485	
10.699	THE CORPS NETWORK - USFS RAP 2	17-PA-11046000-008 The Corps Network			111,267	
10.699	Partnership Agreements	VARIOUS			174,845	
10.699	Partnership Agreements	VARIOUS	R&D		388,365	
10.707	Research Joint Venture and Cost Reimbursable Agreements	VARIOUS	R&D		28,804	
10.855	Distance Learning and Telemedicine Loans and Grants	VARIOUS			127,605	
10.902	Sagebrush Ecosystem Alliance	68-3A75-16-736 Pheasants Forever, Inc.	R&D		1,051	
10.902	Surveying Non-operating Landow	VARIOUS American Farmland Trust	R&D		2,752	
10.902	Beaver-Assisted Restoration &	VARIOUS Pheasants Forever, Inc.	R&D		68,057	
10.912	Innovative Technologies for Wa	3-580990.USU1 Oklahoma State University	R&D		459	
11	National Mesonet Program	S2017-0132; Synoptic Data Corporation			155,848	
11.431	Assessing Drought Impacts for	SUBAED001350 University Corporation for Atmospheric Research			27,434	
12	TO31 Develop and Assess	HQ0147-11-D-0052-0031 Missile Defense Agency	R&D		-7,654	

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COMPONENT UNITS						
UTAH STATE UNIVERSITY						
12	Freeflyer 4	954572 Sandia Laboratories	R&D		-2,991	
12	INXS/Biarri Software Supp	ATA ATP/FA9453-07-D-0189 Applied Technology Associates Aerospace	R&D		-847	
12	Peregrine	PO 4201443453 and PO 4201455979 / FA9453-17-C-0077 Raytheon Corp General	R&D		-457	
12	ASTM	JL190326 L3HARRIS Technologies, Inc.	R&D		-273	
12	TO 0003 UMTC	HQ0147-11-D-0052-0003 Missile Defense Agency	R&D		-205	
12	ADTE Follow On	HQ0147-11-D-0052-0028 Missile Defense Agency	R&D		-81	
12	SAR-Aided GPS	202136-636 / Prime 2011633 Sandia Laboratories	R&D		-25	
12	Tuttle-Project P	HQ0147-16-D-0002, HQ0147-17-F-0044 Missile Defense Agency	R&D		-1	
12	TSC Army RIBN Phase II	Subcontract TSC-1216-60191 / W56KGU-19-C-0057 Technology Service Corporation	R&D		134	134
12	NadirSAR Phase II	Subcontract TSC-1152-60056/ W56KGU-17-C-0091 Technology Service Corporation	R&D		173	173
12	DOD Space Test Pgm Spt	HQ0147-11-D-0052-0027 Missile Defense Agency	R&D		348	
12	PGS Therm Strap Ball OPIR	20JDM00015 / FA8810-18-C-0005 Ball Aerospace and Tech	R&D		609	
12	MicroMAS2 On-Orbit Op Sup	7000416856 Massachusetts Institute of Technology (MIT)	R&D		651	
12	NAVFAC Manual Update--Chapter-	418357-19138 Virigina Tech Polytechnic Institute			1,268	
12	Wind Turbine Phase II	TSC-1100-33006/N68335-15-C-0176 Technology Service Corporation	R&D		1,327	1,327
12	Department of Defense	VARIOUS			2,500	
12	PNT	HQ0147-16-D-0002, HQ0147-17-F-0081 Missile Defense Agency	R&D		2,933	
12	Yellow Jacket	D8537-S1 / HQ0147-16-D-0001/HQ014718F0029 Georgia Institute of Technology	R&D		3,197	
12	GNSU Rebuild	SIS180377 Jacobs Technology, Inc.	R&D		3,285	
12	SSI	1077-08/FA8650-06-C-1077 Sensing Strategies, Inc.	R&D		3,295	
12	Therm Strp Raytheon OPIR	4202201262 Raytheon Space and Airborne Systems	R&D		3,891	
12	RFBR Prgm Support TO33	HQ0147-16-D-0002, HQ085420F0026 Missile Defense Agency	R&D		4,410	4,410
12	2019 AFRL University Design Ch	TSC-1191-60000 Technology Services Corporation			4,817	

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COMPONENT UNITS						
UTAH STATE UNIVERSITY						
12	Laboratory Testing Services fo	VARIOUS Gannett Fleming, Inc.	R&D		5,042	
12	DPG Lidar Systems	HQ0147-11-D-0052-0034 Missile Defense Agency	R&D		5,324	
12	2020 AFRL University Design Ch	MON001-S-016-07 DZYNE Technologies			5,586	
12	Global Hawk IQC	SCF1136306/SP0700-99-D-0301 Alion Science and Technology Corporation	R&D		6,114	
12	Dugway Lidar Support	HQ0147-16-D-0002, HQ0147-17-F-0039 Missile Defense Agency	R&D		7,290	7,290
12	TEW KeyW Support	50005310 / N00173-15-F-2001 KeyW Corporation	R&D		7,836	7,836
12	Benchmark Simulations of Impro	18-7900-0003-02-C9 TO# 0003 Universal Technology Corporation	R&D		8,129	
12	TNWAS Flight Test	3302000579 L3HARRIS Technologies, Inc.	R&D		9,192	
12	Gryphon Security Support	SDL10-100 / FA9453-12-D-0285 TO 10 Applied Technology Associates Aerospace	R&D		9,302	
12	Wind Turbine Phase II	TSC-1100-33006/N68335-15-C-0176 Technology Service Corporation	R&D		9,434	
12	Marine Corps IDIQ	M67854-19-D-2039/M67854-19-F-2078 Marine Corp	R&D		10,135	10,135
12	AFRL/RV ERES	HQ0147-11-D-0052-0023 Missile Defense Agency	R&D		10,515	
12	DCGS-MC Chat Monitoring	N00173-12-D-2004 0004 Naval Research Laboratory	R&D		10,600	
12	Volume Charge Distribution Mea	VARIOUS Box Elder Innovations, LLC	R&D		15,256	
12	DHFR Tech Spt	HQ0147-16-D-0002, HQ0147-17-F-0052 Missile Defense Agency	R&D		15,966	15,966
12	TO22 Management	HQ0147-11-D-0052-0022 Missile Defense Agency	R&D		20,287	
12	High Temperature Optical Strai	142411-0000003-19-02-C13 Universal Technology Corporation	R&D		22,932	
12	Parsons 12U Adapter Plate	259-0248/PO-0007907 / FA8811-19-F-0003 Parsons Infrastructure & Technology Group, Inc.	R&D		25,970	
12	DCM Follow-on Support	N00173-12-D-2004 0004 Naval Research Laboratory	R&D		26,975	
12	Therm Strap NRL CCOR	PO19-02201 / GS00Q14OADU431 KBR, Inc.	R&D		27,158	
12	MC	XXXXXX-13-D-0490 0008 Misc Federal Sponsors	R&D		27,646	
12	AFSPC HQ Support	HQ0147-16-D-0002, HQ0147-18-F-0042 Missile Defense Agency	R&D		28,658	
12	COSMIC-2 RF Beacon Cal/Val Sup	SUBCON002137 University Corporation for Atmospheric Research	R&D		28,910	

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UTAH STATE UNIVERSITY						
12	Tuttle-Flash Te	HQ0147-16-D-0002, HQ0147-17-F-0044 Missile Defense Agency	R&D		30,279	
12	DCGS-N Help Desk	Subcontract 1018440 / Prime 16-D-7322 BAE Systems	R&D		30,857	
12	USAGE	Subcontract TSC-1222-60218 / FA8650-20-C-1117 Technology Service Corporation	R&D		31,310	
12	WH-480 - Revision and Review o	MSA 19S23530AU22 - PO 111302 AECOM Technical Services, Inc.	R&D		35,143	
12	NadirSAR Phase II	Subcontract TSC-1152-60056/ W56KGU-17-C-0091 Technology Service Corporation	R&D		35,783	
12	GH MS-177A Sensor Integration	PO 2962894 /FA8620-15-D-3009/TO FA8620-18F-1005 Northrop Grumman Systems Corporation	R&D		36,556	
12	HX5 INL Support	USU-17-D-0005 / FA8622-15-F-8111 HX5, LLC	R&D		38,292	
12	AF DCGS VIP-C FY12	HQ0147-11-D-0052-0017 Missile Defense Agency	R&D		39,070	
12	AFRL-Subject Matter Expert: Mo	18-S8401-12-C1 Universal Technology Corporation	R&D		44,638	
12	ARTS-V1	HQ0147-16-D-0002, HQ085420F0017 Missile Defense Agency	R&D		45,527	
12	Elecrton-Emitting graphene bas	SC-2174-6777 Faraday Technology, Inc.	R&D		46,951	
12	AOS Radiometer Cal&Char	6835 Arizona Optical Systems, LLC	R&D		53,222	
12	Dugway Task	HQ0147-11-D-0052-0007 Missile Defense Agency	R&D		54,467	
12	Department of Defense	VARIOUS	R&D		54,579	
12	SEOPS Support	01-20190328 SEOPS, LLC	R&D		56,912	
12	AFRL-Subject Matter Expert: Bi	162642-20-27-C1 Universal Technology Corporation	R&D		59,754	
12	DI2E E21A	S901059BAH / FA8750-17-F-0105 Booz Allen Hamilton, Inc.	R&D		60,238	
12	FORCE	HQ0147-16-D-0002, HQ0147-18-F-0052 Missile Defense Agency	R&D		65,668	65,668
12	TSC HPRF Phase II	Subcontract TSC-1169-60077 / FA8650-18-C-1142 Technology Service Corporation	R&D		71,739	
12	SSP Support	HQ0147-16-D-0002, HQ0147-17-F-0032 Missile Defense Agency	R&D		74,993	74,993
12	A-PHI	GG12196.PO#2150693 / FA9453-19-1-007 University of Virginia	R&D		77,514	
12	TEW KeyW Support	50005310 / N00173-15-F-2001 KeyW Corporation	R&D		81,704	

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CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
12	Cold Atoms	HQ0147-11-D-0052-0013 Missile Defense Agency	R&D		84,911	
12	White Pine Multistatic	10303 / FA8650-17-C-1026 Defense Engineering Corporation	R&D		90,000	
12	Department of Defense	VARIOUS	R&D		119,371	
12	SSP Support	HQ0147-16-D-0002, HQ0147-17-F-0032 Missile Defense Agency	R&D		130,329	130,329
12	TSC Army RIBN Phase II	Subcontract TSC-1216-60191 / W56KGU-19-C-0057 Technology Service Corporation	R&D		131,107	
12	MDA TO31 Adaptive Capabilities	HQ0147-16-D-0002, HQ0147-19-F-0004 Missile Defense Agency	R&D		140,432	
12	DHFR Tech Spt	HQ0147-16-D-0002, HQ0147-17-F-0052 Missile Defense Agency	R&D		150,402	
12	Dugway Lidar Support	HQ0147-16-D-0002, HQ0147-17-F-0039 Missile Defense Agency	R&D		164,777	164,777
12	Distributed Signal Processing	H98230-18-C-0172 Maryland Procurement Office	R&D		165,015	
12	SATCOM	PO-0003348 / W15P7T-13-D-0026 Aberdeen Proving Ground	R&D		188,028	
12	ATA Gryphon Subcontract	201219 / F9453-14-D-0312 TO07 (7247-103) Applied Technology Associates Aerospace	R&D		196,478	
12	RFBR Prgm Support TO33	HQ0147-16-D-0002, HQ085420F0026 Missile Defense Agency	R&D		201,196	
12	TO16 Management	HQ0147-11-D-0052-0016 Missile Defense Agency	R&D		203,798	
12	P5 ADIU	1038-05-13-01 / N68936-16-D-0016 Jacobs Technology, Inc.	R&D		218,507	
12	WM-495 Physical Modelling of t	PROJECT 60615310 AECOM Technical Services, Inc.	R&D		219,381	
12	PXI TO1 Blue Marlin	PO SC20190009 / Prime N00173-16-F-6402 Praxis, Inc.	R&D		228,533	
12	NOPP SOAR	HQ0147-16-D-0002, HQ014718F0014 Missile Defense Agency	R&D		229,809	
12	Digital Comm Matrix Phas3	HQ0147-16-D-0002, HQ0854-20-F-0030 Missile Defense Agency	R&D		232,145	
12	SSP Support	HQ0147-16-D-0002, HQ0147-17-F-0032 Missile Defense Agency	R&D		256,934	
12	Tuttle-Flash	HQ0147-16-D-0002, HQ0147-17-F-0044 Missile Defense Agency	R&D		281,097	
12	Department of Defense	VARIOUS	R&D		288,806	33,323

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
12	STP	HQ0147-16-D-0002-0009 Missile Defense Agency	R&D		313,018	
12	HX5 XCM Support	USU-19-F-8136 / FA8622-19-F-8136 HX5, LLC	R&D		331,328	
12	TO5 FlyAway VIPC/RISNO	N00173-12-D-2004, N00173-17-F-6704 Naval Research Laboratory	R&D		348,184	
12	WOSA	HQ0147-16-D-0002, HQ0147-18-F-0020 Missile Defense Agency	R&D		379,270	
12	OPIR Development	HQ0147-16-D-0002, HQ0147-17-F-0017 Missile Defense Agency	R&D		387,106	
12	C-UUV Silkworm Yarn Developmen	SUB1138235 TO001 Serco, Inc.	R&D		390,400	
12	Bender	Subcontract 42193 / FA8750-19-C-1511 SRI International	R&D		439,725	
12	AFRL SteamMill	HQ0147-16-D-0002, HQ0147-17-F-0078 Missile Defense Agency	R&D		510,012	510,012
12	TO5 F-16 Com-DigComMatrix	N00173-12-D-2004, N00173-17-F-6704 Naval Research Laboratory	R&D		518,395	
12	CGRAD TO5	XXXXXX-16-D-0243 0005 Misc Federal Sponsors	R&D		596,115	
12	SPARES ISRS	N00173-19-C-2013 Naval Research Laboratory	R&D		656,339	
12	SETAS Order 0016	19S-0155 / Prime W9113M-19-F-0016 Radiance Technologies, Inc.	R&D		669,835	
12	Full Motion Video Plug-in	7500166459 / FA8750-17-F-0131 Northrop Grumman Mission Systems	R&D		801,472	
12	GEOINT Needs Assessment	HQ0147-16-D-0002, HQ0147-17-F-0076 Missile Defense Agency	R&D		814,729	
12	NRL ISRS TO5 DCGS-MC	N00173-12-D-2004, N00173-17-F-6704 Naval Research Laboratory	R&D		868,090	
12	UARC TO05 Tuttle-Juniper	HQ0147-16-D-0002, HQ0147-17-F-0044 Missile Defense Agency	R&D		1,015,364	
12	SMC/AD EGS	HQ0147-16-D-0002, HQ0147-17-F-0023 Missile Defense Agency	R&D		1,135,011	
12	FORCE	HQ0147-16-D-0002, HQ0147-18-F-0052 Missile Defense Agency	R&D		1,186,037	1,186,037
12	KC-46	HQ0147-16-D-0002, HQ0147-19-F-0134 Missile Defense Agency	R&D		1,290,537	
12	AFRL SteamMill	HQ0147-16-D-0002, HQ0147-17-F-0078 Missile Defense Agency	R&D		1,547,573	
12	RAPTOR	HQ0147-16-D-0002, HQ0147-19-F-5020 Missile Defense Agency	R&D		1,599,577	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
12	Marine Corps IDIQ	M67854-19-D-2039/M67854-19-F-2078 Marine Corp	R&D		1,875,667	
12	Dugway Lidar Support	HQ0147-16-D-0002, HQ0147-17-F-0039 Missile Defense Agency	R&D		1,899,876	
12	WFOV Testbed Cal and MDP	HQ0147-16-D-0002, HQ0147-18-F-0117 Missile Defense Agency	R&D		2,339,987	
12	FORCE	HQ0147-16-D-0002, HQ0147-18-F-0052 Missile Defense Agency	R&D		2,384,559	
12	Department of Defense	VARIOUS	R&D		123,723,090	30,219,736
12.300	Basic and Applied Scientific Research	VARIOUS	R&D		49,670	
12.300	Basic and Applied Scientific Research	VARIOUS	R&D		136,300	111,204
12.300	Basic and Applied Scientific Research	VARIOUS	R&D		727,732	
12.431	Intelligent Urgent Stop	VARIOUS Autonomous Solutions, Inc.	R&D		13,240	
12.431	Basic Scientific Research	VARIOUS	R&D		45,297	
12.750	Assessing the Potential of Ant	S-10507-02 The Geneva Foundation	R&D		5,968	
12.800	Air Force Defense Research Sciences Program	VARIOUS	R&D		3,983	
12.901	Mathematical Sciences Grants Program	VARIOUS			9,854	
14.169	Housing Counseling Assistance Program	VARIOUS			54,254	
15	Cheyenne Eagle Butte School Se	VARIOUS Cheyenne Eagle Butte School			2,000	
15	Department of the Interior	VARIOUS			15,899	
15	Tuba City Training	VARIOUS Tuba City Boarding School			24,500	
15	Department of the Interior	VARIOUS	R&D		177,971	
15.041	Environmental Management_Indian	VARIOUS	R&D		6,764	
15.224	Cultural and Paleontological Resources Management	VARIOUS	R&D		17,536	
15.225	Recreation Resource Management	VARIOUS			-1,132	
15.231	Cave Valley-Hamlin Valley Sage	NPCD-005 Department of Wildlife	R&D		20,698	
15.231	The Sagebrush Ecosystem Allian	2503.16.055535 National Fish and Wildlife Foundation	R&D		48,270	
15.231	Fish, Wildlife and Plant Conservation Resource Management	VARIOUS	R&D		525,009	97,840
15.232	Utah Representation of the Sou	G-45072-02 Colorado State University	R&D		1,611	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
15.232	GREAT BASIN FIRE SCIENCE EXCHA	UNR-17-28/117GC000024 University of Nevada at Reno	R&D		10,070	
15.232	Co-managing risk or 'parallel	L0196B-B Oregon State University	R&D		12,162	
15.232	Wildland Fire Research and Studies	VARIOUS	R&D		73,122	2,060
15.233	Forests and Woodlands Resource Management	VARIOUS	R&D		18,727	7,188
15.236	Environmental Quality and Protection Resource Management	VARIOUS	R&D		133,542	
15.237	Rangeland Resource Management	VARIOUS			2,890	
15.237	Rangeland Resource Management	VARIOUS	R&D		12,371	
15.238	Challenge Cost Share	VARIOUS	R&D		8	
15.243	BLM Youth Conservation	VARIOUS			75,834	
15.243	BLM Youth Conservation	VARIOUS	R&D		101,258	
15.244	Fisheries and Aquatic Resources Management	VARIOUS	R&D		517,980	
15.246	Threatened and Endangered Species	VARIOUS	R&D		27,916	
15.247	Wildlife Resource Management	VARIOUS	R&D		25,808	
15.508	Beaver Restoration Assessment	01292018-5060 The Nature Conservancy	R&D		-1,958	
15.511	Cultural Resources Management	VARIOUS	R&D		67,893	
15.517	Fish and Wildlife Coordination Act	VARIOUS	R&D		44,758	
15.529	Upper Colorado and San Juan River Basins Endangered Fish Recovery	VARIOUS	R&D		579,090	
15.557	Desert and Southern Rockies Landscape Conservation Cooperatives	VARIOUS	R&D		64,183	
15.560	SECURE Water Act Research Agreements	VARIOUS	R&D		105,699	
15.608	Fish and Wildlife Management Assistance	VARIOUS	R&D		24,866	
15.611	Assessment of factors associat	170000108 State of Alaska Department of Fish and Game	R&D		-2,878	
15.611	Geophagy and Winter Habitat Se	002873 Wyoming Game and Fish Department	R&D		955	
15.611	Beaver Restoration Assessment	IDFG-FY18-243 State of Idaho Department of Fish and Game	R&D		1,866	
15.611	Vehicle Support for North Dako	002997 Wyoming Game and Fish Department	R&D		8,800	
15.611	North Dakota Greater Sage-grou	F16AF00613 State of North Dakota	R&D		12,000	12,000

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
15.611	Pronghorn Productivity and Mon	VARIOUS State of Idaho Department of Fish and Game	R&D		65,287	
15.611	Bighorn Disease Ecology and He	SG19-04 Department of Wildlife	R&D		76,878	
15.611	Assessment of factors associat	19-146 State of Alaska Department of Fish and Game	R&D		100,139	
15.611	Dusky Grouse Ecology and Manag	USU-001 Department of Wildlife	R&D		118,438	
15.611	North Dakota Greater Sage-grou	F16AF00613 State of North Dakota	R&D		124,626	
15.628	Assessing harvest management i	002811 Wyoming Game and Fish Department	R&D		54,572	
15.631	Partners for Fish and Wildlife	VARIOUS	R&D		5,234	
15.635	Neotropical Migratory Bird Conservation	VARIOUS	R&D		16,241	
15.678	Demographic and physiological	G-50042-01 / F17AC00326 Colorado State University	R&D		9,392	
15.805	Assistance to State Water Resources Research Institutes	VARIOUS	R&D		119,821	7,092
15.807	Earthquake Hazards Reduction Program	VARIOUS	R&D		17,439	
15.808	U.S. Geological Survey_ Research and Data Collection	VARIOUS	R&D		173,059	
15.812	Cooperative Research Units	VARIOUS	R&D		50,344	
15.815	StateView Program Development	AV18-UT-01 America View	R&D		30,551	
15.815	AmericaView Executive Director	AV18-UT-02 America View	R&D		77,279	
15.820	A47657 DeRose Collaborative re	563462 University of Arizona	R&D		4,623	
15.820	Declining Water on the Colorad	563709 University of Arizona	R&D		15,449	
15.820	A43607 Huntley Southwest Clima	476116/G18AC00320 University of Arizona	R&D		51,603	
15.931	Conservation Activities by Youth Service Organizations	VARIOUS			196,232	
15.945	Integrated monitoring and stud	462688-19138 Virigina Tech Polytechnic Institute	R&D		8,607	
15.945	LEAH AND STRING LAKES VISITOR	PK131A-A/P17AC00106 Oregon State University	R&D		9,146	
15.945	Cooperative Research and Training Programs – Resources of the National Park System	VARIOUS	R&D		507,211	
15.954	National Park Service Conservation, Protection, Outreach, and Education	VARIOUS	R&D		11,385	
16	National Mentoring Program 10	VARIOUS National 4-H Council			914	
16.525	Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus	VARIOUS			41,129	

State of Utah
Schedule of Expenditures of Federal Awards By State Agency
For the Year Ended June 30, 2020

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
16.726	National Mentoring Program 10	VARIOUS National 4-H Council			4,065	
16.726	4-H Mentoring NMP Lead Advisor	2018-JU-FX-0005 National 4-H Council			16,963	
16.726	National Mentoring Program 9	2018-JU-FX-0005 (SUB) National 4-H Council			32,691	
16.726	4-H Health Rocks! Mentoring Pi	2018-JU-FX-0005 (SUB) National 4-H Council			32,785	
16.726	Juvenile Mentoring Program	VARIOUS			261,793	
17.600	Mine Health and Safety Grants	VARIOUS			262,497	
20	Airport noise simulation	7SK00020CH SRA International, Inc.	R&D		37,959	
20.514	Electric Bus Corridor Model	4918-001/490-220-001S1 CALSTART	R&D		11,401	
20.701	UTC Regional Transporation Cen	FAR0023140 North Dakota State University	R&D		4,719	
20.701	UTC National Center	S1988078; 5233; 451718; 681190 Rutgers The State University of New Jersey	R&D		52,433	
20.701	Prestress Losses and Developme	FAR0028869 North Dakota State University	R&D		199,116	
43	JPL Iris	1546854 &1552770 NASA Jet Propulsion Laboratory	R&D		-70,235	
43	VT Sounding Spt Follow On	P3053601 and P3097333 Virginia Polytechnic University	R&D		-23	
43	RBI	880361/NNL14AQ00C Exelis, Inc.	R&D		-13	
43	NNU NOVA Env Testing	P0121586 and P0121981 Northwest Nazarene University, Inc.	R&D		-6	
43	SWEAP PSA LVPS Board	BB00749230 University of California at Berkeley	R&D		-6	
43	NASA Glenn Conductance	T00616 FY16 (1601-TEAMS2-USU) / NNL12AA09C Analytical Mechanics Associates, Inc.	R&D		27	
43	Solar Probe SWEAP DCB bds	BB00688501 University of California at Berkeley	R&D		40	
43	SOFIE	GATS_SC_180002 / Hampton 03-11/ Prime NASA5-03132 GATS	R&D		87	
43	SWEAP SPAI Anode Boards	BB00720109 University of California at Berkeley	R&D		101	
43	LVPS Board for SPP SWEAP	BB00703943 University of California at Berkeley	R&D		105	
43	HVPS PCB Assembly	BB00682577 University of California at Berkeley	R&D		147	
43	ISS Phase Change Cell Ope	Subcontract 21101-14-023/NNL11AA00B Science Systems and Applications, Inc.	R&D		154	
43	Solar Probe Plus Fields	PO BB00636725 University of California at Berkeley	R&D		194	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
43	Solar Probe SWEAP LVPS Bd	BB00673342 University of California at Berkeley	R&D		195	
43	Spt Devel PBR Calibr Syst	PO-1080 Surrey Satellite Technology US, LLC	R&D		453	
43	GeoCARB	4103762939 / Prime 80LARC17C0001 Lockheed Martin Space Systems	R&D		463	
43	WFIRST	18RKB00947 Ball Aerospace and Tech	R&D		1,828	1,828
43	TIRS-2 Calib Analysis	22003-20-021 / 80GSFC20C0044 (HBG) Science Systems and Applications, Inc.	R&D		2,152	
43	PREFIRE	1618674 NASA Jet Propulsion Laboratory	R&D		2,319	
43	Mission Ops for CIRiS	17BCL00001 / NNX16AC18G Ball Aerospace and Tech	R&D		3,140	3,140
43	Battery Cell Tab Welding	PO-04840 Blue Canyon Technologies, LLC	R&D		3,181	
43	Developing decision-support to	SUBK00011783 University of Michigan	R&D		3,397	
43	ATAA Invar Compensators	170725 / Prime NNG15CR64C Applied Technology Associates Aerospace	R&D		3,571	
43	PGS ThermalStrps EMITHeat	PO No. 1651602 / 80NMO0018D0004 NASA Jet Propulsion Laboratory	R&D		3,804	
43	OSIRIS Rex	PO 39356/NNG12FD67C The University of Arizona	R&D		4,547	
43	JPL BECCAL	Subcontract 1585396 NASA Jet Propulsion Laboratory	R&D		5,764	
43	JPL Thermal Straps MAIA	1627213 NASA Jet Propulsion Laboratory	R&D		9,162	
43	Rapid Response Space	2016-0010 RLS 6 / NNA10DF16B Millennium Engineering and Integration Company	R&D		10,393	
43	L3Harris Baham Therm Strp	PO # MF200017 L3HARRIS Technologies, Inc.	R&D		13,655	
43	WF-2365 Nevada Air Tasking Ord	VARIOUS Nevada Institute for Autonomous Systems	R&D		14,140	
43	TIRS-2 Stray Light Analysis	21410-15-032/NNG15HQ01C Science Systems and Applications, Inc.	R&D		14,389	
43	Roman CTS	1651396 NASA Jet Propulsion Laboratory	R&D		16,380	
43	National Aeronautics and Space Administration	VARIOUS	R&D		21,499	
43	FUV	Subcontract 418UC- University of California at Berkeley	R&D		22,899	
43	WFIRST	18RKB00947 Ball Aerospace and Tech	R&D		24,414	24,414
43	IRIS Interface Sim Board	4104493024 Lockheed Martin Space Systems	R&D		25,744	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
43	Thermal Straps APL GRS	162933 / 1569206 Johns Hopkins University Applied Physics Laboratory, LLC	R&D		25,757	
43	Thrm Stp Aero Emit Design	PO No. 1646627 / 80NM0018D0004 NASA Jet Propulsion Laboratory	R&D		26,623	
43	SunRISE Phase A	1588228 / NNH16ZDA005O NASA Jet Propulsion Laboratory	R&D		30,414	
43	SBIR I Subaward: Cognitive Com	232-01 Atmospheric & Space Technology Research Associates, LLC	R&D		30,993	
43	PGS ThermalStrps AeroEMIT	PO No. 1650785 / 80NMO0018D0004 NASA Jet Propulsion Laboratory	R&D		35,534	
43	Sp for VT Sounding Rocket	426709-19906 / 80NSSC19K0815 Virginia Polytechnic University	R&D		44,169	
43	Iris Radio for Janus	4104544535 / 1558326 Lockheed Martin Space Systems	R&D		48,389	
43	The Filter Incidence Narrow-ba	08600-019 Universities Space Research Association	R&D		56,872	
43	C-LIFE COLDTech	Subgrant PO 394122 The University of Arizona	R&D		62,283	
43	Subcontract for Participation	'05-17 Hampton University	R&D		65,545	
43	BioSentinal	2016-0010 TO 2/NNA11AA15T Millennium Engineering and Integration Company	R&D		75,328	
43	WFIRST	18RKB00947 Ball Aerospace and Tech	R&D		93,162	93,162
43	Mission Ops for CIRiS	17BCL00001 / NNX16AC18G Ball Aerospace and Tech	R&D		93,312	
43	Iris for CAPSTONE	20SC-002 / 80NSSC19C0628 Advanced Space, LLC	R&D		98,513	
43	National Aeronautics and Space Administration	VARIOUS	R&D		99,023	
43	NEOWISE	Subcontract 1484857/NNN12AA01C NASA Jet Propulsion Laboratory	R&D		112,753	
43	HARP (InVEST)	0000013264/NNX13AN11G University of Maryland Baltimore County	R&D		114,407	
43	SIIOS Elect Controller	515451 / 80NSSC19K0671 The University of Arizona	R&D		151,189	
43	Thermal Strap-Ball WFIRST	19JDM00011 / 80GSFC18C0083 Ball Aerospace and Tech	R&D		179,631	
43	C-LIFE	517706 / 80NSSC19K0683 The University of Arizona	R&D		325,818	
43	DOTTIE	HQ0147-16-D-0002, HQ0147-17-F-0050 Missile Defense Agency	R&D		542,386	
43	IRIS for ASU	ASUB00000160 / NNX15AV71G Arizona State University	R&D		779,040	
43	MISE RCM	1591746 NASA Jet Propulsion Laboratory	R&D		796,001	796,001
43	WFIRST	18RKB00947 Ball Aerospace and Tech	R&D		911,837	911,837

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
43	NEOCam Extended Ph A	Sub #1573576 NASA Jet Propulsion Laboratory	R&D		1,264,917	
43	MISE RCM	1591746 NASA Jet Propulsion Laboratory	R&D		1,639,685	
43	WFIRST	18RKB00947 Ball Aerospace and Tech	R&D		6,351,949	
43	National Aeronautics and Space Administration	VARIOUS	R&D		14,188,547	2,410,228
43.001	Science	VARIOUS	R&D		361	
43.001	Science	VARIOUS			16,618	
43.001	Science	VARIOUS	R&D		17,673	
43.001	Science	VARIOUS			41,392	
43.001	Nonlinear Interactions and com	1554135 University of Colorado	R&D		43,772	
43.001	Science	VARIOUS	R&D		73,877	
43.001	Ionospheric Storm-Time Electro	R53782 William Marsh Rice University	R&D		109,825	
43.001	Science	VARIOUS	R&D		146,853	2,858
43.001	Science	VARIOUS	R&D		208,155	
43.002	Aeronautics	VARIOUS	R&D		50,815	
43.002	Adaptive Aerostructures for Re	M1703311 Texas AandM University	R&D		59,321	
43.012	Center for the Utilization of	00009566 University of California at Berkeley	R&D		37,983	37,983
43.012	Space Technology	VARIOUS	R&D		196,112	
43.012	Center for the Utilization of	00009566 University of California at Berkeley	R&D		498,357	
45.024	Promotion of the Arts_Grants to Organizations and Individuals	VARIOUS			866	
45.129	(COVID-19) General Operating Support for	1202055251062016 Utah Humanities Council		COVID-19	62	
45.313	Laura Bush 21st Century Librarian Program	VARIOUS	R&D		55,212	
47	National Science Foundation	VARIOUS	R&D		62,157	
47.041	Engineering Grants	VARIOUS	R&D		707,687	27,457
47.049	Photoactivator Chemistry in At	2(GG012343) The Trustees of Columbia University in the City of New York	R&D		357	
47.049	Mathematical and Physical Sciences	VARIOUS	R&D		671,661	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
47.050	Salary Support for John Sherva	47(GG009393) PO# G11677 The Trustees of Columbia University in the City of New York	R&D		1,859	
47.050	Detecting asperity flash heati	91264401 University of Southern California	R&D		8,183	
47.050	INFEWS/T1: J.Givens Increasing	128413-G03666 Washington State University	R&D		43,059	
47.050	Geosciences	VARIOUS	R&D		261,973	129,709
47.050	Geosciences	VARIOUS	R&D		1,007,638	
47.070	Building an Ecosystem for Broa	VARIOUS National 4-H Council	R&D		9,000	
47.070	Connection of Earth and Sky wi	093054-17401 University of Illinois	R&D		15,523	
47.070	MESOSCALE DATA FUSION TO MAP A	1003107-06 Northern Arizona University	R&D		91,137	
47.070	Computer and Information Science and Engineering	VARIOUS	R&D		1,303,455	689,331
47.074	Service Contract for Reaeratio	USU001-0000597686 Battelle	R&D		-81	
47.074	Phylogeny and diversification	75822-10654 Cornell University	R&D		3,584	
47.074	Arctic LTER: Climate Change an	52820/ 1637459 Marine Biological laboratory-Wood Hold, Mass	R&D		8,697	
47.074	Dynamical Interactions between	S1776A-D Oregon State University	R&D		43,148	
47.074	SCALE-DEPENDENT FEEDBACKS AMON	1003088-02 Northern Arizona University	R&D		70,199	
47.074	A43792 Baker, Service Contract	US001-0000731765 Battelle	R&D		89,317	
47.074	Biological Sciences	VARIOUS	R&D		929,965	108,059
47.075	Advancing Geospatial Visualiza	SUBAWD001496 University Corporation for Atmospheric Research	R&D		19,955	
47.075	Rethinking payments for ecosys	SUBAWARD NO. 4101-81928 Purdue University	R&D		32,611	
47.075	Social, Behavioral, and Economic Sciences	VARIOUS	R&D		117,166	5,268
47.076	Spatial Ability and Blind Engi	VARIOUS National Federation of the Blind	R&D		58,836	
47.076	Education and Human Resources	VARIOUS	R&D		2,935,841	225,661
47.078	Polar Programs	VARIOUS	R&D		2,530	
47.083	WF-2386 The Urban Flooding Ope	012454-002 University of Cincinnati	R&D		58,973	
59.037	(COVID-19) Small Business Development Centers	VARIOUS		COVID-19	3,218	
59.037	Small Business Development Centers	VARIOUS			1,268,719	989,278

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
60	Smithsonian Institution	VARIOUS	R&D		3,229	
64	Department of Veterans Affairs	VARIOUS			16,391	
64.034	VA Assistance to United States Paralympic Integrated Adaptive Sports Program	VARIOUS			44,923	
66	Scientific and regulatory supp	63-USU-000 Great Lakes Environmental Center, Inc.	R&D		7,443	
66.461	Regional Wetland Program Development Grants	VARIOUS	R&D		41,425	
66.509	Science to Achieve Results (STAR) Research Program	VARIOUS	R&D		32,462	
66.716	Pesticide Applicator Safety Tr	SA-2020-34 eXtension Foundation			8,600	
66.716	Pesticide Safety Education Man	SA-2019-11 eXtension Foundation			12,595	
77.008	U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program	VARIOUS	R&D		143,642	
81	Department of Energy	VARIOUS			2,117	
81	INL SSHAC level 3 study: Resou	223228 Battelle Energy Alliance, LLC			6,000	
81	WPT Technical Support for Cybe	213985 Battelle Energy Alliance, LLC	R&D		16,288	
81	Full-Field Measurements of Het	217672 Battelle Energy Alliance, LLC	R&D		18,705	
81	Department of Energy	VARIOUS	R&D		29,254	
81	Contract for Services at OSL L	225228 Battelle Energy Alliance, LLC	R&D		30,588	
81	Joint Appointment work with Pa	232060 Battelle			31,112	
81	Enzymatic Energy Conversion: N	274628 Battelle	R&D		38,399	
81	Monitoring Benthic Macroinvert	8F-30118 Argonne Laboratory	R&D		43,304	
81	Department of Energy	VARIOUS	R&D		65,907	
81	Long-Range Heavy Duty Battery-	127120076 Kenworth Truck Company	R&D		82,197	
81	Department of Energy	VARIOUS	R&D		99,809	
81	Department of Energy	VARIOUS	R&D		117,892	
81	Pressure PIV Uncertainty Study	131568 Fluor Marine Propulsion, LLC	R&D		165,766	
81	High Power Wireless Charging D	VARIOUS Wireless Advanced Vehicle Electrification, Inc.	R&D		330,850	
81.049	The Plasma Science and Innovat	UWSC9285 (BPO17507) University of Washington	R&D		2,020	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
81.049	Thermal Conductivity Measureme	VARIOUS Life-E, LLC	R&D		22,262	
81.049	Biological Electron Transfer a	134124-G003972 Washington State University	R&D		81,253	
81.049	An Integrated Evaluation of th	201603457-06 University of California	R&D		122,692	
81.049	Office of Science Financial Assistance Program	VARIOUS	R&D		799,743	
81.086	Enabling Secure and Resilient	429399-19138 Virigina Tech Polytechnic Institute	R&D		175,327	
81.086	WestSmartEV: Western Smart Plu	3300001466 Pacificorp	R&D		253,548	
81.087	Renewable Energy Research and Development	VARIOUS	R&D		149,072	84,979
81.121	Nuclear Energy Research, Development and Demonstration	VARIOUS			114,535	
81.121	Nuclear Energy Research, Development and Demonstration	VARIOUS	R&D		857,384	725,570
81.135	Low-cost, Easy-to-integrate, a	118115448 University of California at San Diego	R&D		170,456	
84	Kansas Technical Assistance	652-15-1870 Kansas Department of Education			266,051	
84.007	Federal Supplemental Educational Opportunity Grants	VARIOUS			803,681	
84.027	Idaho TASK12 FY19	19-5011 State of Idaho Department of Education			2,271	
84.027	Oregon Jobs Alike Workgroups	12927 Oregon Department of Education			3,549	
84.027	Washington Special Education A	20190357 Office of Superintendent of Public Instruction			3,600	
84.027	Oregon Dispute Resolution	10792 Oregon Department of Education			5,408	
84.027	Idaho Indicator Survey Work	20-5049 State of Idaho Department of Education			6,188	
84.027	Oregon Interagency Coordinatin	11461 Oregon Department of Education			7,489	
84.027	Tuba City SEAP Training	VARIOUS Tuba City Unified School District			10,796	
84.027	Idaho TASK12 and Dispute Resol	20-5014 State of Idaho Department of Education			11,724	
84.027	Oregon TASK12 FY17-19	10740 Oregon Department of Education			12,542	
84.027	Kentucky Complaint Investigati	PON2 540 1900000748 State of Kentucky			31,285	
84.027	Idaho Indicator Survey Work	19-5042 State of Idaho Department of Education			33,858	
84.027	Training and Assessment System	VARIOUS New Hampshire Department of Education			42,761	
84.027	Arizona Technical Assistance F	IGA #16-19-ED PART 2 State of Arizona Department of Education			65,337	

State of Utah
Schedule of Expenditures of Federal Awards By State Agency
For the Year Ended June 30, 2020

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
84.027	Oregon Technical Assistance	10797 Oregon Department of Education			81,203	
84.027	Kentucky Technical Assistance	PON2 5401900003851 State of Kentucky			126,896	
84.027	Arizona Recruitment/Retention	IGA #16-19-ED PART 1 State of Arizona Department of Education			290,604	
84.027	KANSAS TASN PROJECT	652-15-1862 Kansas Department of Education			322,186	
84.031	Higher Education_Institutional Aid	VARIOUS			322,294	
84.033	Federal Work-Study Program	VARIOUS	R&D		95,336	
84.033	Federal Work-Study Program	VARIOUS			845,832	
84.042	TRIO_Student Support Services	VARIOUS			932,347	
84.044	TRIO_Talent Search	VARIOUS			413,626	
84.047	TRIO_Upward Bound	VARIOUS			67,994	
84.047	TRIO_Upward Bound	VARIOUS			565,886	
84.048	Perkins Spring FY20 Special Project	VARIOUS San Juan School District			74,150	
84.048	2020 SE Region Perkins Pathway	VARIOUS San Juan School District			100,851	
84.048	USU Perkins FY20	VARIOUS Box Elder County School District			118,135	
84.063	Federal Pell Grant Program	VARIOUS			37,718,795	
84.129	Rehabilitation Long-Term Training	VARIOUS	R&D		105,328	
84.129	Rehabilitation Long-Term Training	VARIOUS			565,328	
84.264	Targeted Communities Subcontra	0000000517 University of Wisconsin at Madison	R&D		14,352	
84.305	Education Research, Development and Dissemination	VARIOUS	R&D		948,266	532,523
84.323	Arizona Web Project	16-02-EDSG State of Arizona Department of Education			8,364	
84.323	Arizona State Personnel Develo	16-01-EDSG State of Arizona Department of Education			159,526	
84.325	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities	VARIOUS	R&D		30,177	
84.325	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities	VARIOUS			187,880	
84.325	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities	VARIOUS			1,051,536	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs	VARIOUS			7,213,433	4,790,412
84.358	Rural Education	VARIOUS			29,800	
84.373	NTAC - Improve State Capacity for IDEA Part B & C Fiscal Data	100041.Y4.001-TAES01 Applied Engineering Management Corporation			2,748	
84.373	NTAC - Improve State Capacity for IDEA Part B & C Fiscal Data	S-00015246 WestEd			105,653	
84.379	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)	VARIOUS			105,555	
84.407	Transition Programs for Students with Intellectual Disabilities into Higher Education	VARIOUS			248,922	
84.424	New Mexico Multi-Layered Syste	19-924-00180 State of New Mexico Department of Education			47,005	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F200889		COVID-19	2,264,661	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E200089		COVID-19	4,085,535	
93	Department of Health and Human Services	VARIOUS	R&D		-64,827	
93	(COVID-19) Department of Health and Human Services	VARIOUS	R&D	COVID-19	60,806	
93	Department of Health and Human Services	VARIOUS	R&D		3,715,827	664,210
93.048	Special Programs for the Aging_Title IV_and Title II_Discretionary Projects	VARIOUS			19,071	
93.086	Healthy Marriage Promotion and Responsible Fatherhood Grants	VARIOUS			1,515,063	108,772
93.110	Maternal and Child Health Federal Consolidated Programs	VARIOUS			1,456,849	80,000
93.173	Research Related to Deafness and Communication Disorders	VARIOUS	R&D		112,720	44,346
93.233	National Center on Sleep Disorders Research	VARIOUS	R&D		692,393	543,593
93.243	Reducing Trauma Through a Fami	330 SAMHSA PROJECT; 200668 The Family Place	R&D		59,971	
93.243	Substance Abuse and Mental Health Services_Projects of Regional and National Significance	VARIOUS			87,576	
93.251	Family Support Workshops	KC281200 Idaho Department of Health and Welfare			8,179	
93.251	Guam Deaf Mentor Basic Trainin	HRSA-2019-01-USU SKI-HI University of Guam			17,277	
93.251	Care Coordination Workshop	KC281300 Idaho Department of Health and Welfare			30,705	
93.262	Agricultural Safety Education	G-71107-01 Colorado State University			978	978
93.262	Train the Trainer: Building Ca	G-71102-01 Colorado State University			6,012	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
93.262	Agricultural Safety Education	G-71107-01 Colorado State University			9,247	9,247
93.262	Agricultural Safety Education	G-71107-01 Colorado State University			60,912	
93.273	Alcohol Research Programs	VARIOUS	R&D		96,404	
93.273	Alcohol Research Programs	VARIOUS	R&D		917,768	798,278
93.279	Pilot Implementation of the Fa	10158SC University of California at San Francisco	R&D		2,982	
93.279	Drug Abuse and Addiction Research Programs	VARIOUS	R&D		63,879	
93.369	SILC: Training and Technical A	19-1825 TIRR Memorial Herman			122,203	
93.369	CIL: Training and Technical As	2020-YR3-1812-UTAHSTATEU-CILNE TIRR Memorial Herman			394,867	
93.393	A novel cancer syndrome in KCN	D007018701 University of Minnesota	R&D		18,755	
93.421	Act Early Ambassadors	VARIOUS Association of University Centers on Disabilities			2,712	
93.432	CIL: Training and Technical As	19-1590 TIRR Memorial Herman			3,380	
93.433	Rehabilitation Research and Tr	0000000462 University of Wisconsin at Madison	R&D		26,676	
93.433	ACL National Institute on Disability, Independent Living, and Rehabilitation Research	VARIOUS	R&D		80,323	52,714
93.433	ACL National Institute on Disability, Independent Living, and Rehabilitation Research	VARIOUS	R&D		244,516	9,493
93.464	ACL Assistive Technology	VARIOUS			537,572	
93.575	SLCO 4-H Entheos Kearns/Magna	BJ17164 Salt Lake County			-7	
93.631	Developmental Disabilities Projects of National Significance	VARIOUS			253,781	
93.632	Serious Mental Illness Nationa	PO 7800004501 University of Kentucky Research Foundation			77,863	
93.632	Serious Mental Illness Nationa	3200002810-20-087 University of Kentucky Research Foundation			220,085	
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service	VARIOUS			507,718	
93.667	Bridgerland Literacy/BRAG	VARIOUS Bear River Association of Governments			1,432	
93.788	Opioid STR	VARIOUS	R&D		520,147	26,792
93.837	Evaluation of ARF6 inhibitor A	VARIOUS Navigen Pharmaceuticals, Inc.	R&D		11,418	
93.853	Generation of genetically engi	17-009595 A00 University of Massachusetts	R&D		1,468	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders	VARIOUS	R&D		203,505	
93.855	Engineered hamsters as a small	5109738 University of North Carolina at Chapel Hill	R&D		275	
93.855	Engineering an intranasal univ	21F139-01 Texas Tech University	R&D		8,845	
93.855	Development of a Universal Vac	21F162-01 Texas Tech University	R&D		28,891	
93.855	Genetic approaches to a safer	PG20-61120-01 University of Montana	R&D		52,570	
93.855	Advanced development of a vira	SUBAGREEMENT NO. 100 Kineta, Inc.	R&D		99,217	
93.855	Dissecting the receptor mediat	31116A Albert Einstein College of Medicine	R&D		102,826	
93.855	Allergy and Infectious Diseases Research	VARIOUS	R&D		107,658	
93.855	Production and Characterizatio	STTR18042019 Sab Capra, LLC	R&D		251,906	
93.859	Mechanism of Catalytic Regulat	C15A12080(A10183) Yale University	R&D		13,124	
93.859	Biomedical Research and Research Training	VARIOUS	R&D		124,737	
93.859	Biomedical Research and Research Training	VARIOUS	R&D		163,701	
93.859	Biomedical Research and Research Training	VARIOUS	R&D		238,545	
93.865	Effects During Adolescence of	11000764-046 Purdue University	R&D		10,472	
93.865	Child Health and Human Development Extramural Research	VARIOUS	R&D		754,388	305,752
93.866	Aging Research	VARIOUS	R&D		237,605	
93.866	Epidemiology of Alzheimer's Di	16-0483 Brigham Young University	R&D		287,364	
93.867	Vision Research	VARIOUS	R&D		82,092	
93.884	HRSA Primary Care Training and	15-218A-RPA003 Idaho State University	R&D		17,315	
93.912	Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program	VARIOUS			141,829	14,654
93.959	Partnership and Community Coll	018000.340888.02 Mississippi State University			70,113	
94.002	Retired and Senior Volunteer P	VARIOUS Sunshine Terrace Foundation			19,874	
94.006	TCN AmeriCorps TIP 2020	TIP_UCC_2019 The Corps Network			65,172	
94.006	TCN AmeriCorps TIP 2019	18NDHDC002 The Corps Network			230,713	
94.013	Volunteers in Service to America	VARIOUS			414,550	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH STATE UNIVERSITY						
94.020	CNCS Disaster Response Cooperative Agreement	VARIOUS			251,112	
94.027	AmeriCorps VISTA Recruitment Support	VARIOUS			8,806	
98.001	WONDERS OF THE MEKONG: A FOUND	UNR-17-41 University of Nevada at Reno	R&D		17,439	
98.001	WF-2351 Provide Pedagogical an	USAID 72026319CA00001 The American Universtity in Cairo	R&D		297,003	
99	Therm Strp BAE NEXT 2020	P106069 BAE Systems Information Solutions, Inc.	R&D		64	
99	Jyn	Letter Contract P18-222207 Lockheed Martin Space Systems	R&D		3,325	
99	Miscellaneous	VARIOUS			6,127	
99	Links-Raytheon Draco	4201577870 Raytheon Corp General	R&D		8,399	
99	ThermalStrap Ball Grizzly	20JDM00016 / 19-C-0064 Ball Aerospace and Tech	R&D		10,097	
99	AOS BB Units	10DS-0004-UT Misc Other	R&D		13,950	
99	Therm Straps BallKlondike	19JDM00007 Ball Aerospace and Tech	R&D		31,589	
99	PGS ThermStrp BallFatKlon	20JDM00018 Ball Aerospace and Tech	R&D		42,335	
99	RAST Bunkerhill 2	4202095828 Raytheon Corp General	R&D		74,133	
99	Raytheon JPO Units	4202160039 Raytheon Intelligence and Information Systems	R&D		77,272	
99	(COVID-19) CARES Act CPB Funding	VARIOUS CPB		COVID-19	112,136	
99	Therm Links Ninkasi	19SLM00034 / 18-C-0155 Ball Aerospace and Tech	R&D		139,726	
99	JPO Units and Support	A000533041 L3HARRIS Technologies, Inc.	R&D		147,407	
99	AOS	Subcontract 10DS-0002-UT Misc Other	R&D		197,847	
99	Globetrotter InitialStudy	No B640809 / DE-AC52-07NA27344 Lawrence Livermore National Laboratory	R&D		601,593	
99	Voyager	Subcontract A000411652 Harris Corporation	R&D		1,432,155	
99	Diamondback	XXX61 Lockheed Martin Space Systems	R&D		5,830,107	
99	Miscellaneous	VARIOUS	R&D		10,562,279	533,152
		Subtotal – Utah State University			316,038,584	51,703,154
UTAH VALLEY UNIVERSITY						
10	Astragalus Holmgreniorum	VARIOUS	R&D		12,246	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH VALLEY UNIVERSITY						
15.657	Endangered Species Conservation Recovery Implementation Funds	F19AP00568	R&D		66,288	
43	A Second Ladder: Testing for Bias	HST-GO-14654.003-A Space Telescope Science Institute	R&D		1,667	
43	Constraining Dust Hazes at the L/T Trans via Var	HST-GO-14051.008-A Space Telescope Science Institute	R&D		2,148	
43	Dash Mapping of IC348	HST-GO-14626.002-A Space Telescope Science Institute	R&D		4,487	
43	Dash Mapping of IC348	HST-GO-14626.003-A Space Telescope Science Institute	R&D		9,397	
45.169	Promotion of the Humanities_Office of Digital Humanities	HAA26906720			12,851	
47.049	Mathematical and Physical Sciences	OMA-1649361	R&D		65,893	
47.050	Geosciences	EAR-1853496	R&D		21,788	
47.074	Biological Sciences	DEB-1545281	R&D		4,681	
47.075	Social, Behavioral, and Economic Sciences	BCS-1828267	R&D		1,662	
47.076	Education and Human Resources	VARIOUS	R&D		614,262	
84.007	Federal Supplemental Educational Opportunity Grants	P007A194219			916,717	
84.031A	Higher Education_Institutional Aid	P031A140224			238,897	
84.033	Federal Work-Study Program	P033A194219			913,498	
84.037	Perkins Loan Cancellations	VARIOUS			95,106	
84.042	TRIO_Student Support Services	P042A151382			267,805	
84.047	TRIO_Upward Bound	P047A171293			396,091	
84.063	Federal Pell Grant Program	VARIOUS			35,367	
84.063	Federal Pell Grant Program	VARIOUS			55,970,986	
84.066A	TRIO_Educational Opportunity Centers	P066A160329			214,952	51,666
84.334S	Gaining Early Awareness and Readiness for Undergraduate Programs	VARIOUS			4,700,995	411,887
84.335A	Child Care Access Means Parents in School	VARIOUS			203,399	
84.408	Postsecondary Education Scholarships for Veteran's Dependents	VARIOUS			2,179	
84.425F	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Institutional Portion	P425F201784		COVID-19	943,411	
84.425E	(COVID-19) Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	P425E200688		COVID-19	7,546,850	
93.859	Biomedical Research and Research Training	VARIOUS	R&D		31,981	

CFDA	Name	Award/Contract Name of Pass-through Entity	R&D	COVID-19	Expenditures	Provided to Subrecipients
COMPONENT UNITS						
UTAH VALLEY UNIVERSITY						
97.044	Assistance to Firefighters Grant	VARIOUS			147,500	
		Subtotal – Utah Valley University			73,443,104	463,553
WEBER STATE UNIVERSITY						
10	Department of Agriculture	VARIOUS			40,039	
10.001	Agricultural Research_Basic and Applied Research	VARIOUS			8,344	
11.307	Economic Adjustment Assistance	VARIOUS			37,245	
12	Department of Defense	STAMP02-WSU-2020 Edaptive Computing Inc.			65,045	
15.678	Cooperative Ecosystem Studies Units	F20AC00259			336	
17.268	H-1B Job Training Grants	HG33045-19-60-A-49			93,485	24,906
43.001	Science	NNX15AN37G			22,243	
47.050	Geosciences	EAR-1347558			7,553	
47.050	Geosciences	VARIOUS	R&D		73,590	
47.075	Social, Behavioral, and Economic Sciences	28214-04124 SO1 Syracuse University	R&D		3,666	
47.076	Education and Human Resources	VARIOUS	R&D		76,792	
58	Securities and Exchange Commission	MOD24000-16-0001 MODOCAFY 18IPAHANSEN			8,470	
66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act	XA-83720001			49,670	
81	Alliance for Sustainable Energy	None			10,766	
84.007	Federal Supplemental Educational Opportunity Grants	VARIOUS			490,891	
84.016	Undergraduate International Studies and Foreign Language Programs	VARIOUS			92,469	
84.031	Higher Education_Institutional Aid	VARIOUS			524,708	
84.033	Federal Work-Study Program	VARIOUS			808,221	
84.042	TRIO_Student Support Services	VARIOUS			421,181	
84.044	TRIO_Talent Search	VARIOUS			246,139	
84.047	TRIO_Upward Bound	VARIOUS			712,387	
84.063	Federal Pell Grant Program	VARIOUS			28,052,535	
84.324	Research in Special Education	VARIOUS	R&D		109,319	

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NOTE 1. **<u>SIGNIFICANT ACCOUNTING POLICIES</u>**

A. <u>Basis of Presentation</u> – The foregoing Schedule of Expenditures of Federal Awards (SEFA) is a supplementary schedule to the State's basic financial statements and is presented for purposes of additional analysis. The SEFA is required by and presented in accordance with Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance).

- Federal Financial Assistance – Pursuant to Uniform Guidance, federal financial assistance is defined as assistance provided by a federal agency, either directly or indirectly, in the form of grants, contracts, cooperative agreements, loans, loan guarantees, property, interest subsidies, insurance, endowments, or direct appropriations, and also nonmonetary federal assistance, including food stamps, food commodities, vaccines, food vouchers, and surplus property. Federal financial assistance does not include direct federal cash assistance to individuals. Solicited contracts between the State and Federal Government for which the Federal Government procures tangible goods or services are not considered to be federal financial assistance.

- Catalog of Federal Domestic Assistance – Uniform Guidance requires the SEFA to show the total expenditures for each of the State's federal financial assistance programs as identified in the Catalog of Federal Domestic Assistance (CFDA). Federal financial assistance programs and contracts which have not been assigned a CFDA number or, where management has been unable to determine the CFDA number, are identified with the federal agency two-digit prefix in the SEFA.

- Cluster of Programs – Closely related programs with different CFDA numbers which share common compliance requirements are considered a cluster of programs. The following list identifies those State programs that are considered to be clusters of Federal programs as defined by the 2020 OMB Compliance Supplement.

  - Aging Cluster
  - CCDF Cluster
  - Child Nutrition Cluster
  - Clean Water State Revolving Fund Cluster
  - Disability Insurance/SSI Cluster
  - Drinking Water State Revolving Fund Cluster
  - Economic Development Cluster
  - Employment Service Cluster
  - Federal Transit Cluster
  - Federal Motor Carrier Safety Assistance Cluster
  - Fish and Wildlife Cluster
  - Food Distribution Cluster
  - Head Start Cluster
  - Highway Planning and Construction Cluster
  - Highway Safety Cluster
  - Medicaid Cluster
  - Research and Development Programs
  - SNAP Cluster
  - Special Education Cluster (IDEA)
  - Student Financial Assistance Programs
  - Transit Services Programs Cluster
  - TRIO Cluster
  - WIOA Cluster

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

- Type A and Type B Programs – Type A programs for the State of Utah are those programs which exceeded $23,700,000 in federal awards expended for the fiscal year ended June 30, 2020. All other programs are classified as Type B by the State. For the year ended June 30, 2020, certain low-risk Type A programs were not audited and certain Type B programs were audited as required by Uniform Guidance. All Type A and Type B programs that were audited as major programs are listed in the "Schedule of Findings and Questioned Costs, Part I. Summary of Auditor's Results," item No. 7 (page 234).

B. Reporting Entity – The State of Utah's reporting entity includes the primary government and its component units as described in Note 1.A. of the State's basic financial statements for the year ended June 30, 2020. For purposes of presenting the SEFA, the Utah Transit Authority (UTA), a major discrete component unit, has been excluded from the reporting entity for the fiscal year 2020.

C. Basis of Accounting – Federal financial assistance programs included in the SEFA are primarily reported in the State's basic financial statements as grants and contributions in the entity-wide Statement of Activities and as federal grants and contracts or federal reinsurance in the fund financial statements. Except for item F presented in Note 10, the SEFA is presented using the same basis of accounting as that used in reporting the expenditures of the related funds in the State's basic financial statements. The basis of accounting used for each fund is described in Note 1.C. of the State's basic financial statements.

- Matching Costs – Except as addressed in Note 3 for certain loan programs, the SEFA does not include matching expenditures.

- Nonmonetary Assistance – The SEFA contains values for several nonmonetary assistance programs. The Food Stamp program is presented at the dollar value of food stamp electronic benefit transfers authorized and used by recipients. The programs with commodities and vaccines are presented at the federally assigned value of commodities disbursed by the State. The surplus property program is presented at the estimated fair value of the property distributed. The fair value was estimated to be 23.3% of the property's original federal acquisition value. In-kind donations of PPE are valued at the fair market value of the PPE at the time of receipt.

- Endowment and Commodities – Information on federal endowment and commodities inventory is included in the Notes instead of on the SEFA (see Notes 5 and 6).

- Loan and Loan Guarantee Programs – The value of new loans and loan guarantees made during the year plus, as applicable, the beginning of the year

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

loan balances and loan guarantees are included in the SEFA. The outstanding federal loan balances and loan guarantees at the end of the year are included in the Notes instead of on the SEFA (see Note 3).

- Direct and Indirect (Pass-Through) Federal Financial Assistance – The majority of the State's federal financial assistance is received directly from the granting federal agency (i.e., the State is the primary recipient). However, some federal financial assistance, as identified on the SEFA, is passed through a separate entity prior to receipt by the State (i.e., the State is a subrecipient). Although this type of assistance is included on the SEFA noting the "Name of Pass-Through Entity," it is not reported as federal revenue on the State's basic financial statements because it was not awarded directly from the Federal Government to the State.

- Pass-Through Expenditures – The SEFA includes a column for "Provided to Subrecipients" to identify the amount provided to subrecipients. The State makes subgrants to other entities to carry out some federal programs.

- Federal Transactions Between State Reporting Entities – Federal revenue and expenditures are only reported once within the same fund on the State's basic financial statements in accordance with generally accepted accounting principles (GAAP) and reported once on the SEFA in the primary recipient state reporting entity. This method avoids duplication and the overstatement of the aggregate level of federal financial assistance expended by the State and its component units. However, purchases of services between state entities using federal monies are reported in the basic financial statements as expenditures or expenses by the purchasing entity and as revenues for services rendered by the providing entity.

NOTE 2. **DE MINIMUS COST RATE**

The SEFA includes a portion of costs associated with general activities which are allocated to federal financial assistance programs under negotiated formulas commonly referred to as indirect cost rates. Three State agencies (the Department of Commerce; the Department of Natural Resources' Division of Forestry, Fire and State Lands; and the Utah State Tax Commission) and one component unit (Bridgerland Technical College) use the 10 percent de minimis cost rate.

NOTE 3. **FEDERAL LOAN PROGRAMS AND LOAN GUARANTEES**

Outstanding federal loan balances and loan guarantees:

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

	CFDA #	Ending Amount at June 30, 2020
Rural Housing Preservation Loans	10.433	$ 1,850,739
Rural Development Loans	10.447	3,457,138
Rental Housing Rehabilitation Loans	14.230	206,387
HOME Investment Partnerships Program Loans	14.239	78,582,196
Housing Trust Fund Loans	14.275	7,273,417
Coronavirus Relief Fund	21.019	10,955,000
State Energy Program Loans	81.041	1,163,266
Federal Family Education Loans Reinsurance	84.032	617,890,366
Perkins Loan Program	84.038	23,719,829
Nurse Faculty Loan Program (NFLP)	93.264	608,844
Health Professions Student Loans	93.342	2,851,734
Nursing Student Loans	93.364	470,344
		$ 749,029,260

Any administrative allowances expended under these programs during the year are included in the SEFA. Also included in the SEFA as required by Uniform Guidance, is the value of new loans and loan guarantees made during the year plus, as applicable, the beginning of the year loan balances and loan guarantees.

Some of the above loan programs require matching funds from the State. The HOME Loan Program requires a 25 percent match; the loans made with the match money are separate loans, accounted for separately, and are not included in the above numbers. Other loan programs above require a 0 to 25 percent match. The above numbers for these loan programs include the State match.

NOTE 4. **FEDERAL REINSURANCE ON DEFAULTED LOANS**

The Utah Higher Education Assistance Authority has entered into agreements with the U.S. Department of Education which provide for federal reinsurance against defaulted acquired student loans.

On December 18, 2015, the Consolidated Appropriations Act (2016, Pub. L. 114-113) was signed, which changed the federal reinsurance rates to 100% (formerly 98% and 95%) effective December 1, 2015.

Effective December 1, 2015, the federal reinsurance on defaulted loans is paid to the Program according to the following schedule:

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

Annual Default Rate	Federal Reinsurance
0% to less than 5%	100%
5% to less than 9%	100% of claims up to 5% and 90% of claims greater than 5% but less than 9%
9% or greater	100% of claims up to 5%, 90% of claims greater than 5% but less than 9%, and 80% of claims 9% or greater

As of June 30, 2020, the Utah Higher Education Assistance Authority had guaranteed student loans outstanding with a current principal and interest balance of approximately $617,890,366. Annual default rates for purposes of application of federal reinsurance are calculated on a federal fiscal year-end basis by dividing default claims filed for the year by the original guarantee amount of loans in repayment at the end of the preceding year. The Utah Higher Education Assistance Authority's annual default rate was less than 5% for the federal fiscal year ended September 30, 2019.

NOTE 5. **FEDERAL ENDOWMENT**

The Utah Arts Council of the Utah Department of Heritage and Arts maintains an endowment from the U.S. National Endowment for the Arts. The endowment is a permanent fund used to provide support for the Utah Arts Endowment Fund and was created with $600,000 of federal funds on September 26, 1991. Only the interest from the endowment is used to make grants to individual artists and ethnic art groups. The Utah Arts Endowment Fund also accepts donations that are used to make grants. During the year ended June 30, 2020, interest earnings and contributions were $16,641 and no grants were issued. The Utah Arts Endowment Fund balance at June 30, 2020, which comprises the federal endowment and donations, was $874,533.

Weber State University maintains an endowment from the U.S. Department of Education (CFDA #84.031). The endowment addresses the needs of students that have been placed in developmental mathematics and developmental English. It was created June 30, 2019, for 20 years with federal funds of $80,000 and $80,000 matching funds. Additional contributions of $80,000 will be made by the U.S. Department of Education and Weber State University in 2021, 2022 and 2023. The endowment fund balance of both federal and match funds at June 30, 2020, was $334,772.

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

NOTE 6. NONMONETARY ASSISTANCE INVENTORY

As described previously in Note 1, nonmonetary assistance is reported in the SEFA based on the amount disbursed. As of June 30, 2020, the following inventories of nonmonetary assistance existed:

Assistance	Inventory Balance June 30, 2020
Food Commodities	$1,329,977
Surplus Property	3,342

NOTE 7. WOMEN, INFANT, AND CHILDREN PROGRAM FOOD REBATES

During the fiscal year ended June 30, 2020, the Utah Department of Health received $8,579,284 of cash rebates from infant formula manufacturers on the sale of formula to participants in the Women, Infants and Children (WIC) Program (CFDA #10.557). Rebate contracts with infant formula manufacturers are authorized by federal regulation 7 CFR 246.16a as a cost containment measure.

Rebates are reported as a reduction of expenditures previously incurred for WIC food benefit costs.

The cash rebates received in the fiscal year ended June 30, 2020, correspond to an annual average food benefit for 12,943 persons.

NOTE 8. (UNAUDITED) DONATED PERSONAL PROTECTIVE EQUIPMENT (PPE)

During the fiscal year ended June 30, 2020, the Federal Emergency Management Agency (FEMA) donated $5,778,307 of PPE purchased with federal assistance funds for the State's COVID-19 response. As described previously in Note 1, PPE donations are valued at fair value at the time of receipt.

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

NOTE 9. EDUCATION STABILIZATION FUNDS (CFDA#84.425)

	CFDA #	Expenditures at June 30, 2020
Education Stabilization Fund (ESF)		
Governor's Emergency Education Relief (GEER)	84.425C	$ 1,097,968
Elementary and Secondary School Emergency Relief (ESSER)	84.425D	18,472,742
Subtotal ESF		19,570,710
Higher Education Emergency Relief Fund (HEERF)		
Student Aid portion	84.425E	18,595,620
Institutional Portion	84.425F	9,567,254
Strengthening Institutions Program (SIP)	84.425M	1,086,918
Fund for the Improvement of Postsecondary Education (FIPSE) Formula Grant	84.425N	436,738
Subtotal HEERF		29,686,530
Total Education Stabilization Funds CFDA #84.425		$49,257,240

NOTE 10. RECONCILIATION OF EXPENDITURES TO FEDERAL REVENUES

Expenditures reported in the SEFA agree with the federal revenues reported in the State's basic financial statements with the following reconciling items:

Total Federal Expenditures from the SEFA		$ 7,904,153,953
Reconciling items:		
A.	Federal Capital Contribution for loan programs reported as federal revenue in the State's Proprietary Fund and Component Unit Financial Statements but not reported on the SEFA:	
	HOME Investment Partnership Loans (14.239)	2,144,783
	Housing Trust Fund (14.275)	4,047,495
B.	The federal expenditures reported on the SEFA for the Board of Regents' Student Loan Purchase Program and the Student Loan Guarantee Program – Utah Higher Education Assistance Authority difference between the federal reinsurance and allowances/reimbursements reported in the Proprietary Fund Financial Statements.	30,825,692
C.	Federal flow-through funds for colleges and universities which are not reported in the Component Unit Financial Statements as federal revenue.	(45,506,455)
D.	State-funded unemployment insurance expenditures reported on the SEFA which are not reported as federal revenue in the Proprietary Fund Financial Statements.	(447,269,147)
E.	Build America Bonds subsidy is not covered by single audit requirements.	14,825,716
F.	Fund Financial Statements accrual of Medicaid pharmacy rebates.	(12,972,270)

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

G.	Program Income for HOME Investment Partnership Loans (14.239)	(4,596,429)
H.	Reed Act money spent that was received in prior years.	(2,609,929)
I.	Loans and loan guarantees made are reported on the SEFA.	(1,164,282,823)
J.	Emergency UI Stabilization and Access Act of 2020 funds received and unspent.	6,188,735
K.	Interest earned on advanced CARES Act Funding.	(2,101,266)
L.	In-kind donations of PPE received from FEMA but not required to report.	5,778,307
M.	Provider Relief Funds (93.498) received but not required to report.	54,481,503
N.	Other miscellaneous reconciling items, net.	(3,733,124)
	Total federal revenue per the Fund Financial Statements	$ 6,339,374,741

FEDERAL REVENUE PER THE FUND FINANCIAL STATEMENTS:

Governmental Funds – Federal Grants and Contracts	$ 4,648,234,000
Proprietary Funds – Federal Reinsurance and Allowances / Reimbursements	16,337,000
Proprietary Funds – Federal Grants	739,557,000
Component Units – Operating Grants and Contributions	1,696,471,000
Less: Component Units – Capital Grants and Contributions for Utah Transit Authority (excluded from SEFA presentation – see Note 1.B)	(69,746,000)
Total	7,030,853,000
Less amounts included in the Component Unit revenues for:	
State, local, and other grants and contributions	(640,498,848)
College and university federal flow-through funds from State agencies	(50,979,411)
Total federal revenue per the Fund Financial Statements	$ 6,339,374,741

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SCHEDULE OF FINDINGS AND QUESTIONED COSTS

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART I. SUMMARY OF AUDITOR'S RESULTS

FINANCIAL STATEMENTS:

1. Type of auditor's report issued: ***Unmodified***
2. Internal control over financial reporting:
   - Material weaknesses identified? ____ yes __x__ no
   - Significant deficiencies identified? __x__ yes ____ none reported
     (#2020-001; 2020-002; 2020-003)
3. Noncompliance material to financial statements noted? ____ yes __x__ no

FEDERAL AWARDS

4. Internal control over major programs
   - Material weaknesses identified? __x__ yes ____ no

     The material weaknesses relate to the following programs:

     CFDA 84.425C and 84.425D Governor's Emergency Education Relief (GEER)
     (#2020-006)
     CFDA 21.019 Coronavirus Relief Fund
     (#2020-031)
     CFDA 14.239 HOME Investment Partnership Program
     (#2020-015; 2020-016; 2020-017; 2020-040)
     CFDA 93.568 Low-Income Home Energy Assistance Program
     (#2020-009; 2020-040)
     CFDA 16.576 Crime Victim Compensation
     (#2020-018; 2020-019; 2020-020)
     CFDA 16.575 Crime Victim Assistance
     (#2020-018; 2020-023; 2020-024)
   - Significant deficiencies identified? __x__ yes ____ none reported
5. Type of auditor's report issued on compliance for major programs: ***Unmodified*** *for all major programs except for the following programs which were* ***qualified***:

   CFDA 16.576 Crime Victim Compensation
   (#2020-019)
   CFDA 14.239 Home Investment Partnership Program
   (#2020-015)

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART I. SUMMARY OF AUDITOR'S RESULTS

CFDA 93.568 Low-Income Home Energy Assistance Program
(#2020-009)
CFDA 21.019 Coronavirus Relief Fund
(#2020-031)

6. Any audit findings disclosed that are required to be reported in accordance with 2 CFR 200.516(a) of the Uniform Guidance. __x__ yes _____ no

7. Identification of major programs:

CFDA #	GRANT NAME
10.551, 10.561	Supplemental Nutrition Assistance Program (SNAP)
10.553, 10.555, 10.556, 10.559	Child Nutrition Cluster (NUT)
10.557	WIC
14.239	Home Investment Partnership Loans
16.575*	Crime Victim Assistance
16.576 *	Crime Victim Compensation
17.225	Unemployment Insurance
20.205, 20.219, 20.224, 23.003	Highway Planning and Construction (HPC)
21.019	(COVID-19) Coronavirus Relief Fund
66.202*	Congressionally Mandated Projects
84.027, 84.173	IDEA (SE Cluster)
84.032	Federal Family Education Loans
84.048*	Career & Technical Education
84.425 C, D, E, F, M, N	(COVID-19) Education Stabilization Fund
93.044, 93.045, 93.053*	Aging Cluster (AGE)
93.563	IV-D Child Support Enforcement
93.568	LIHEAP
93.600*	Head Start
93.775, 93.777, 93.778	Medicaid Cluster (MED)

* Type B Program

8. Dollar threshold used to distinguish between Type A and Type B programs: ***$23,700,000***

9. Auditee qualified as low-risk auditee? _____ yes __x__ no

SCHEDULE OF FINDINGS AND QUESTIONED COSTS
PART II. FINDINGS RELATED TO THE FINANCIAL STATEMENTS

2020-001. **Errors in Preparation of State's Comprehensive Annual Financial Report Identified and Corrected**
(Department of Administrative Services)

The Department of Administrative Services' Division of State Finance (Finance) does not have adequate internal controls to ensure that the State's Comprehensive Annual Financial Report (CAFR) is properly prepared in accordance with generally accepted accounting principles. As a result, three significant audit adjustments were made to properly present the State's financial position and results of operations, as follows:

- One audit adjustment to include Utah Valley University's Foundation, increasing total net position for Discrete Component Units by $138.3 million.
- One audit adjustment to reclassify $105.6 million of "unrestricted net position" to "restricted net position" for "transit services" in the Discrete Component Units' net position.
- One audit adjustment to remove receivable and payable accruals associated with the State Trust Lands Administration, a non-major enterprise fund.

Finance should have adequate internal controls to ensure new personnel have adequate oversight to ensure the financial statements are prepared in accordance with generally accepted accounting principles. Inadequate controls may result in undetected misstatements in the State's financial statements.

Recommendation:

We recommend that Finance establish internal controls to ensure the State's financial statements properly reflect the financial position and results of operations of the State as a whole.

DAS's Response:

We agree with the findings and recommendations outlined in your Management Letter.

2020-002. **Multiple Corrections Required to Accurately Report Schedule of Expenditures of Federal Awards and Summary Schedule of Prior Audit Findings**
(Department of Administrative Services; Department of Workforce Services)

Federal Agencies: Various
CFDA Numbers and Titles: Various
Federal Award Numbers: Various
Questioned Costs: N/A
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Numbers: N/A

SCHEDULE OF FINDINGS AND QUESTIONED COSTS
PART II. FINDINGS RELATED TO THE FINANCIAL STATEMENTS

Schedule of Expenditures of Federal Awards (SEFA):

The State of Utah's (State) SEFA required multiple corrections to accurately reflect amounts expended under federal awards as of June 30, 2020. The State's Division of Finance (Finance) compiles the Schedule using information provided by multiple state agencies. Several agencies provided inaccurate information to the Division, information which Finance did not detect and correct. For instance,

- The Department of Workforce Services (DWS) initially did not include $447,269,147 of State-funded Unemployment Insurance expenditures.
- Finance did not report $23,512,157 of COVID-19 funding for the Supplemental Nutrition Assistance Program (SNAP) separate from the regular SNAP funding.
- The Utah State Board of Education (USBE) and DWS misreported $48,843,003 in pass-through expenditures for 6 awards.
- USBE incorrectly reported the federal award number for 6 of 70 awards reviewed.

Finance and the entities noted above did not have written procedures to assist personnel in the SEFA preparation and have not established internal controls to ensure the accuracy of the information provided and used in the SEFA. Finance and the state entities should coordinate efforts to ensure accurate information is reflected in the SEFA.

Summary Schedule of Prior Audit Findings:

The Summary Schedule of Prior Audit Findings also required multiple corrections to accurately reflect those findings which still required corrective action by various state agencies. Finance prepares this schedule which spans multiple years of audits and tracks the agencies' progress in implementing previous audit findings and recommendations. New personnel at Finance did not understand the appropriate way to compile the schedule and included 8 findings for which corrective actions had been taken. Finance had not developed written procedures or established internal controls to ensure the schedule included the proper information.

Incomplete and inaccurate information and amounts reported in the SEFA and Summary Schedule of Prior Audit Findings result in improper federal reporting.

Recommendation:

We recommend:

1. **Finance, DWS, and USBE develop written procedures for the SEFA preparation and establish internal controls to ensure the accuracy of the information provided to the Division of Finance;**

SCHEDULE OF FINDINGS AND QUESTIONED COSTS
PART II. FINDINGS RELATED TO THE FINANCIAL STATEMENTS

2. **Finance develop written procedures and establish internal controls to ensure the proper reporting of findings in the Summary Schedule of Prior Audit Findings.**

DAS's Response:

We agree with the findings and recommendations outlined in your Management Letter.

DWS's Response:

The department's written procedures for compiling the department's portion of the State's Schedule of Expenditures of Federal Awards (SEFA) were provided to the auditors during the audit. The department also provided the auditors with the department's established internal controls that were in place to ensure the accuracy and completeness of the data compiled for the State's SEFA.

The department purposefully excluded the State-funded Unemployment Insurance expenditures from the initial preparation of the department's portion of the State's SEFA because, in prior years, that particular data has always been added to the State's SEFA by the State Division of Finance. The portion of the pass-through expenditures error cited by the auditors that belongs to the Department of Workforce Services is $1,495,000 for one award (HOME Investment Partnerships Program). Based on our interpretation of 2 CFR §200.502(a), the department's approach in compiling the SEFA data was to report the receipt of program income for the HOME Investment Partnerships Program, not the use of program income. As a result, we intentionally excluded program income expenditures in the pass-through column on the program income line for the HOME Investment Partnerships Program during the initial compilation of federal expenditure data for the State's SEFA, reporting only the receipt of program income for that line instead.

2020-003. **Oversight of Federal Programs Administered in the HCD and ASD Divisions Should Be Strengthened**
(Department of Workforce Services)

Federal Agencies: Various
CFDA Numbers and Titles: Various
Federal Award Numbers: Various
Questioned Costs: N/A
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Numbers: N/A

NOTE: This finding is presented in detail in Finding No. 2020-040 in Part III of this Section.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS & QUESTIONED COSTS FOR FEDERAL AWARDS

U.S. DEPARTMENT OF AGRICULTURE

2020-004. **SNAP Benefit Issuance Reconciliation Differences Go Unresolved**
(Department of Workforce Services)

Federal Agencies: Department of Workforce Services
CFDA Numbers and Titles: 10.551 and 10.561 Supplemental Nutrition Assistance Program
Federal Award Numbers: Various
Questioned Costs: N/A
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Numbers: N/A

DWS did not identify or resolve ongoing reconciling differences between eREP, its central issuance system, and Conduent, its EBT contractor postings, in a timely manner. The reconciling differences began in January 2019, averaged $143,451 per day through June 30, 2020, and ranged from $8,451,857 to ($6,431,326). Because it had not resolved the differences, DWS could not rely on its system to generate issuance data for federal reporting purposes and instead, relied upon external issuance data provided by Conduent.

7 CFR 274.4 requires State agencies to reconcile benefits posted on their central computer against benefits on issuance authorization files, to reconcile total funds entering into, exiting from, and remaining in the system each day, and to provide maintenance of audit trails that document the full cycle of benefit issuance through retailer settlement. In addition, the regulation requires benefit issuance and reconciliation information be reported from the State agency's system to USDA Food and Nutrition Service.

During its system update processes, DWS implemented changes in eREP that inadvertently caused the system to incorrectly report benefit issuance amounts for daily reconciliation purposes. Improperly implemented system updates could result in incorrect benefit calculations and issuances that remain undetected.

Recommendation:

We recommend DWS improve its internal controls over change management processes to ensure errors in application programs are prevented or detected and corrected before the changes are moved into the production environment.

DWS's Response:

The department concurs with the finding.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS
PART III. FINDINGS & QUESTIONED COSTS FOR FEDERAL AWARDS

U.S. DEPARTMENT OF EDUCATION

2020-005. **Contract Addendum Noncompliant with Federal Procurement Regulations**
(Snow College)

Federal Agency: Department of Education
CFDA Number and Title: 84.425F – Higher Education Emergency Relief Fund (HEERF) - Institutional Portion
Federal Award Number: P425F201257
Questioned Costs: $23,167
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: N/A

Snow College (the College) did not conduct a procurement in accordance with Federal regulations. In February 2020, prior to the outset of the COVID-19 pandemic (the pandemic), the College entered into a contract with an online program management provider (the OPM) to enhance its online education program. This contract was focused solely on non-campus based students. In May 2020, subsequent to the outset of the pandemic, the College entered into a contract modification with the OPM that replaced the original contract and effectively expanded the contract to assist the College in expanding its online education for traditional on-campus students. The contract modification significantly increased the price of the contract to an annual amount of $196,000. In addition, the College was not able to provide documentation to support allowability of costs charged to the program. Because the College does not spend much involving contracts subject to federal procurement requirements, management was unfamiliar with the related requirements on procurement and monitoring contracts for allowable activities and costs. As a result, the following control and compliance issues occurred:

- The College did not have control procedures to ensure compliance:

2 CFR 200.303 requires non-federal entities to "establish and maintain effective internal control … that provides reasonable assurance that the non-federal entity is managing [the program] in compliance with … terms and conditions of the federal award." The College did not have the required control procedures to ensure compliance with federal requirements related to contracts.

- The contract was noncompliant with grant guidance and contract services were not monitored:

In relation to the use of OPMs, the United States Department of Education Higher Education Emergency Relief Fund Frequently Asked Questions (FAQ) Rollup Document, Question 40, stated that, "institutions may use the funds for Recipient's Institutional Costs to pay a per-student fee to a third-party service provider, including an OPM, for each additional student using the distance learning platform." The College was unable to produce any documentation related to a per-student fee. In addition, the College was unable to produce sufficient documentation that the OPM provided the agreed upon services and to support the costs was for allowable activities and costs.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS
PART III. FINDINGS & QUESTIONED COSTS FOR FEDERAL AWARDS

U.S. DEPARTMENT OF EDUCATION

- The College did not ensure the OPM was not suspended or debarred:

2 CFR section 180.300 requires that "when … enter[ing] into a covered transaction … you must verify that the person [or entity] with whom you intend to business is not excluded or disqualified." The College's standard procedure is to include a clause in contracts certifying that the vendor is not suspended or debarred. Neither the original contract nor the contract modification contained any such clause. The College did not perform any procedures to ensure the OPM was not suspended or debarred.

- The College did not adequately document the procurement process:

2 CFR 200.318(i) requires that "the non-Federal entity must maintain records sufficient to detail the history of procurement. These records will include … rationale for the method of procurement, selection of contract type, contractor selection or rejection, and the basis for the contract price."

The contract extension used was a non-competitive procurement, or a sole-source award. While the use of a non-competitive procurement may have been justified based on the criteria in 2 CFR 200.320(f), the College could not produce documentation of its rationale for limiting competition, selection of contract type, or selection of the OPM.

- The College did not perform the required cost or price analysis:

2 CFR 200.324 requires that, "the non-Federal entity must perform a cost or price analysis in connection with every procurement action in excess of the Simplified Acquisition Threshold including contract modifications." Although the contract modification was above the simplified acquisition threshold, the College did not perform any acceptable cost or price analysis.

These control and compliance issues increase the risk that the College may incur costs that were not in compliance with allowable activities and costs, procurement, suspension and debarment requirements. We questioned the amount of $23,267 charged to the grant.

Based on subsequent communication, the College anticipates having additional eligible expenditures that may be used to replace these questioned costs in the following year by the end of the grant period.

Recommendation:

We recommend the College familiarize itself with federal procurement requirements and establish effective control procedures to ensure compliance with federal procurement, allowable activities, and allowable costs requirements.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS
PART III. FINDINGS & QUESTIONED COSTS FOR FEDERAL AWARDS

U.S. DEPARTMENT OF EDUCATION

College's Response:

Snow College agrees with this finding. At the time of the original procurement of the consultant, institutional funds had been established for the payment of these services. In response to the COVID-19 pandemic and in keeping with the original intent of the contract, an addendum was written that expanded services by the contractor. Since the services were expanded to include online delivery of Snow College courses directly related to the institution's response to COVID-19, the addendum was determined to be paid through CARES Act relief funds. State procurement code was followed when the original contract was signed, but since Snow College had not originally intended to pay any portion of the contract in question from federal dollars, the federal procurement process was not followed. Snow College agrees with the finding and will ensure federal procurement code is followed when the institution plans to spend federal monies.

2020-006. **Reported Expenditures Not Supported by Underlying Reimbursement Requests**
(Utah State Board of Education)

Federal Agency: Department of Education
CFDA Number and Title: 84.425C Governor's Emergency Education Relief (GEER)
84.425D Elementary and Secondary School Emergency Relief (ESSER)
Federal Award Number: S425C200031
S425D200032
Questioned Costs: $0
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: N/A

The Utah State Board of Education (USBE) does not require local education agencies (LEAs) to submit reimbursement requests in a timely manner and reports estimated expenditures made during the fiscal year. The following table summarizes the differences between the reported expenditures and actual expenditures, or expenditures supported by reimbursement requests, through June 30, 2020.

	Estimated Expenditures	Actual Expenditures	Difference
84.425C – Governor's Emergency Education Relief (GEER)	$ 18,472,742	$ 15,245,979	$ 3,226,763
84.425C – Governor's Emergency Education Relief (GEER)	1,097,068	206,398	809,670
	$ 19,569,810	$ 15,533,377	$ 4,036,433

SCHEDULE OF FINDINGS AND QUESTIONED COSTS
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As a result, we were unable to test $4,036,433 of reported expenditures for compliance with allowable activities and allowable cost compliance requirements outlined in the Office of Management & Budget's *2020 Compliance Supplement*. Because USBE had not drawn down the $19,569,810 as of June 30, 2020, we did not question the unsupported expenditures. Timely reimbursement requests would more effectively help USBE detect and correct any potential noncompliance at the LEA level.

Recommendation:

We recommend USBE require the LEAs to submit reimbursement requests in a timely manner.

USBE's Response:

The USBE agrees with this finding.

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2020-007. **Medicaid Program Review Accuracy Rates 9 Points Below Target**
(Department of Health; Department of Workforce Services)

Federal Agency: Department of Health and Human Services
CFDA Number and Title: 93.778 Medical Assistance Program (Medicaid Title XIX)
Federal Award Numbers: Various
Questioned Costs: $0
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: N/A

The Department of Workforce Services (DWS) Program Review Team (PRT) process had an 88% accuracy rate, as compared to its 97% target accuracy rate, and payment errors of $45,249.99, as identified by the DOH Medicaid Eligibility Quality Control (MEQC) team during a recent review. The MEQC team reviewed 295 cases subject to a PRT review. In these 295 cases, the MEQC team identified 36 errors resulting in a 12% error rate (88% accuracy rate). While DWS counselors incorrectly determined applicants' initial eligibility, the PRT process did not identify or correct these errors. Examples of incorrect eligibility determinations included cases where:

- An individual was approved for an incorrect medical program;
- An individual was ineligible for the medical program issued; or,
- Denial of the program was incorrect.

The MEQC team also identified areas of concerns in the PRT process contributing to its inability to identify and correct errors, including: misapplication of Medicaid policies, inadequate documentation of review, incorrect level of review, improper correction of errors, inadequate procedures and guidance, and incorrect methods in calculation of the error rate. Because there is no federal requirement to return eligibility-based overpayments, we have not questioned any costs.

The eligibility determination accuracy rate is used to determine the state's Federal Medicaid Assistance Percentage (FMAP). Thus, if the State does not meet its target accuracy rates, the federal government could potentially reduce the FMAP rate. Even minimal reductions in the FMAP rate could lead to significant reductions in the federal dollars used to fund Medicaid in the State of Utah.

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Recommendation:

We recommend that:

1. **DWS improves its PRT case review process to remedy the concerns identified above (e.g., misapplication of Medicaid policies and incorrect level of reviews) to meet the 97% target accuracy rate; and,**
2. **DOH provides assistance to DWS as needed to improve the PRT process.**

DOH's Response:

The Utah Department of Health agrees with this finding.

DWS' Response:

The MEQC audit was completed in June 2019. The PRT case review guide was updated in September 2019. Program (policy) specialists will be attending the PRT meetings each quarter to review trends and go over policy clarifications and external audit findings. We also committed to publishing the PRT resources in the Operations Manual - including a consistency guide.

The PRT managers meet on a regular basis with the Program managers to review and discuss policy and procedure. The meeting entails discussion on how QC would look at specifics and what potentially would be cited. They also engage in conversations about error trends, individual training needs, and program specific policy that may need to be clarified or retrained. This ensures consistency in what is being trained and what is being cited by PRT.

Lastly, the PRT updated their procedures, to ensure clearer guidance.

The PRT process is designed to measure employee performance and is not intended to replace the MEQC process.

The Department of Health (DOH) has committed to working with DWS on improvement of the PRT process.

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2020-008. **Use of Appropriate National Correct Coding Initiative (NCCI) Edit Files Not Verified**
(Department of Health)

Federal Agency: Department of Health and Human Services
CFDA Number and Title: 93.778 Medical Assistance Program (Medicaid Title XIX)
Federal Award Numbers: Various
Questioned Costs: $0
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: N/A

The Department of Health (DOH) did not verify its third-party contractor's use of appropriate National Correct Coding Initiative (NCCI) edit files. According to the NCCI Medicaid Policy Manual and the NCCI Medicaid Technical Guidance Manual, DOH is required to use the most recent quarterly Medicaid NCCI edit files to ensure the proper payment of procedures. While DOH obtained from the federal government website the updated quarterly edit files and then sent the files to its third-party contractor, it did not independently verify the contractor's use of the updated edit files. Rather, DOH felt that reviewing the weekly and quarterly reports delivered by the contractor provided adequate verification. Not verifying the use of the appropriate edit files may result in improper payments.

Recommendation:

We recommend DOH implement procedures to verify that the third-party contractor uses the most recent quarterly Medicaid NCCI edit files.

DOH's Response:

The Utah Department of Health agrees with this finding.

2020-009. **LIHEAP CARES Act Benefit Policy Development and Deployment Did Not Align with Award Terms and Conditions**
(Department of Workforce Services)

Federal Agencies: Department of Health and Human Services
CFDA Numbers and Titles: 93.568 Low-Income Home Energy Assistance Program
Federal Award Numbers: 2001UTE5C3
Questioned Costs: $6,606
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Numbers: N/A

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DWS developed a Coronavirus Aid, Relief, and Economic Security Act (CARES Act) benefit policy to rapidly issue flat benefit amounts of $550 per household for its Low-Income Home Energy Assistance Program (LIHEAP). This policy did not align with normal LIHEAP award terms and conditions, which should be effective for the CARES Act portion of funding.

The CARES Act LIHEAP Notice of Award did not modify the normal terms and conditions applicable to LIHEAP recipients and their eligibility requirements, but it did extend the period of obligation to September 30, 2021. DWS awarded the additional benefit on a first-come, first-served basis to households that already received benefits during the program season (October 2019 – April 2020) without determining any targeted income, energy assistance, or Coronavirus need. Normal terms and conditions for eligibility specify that benefit amounts should be determined by calculating income, energy burden, and target group, including for crisis-type payments.

We sampled 13 households and determined all 13 households were over the maximum benefit amount established under normal award terms and conditions. We calculated a sample excess benefits amount of $6,006 from a sample population of $7,150 and projected the error over the total CARES Act benefit population of $4,818,050. Based on our sampling, we consider the material portion of CARES Act benefits paid to be excessive. We have questioned the sample excess benefits of $6,006. In addition, we identified four households from our population that received $150 each in excess of the flat $550 CARES Act amount. Therefore, we have also questioned those costs of $600.

In its effort to quickly disburse the CARES Act funds, program managers overlooked the purpose and timing outlined in the terms and conditions of its federal award and disregarded normal eligibility policies and procedures. In addition, division management's inadequate oversight of policy development and rapid deployment could not only result in waste of federal funds, but also in targeted households not receiving adequate benefits.

Recommendation:

We recommend DWS program managers follow terms and conditions provided in federal notices of awards, and division management provide adequate oversight of program policy development and deployment to ensure it meets program purposes.

DWS's Response:

When the CARES supplemental funding was awarded, states were given the flexibility to determine how to disperse the funding, including the option to provide a flat benefit amount. Due to system limitations, the department decided on a flat benefit amount in order to get the benefits to families quickly and efficiently. Other states also provided flat benefit

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amounts to LIHEAP customers including Nebraska, Washington D.C., and Colorado. All benefits went to LIHEAP-eligible households.

2020-010. **LIHEAP Benefit Overpayments Due to Improper Eligibility Decisions**
(Department of Workforce Services)

Federal Agencies: Department of Health and Human Services
CFDA Numbers and Titles: 93.568 Low-Income Home Energy Assistance Program
Federal Award Numbers: 2001UTE5C3, G-1901UTLIEA
Questioned Costs: $170
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Numbers: N/A

DWS and its sub-recipients improperly determined eligibility benefit amounts for LIHEAP. We sampled 60 households that received regular LIHEAP benefit payments and noted errors related to 2 of the 60 cases (3.33 percent error rate). The sampled expenditures totaled $31,932 from a total population of $15,167,806. We have questioned costs of $170 as described below:

a. For one case, the intake worker did not include annuity income on the client's application. This caused the client to receive a total benefit overpayment of $20, which we have questioned.
b. For another case, the intake worker inaccurately identified the client as over 60 years old and disabled. This caused the client to receive a total benefit overpayment of $150, which we have questioned.

DWS policies, as delegated by 42 USC 8624, specify that annuity income should be included in benefit calculations. Additionally, program policies identify disabled clients over age 60 as a target group for additional benefit. DWS's sub-recipient monitoring activities did not adequately consider, assess, or address risks to compliance posed by sub-recipient employee turnover, policy training, and program requirement knowledge. Improper eligibility determinations and failure to detect errors can cause inappropriate benefit payments and improper use of federal funds.

Recommendation:

We recommend DWS focus its sub-recipient monitoring activities, including risk assessment and policy communication, to address eligibility noncompliance risks from sub-recipient staff turnover, training, and ineffective internal controls.

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DWS's Response:

The department will implement the recommendation.

2020-011. **LIHEAP Reporting Errors and Untimely Submissions**
(Department of Workforce Services)

Federal Agencies: Department of Health and Human Services
CFDA Numbers and Titles: 93.568 Low-Income Home Energy Assistance Program
Federal Award Numbers: G-1901UTLIEA, 2001UTE5C3
Questioned Costs: N/A
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Numbers: N/A

The Department of Workforce Services did not have internal controls to prevent and detect the errors in and timely submission of its LIHEAP reports as follows:

LIHEAP Performance Data Form

- 12 of 61 lines reported incorrect information. The difference of reported average dollar amounts ranged from $43 understated to $3,869 overstated, and number of households reported ranged from 4,084 to 1,077 understated.
- The report was submitted 4 days after the report due date.

Annual Report on Households Assisted by LIHEAP

- 3 of 23 lines reported incorrect information. Inaccurate number of households ranged from 41 understated to 2,000 overstated.
- The report was submitted 42 days after the report due date.

Carryover and Reallotment Report

- This report was prepared and submitted before it was approved.

2 CFR 200.303 states, "The non-federal entity must establish and maintain effective internal control over the Federal award that provides reasonable assurance that the non-Federal entity is managing the Federal award in compliance with Federal statutes, regulations, and the terms and conditions of the Federal award." Reports, especially key line items, should be prepared and documented from complete, accurate, and consistent data, subjected to internal review, and submitted in a timely manner as required by award terms and conditions.

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Program managers misunderstood new program requirements, used inconsistent data, and inadequately documented report preparation, which should be detected by internal controls. Program managers, however, relied on external review, rather than an internal review. In addition, division managers did not supervise program managers to ensure they understood program requirements or monitor internal controls for on-going performance, effects of new requirements, or maintenance of separation of duties. Failure to design, implement, and adhere to internal controls could result in incomplete, inaccurate, and inconsistent reporting.

Recommendation:

We recommend DWS:

1. **Design and implement internal controls for performance and special reports;**
2. **Division managers perform supervision and monitoring activities to ensure internal controls function as designed;**
3. **Gain a sufficient understanding of performance report requirements;**
4. **Use consistent data for reporting and adequately document preparation methodology; and,**
5. **Submit reports on time.**

DWS's Response:

The former HEAT Program eligibility system, SEALWorks, had limitations for reporting. On October 1, 2020, the HEAT Program began using the department's Electronic Resource Eligibility Product (eREP) system for eligibility determination. The eREP system will enable the department to provide more accurate data for reporting and mitigate the need for manual data collection. An extension to the deadline was requested and granted by OCS for the report to be submitted at a later date as the data was still being gathered.

2020-012. **Inadequate Internal Controls over Preparation of Federal Reports**
(Southern Utah University)

Federal Agency: DHHS
CFDA Numbers and Titles: 93.600- Head Start
Federal Award Numbers: Various
Questioned Costs: $0
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: N/A

There was no accuracy review performed on the Real Property Status Report and the Federal Financial Reports required for University Head Start by the Department of Health

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and Human Services (DHHS). As a result, before the two required Federal Financial Reports we tested were sent to DHHS, Management did not detect and correct inaccurate indirect cost rates reported on both reports and the incorrect basis of accounting box checked on one report.

Federal regulations (2 CFR 200.303) require non-federal entities to "establish and maintain effective internal control…that provides reasonable assurance that the non-federal entity is managing [the program] in compliance with…terms and conditions of the federal award." Head Start did not establish internal controls over reporting because it relied on University Accounting Services' experience and expertise to ensure the accuracy of reports. The errors we noted on the reports we reviewed occurred because of a misunderstanding by Accounting Services staff about what rate should be presented on these reports and because the staff preparing the report made typos.

A lack of controls over the preparation of federal reports increases the risk that reports are not prepared accurately and in accordance with award reporting requirements, as illustrated by the errors found in the tested reports.

Recommendation:

We recommend the Head Start program design and implement an effective internal control to ensure the accuracy of its reports.

University's Response:

We appreciate your recommendation. Southern Utah University felt it was sufficient control to have the financial reports prepared and submitted by accounting services, separate from the Head Start Program. New procedures will be implemented to add an additional level of approval.

2020-013. **Improper Reporting to Those Charged with Governance**
(Southern Utah University)

Federal Agency: DHHS
CFDA Numbers and Titles: 93.600- Head Start
Federal Award Numbers: Various
Questioned Costs: $0
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: N/A

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The Head Start program does not regularly share monthly financial statements with the Board of Trustees. 42 USC 9837(d)(2)(A) states that Head Start agencies "shall ensure the sharing of accurate and regular information for use by the governing body and the policy council, about program planning, policies, and Head Start agency operations, including monthly financial statements, including credit card expenditures…."

Rather than providing a monthly financial statement with the Board of Trustees, financial information is presented during University Head Start subcommittee meetings. However, the subcommittee does not meet regularly and only met once during fiscal year 2020. Because the Board of Trustees does not have access to regular financial information, including monthly financial statements, they are limited in their ability to fulfill their fiscal responsibility over the Head Start program.

Recommendation:

We recommend the Head Start program develop policies and procedures that require the regular sharing of financial information with the Board of Trustees.

University's Response:

The SUU subcommittee to the Board of Trustees has been delegated by the trustees to represent them in all Head Start matters. The Trustees have appointed a member of the Board of Trustees to represent them on the subcommittee and then report directly back to the Board.

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U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

2020-014. **Untimely Identification and Resolution of HOME Loan Reconciliation Differences**
(Department of Administrative Services; Department of Workforce Services)

Federal Agencies: Department of Housing & Urban Development (HUD)
CFDA Numbers and Titles: 14.239 HOME Investment Partnership Program
Federal Award Numbers: M19-SG490100
Questioned Costs: N/A
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Numbers: N/A

Finance services Home Investment Partnership Program (HOME) loans on behalf of the Department of Workforce Services (DWS). Finance did not properly identify and resolve reconciling items between the State's loan tracking system and general ledger (FINET) for the HOME loans. DWS did not adequately monitor Finance's reconciliation to ensure differences were properly identified and resolved in a timely manner. Reconciling differences from the November 2019 and/or May 2020 reconciliations include the following:

- 29 items which spanned from fiscal year 2009 to 2019 and totaled $1,169,228
- 18 unusual items which included "unknown differences" or amounts that did not appear to be HOME loan related and totaled $1,368,151
- 1 unusual current fiscal year item left unresolved for a majority of the fiscal year in the amount of $745,000.

Adequate internal controls over cash receipts, disbursements, and financial reporting rely upon the performance of monthly reconciliations between the loan system and the general ledger. This reconciliation procedure also ensures the correct use and reporting of the HOME loan principal and interest payments (program income). Reconciling differences should be investigated and resolved in a proper and timely manner.

Finance's focus on prioritizing current transactions rather than previous transactions combined with constraints of setting up loans in the loan system caused these errors to occur. Additionally, DWS, who is primarily responsible for compliance with HOME loan requirements, did not actively monitor loan servicing performed by Finance.

DWS uses program income to award and disburse new HOME loan funds prior to drawing additional funds from federal sources. Failure to properly identify and resolve loan fund transactions in a timely manner can result in undetected errors, fraud, or noncompliance with federal program income requirements.

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Recommendation:

We recommend the following:

1. **Finance and DWS work together to investigate and resolve the reconciling differences in a timely manner;**
2. **Finance and DWS resolve loan system constraints to ensure accurate and proper recording and reporting of transactions; and**
3. **DWS actively monitor loan servicing performed by Finance to ensure proper handling of HOME loan principal and interest payments (program income).**

DAS's Response:

We agree with the findings and recommendations outlined in your Management Letter.

DWS's Response:

Prior to the audit, the department initiated and completed a comprehensive reconciliation of loan information for the HOME Investment Partnerships Program in the department's grant management system (Webgrants), the State's general ledger system (FINET), the State's loan management system (CLCS), and the Integrated Disbursement and Information System (IDIS). This comprehensive reconciliation spanned a period of 15 years to ensure that department personnel captured and reconciled all historical differences. The State's loan management system (CLCS) is administered by the State Division of Finance which also services the HOME Investment Partnerships Program loans for the department. The department has been working with the State Division of Finance to resolve the outstanding reconciling differences identified as a result of the comprehensive reconciliation. Resolving the reconciling items for the HOME Investment Partnerships Program is complex due to the use of multiple subaccounts for the program and a significant amount of activity between those subaccounts by both the State Division of Finance and the department. The majority of the unreconciled items noted by the auditor occurred in years prior to the employment of current State Division of Finance staff. Many items require extensive research to resolve due to the lack of evidence and documentation on old reconciliations. The net effect of the errors identified by the auditor totaled $569,262.

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2020-015. **Failure to Comply with HOME Sub-Recipient Monitoring Requirements**
(Department of Workforce Services)

Federal Agency: Department of Housing & Urban Development (HUD)
CFDA Numbers and Titles: 14.239 HOME Investment Partnership Program
Federal Award Numbers: M19-SG490100
Questioned Costs: N/A
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: N/A

As part of our audit of the Home Investment Partnership Program (HOME) sub-recipient agreements at the DWS's Housing and Community Division, we identified the following:

- Program and financial managers failed to ensure a complete contractor/sub-recipient determination existed for six of eight agreements. For the remaining two agreements, both original determinations were incorrect or incomplete. The program and financial managers subsequently identified and completed one of these determinations nine months after execution, but the determination remained incorrect. Division management, the party charged with agreement execution, did not detect or correct any missing, incorrect, or incomplete determinations prior to executing agreements.
- Program contracting processes failed to ensure all agreements included key pieces of information, such as the date of the federal award, the total amount of federal funds obligated to the entity (including the amount awarded by the current contract), and the indirect cost rate for the awards. Four of these eight agreements were missing the awardee's unique entity identifier/DUNS.
- Pre-award risk assessments were not performed by Internal Audit because of the improper determination of subrecipients.
- Program managers did not have effective internal controls to ensure proper identification, communication, and monitoring activities were performed for HOME sub-recipients.

2 CFR 200.331 indicates "A pass-through entity must make case-by-case determinations whether each agreement it makes for the disbursement of Federal program funds casts the party receiving the funds in the role of a subrecipient or a contractor." Additionally, 2 CFR 200.332 sets forth requirements that a pass-through entity must clearly identify certain federal award information in its subawards, evaluate each sub-recipient's risk of noncompliance for regular monitoring, and perform monitoring activities to ensure the award is used for authorized purposes. Lastly, 2 CFR 200.303 requires non-federal entities to establish and maintain effective internal control over federal awards to provide reasonable assurance it manages its federal awards in compliance with federal requirements.

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Program and financial managers did not follow or consider DWS's updated sub-recipient policies (dated July 1, 2018) to ensure compliance with *Uniform Guidance*. Division managers did not ensure adherence to policies or monitor internal controls for design, implementation, and on-going performance to effectively ensure compliance. Additionally, these errors occurred due to lack of clear understanding of sub-recipient determinations and monitoring requirements using HOME-program specific guidelines (24 CFR 92.504), blurred determination and decision review responsibilities, and siloed contracting procedures.

Misidentification of sub-recipients, incomplete or missing sub-award information, and failure to monitor sub-recipients could lead to noncompliance for DWS and its sub-recipients, as well as improper recording and reporting of federal expenditures.

Recommendation:

We recommend the following:

1. **Program and financial managers gain an understanding of and document sub-recipient policies to comply with *Uniform Guidance*, HOME federal regulations (24 CFR 92.504), and DWS policies;**
2. **Program managers design and implement effective internal controls for sub-recipient compliance requirements, with division management oversight;**
3. **Program, financial, and Division managers work together to define sub-recipient determination and decision review responsibilities; and,**
4. **Merge division contracting into DWS central contracting.**

DWS's Response:

The department will implement the recommendations.

2020-016. **Unallowable HOME Loan Write-Off and Other Improper Accounting Errors**
(Department of Workforce Services)

Federal Agency: Department of Housing & Urban Development (HUD)
CFDA Number and Title: 14.239 HOME Investment Partnership Program
Federal Award Number: M19-SG490100
Questioned Costs: $32,081
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: N/A

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During fiscal year 2020, the HOME program financial managers wrote off a $32,081 single-family loan, which went into foreclosure in 2012, and inappropriately charged the write-off to the HOME Federal Entitlement Fund. We also identified incorrect accounting errors for two of six sampled project transactions—a 33.3 percent error rate. One part of the accounting error indicated that the transaction pertained to the HOME program and another part of the error indicated the transaction pertained to a non-federal program. These errors were not corrected by financial managers approving the transactions.

HOME policy requires that program loans considered for write-off from the HOME loan, HOME program income loan, State match loan, or State match program income loan funds "be 'purchased' by state funds then the write-off will be in the state funds and not federal funds in order to recapture the entire federal funds." Additionally, 2 CFR 200.426 explicitly identifies bad debts as unallowable costs for federal programs and requires states to be "[consistent] with policies and procedures that apply uniformly to both federally financed and other activities of the non-Federal entity."

Although program managers processed the write-off through the appropriate channels, financial managers relied on unsupported historical bad debt write-off practices that did not conform to the program's own policy. Financial managers also disregarded established costing and account coding structure applicable to the HOME program. Improper expensing, costing, and coding of program (and non-program) transactions without proper internal controls can result in improper charging of unallowable activities or unallowable costs to the federal program, incorrect recording of loans and subsequent program income, and erroneous reporting. The $32,081 write-off loss, which we have questioned, was taken from a sample population of $1,782,025 and a total population of $10,210,579.

Recommendations:

We recommend HOME program financial managers:

1. **Follow established loan write-off policy or receive written federal authorization for the historical practices and update HOME policy accordingly;**
2. **Communicate updated policies to the necessary parties, which could include the Olene Walker Housing Loan Fund Board and the State Division of Finance; and,**
3. **Revise and follow the account coding and costing structure.**

<u>*DWS's Response*</u>*:*

The write-off of the single-family loan cited by the auditors was a conversion of a homebuyer project loan to a grant, as authorized by the Olene Walker Housing Loan Fund Board, for the amount that was unable to be recaptured due to insufficient net proceeds available from the sale of the associated property. The department believes that the

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treatment of this foreclosure situation is in accordance with applicable federal regulations, guidance in HUD Notice CPD 12-003, and our 2019 Annual Action Plan which was approved by HUD. The State Division of Finance classified the transaction recorded in the State's general ledger system (FINET) for the conversion of the homebuyer project loan to a grant as a "special grant" which the auditors cited as an accounting error. The other accounting error cited by the auditors was a result of the department's financial manager using only the last two digits of the program codes that were recorded in FINET to classify certain HOME Investment Partnerships Program transactions. The department acknowledges that the utilization of the program codes in this way could potentially cause confusion for non-finance personnel looking at the program codes in their entirety for these transactions, but this treatment did not result in any HOME Investment Partnerships Program transactions being classified incorrectly or being recorded in an incorrect fund.

2020-017. **HOME Eligibility and Housing Quality Inspections Not Performed in Accordance with Policy**

(Department of Workforce Services)

Federal Agency: Department of Housing & Urban Development (HUD)
CFDA Numbers and Titles: 14.239 HOME Investment Partnership Program
Federal Award Numbers: M19-SG490100
Questioned Costs: N/A
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: N/A

HOME did not perform on-site inspections for 2 of 40 sampled inspections (a 5 percent error rate) within a two-year interval in accordance with the Olene Walker Housing Loan Fund (OWHLF) Program Guidance and Rules. In addition, the new program manager was unaware of the responsibility to establish internal controls as required by *Uniform Guidance*.

OWHLF Program Guidance and Rules, as supported by 24 CFR 92.504(d)(1)(ii), requires frequent inspection based on units in the property (i.e., 5–25 units inspected every 2 years). 2 CFR 300.303 requires non-federal entities to "establish and maintain effective internal control … that provides reasonable assurance that the non-federal entity is managing [the program] in compliance with … terms and conditions of the federal award."

Program staff did not perform the on-site inspections in accordance with OWHLF policy because they tracked inspections manually and incorrectly established future inspection dates, which should have been detected by an internal control. The program manager relied on external reviews and staff experience to ensure compliance with requirements. Division management did not monitor internal controls to identify the missing internal control when staff turned over.

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A lack of internal controls over single family eligibility determinations and multi-family on-site inspections increases the risk that ineligible recipients receive federally subsidized housing, poor housing conditions, or noncompliance of federal housing subsidies by housing owners or management.

Recommendations:

We recommend HOME:

1. **Design and implement internal controls over single and multi-family eligibility determinations and on-site inspections to ensure compliance; and,**
2. **Follow OWHLF written policies and procedures regarding the frequency of multi-family inspections.**

DWS's Response:

The department will implement the recommendations.

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U.S. DEPARTMENT OF JUSTICE

2020-018. **Oversight of Federal Programs Should Be Strengthened**
(Commission on Criminal and Juvenile Justice)

Federal Agency: Department of Justice
CFDA Number and Title: 16.575 Crime Victim Assistance
16.576 Crime Victim Compensation
Federal Award Numbers: Various
Questioned Costs: N/A
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: N/A

The Utah Office for Victims of Crime (UOVC) does not manage the Crime Victim Assistance (CVA) and Crime Victim Compensation (CVC) programs in accordance with federal regulations, potentially jeopardizing future funding and/or reducing UOVC's ability to assist victims of crime in the state. *Uniform Guidance* 2 CFR 200.303 (a)-(d) enumerates 4 key areas UOVC must implement to effectively manage CVA and CVC. These areas include:

a. Establishing and maintaining effective internal controls;
b. Complying with federal statutes, regulations, and federal awards terms and conditions;
c. Evaluating and monitoring UOVC's compliance with (b); and
d. Taking prompt action when instances of noncompliance are identified, including those identified in audit findings.

Establishing & Maintaining Effective Internal Controls

UOVC has not established or maintained effective internal controls, which include a sound control environment or "tone at the top". Elements of a sound control environment (e.g., written policies and procedures, effective application of information technology, and hiring of competent staff) are lacking. The state's accounting system (FINET) is not utilized in a way to account for federal awards separately. Independent spreadsheets, rather than FINET, are used to managed CVA and CVC. Findings 2020-021, 2020-024, 2020-026, and 2020-027 provide further explanation. UOVC should follow the federal "Green Book" or the "Internal Control Integrated Framework" (COSO Framework) to establish and maintain effective internal controls (see 2 CFR 200.303(a)).

Complying with Federal Statutes, Regulations, & Federal Award Terms and Conditions

As enumerated in Findings 2020-019 through 2020-036, UOVC did not comply with federal statutes, regulations, and terms and conditions for the fiscal year ended June 30, 2020. Finding 2020-019 is considered material, or more severe, noncompliance while Finding

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2020-020 is required to be reported under *Uniform Guidance*. Findings 2020-021 through 2020-026, while not required to be reported under *Uniform Guidance*, indicate other instances of noncompliance.

Evaluating & Monitoring Compliance with Federal Statutes, Regulations, & Federal Award Terms and Conditions

UOVC does not evaluate or monitor its compliance with federal statutes, regulations, or award terms and conditions. Typically, organizations utilize an internal audit function to evaluate and monitor compliance. While UOVC has auditors on staff, they are tasked with monitoring subrecipients and do not evaluate or monitor UOVC's compliance.

Taking Prompt Action When Instances of Noncompliance Are Identified

The CVA program has been audited every year since fiscal year ended June 30, 2017 and the CVC program has been audited every year since fiscal year ended June 30, 2018. The following table summarizes the audit findings, including the findings which are considered "repeat findings" or findings originally identified in a previous audit that had not been corrected.

Single Audit Year	Number of New Findings	Number of Repeat Findings	Number of Total Findings	Number of Findings Containing Material Control Weakness	Number of Findings Containing Material Noncompliance	Questioned Costs
2017	3	N/A	3	3	3	$139,194
2018	7	3	10	7	4	$12,224
2019	1	7	8	5	3	$0
2020	4	8	12	5	1	$77,915

As evidenced by the "Number of Repeat Findings", UOVC has not taken prompt action to correct instances of noncompliance identified in audits. While management has made some effort to correct the problems, it has struggled to understand and identify the core issues generating the finding and identifying how to effectively address them.

Recommendation:

1. **Gain an understanding of the federal "Green Book" or "COSO Framework" to then design effective internal control, including the establishment of a sound control environment;**

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2. **Comply with federal statutes, regulations, and federal award terms and conditions;**
3. **Establish written procedures and assign appropriate personnel to evaluate and monitor UOVC's compliance with (b); and**
4. **Work with auditors to understand core issues of audit findings in order to take prompt action when instances of noncompliance are identified.**

UOVC's Response:

UOVC agrees that it can and should strengthen oversight of federal programs.

2020-019. **UOVC Does Not Monitor Grant Expenditures to Ensure Spending in the Proper Period**
(Commission on Criminal and Juvenile Justice)

Federal Agency: Department of Justice
CFDA Number and Title: 16.576 Crime Victim Compensation
Federal Award Numbers: 2016-VC-GX-0057; 2017-VC-GX-0019; 2018-V1-GX-0026 2019-V1-GX-0045
Questioned Costs: N/A
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: 2019-015

UOVC does not monitor or verify that expenditures charged to the CVC program occurred during each award's period of performance. Funding for this program is derived from both state and federal resources, is recorded in one fund code in FINET, and includes multiple federal awards, each of which have a four-year period of performance requirement. UOVC does not charge the majority of CVC expenditures to a specific award and does not perform any allocation after the fact. While the 2017 Department of Justice Grants Financial Guide specifically states that for this program there is no financial requirement to identify the source (federal or state) of individual payments to crime victims, it also states "recipients must account for each award separately." However, without an allocation or some other way to identify the expenditures to a specific federal award, we could not perform appropriate audit procedures to determine compliance with period of performance requirements. By not adequately tracking spending for period of performance purposes, UOVC could be spending funds outside the allowable period.

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Recommendation:

We recommend UOVC charge expenditures to specific awards, otherwise identify the source (federal or state) of payments to crime victims, or obtain a waiver from the U.S. Department of Justice stating this requirement does not apply to this program.

UOVC's Response:

UOVC agrees that it must more clearly designate the revenue source of expenditures to better permit testing and clearly display, verify, and ensure spending in the proper period.

2020-020. **Crime Victim Reparations Determined and Paid without Independent Review**
(Commission on Criminal and Juvenile Justice)

Federal Agency: Department of Justice
CFDA Number and Title: 16.576 Crime Victim Compensation
Federal Award Numbers: 2016-VC-GX-0057; 2017-VC-GX-0019; 2018-V1-GX-0026
2019-V1-GX-0045
Questioned Costs: $59,564
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: 2019-014

UOVC Reparation Officers determine allowable expenditures and Payment Technicians process payment of these expenditures without an independent review. The Officers consider multiple factors (e.g., the type of crime, the severity of the crime, potential insurance coverage) when determining the amount of reparations to a victim. Without an independent review of these determinations, UOVC can inconsistently apply state laws and rules when administering the Crime Victim Compensation Program. For example, *Utah Code* 63M-7-511.5 identifies the maximum per-crime aggregate payments for medical and non-medical reparations by identifying specific crimes and "aggravated" circumstances which increase the allowed maximum limits. For 7 of 52 claims reviewed, reparation officers decided that these 7 claims qualified for the increased limits despite them not meeting the criteria outlined in *Code,* when compared to police reports or other supporting documentation. We have questioned $59,564 in payments exceeding the allowed threshold for these 7 claims.

Recommendation:

We recommend UOVC establish an independent review of crime victim reparation determinations and payments.

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UOVC's Response:

UOVC agrees that Reparation Officers and Payment Technicians must exercise their independent discretionary statutory authority and obligations within the parameters of the law. UOVC also agrees that Reparation Officers and Payment Technicians are obligated to obtain and retain documentation to support and verify their operation within the law. UOVC further understands that the auditor recommends and encourages increased monitoring and oversight of the independent discretionary statutorily authorized actions of Reparation Officers and Payment Technicians, to assure compliance with the law. UOVC looks forward to being able to fully review the material reviewed by the auditor as we work with the auditors to rectify their concerns. UOVC respects the auditor's role in questioning these costs in the single audit process.

2020-021. **Administrative Expenditures Exceeded the Allowable 5% Threshold**
(Commission on Criminal and Juvenile Justice)

Federal Agency: Department of Justice
CFDA Number and Title: 16.576 Crime Victim Compensation
Federal Award Numbers: 2016-VC-GX-0057
Questioned Costs: $9,247
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: N/A

The Utah Office for Victims of Crime (UOVC) recorded $9,247 in excess of the allowable 5% administrative expenditures earmark for the VOCA16 award because it has not established internal controls to ensure proper monitoring of the maximum 5% allowed for administrative expenditures. 2 CFR 200.303(a)) states "The non-Federal entity must establish and maintain effective internal control over the Federal award that provides reasonable assurance that the non-Federal entity is managing the Federal award in compliance with Federal statutes, regulations, and the terms and conditions of the Federal award." Inadequate internal controls over earmarking resulted in unallowable questioned costs of $9,247 and noncompliance with grant allowable cost principles and earmarking requirements.

Recommendation:

We recommend UOVC establish internal controls to ensure administrative expenditures do not exceed the 5% earmarking limit.

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UOVC's Response:

UOVC agrees that $9,247 was initially recorded in excess of the allowable 5% and agrees that a lack of internal controls contributed to this. This error required and received correction to assure that the 5% was ultimately not exceeded. UOVC respects the auditor's role in questioning these costs in the single audit process.

2020-022. **Claims Management System Unable to Provide Accurate Performance Report Data**
(Commission on Criminal and Juvenile Justice)

Federal Agency: Department of Justice
CFDA Number and Title: 16.576 Crime Victim Compensation
Federal Award Numbers: 2016-VC-GX-0057; 2017-VC-GX-0019; 2018-V1-GX-0026; 2019-V1-GX-0045
Questioned Costs: N/A
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: 2019-016

The UOVC Claims Management System's (CMS) current implementation does not provided data in a matter that allows UOVC to accurately report the federally-prescribed performance measures in its quarterly performance reports.

- The dollar amount of expenses paid by category and type of crime are not tracked. While UOVC has an October 2015 correspondence from the Department of Justice stating that these items can be reported as "not tracked", the correspondence also stated that UOVC should comply as quickly as possible to start tracking the required measurements but did not give an implementation deadline. As of June 2020, these required performance measures are still being reported as "not tracked."
- The CMS contains different age ranges for four out of six age categories required on the Performance Report. As such, we were unable to calculate the correct number of applicants within the age ranges requested on the Performance Report.

UOVC's CMS should be able to provide accurate performance data. Without properly generating the necessary data, UOVC cannot provide the Department of Justice with the critical information needed to know how this program is being administered to help victims of various crimes.

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Recommendation:

We recommend UOVC reconfigure its system to provide accurate performance report data and run system tests before deployment to ensure the system provides the expected results.

UOVC's Response:

UOVC agrees.

2020-023. **Design of Subrecipient Monitoring Procedures Does Not Ensure Compliance with Federal Regulations**
(Commission on Criminal and Juvenile Justice)

Federal Agency: Department of Justice
CFDA Number and Title: 16.575 Crime Victim Assistance
Federal Award Numbers: 2016-VA-GX-0052, 2017-VA-GX-0057, 2018-V2-GX-0051
Questioned Costs: N/A
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Numbers: 2019-018

As the prime recipient for the Crime Victim Assistance grant, UOVC must establish internal controls over and comply with multiple federal requirements for working with its subrecipients. These requirements and the results of our audit procedures are as follows:

Communication of Key Federal Award Information: According to 2 CFR 200.331(a) UOVC must clearly identify to the subrecipient the federal award by providing the required information. Such information should include 13 specific items to be communicated, such as the federal award identification number, federal award date, total amount of federal funds obligated to the subrecipients, and identification of whether the award is Research & Development.

UOVC did not communicate the required information for all 12 of the subrecipient contracts we reviewed because it relied on its Grant Management System to generate the subaward agreements and believed the system was including the necessary information. UOVC did not test the vendor-developed system to confirm the system was functioning as expected. When relying on a computer system to perform specific functions, UOVC should test the system prior to deployment to ensure it produces the expected results. As part of a sound IT general control environment, UOVC should also document the results of these tests. If the system is not functioning appropriately and/or until the system is functioning appropriately,

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UOVC should establish a review process to ensure the required information is communicated.

Risk Assessment @ Subrecipient Level: According to 2 CFR 200.331(b), UOVC must evaluate each subrecipient's risk of noncompliance for purposes of determining the appropriate monitoring (e.g., frequency of desk reviews or on-site visits) related to the award.

While UOVC has developed policies and procedures for performing risk evaluations and is performing such evaluations, it has not developed policies and procedures for determining the appropriate monitoring related to the award. There is no link between the risk evaluation being performed and the level of monitoring performed. 28 CFR 94.106 requires UOVC to include a risk assessment plan in its monitoring plan.

Desk & On-Site Reviews: According to 28 CFR 94.106, UOVC must perform regular desk monitoring of all subrecipients and on-site monitoring at least once every two years, unless a different frequency is determined based on the risk assessment mentioned above.

UOVC staff involved in conducting both the desk and on-site monitoring ensure UOVC complies with federal rules. However, UOVC does not have an independent verification or review of monitoring procedures and results. UOVC believed that the two auditors working together was enough coverage to make sure the monitoring happened. With no independent review, the auditors may miss certain aspects of the monitoring process and/or fail to comply with federal monitoring rules.

Review of Subrecipient Single Audits: According to 2 CFR 200.521, UOVC should review and give management decisions on subrecipient single audit results within 6 months of the subrecipient's report submission.

The UOVC staff involved in the desk and on-site monitoring also review subrecipient single audit reports. UOVC does not have a control in place to ensure the reviews and management decisions are completed within the 6 months allowed. For 1 of 12 subrecipients, UOVC did not review the most recent report. By not making sure the reviews are happening in a timely manner the passthrough entity could review a single audit after the 6 month window and thus become noncompliant with the risk of losing federal funds.

Inadequately designed subrecipient monitoring procedures may restrict UOVC's ability to identify subrecipients' noncompliance with federal regulations.

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Recommendation:

We recommend UOVC:

1. **Test computer system development prior to deployment, document the results of these tests, and, until the system is functioning properly, establish a review process to ensure required information is communicated to subrecipients;**
2. **Expand its risk assessment policies and procedures to identify the appropriate levels of monitoring for each risk level;**
3. **Have a person independent of the desk monitoring, on-site monitoring, and subrecipient single audit report monitoring review results and timing of monitoring efforts.**

UOVC's Response:

UOVC agrees that monitoring policies must ensure compliance with federal regulations. UOVC is eager to work with the auditor to review, understand, and resolve each of the auditors' key concerns articulated with this finding.

2020-024. **Federal Cash Draws Calculated Using Employee-Prepared Spreadsheet Instead of General Ledger**
(Commission on Criminal and Juvenile Justice)

Federal Agency: Department of Justice
CFDA Number and Title: 16.575 Crime Victim Assistance
Federal Award Numbers: 2017-VA-GX-0057
Questioned Costs: $0
Pass-through Entity: 0
Prior Year Single Audit Report Finding Number: PY-2019-019

One of the cash draw requests tested (a 14% error rate) included errors that had a net effect of $27,036 being underdrawn for the Crime Victim Assistance program (CVA). UOVC calculates the federal cash draws using an employee-prepared spreadsheet instead of the state's general ledger, or FINET. Because FINET captures all financial activity for the CVA, UOVC should use FINET data to prepare cash draws in a consistent manner. UOVC should also be completing thorough reviews of each draw to ensure draws are prepared in a consistent manner, on a reimbursement basis, and include proper coding; and the timing of the draw is in compliance with 31 CFR part 205. UOVC's current procedures allow for inconsistency in capturing expenditures and requesting incorrect reimbursement amounts from the federal government. Continuing current practices could result in noncompliance with federal cash management requirements.

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Recommendation:

We recommend UOVC uses FINET data to prepare draws and thoroughly review draws prior to requesting reimbursement.

UOVC's Response:

UOVC agrees that FINET data is the only acceptable data for preparing cash draws and that the use of employee generated data for this purpose is neither allowable nor acceptable. UOVC is eager to fully review the information supporting this finding and thoroughly resolve this finding.

2020-025. **Subrecipient-Reported Information Not Adequately Verified**
(Commission on Criminal and Juvenile Justice)

Federal Agency: Department of Justice
CFDA Number and Title: 16.575 Crime Victim Assistance
Federal Award Numbers: 2016-VA-GX-0052; 2017-VA-GX-0057
2018-V2-GX-0051; 2019-V2-GX-0063
Questioned Costs: $0
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Numbers: 2019-017

UOVC does not perform procedures to verify the information reported on the U.S. Department of Justice, Office for Victims of Crimes Annual Performance Measurement Tool (PMT) Report. This information is used to ensure the requirements are met related to the 30 percent minimum level of effort for priority categories of crime victims and the 10 percent minimum level of effort for previously underserved victims of violent crimes. As part of its monitoring procedures, UOVC selects one of a subrecipients reimbursement requests, obtains supporting documentation for the reported amounts, including level of effort amounts, and performs a desk audit to determine the validity of the numbers reported. These desk audits focus on a single reimbursement request by a subrecipient and do not determine the validity of all amounts reported.

Because UOVC does not obtain and review supporting documentation for all necessary data elements, we were unable to determine whether UOVC actually met the 30 percent priority category and 10 percent previously underserved level of effort requirements. All information submitted on the Annual PMT Report should be verified either by obtaining and reconciling supporting documentation to the reported amounts or by performing other procedures to validate the accuracy of the reported amounts and other performance

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measures submitted by the subrecipients. Inaccurate information on the Annual PMT Report, whether provided to UOVC by the subrecipients or reported by UOVC, could permit program purposes and performance measures to be overlooked or ignored without detection and could potentially affect future program funding.

Recommendation:

We recommend UOVC establish procedures to verify the amounts reported by subrecipients on the Annual PMT Report, particularly those related to level of effort requirements.

UOVC's Response:

UOVC agrees that it is critically important that UOVC adequately verifies subrecipient-reported information. UOVC also agrees that it is critically important that UOVC assure compliance with priority category and level of effort requirements. UOVC is eager to work with the auditor to achieve understanding and resolution of the specific concerns articulated by the auditor in this finding.

2020-026. **Grant Expenditures Recorded Outside the Allowable Spending Period**
(Commission on Criminal and Juvenile Justice)

Federal Agency: Department of Justice
CFDA Number and Title: 16.575 Crime Victim Assistance
Federal Award Numbers: 2016-VA-GX-0052
Questioned Costs: $9,103
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Numbers: N/A

The Utah Office for Victims of Crime (UOVC) recorded $9,103 in expenditures after the VOCA16 award's December 31, 2019 close-out deadline because it used an employee-generated spreadsheet to track administrative expenditures. The spreadsheet did not reconcile to FINET. 2 CFR 200.303(a)) state, "The non-Federal entity must establish and maintain effective internal control over the Federal award that provides reasonable assurance that the non-Federal entity is managing the Federal award in compliance with Federal statutes, regulations, and the terms and conditions of the Federal award." Inadequate internal controls over period of performance resulted in questioned costs of $9,103 and noncompliance with grant requirements.

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Recommendation:

We recommend UOVC either:

1. **Use FINET to track and cap administrative expenses to 5%; or**
2. **Have a person, independent of the spreadsheet preparation, reconcile the employee-prepared spreadsheet to FINET.**

UOVC's Response:

UOVC agrees that expenditure adjustments were recorded outside the allowable spending period of the grant. UOVC also agrees that as a result of inadequate internal controls, $9,103 in allowable expenditures occurring within the allowable spending period were internally adjusted and therefore recorded outside of the allowable spending period. UOVC respects the auditor's role in questioning these costs in the single audit process.

2020-027. **Quarterly Financial Report Did Not Agree to Accounting System**
(Commission on Criminal and Juvenile Justice)

Federal Agency: Department of Justice
CFDA Number and Title: 16.575 Crime Victim Assistance
Federal Award Numbers: 2016-VA-GX-0052; 2017-VA-GX-0057
2018-V2-GX-0051; 2019-V2-GX-0063
Questioned Costs: N/A
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Numbers: 2019-017

UOVC prepared its quarterly financial reports using amounts from its Grant Management System (GMS) rather than using information recorded in State's accounting system (FINET). The 2016 Award's September 30, 2019 final SF-425 Report Line 10.j. reported $5,572,590.68 which agreed to a client-prepared standalone spreadsheet maintained outside FINET, which crashed and needed to be rebuilt. Therefore, we could not agree the report to FINET. The amounts on the federal financial reports should agree to FINET. This practice could permit improper amounts to be reported on the SF-425 without detection, which could potentially affect future program funding.

Recommendation:

We recommend UOVC use FINET data to populate amounts reported on financial reports.

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UOVC's Response:

UOVC agrees that line 10.j. of the described federal form does not agree to the information recorded in the state's accounting system (FINET). Though FINET does not contain and cannot provide the information for line 10.j. of the described federal form, UOVC is eager to work with the auditor to assure that all reports are completed using FINET and all appropriate accounting and recording practices are otherwise used to assure all federal financial reports are complete and accurate according to FINET.

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2020-028. **Mandatory Benefit Overpayment Detection Matches Not Performed for Pandemic Unemployment Assistance**
(Department of Workforce Services)

Federal Agencies: Department of Labor
CFDA Numbers and Titles: 17.225 Unemployment Insurance
Federal Award Numbers: Various
Questioned Costs: N/A
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Numbers: N/A

The Unemployment Insurance Division (UI) at the DWS did not perform the three mandatory overpayment detection cross-match procedures for claims under the Pandemic Unemployment Assistance program (PUA) during fiscal year 2020. Additionally, UI did not design or implement internal controls to ensure performance and compliance of such procedures.

The Department of Labor issued Unemployment Insurance Program Letter (UIPL) No. 23-20 to identify three mandatory overpayment detection functions for state programs, including PUA, to be performed in the same manner as for regular Unemployment Insurance programs. 2 CFR 200.303 requires UI to establish and maintain effective internal controls to provide reasonable assurance that it manages Federal programs in compliance with award terms and federal requirements.

UI could not meet the federal requirement in an effective and timely manner because it could not instantaneously develop the cross-match procedures effectively in its system, while addressing a historic and unprecedented claim volume. Non-performance of the mandatory cross-match procedures to identify potential and actual benefit overpayments subjects the federal program funds to fraud or error that may remain undetected.

Recommendation:

We recommend UI complete the following:

1. **Design and implement appropriate cross-match procedures to ensure timely compliance with federally mandated overpayment detection requirements; and**
2. **Perform required cross-matches retroactively and prospectively to identify and resolve any PUA overpayments.**

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DWS's Response:

DWS concurs with the finding and appreciates the work of the audit team in reviewing the overpayment detection efforts in the Pandemic Unemployment Assistance (PUA) program. The PUA program was a new program created by the CARES Act and was stood up by the department on April 15, 2020. As stated in the finding, DWS was unable to comply with all program requirements in a timely manner, due to the unprecedented demand caused by the pandemic and the need to create this and many additional stimulus programs. However, DWS has since implemented the three mandatory overpayment cross-match procedures and ran them retroactively to verify the accuracy of all previously made PUA payments.

2020-029. **Incorrect Calculation of Pandemic Unemployment Assistance Benefits Results in Overpayment**
(Department of Workforce Services)

Federal Agency: Department of Labor
CFDA Number and Title: 17.225 Unemployment Insurance
Federal Award Numbers: Various
Questioned Costs: $1,450
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: N/A

The UI Division incorrectly calculated the weekly benefit amount for Pandemic Unemployment Assistance Program (PUA) recipients. For one claimant in a sample of 40, UI calculated the benefit on the claimant's gross income instead of the claimant's net income amount. The error resulted in an overpayment of $145 in a total sample of $32,429, which was taken from a total PUA benefit population of $128,238,515. There was an additional $1,305 in total weekly benefits incorrectly paid to this claimant for a total of $1,450, which we have questioned.

20 CFR 625.6(a)(2) requires the weekly benefit amount for claimants to be calculated based on the claimant's net income amount. An increased caseload and evolving program guidance caused the caseworker to incorrectly calculate benefits. Incorrect benefit calculation without detection by internal controls could result in significant overpayment to program claimants and waste funds.

Recommendation:

We recommend UI perform retroactive reviews of its PUA claims to ensure proper income determination and benefit calculation occurred.

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DWS's Response:

DWS concurs with the finding and appreciates the valuable information provided by the audit team.

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2020-030. **Oversight of Federal Program Should Be Strengthened**
(Governor's Office of Management and Budget, formally known as Governor's Office of Planning and Budget as of May 1, 2021)

Federal Agency: Department of the Treasury
CFDA Number and Title: 21.019 Coronavirus Relief Fund
Federal Award Number: N/A
Questioned Costs: $0
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: N/A

The Governor's Office of Management and Budget (GOMB) did not have adequate federal grant management experience when the State received $943 million in Coronavirus Relief Fund (CRF) monies to aid in the State's response to the pandemic. As a result, during fiscal year 2020 (FY20), its internal controls over compliance with CRF requirements were insufficient. 2 CFR 200.303(a) states that all federal grant recipients must establish and maintain effective internal controls to effectively manage a federal grant program.

Elements of a sound internal control system (e.g., adequate knowledge or experience of key managers to discharge their responsibilities, adequate and effective training, written policies and procedures) were insufficient. For example,

- GOMB, which does not typically manage federal programs, was charged with quickly disbursing the grant monies to state agencies and local governments, such as counties and cities.
- Guidance provided to state agencies was inconsistent with guidance provided to participating local governments regarding how these entities could spend CRF monies.
- The state agencies' use of required account coding when recording CRF transactions was not consistently enforced.
- Written policies and procedures outlining how its staff would manage the grant did not exist, particularly with regards to its monitoring of participating local governments, or subrecipients (see Finding No. 2 for further discussion).

A lack of effective controls over compliance with CRF federal regulations may allow unallowable items to be charged to the program. See Findings 2 and 3 for further discussion.

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Recommendation:

GOMB could strengthen its grant management oversight by:

1. **Delegating the management of large federal programs to a state agency where managers have adequate experience with managing federal programs;**
2. **Hiring personnel with federal grant management experience; or**
3. **Gaining an understanding of the federal "Green Book" or "COSO Framework" to then design effective internal control, including the establishment of a sound control environment.**

GOPB's Response:

The Governor's Office of Planning and Budget (GOPB) agrees with this finding.

As the COVID-19 pandemic escalated in March 2020 and Congress quickly enacted the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Utah, along with other states, responded with urgency. Given the compressed timing of the enactment of the CARES Act, and the necessary timely distribution of aid, GOPB, then going by the name of the Governor's Office of Management and Budget (GOMB), was not structured with a compliance framework to execute an unprecedented federal grant program of that magnitude. Where possible, GOMB delegated grant distribution and management to agencies with grant management experience, including the Department of Health. However, since the State of Utah was the prime recipient of CRF funds, GOMB was ultimately responsible for managing these funds. Given the scope period of this audit, ending in June 2020, we agree that GOMB did not have federal grant management experience commensurate with the extraordinary responsibilities with which it was charged at that time.

2020-031. **Weaknesses in Monitoring of Local Governments' Coronavirus Relief Fund Activity**
(Governor's Office of Management and Budget)

Federal Agency: Department of the Treasury
CFDA Number and Title: 21.019 Coronavirus Relief Fund
Federal Award Number: N/A
Questioned Costs: $14,415,392
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: N/A

As the prime recipient for $943 million in CRF funding, GOMB did not completely fulfill its responsibilities in monitoring the participating local governments' CRF spending as of

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June 30, 2020. These responsibilities, as outlined in 2 CFR 200.332, include communicating key federal grant information to participating local governments, evaluating each local government's risk of not complying with grant requirements, and monitoring each local government's compliance with CRF requirements.

Communication of Key Federal Grant Information

GOMB required each local government to complete a "State of Utah Coronavirus Relief Fund Local Government Allocation Agreement" (Agreement) prior to subaward. However, the Agreement did not contain all information required to be communicated, nor was the missing information subsequently communicated. The requirements that were not communicated in the subaward include the Subrecipient's DUN's number (which must match registered name in DUNS); pass-through entity, and contact information for awarding official; and identification of whether the award is R&D (see 2 CFR 200.332(a)).

Risk Evaluation & Monitoring Compliance

During FY20, GOMB and the Department of Administrative Services (DAS) informally assessed these local governments as high risk. While funds were not disbursed until mid-June and monitoring of activity would have been minimal for FY20, GOMB and DAS did not establish formal monitoring procedures based on the risk evaluation. 2 CFR 200.332(b) required GOMB to establish a risk assessment process and to determine the appropriate level of monitoring based on risks.

The Agreement with each local government identified key terms and conditions as to 1) the use of funds, and 2) the reporting of expenditures using the State's financial transparency website. As no monitoring had been performed, we reviewed the expenditures for 17 local governments. These local governments reported the highest amount of CRF spending through June 30, 2020.

Use of Funds

According to the Agreement (and in accordance with the *2020 Compliance Supplement*), "permissible use of the funds may only be used to cover costs that [met] the following conditions:

- Are necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID-19)
- Were not accounted for in the recipient's budget most recently approved as of March 27, 2020 (the date of enactment of the CARES Act) for the recipient; and,
- Were incurred during the period that begins on March 1, 2020 and ends on December 30, 2020."

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Of the 17 selected local governments, the following 8 did not have adequate supporting documentation to support the "necessary expenditure" (or "substantially dedicated") conclusion with respect to its employees, and all public safety and public health payroll costs were charged to the program. Therefore we are questioning the following payroll expenditures:

Entity A	$ 2,003,765	Entity F	$ 746,235
Entity B	4,466,451	Entity G	1,486,583
Entity C	1,579,627	Entity H	41,855
Entity D	627,505		
Entity E	3,459,838	Total Questioned Costs	$ 14,411,859

In our scanning of the general ledger detail for the 17 local governments, we identified 7 unusual expenditures, which we are questioning.

Entity D	$ 886
Entity H	81
Entity I	2,246
Entity J	320
Total Questioned Costs – Non-payroll	$3,533

Financial Transparency Website

The Agreement's Terms and Conditions Section VI states "The recipient is required to report CRF expenditures in quarterly data uploaded to Transparent Utah. CRF Expenditures shall be identified using a Uniform Chart of Accounts Coding Block for local entities..." Of the 17 selected local governments, 3 were delinquent (not posted to transparency) and 7 had a discrepancy between their G/L and the information uploaded to Transparent Utah. Consequently, transparency amounts were underreported by $3,174,586. We have not questioned these costs as this is not a federal grant requirement.

While GOMB considered itself a "banker," it did not consider itself a prime recipient responsible for ensuring local government compliance with CRF regulations. GOMB should communicate the necessary information to each participating local governments, establish written procedures for monitoring those local governments based on a formal risk assessment process, and should monitor the local governments for compliance with key terms and conditions in the Agreement. The lack of monitoring or oversight has resulted in and may continue to result in material amounts of CRF funding paying for non-essential expenditures.

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Recommendation:

We recommend GOMB:

1. **Communicate all required federal award information to participating local governments;**
2. **Establish written procedures for monitoring participating local governments; and,**
3. **Enforce key terms and conditions in agreements with local governments.**

GOPB's Response:

GOPB agrees with this finding.

We agree that GOMB and DAS did not establish formal monitoring procedures based on a risk evaluation prior to disbursements of funds. This was largely due to the expedited distribution needs of the CARES Act. While no formal written risk evaluation was developed prior to the distribution of local CRF funds, there were efforts made to contemplate risk during the audit period. GOMB discussed ways to balance risks and the extensiveness of reporting and monitoring, allowing local governments to meet emergency needs in a timely manner. For example, GOMB, the Division of Finance, and Treasurer's Office partnered to implement a payment verification process to verify that the proper amount of funding was being disbursed to accounts belonging to recipients.

When the Treasury Department disbursed CRF funds to Utah in April 2020, many elements of a typical federal grant award, including guidance on permitted use, the collection of DUNS numbers and other information about subrecipients, reporting requirements, and a Catalog of Federal Domestic Assistance number, were not made available. Additionally, other CRF information, including a formal terms and conditions document and a Federal Award Identification Number have never been provided by the Treasury Department to Utah or other states.

A great deal of care was made to communicate the information available at that time to subrecipients. Before disbursing funds to cities and counties, GOMB created the "State of Utah Coronavirus Relief Fund Local Government Allocation Agreement" (Agreement) to communicate the requirements of accepting and using CRF funds. This Agreement was reviewed by the following entities before being finalized: Office of the Legislative Fiscal Analyst, Office of the State Auditor, Office of State Treasurer, Office of the Attorney General, Division of Finance, Utah League of Cities and Towns (ULCT), and Utah Association of Counties (UAC). The Agreement contained all available information from the Treasury to local governments and indicated that local governments were responsible for complying with all future guidance.

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A key component of the "State of Utah Coronavirus Relief Fund Local Government Allocation Agreement" was the requirement to identify CRF transactions in regular quarterly https://transparent.utah.gov/ reporting. Because cities and counties had CRF funding for less than a month before the end of the first reporting quarter and were also navigating consistently changing Treasury Department guidance, GOPB acknowledges that these subrecipients had difficulty meeting the initial quarterly reporting requirement. GOPB has continued to work with staff from the Office of the State Auditor's, which manages the reporting portal, to identify incomplete reporting. While the Office of the State Auditor has served as a technical resource, GOPB recognizes its responsibility to follow up on incomplete expenditure data, so complete and accurate data can be used to facilitate subrecipient monitoring.

2020-032. **Errors in Reimbursements to State Agencies**
(Governor's Office of Management and Budget)

Federal Agency: Department of the Treasury
CFDA Number and Title: 21.019 Coronavirus Relief Fund
Federal Award Number: N/A
Questioned Costs: $14,800
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: N/A

With inconsistent application of accounting coding structures and unclear understanding of which expenditures would meet CRF program objectives and compliance requirements, the Department of Heritage and Arts (DHA), as approved by GOMB, used $14,800 of CRF funds for payroll costs that appear to not be "substantially dedicated to" the State's response to the COVID-19 pandemic. GOMB relied on state agencies using proper coding and having a proper understanding as to the appropriate use of funds as it reviewed and approved reimbursement requests. We noted the following errors with DHA's payroll costs and two additional instances where GOMB did not review and approve reimbursement requests.

Payroll Costs

GOMB approved a reimbursement to the DHA for estimated payroll costs of $14,800. The State's Division of Finance (Finance) established account coding for all payroll costs related to the State's pandemic response. Such costs should have been coded to "COVI" but the $14,800 was an estimation of payroll costs rather than actual costs recorded. Because DHA estimated this amount, it could not provide documentation of how the payroll costs were used in the response to COVID-19. Appendix VII of the 2020 *Compliance Supplement*

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states "the relevant unit of government should maintain documentation of the 'substantially dedicated'' conclusion with respect to its employees." As there is no supporting documentation, we will question all of these payroll costs.

Reimbursement Review & Approval Errors

GOMB failed to review two reimbursements from state agencies. GOMB's review of state agency reimbursement requests is considered a key control as the review looks at detailed transactions for compliance with CRF program objectives. These two reimbursement requests were sent directly to State Finance for approval without first going to GOMB for its review of underlying expenditures. The reimbursement requests included improper coding which electronically routed the transactions directly to Finance.

Recommendation:

We recommend GOMB:

1. **Approve reimbursements only after a state agency has used the correct account coding; and,**
2. **Continue to educate state agencies on the proper use of CRF funding and the documentation they should maintain.**

We recommend DHA:

1. **Use proper coding for COVID related expenditures, including payroll; and,**
2. **Maintain supporting documentation for reimbursement requests, including how the expenditures is COVID-19 related and what the employee did that was related to COVID-19.**

GOPB's Response:

GOPB agrees with this finding.

GOPB acknowledges the documented decision and that the confirmation of actual hours worked was not provided to GOMB during the audit period. GOPB has since confirmed the actual hours worked and has received the documentation of the decision to deem these employees as "substantially dedicated."

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2020-033. **Inadequate Controls Over and Noncompliance with Subrecipient Monitoring Requirements**
(Department of Environmental Quality)

Federal Agency: Environmental Protection Agency
CFDA Number and Title: CFDA 66.202 Congressionally Mandated Projects
Federal Award Number: EM 96838601
Questioned Costs: N/A
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: N/A

We reviewed the sub-award contract for the Congressionally Mandated Projects (Program) and noted insufficient documented controls over the desk and on-site monitoring reviews, as well as review and follow-up of the subrecipient's Single Audit.

We noted that the Department does not have a sufficient control over evaluation of subrecipient risk or communication of required sub-award information as required by 2 CFR section 200.332. Due to the insufficient controls, we noted the following noncompliance:

a. The Department did not complete an evaluation of subrecipient risk. 2 CFR section 200.332(b) states that the passthrough entity must "evaluate each subrecipient's risk of noncompliance with Federal statues, regulations, and the terms and conditions of the sub-award for purposes of determining the appropriate subrecipient monitoring." Although the Department was performing other monitoring procedures, they were unaware of the risk evaluation being required. By not performing an evaluation of risk for each subrecipient, the Department may not be appropriately monitoring the subrecipient.
b. The sub-award contract was missing four of the 13 required elements at the time of the sub-award. 2 CFR section 200.332(a)(1) states that the passthrough entity must clearly identify the sub-award by including 13 various federal award identification elements. The Department staff believed they had included all items on the sub-award contract at the time of the sub-award and was unaware of missed elements. By not clearly identifying the sub-award information to the subrecipient, the subrecipient may not be aware of all Federal guidelines for the award.

Recommendation:

1. **We recommend the Department implement a system of documented internal controls to ensure:**
2. **desk and onsite monitoring reviews are being completed as required by 2 CFR section 200.332(d),**

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3. **reviews of the subrecipient's Single Audit results and follow-up are being completed as required by 2 CFR section 200.332(d)(3),**
4. **an appropriate evaluation of risk is being completed for each subrecipient as required by 2 CFR section 200.332(b), and**
5. **the sub-award contract clearly identifies all the required elements in accordance with 2 CFR section 200.332(a)(1).**

DEQ's Response:

We agree that the department's contract with the subrecipient was missing 4 of the 13 required elements of the federal award for proper identification. We also agree that the department needs to conduct and document a formal risk assessment and subsequent monitoring efforts for subrecipients. We did informally assess the risk of the subrecipient's ability to conform with the requirements of the program and we determined and conducted various monitoring activities as a result. These included audits by our internal auditor, field visits, and detailed review of supporting documentation. We also reviewed the subrecipient's annual single audit report.

2020-034. **Lack of Control Over Suspension and Debarment**
(Department of Environmental Quality)

Federal Agency: Department of Environmental Quality
CFDA Number and Title: CFDA 66.202 Congressionally Mandated Project
Federal Award Number: EM - 96877701
EM - 96877501
EM - 96877601
EM - 96838701
EM - 96838601
Questioned Costs: N/A
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: N/A

The Department does not have a control in place to verify that vendors and subrecipients receiving funds under the Congressionally Mandated Projects are neither suspended nor debarred. 2 CFR 180.300 requires that before entering into a covered transaction, the Department verify that vendors are not excluded or disqualified by: (a) checking the Excluded Parties List System (EPLS) maintained by the U.S. Government System for Award Management (SAM), (b) collecting a certification from the entity, or (c) adding a clause or condition to the agreement with the entity. The Department believed that another department was verifying suspension and debarment. The lack of control could lead to the Department using or paying a vendor or subrecipient who is suspended or disbarred.

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Recommendation:

We recommend the Department design and implement an adequate control over suspension and debarment to ensure that vendors and subrecipients receiving federal funds are not suspended or debarred.

DEQ's Response:

We agree with the finding and recommendation. We have a suspension and debarment clause in our standard terms and conditions but those were not attached to the contracts in question. The contracts in question were designed with the assistance from the Office of the Attorney General and that particular clause was not included nor did we perform a check against the Excluded Parties List System.

2020-035. **Incorrect Sub-Recipient and Contractor Determinations**
(Department of Environmental Quality)

Federal Agency: Environmental Protection Agency
CFDA Number and Title: CFDA 66.202 Congressionally Mandated Projects
Federal Award Number: EM 96838701
Questioned Costs: N/A
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: N/A

The Department has not established a procedure to properly identify a service provider as either a vendor or a subrecipient. 2 CFR section 200.331 states that "a pass-through entity must make case-by-case determinations whether each agreement it makes for the disbursement of Federal program funds casts the party receiving the funds in the role of a subrecipient or a contractor." The Department inappropriately determined two vendors as subrecipients. However, based on the terms of the agreement and actual services provided by the vendors, both relationships were that of a contractor. The correct determination is critical to guarantee that proper monitoring of federal funds is performed.

Recommendation:

We recommend the Department develop a process to properly classify federally-funded contractual relationships as either subrecipients or contractors.

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DEQ's Response:

The Department does have a process to properly classify federally funded contractual relationships as subrecipients or contractors. In this particular case the person completing the checklist thought that due to the contactor being another governmental entity it should have been classified as a subrecipient. That understanding was incorrect.

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2020-036. **Working Capital Reserves in Excess of Federal Guidelines**
(Department of Administrative Services)

Federal Agencies: Various
CFDA Numbers and Titles: Various
Federal Award Numbers: Various
Questioned Costs: Undeterminable
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Numbers: 2019-023; 2018-033; 2017-021; 2016-037; 2015-048; 2014-040; 2013-049; 2012 12-51; 2011 11-56

As of June 30, 2020, two funds within the Department of Administrative Services held working capital reserves in excess of federal guidelines as follows:

Fund Description	Excess # of Days in Reserve	Excess Amount in Reserve
Division of Purchasing and General Services		
Cooperative Contract Management	180	$ 1,767,683
Print Services	6	$ 22,303
State Surplus Property	179	$ 336,372
Division of Risk Management		
Workers' Compensation Fund	35	$ 683,186
Property Liability Self-Insurance Fund	162	$ 7,295,104

2 CFR part 200, Appendix V, paragraph G.2, generally allows a working capital reserve as part of retained earnings of up to 60 days cash expenses for normal operating purposes in each internal service fund. The excess reserves were due to the inherent difficulty of accurately estimating expenses when setting rates. Excess reserves could result in a federal liability since federal programs share an interest in the reserves.

Recommendation:

Depending on the business requirements, we recommend that the Department of Administrative Services reduce excess working capital reserves within each of the respective funds, or obtain a waiver from the federal cost negotiator allowing for an increase in the number of days of working capital allowed to comply with federal guidelines.

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DAS's Response:

Annually, the Department of Administrative Services (Department) analyzes reserves and estimated costs to determine a rate that reduces and minimizes excess reserves. However, these rates are determined and legislatively approved months in advance of the rate being applied and cannot be changed until the next annual rate setting cycle. Due to these timing differences, rates applied may not reflect new and unforeseen circumstances, resulting in excess or insufficient reserves. The Department makes every effort to adjust future rates to reflect changing circumstances and to minimize potential excess reserves.

2020-037. **Working Capital Reserves in Excess of Federal Guidelines**
(Department of Human Resource Management)

Federal Agencies: Various
CFDA Numbers and Titles: Various
Federal Award Numbers: Various
Questioned Costs: Undeterminable
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Numbers: 2019-024; 2018-034; 2017-022; 2016-038; 2015-049; 2014-041

As of June 30, 2020, the Department of Human Resource Management held working capital reserves in excess of federal guidelines as follows:

Fund Description	Excess # of Days in Reserve	Excess Amount in Reserve
Service Area Level		
Payroll Field Services	60	$107,759

2 CFR part 200, Appendix V, paragraph G.2, generally allows a working capital reserve as part of retained earnings of up to 60 days cash expenses for normal operating purposes in each internal service fund. The excess reserves are due to the inherent difficulty of accurately estimating expenses when setting rates. Excess reserves could result in a federal liability since federal programs share an interest in the reserves.

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Recommendation:

Depending on the business requirements, we recommend that the Department of Human Resource Management reduce excess working capital reserves within each of the respective funds or obtain a waiver from the federal cost negotiator allowing for an increase in the number of days of working capital allowed to comply with federal guidelines.

DHRM's Response:

The Department of Human Resource Management (DHRM) agrees with the findings.

1. *DHRM is aware that the working capital reserves held in retained earnings exceeded the allowable 60 days of cash expenses on June 30, 2020 at the fund level due to excess reserves in Payroll Field Services.*

2020-038. **Working Capital Reserves in Excess of Federal Guidelines**
(Department of Technology)

Federal Agencies: Various
CFDA Numbers and Titles: Various
Federal Award Numbers: Various
Questioned Costs: Undeterminable
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Number: 2019-025; 2018-035

As of June 30, 2020, the Department of Technology Services held working capital reserves in excess of federal guidelines as follows:

Fund Description	Excess # of Days in Reserve	Excess Amount in Reserve
Service Area Level		
Hosting Services	40	$1,802,636
Network Services	18	$1,033,957

2 CFR part 200, Appendix V, paragraph G.2, generally allows a working capital reserve as part of retained earnings of up to 60 days cash expenses for normal operating purposes in each internal service fund. The excess reserves were due to the inherent difficulty of

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accurately estimating expenses when setting rates. Excess reserves could result in a federal liability since federal programs share an interest in the reserves.

Recommendation:

Depending on the business requirements, we recommend that the Department of Technology Services reduce excess working capital reserves within each of the respective funds or obtain a waiver from the federal cost negotiator allowing for an increase in the number of days of working capital allowed to comply with federal guidelines.

DTS's Response:

We agree with the finding

2020-039. **Working Capital Reserves in Excess of Federal Guidelines**
(Public Employees Health Program)

Federal Agencies: Various
CFDA Numbers and Titles: Various
Federal Award Numbers: Various
Questioned Costs: Undeterminable
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Numbers: 2019-026; 2018-036; 2017-023; 2016-039; 2015-050; 2014-042; 2013-050; 2012-53; 2011-58

As of June 30, 2020, the Public Employees Health Program (PEHP) held working capital reserves in excess of federal guidelines as follows below. The audited PEHP statements include a one-time negative expense amount for the Long-Term Disability program, and therefore no useful calculation of excess days could be performed for that program.

Program	Excess # of Days in Reserve	Excess Amount in Reserve
State Medical	30	$27,151,980
Dental	57	$2,552,212
Long-Term Disability		$18,235,342
Medicare Supplement	119	$17,171,729

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2 CFR part 200, Appendix V, paragraph G.2, generally allows a working capital reserve as part of retained earnings of up to 60 days cash expenses for normal operating purposes. The excess reserves were due to the inherent difficulty of accurately estimating expenses. Excess reserves could result in a federal liability since federal programs share an interest in the reserves.

Recommendation:

Depending on the business requirements, we recommend that PEHP reduce excess working capital reserves or obtain a waiver from the federal cost negotiator allowing an increase in the number of days of working capital allowed to comply with federal guidelines.

PEHP's Response:

State Medical *–Due to the unknown nature and risks associated with health care costs due to the COVID-19 pandemic, PEHP will request Cost Allocation Services (CAS) allow an additional two years to study claims and health care cost trends related to COVID-19. Although we have promising news regarding vaccines, it remains very unclear how effective they will work and how many people are willing to get them and any long-term side effects. It also remains very unclear how long it will take for medical procedures to resume at normal levels. If reserves in this program are still above federally allowed amounts as of June 30, 2022, PEHP will either issue a refund to employers and subscribers or refund the federal portion.*

State Dental *– PEHP had previously agreed with CAS if there were excess reserves at June 30, 2019, PEHP would refund the federal portion. This agreement, however, was made prior to the COVID-19 pandemic. Due to COVID-19, dental claim costs were well below expectations due to guidance given from the CDC regarding delaying elective procedures, surgeries, and non-urgent outpatient visits. The expected outlook is unclear as it relates to expected dental claims due to the delay of non-urgent care dental visits. PEHP will request Cost Allocation Services (CAS) allow an additional two years to study claims and dental costs trends related to COVID-19. If reserves in this program are still above federally allowed amounts as of June 30, 2022, PEHP will either issue a refund to employers and subscribers or refund the federal portion.*

Long-term Disability *– The COVID-19 pandemic may cause several our insureds to become disabled and thus cause a large increase in claims and a severe reduction in the reserves of this program. Therefore, PEHP will request CAS allow an additional two years to study claim and health care cost trends related to COVID-19. If reserves still exist above the federally allowed amounts as of June 30, 2022, PEHP will either issue a rebate to subscribers or refund the federal portion.*

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***Medicare Supplement** – PEHP had previously agreed with CAS if there were excess reserves at June 30, 2020, PEHP would refund the federal portion. This agreement, however, was made prior to the COVID-19 pandemic. This program is for people age 65 and older and these insureds, due to their age and higher likelihood of having serious medical conditions, are at greater risk of becoming seriously ill and incurring large medical claims if they are infected with COVID-19. Because of this, PEHP will request CAS allow an additional two years to study Medicare supplement claims and health care cost trends related to COVID-19. If reserves still exist above the federally allowed amounts as of June 30, 2022, PEHP will either issue a rebate to subscribers or refund the federal portion.*

2020-040. **Oversight of Federal Programs Administered in the HCD and ASD Divisions Should Be Strengthened**
(Department of Workforce Services)

Federal Agencies: Various
CFDA Numbers and Titles: Various
Federal Award Numbers: Various
Questioned Costs: N/A
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Numbers: N/A

The Housing and Community Development Division (HCD) at the Department of Workforce Services (DWS) did not manage the Home Investment Partnership program (HOME) and the Low-Income Home Energy Assistance program (LIHEAP) in accordance with federal regulations, potentially jeopardizing future funding and/or reducing HCD's ability to assist those supported by its programs throughout the State of Utah.

2 CFR 200.303 (*Uniform Guidance*) identifies four key areas HCD must implement to effectively manage federal programs. These areas include:

a. Establishing and maintaining effective internal controls;
b. Complying with federal statutes, regulations, and federal award terms and conditions;
c. Evaluating and monitoring compliance with (b); and,
d. Taking prompt action when instances of noncompliance are identified, including those identified in audit findings.

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Establishing & Maintaining Effective Internal Controls

HCD and its financial managers in the Administrative Support Division (ASD) have not established or maintained effective internal controls, which include a sound control framework or "tone at the top." Deficient critical elements of a sound control framework include:

a. Clearly defined program and financial management responsibilities;
b. Adequate knowledge, experience, and supervision for program and financial management to perform their responsibilities and adhere to program requirements and established policies;

The table below identifies instances in which HCD and its financial managers in ASD did not have a sound internal control framework to ensure compliance with federal statutes, regulations, and terms and conditions. Findings 2020-009, 2020-015, 2020-016, and 2020-017 are considered material, or more severe, weaknesses while Findings 2020-014, 2020-010, 2020-011, and 2020-002 are considered significant deficiencies in internal controls.

Finding	Program/ Division	Significance		Compliance Requirement Area(s)	Questioned Costs
		Internal Control	Compliance		
2020-015	HOME—HCD/ASD	Material	Material	Sub-recipient monitoring	None
2020-016	HOME – HCD/ASD	Material	Reportable	Allowable Activities/Costs	$32,081
2020-017	HOME – HCD	Material	Other	Eligibility, Special Tests	None
2020-014	HOME – HCD/ASD	Significant deficiency	N/A	Program Income	None
2020-009	LIHEAP – HCD	Material	Material	Allowable Activities, Allowable Costs, Eligibility – COVID-19	$6,606
2020-010	LIHEAP – HCD	Significant deficiency	Reportable	Allowable Costs, Eligibility – Regular	$170
2020-011	LIHEAP – HCD	Significant deficiency	Other	Reporting	None
2020-002	HOME – HCD/ASD	Significant deficiency	N/A	SEFA Reporting	None

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Complying with Federal Statutes, Regulations, & Federal Award Terms and Conditions

As identified in the table above, HCD and its financial managers in ASD did not comply with federal statutes, regulations, and terms and conditions, as well as the Department's own policies for the fiscal year ended June 30, 2020. Findings 2020-015 and 2020-009 are considered material noncompliance while Findings 2020-016 and 2020-010 are required to be reported under *Uniform Guidance*. Findings 2020-011 and 2020-017, while not required to be reported under *Uniform Guidance*, indicate other instances of noncompliance.

Evaluating and Monitoring Compliance

Division management for HCD and its financial managers did not perform evaluation and monitoring activities or these activities were insufficient, as identified in Findings 2020-015, 2020-016, 2020-009, and 2020-011. These findings illustrate circumstances where evaluation and monitoring activities did not ensure adherence to established policies and/or established internal controls.

Taking Prompt Action to Resolve Noncompliance

Communication of noncompliance through reports, findings, etc., with all responsible parties allows for prompt and complete action towards resolution. Findings 2020-015 and 2020-014 identify facts and circumstances that were not communicated or resolved in a timely manner by HCD and its financial managers.

Recommendation:

We recommend HCD and its financial managers:

1. **Gain an understanding of the federal "Green Book" or "COSO Framework" to then design effective internal control including the establishment of a sound control environment and effective risk assessment procedures;**
2. **Comply with federal statutes, regulations, federal award terms and conditions, and internal policies;**
3. **Perform evaluation and monitoring activities to assess operational quality and on-going performance of internal controls to ensure reasonable compliance; and**
4. **Promptly take action to address instances of noncompliance and deficiencies of internal controls.**

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DWS's Response:

The department has an established system of internal controls which is designed to provide reasonable assurance, not absolute assurance, that the department's objectives will be achieved. It appears that the established internal controls that should have prevented and/or detected the errors cited by the auditors did not function as designed.

2020-041. **Cost Allocation Plan Implementation Errors**
(Department of Workforce Services)

Federal Agencies: Various
CFDA Numbers and Titles: Various
Federal Award Numbers: Various
Questioned Costs: $108,176
Pass-through Entity: N/A
Prior Year Single Audit Report Finding Numbers: PY-2019-027

DWS did not properly execute the random moment time study (RMTS) as developed and approved for the implementation of its cost allocation plan (CAP).

We noted the following errors during the first quarter allocation:

a. Payroll costs for 42 employees, or 4.6 percent of pool employees, were included in the wrong RMTS allocation pool.
b. Payroll costs totaling $108,176 for 8 of the 42 employees should have been charged as federal direct costs, rather than allocated through the CAP, but were improperly included in the RMTS pool and allocated to various programs. We have questioned these costs.
c. Payroll costs for 12 employees, or 1.3 percent of pool employees, were inappropriately included in taking the RMTS survey.
d. Twelve employees, or 1.3 percent of pool employees, were inappropriately excluded from taking the RMTS survey.
e. Nine of 40 allocated payroll sample items were not subjected to review to ensure proper coding and inclusion/exclusion of allocated payroll costs.

We noted the following errors during the fourth quarter allocation:

a. From a sample of 60 RMTS surveys, one survey was inappropriately coded according to the DWS's CAP—an error rate of 1.67 percent. The survey result should have been excluded by internal control reviews. The internal control designed for this area reviews 100 percent of the surveys; however, the error remained undetected and resulted in skewed allocation rates.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS
PART III. FINDINGS AND QUESTIONED COSTS FOR FEDERAL AWARDS

VARIOUS FEDERAL AGENCIES

b. Payroll costs for 26 employees, or 2.8 percent of pool employees, were included in the wrong RMTS allocation pool. Although internal controls detected the errors, the payroll costs were coded to the incorrect pool.

DWS's federally approved CAP indicates the intended goal of the RMTS is to provide a simple, efficient, and precise methodology to appropriately identify, pool, and allocate costs to programs/activities that benefit from them within the requirements of *Uniform Guidance*. It also outlines the proper inclusion of allocable costs and execution of its RMTS to determine appropriate allocation percentages. Internal controls designed to prevent or detect and correct CAP implementation errors did not function as designed because of inadequate monitoring and supervision by division management.

The incorrect inclusion and exclusion of costs to be allocated in the pool, compounded with disproportionate allocation percentages from the RMTS, can cause various federal programs to be inaccurately charged for DWS costs.

Recommendation:

We recommend DWS division management perform adequate monitoring of CAP internal controls and supervision of staff to ensure the CAP is implemented as designed and approved.

DWS's Response:

The random moment time study errors cited by the auditors for the first quarter allocation (July 1, 2019 – September 30, 2019) occurred prior to the corrective actions taken by the department for prior year finding 2019-027 which were fully implemented by December 31, 2019. The audit results for the fourth quarter allocation demonstrate the department's substantial improvement in correcting the errors cited by the auditors. The department acknowledges that there was a slight delay in changing the payroll coding for the 26 employees cited by the auditors in part b of the finding for the fourth quarter allocation. The personnel costs for these employees were coded using one program code for a short time at the beginning of the quarter when they technically should have been coded instead to a different program code. However, all personnel costs recorded on the State's general ledger system for both program codes are captured in the same cost center and are allocated based on the results of the random moment time study. Therefore, there was no effect on the allocation of costs due to the delay in changing the coding.

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CORRECTIVE ACTION PLAN

State of Utah

SPENCER J COX
Governor

DEIDRE M. HENDERSON
Lieutenant Governor

Department of Administrative Services

Jenney Rees
Executive Director

Division of Finance
Janica Gines, CPA
Director

April 30, 2021

John Dougall
State Auditor
Office of the Utah State Auditor
Utah State Capitol Complex Suite E310
Salt Lake City, Utah 84114

Dear Mr. Dougall,

Enclosed with this letter is the State of Utah's Corrective Action Plan for the audit findings in the fiscal year 2020 single audit report.

The State's Corrective Action Plan is a compilation of the corrective action plan information provided to us by the applicable state agencies. The Corrective Action Plan document is prepared in conjunction with your fiscal year 2020 single audit. We believe it satisfies the requirements in the Federal *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* §200.511(c) for a corrective action plan.

We appreciate the efforts of the Office of the Utah State Auditor in completing the Single Audit for the State for fiscal year 2020. If you have any questions regarding this Corrective Action Plan, please do not hesitate to contact our office.

Sincerely,

Janica Gines

Janica Gines, CPA
Director of Finance

Attachment

DIVISION OF FINANCE, 4315 S. 2700 W., 3rd Floor, Taylorsville, UT 84129-2128, PO Box 141031, SLC, UT 84114
telephone 801-957-7780 • facsimile 385-465-6012 • finance.utah.gov

FINDINGS RELATING TO THE FINANCIAL STATEMENTS:

2020-001. Errors in Preparation of State's Comprehensive Annual Financial Report Identified and Corrected

State Agency: Department of Administrative Services

The Division of Finance (the Division) is in the process of re-evaluating our internal controls over financial reporting for continued improvement by identifying potential internal control weaknesses and inefficiencies with the Annual Report process. Additional monitoring, reconciling, and analytical procedures will be added to the Annual Report process where needed to address the weaknesses which allowed the errors found in the audit and to help ensure we accurately capture, record, and report financial transactions and balances in accordance with generally accepted accounting principles. Additionally, we will also follow up on all audit adjustments to ensure that the underlying issues are resolved and future errors prevented.

Contact Person: Patricia Nelson, Assistant State Comptroller, State Division of Finance, patriciarnelson@utah.gov
Anticipated Correction Date: June 30, 2021

2020-002. Multiple Corrections Required to Accurately Report Schedule of Expenditures of Federal Awards and Summary Schedule of Prior Audit Findings

State Agency: Department of Administrative Services; Department of Workforce Services
Federal Program: Various

Department of Administrative Services

During fiscal year 2020, the Division re-evaluated the process of the compilation of the State's SEFA and determined that individual departments, rather than the Division, were better positioned to report complete and accurate SEFA information in compliance with each individual federal grant. This change in philosophy in combination with turnover in a key role over federal reporting in the Division, resulted in these errors. The Division will provide additional training to the departments and Division personnel as well as increased communication throughout the SEFA compilation process to ensure accurate and complete reporting. Additional monitoring and analytical procedures will also be added to the Division's SEFA compilation process to further address the weaknesses identified. Finally, the Division will continue to develop comprehensive written procedures for SEFA preparation.

Contact Person: Patricia Nelson, Assistant State Comptroller, State Division of Finance, patriciarnelson@utah.gov
Anticipated Correction Date: June 30, 2021

Department of Workforce Services

Personnel responsible for compiling and reviewing the department's portion of the State's SEFA will attend the training that will be provided by the State Division of Finance as part of their corrective action for this finding. Department personnel will also increase our communication with the State Division of Finance throughout the SEFA compilation process to ensure accurate and complete reporting.

Contact Person: Nathan Harrison, Finance Director, 801-526-9402
Anticipated Correction Date: August 31, 2021

2020-003. Oversight of Federal Programs Administered in the HCD and ASD Divisions Should Be Strengthened

State Agency: Department of Workforce Services

NOTE: This finding's Corrective Action Plan is presented in detail in Finding No. 2020-040 in Part III of this Section.

FINDINGS RELATING TO FEDERAL AWARDS:

U.S. DEPARTMENT OF AGRICULTURE:

2020-04. **SNAP Benefit Issuance Reconciliation Differences Go Unresolved**

State Agency: Department of Workforce Services
Federal Program:

The Eligibility Services Division will work with the Workforce Research and Analysis Division and the Department of Technology Services to identify and correct the reporting issue. We will also ensure thorough testing is completed prior to deploying.

Contact Person: Dale Ownby, Director, Eligibility Services Division
Anticipated Correction Date: August 31, 2021

U.S. DEPARTMENT OF EDUCATION:

2020-005. **Contract Addendum Noncompliant with Federal Procurement Regulations**

State Agency: Snow College
Federal Program: Education Stabilization Fund

Snow College agrees with this finding. At the time of the original procurement of the consultant, institutional funds had been established for the payment of these services. In response to the COVID-19 pandemic and in keeping with the original intent of the contract, an addendum was written that expanded services by the contractor. Since the services were expanded to include online delivery of Snow College courses directly related to the institution's response to COVID-19, the addendum was determined to be paid through CARES Act relief funds. State procurement code was followed when the original contract was signed, but since Snow College had not originally intended to pay any portion of the contract in question from federal dollars, the federal procurement process was not followed. Snow College agrees with the finding and will ensure federal procurement code is followed when the institution plans to spend federal monies.

Contact Person: Carson Howell, Vice President of Finance and Administrative Services, 435-283-7213
Anticipated Correction Date: May 25, 2021

2020-006. **Reported Expenditures Not Supported by Underlying Reimbursement Requests**

State Agency: Utah State Board of Education
Federal Program: Education Stabilization Fund

Timely Reimbursements

The USBE is the constitutional body tasked with general control and supervision of public education. As part of this responsibility the USBE strongly encourages local education agencies (LEAs) to submit reimbursement requests for federal funds in a timely manner. LEAs are school districts or charter schools that are separate legal entities (Utah Code, Annotated 53G-4-401) tasked to operate and manage schools, which includes expending federal funds for allowable purposes. Generally, federal funds awarded to LEAs have a period of performance of 27 months and the funds may be expended at any time during that period with reimbursement requests submitted after an expenditure is made.

The USBE uses the Grants Management System (System) to facilitate the grants process with LEAs. The System is inclusive of all grants, including the applicable period of performance for each grant. This helps ensure LEAs are aware of all grant funding sources, with related grant requirements, and facilitates a more efficient reimbursement request process. The USBE will continue to strongly encourage LEAs to submit reimbursement requests in a timely manner once an allowable expenditure has been made.

Estimating and Reporting Expenditures

For reporting purposes of the Annual Comprehensive Financial Report (ACFR), during yearend closeout in early August, the USBE estimates the expenditures incurred by LEAs as of 6/30 that have not yet been reported to USBE by the LEAs. In accordance with GAAP, the USBE must estimate those costs since the actual amounts are not available in time for ACFR reporting. This incurred but not yet reported (IBNR) accrual is recorded in State Finance's non-budgetary funds in the state's general ledger so it does not affect any of USBEs grant accounting or reporting. The expenditures associated with the IBNR are supported by the USBE IBNR calculation. Therefore, we concur that the calculation methodology of the IBNR did not result in questioned costs.

In previous years, the expenditures estimated in the IBNR calculation were not reported in the USBE's portion of the Schedule of Expenditures of Federal Awards (SEFA), but instead were included in a lump sum cash (SEFA) to accrual basis (ACFR) reconciling item in the notes to the SEFA. In an effort to report SEFA expenditures more closely to the ACFR's accrual basis of accounting for fiscal year 2021, State Finance included the IBNR in the USBE's individual applicable grant expenditures in the SEFA. In future years, State Finance will return to excluding the IBNR amount from USBE's presentation of grant expenditures.

Contact Person: Deborah Jacobson, USBE Finance Director, 801-538-7627
Patricia Nelson, Assistant State Comptroller, 801-808-0684
Anticipated Correction Date: November 30, 2021

U.S. DEPARTMENT OF HEALTH AND HUMAN RESOURCES:

2020-007. Medicaid Program Review Accuracy Rates 9 Points Below Target

State Agency: Department of Health; Department of Workforce Services
Federal Program: Medical Assistance Program (Medicaid Title XIX)

The Division will be ready as needed to assist or consult with DWS as they strive to improve PRT's outcomes.

Contact Person: Jeff Nelson, Bureau Director, Eligibility Policy, 801-455-0224
Anticipated Correction Date: September 2021

Items identified have all been implemented as of early 2020. Ongoing coordination with DOH will continue.

Contact Person: Melissa Presley, Medicaid Program Manager, DWS, 801-245-4695
Anticipated Correction Date: Completed/Ongoing for coordination with DOH

2020-008. Use of Appropriate National Correct Coding Initiative (NCCI) Edit Files Not Verified

State Agency: Department of Health
Federal Program: Medical Assistance Program (Medicaid Title XIX)

1. The Division will create a Standard Operating Procedure for NCCI edit files. The procedure will include the following three areas:
   a. How the NCCI edit files are obtained
   b. How the NCCI edit files are delivered to the contractor
   c. How the Division will validate that the files have been properly loaded

2. The Bureau of Medicaid Operations will create test scenarios with the contractor to confirm that the proper NCCI edits are firing when appropriate.

Contact Person: Shandi Adamson, Bureau Director, Medicaid Operations, 801-793-7261
Anticipated Correction Date: July 2021

2020-009. LIHEAP CARES Act Benefit Policy Development and Deployment Did Not Align with Award Terms and Conditions

State Agency: Department of Workforce Services
Federal Program: Low-Income Home Energy Assistance Program

On October 1, 2020, the HEAT Program began using the department's Electronic Resource Eligibility Product (eREP) system for eligibility determination. This will provide more options in the future including individualized benefits.

Contact Person: Sisifo Taatiti, HEAT Program Manager, 801-468-0069
Anticipated Correction Date: October 1, 2020

2020-0010. LIHEAP Benefit Overpayments Due to Improper Eligibility Decisions

State Agency: Department of Workforce Services
Federal Program: Low-Income Home Energy Assistance Program

The department will expand monitoring procedures to include real-time feedback to agencies and provide case reviews on a monthly basis. We will also provide targeted training support to all HEAT agencies to address compliance and ensure accurate eligibility determinations for benefits.

Contact Person: Sisifo Taatiti, HEAT Program Manager, 801-468-0069
Anticipated Correction Date: October 1, 2020

2020-0011. LIHEAP Reporting Errors and Untimely Submissions

State Agency: Department of Workforce Services
Federal Program: Low-Income Home Energy Assistance Program

The department will ensure that proper internal controls are in place for reporting. We will implement internal controls and use consistent program data for reporting and adequately documenting methodologies. In preparation of the report, the program manager will ensure that all methodologies are documented. The program manager will complete the reports and the division director and assistant director will review the reports prior to submission.

Contact Person: Sisifo Taatiti, HEAT Program Manager, 801-468-0069
Anticipated Correction Date: October 1, 2020

2020-0012. Inadequate Internal Controls over Preparation of Federal Reports

State Agency: Southern Utah University
Federal Program: Head Start

SUU and Head Start utilize the Payment Management System (PMS) which allows us to add a requirement for an additional certification of the report prior to submission. The Accounting Supervisor over grants will prepare the SF 425 and a representative from the Sponsored Programs, Agreements, Research, and Contracts (SPARC) office will certify and submit the reports. The process for the 429 (Real Property Report) will follow the same procedure.

The corrective action plan will be completed with the next required filing of these reports, which is October 30, 2020.

Contact Person: Thomas Morgan, Executive Director for Head Start and Early Intervention
Anticipated Correction Date: October 30, 2020

2020-0013. Improper Reporting to Those Charged with Governance

State Agency: Southern Utah University
Federal Program: Head Start

According to performance standard 1302.102 (d)(1)(i) A program must submit status reports, determined by ongoing oversight data, to the governing body and Policy Council at least semiannually. The program will exceed this standard by meeting regularly and will receive status reports via monthly email correspondence. The Trustee representative serving on the subcommittee will notify the Board of Trustees of any Head Start concerns or up-dates. This corrective action plan will be completed by December 31, 2020, giving time to have the next regular meeting with the Trustee representative.

Contact Person: Thomas Morgan, Executive Director for Head Start and Early Intervention
Anticipated Correction Date: December 31, 2020

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT:

2020-014. Untimely Identification and Resolution of HOME Loan Reconciliation Differences

State Agency: Department of Administrative Services; Workforce Services
Federal Program: Home Investment Partnership Program

Administrative Services

The net effect of the errors identified by the auditor totaled $569,262. Reconciling the loans for the HOME program is complex due to the use of multiple subaccounts for the HOME program and a significant amount of activity between those subaccounts by both the Division and the Department of Workforce Services. The majority of the unreconciled items noted by the auditor occurred in years prior to the employment of current Division staff. Many items require extensive research to resolve due to the lack of evidence and documentation on old reconciliations. The Division has made it a priority to complete and resolve any remaining fiscal year 2020 reconciliations and reconciling items while also establishing additional controls and procedures to ensure that the loan management system (CLCS) is reconciled timely to the State' general ledger system (FINET) going forward. Additional process improvements include:

- Division management review of monthly loan fund reconciliations.
- Regularly scheduled meetings between the Division and HOME program staff to resolve outstanding items or issues.
- Division staff distributing monthly reports from CLCS to HOME program staff in order to verify correct coding and amount of disbursements.

The Division continuing to modify/update/develop policies and procedures surrounding the reconciliation process.

Contact Person: Patricia Nelson, Assistant State Comptroller, State Division of Finance, patriciarnelson@utah.gov
Anticipated Correction Date: June 30, 2021

Workforce Services

The department and the State Division of Finance have made it a priority to complete and resolve any remaining fiscal year 2020 reconciliations and reconciling items while also establishing additional controls and procedures to ensure that the loan management system (CLCS) is reconciled timely to the State's general ledger system (FINET) going forward. Additional process improvements include:

- State Division of Finance management review of monthly loan fund reconciliations.
- Regularly scheduled meetings between the State Division of Finance and the department's HOME program staff and financial manager to resolve outstanding items or issues.
- State Division of Finance staff distributing monthly reports from CLCS to the department's HOME program staff and financial manager in order to verify correct coding and amount of disbursements.
- The State Division of Finance continuing to modify/update/develop policies and procedures surrounding the reconciliation process.
- The department implementing a reconciliation process during fiscal year 2020 which is performed by the HOME program's financial manager and occurs no less often than quarterly to ensure that differences are identified and resolved in a timely manner.

Contact Person: Nathan Harrison, Finance Director, 801-526-9402
Anticipated Correction Date: June 30, 2021

2020-015. Failure to Comply with HOME Sub-Recipient Monitoring Requirements

State Agency: Department of Workforce Services
Federal Program: 14.239 HOME Investment Partnership Program

The department will address the recommendations by merging the division contracts team into the department's central contracts team. The appropriate internal controls are in place within the department's central contracts team to ensure Uniform Guidance and federal regulations are followed more closely. This move will provide additional oversight and align contract management responsibilities with the rest of the department.

Contact Person: Nate McDonald, Deputy Executive Director, 801-694-0294
Anticipated Correction Date: June 1, 2021

2020-016. Unallowable HOME Loan Write-Off and Other Improper Accounting Errors

State Agency: Department of Workforce Services
Federal Program: 14.239 HOME Investment Partnership Program

The department will work with appropriate officials at the Department of Housing & Urban Development (HUD) to ensure that the department's treatment of the foreclosure situation cited by the auditors is proper and will make necessary corrections HUD requires, if any.

The "write-off policy" for the Olene Walker Home Loan Fund (OWHLF) has been re-titled as a "recapture policy" and has been updated to ensure that it aligns with applicable HUD guidance, the approved Annual Action Plan, and applicable accounting policies. The updated policy was approved by the OWHLF Board at their meeting held on April 22, 2021.

To eliminate any potential confusion with regards to how transactions are recorded, the department will begin using the same program code for all entitlement funds, regardless of source, and will also begin using the same program code for all program income, regardless of source (this will be a different program code, however, than the program code utilized for entitlement funds). The funding source will be identified on the State's general ledger system (FINET) based on the fund where the transactions are recorded. The program code changes will be effective for the State fiscal year which begins on July 1, 2021.

Contact Person: Jess Peterson, Housing Program Manager, 385-235-2975
Nathan Harrison, Finance Director, 801-526-9402
Anticipated Correction Date: June 30, 2021

2020-017. HOME Eligibility and Housing Quality Inspections Not Performed in Accordance with Policy

State Agency: Department of Workforce Services
Federal Program: 14.239 HOME Investment Partnership Program

The Olene Walker Home Loan Fund (OWHLF) has already updated the homebuyer procedures to include internal controls over eligibility. The program will also include the use of HUD's income calculator for eligibility determinations. Once the process has been updated, internal policies and procedures will also be updated to reflect those changes.

OWHLF has already updated the multi-family procedures to include a monthly review of the completed monitoring by the Multi-Family Program Specialist to ensure compliance. Internal policies and procedures reflect those changes.

The department will revise OWHLF procedures regarding the frequency of multi-family inspections to align with Uniform Guidance and HUD's requirements.

Contact Person: Jess Peterson, Housing Program Manager, 385-235-2975
Anticipated Correction Date: June 30, 2021

U.S. DEPARTMENT OF JUSTICE:

2020-018. Oversight of Federal Programs Should Be Strengthened

State Agency: Commission on Criminal and Juvenile Justice
Federal Program: 16.575 Crime Victim Assistance
16.576 Crime Victim Compensation

UOVC has distributed copies of the federal "Green Book" to all staff involved with federal grants or financial management. UOVC will meet with auditors to discuss and to implement "Green Book" and "COSO Framework" principles. UOVC will also meet with auditors and appropriate staff with the Utah Division of Finance through May and June of 2021 to review, modify, design, and test UOVC processes and procedures to assure effective internal controls, appropriate internal compliance monitoring and prompt and effective correction of identified instances of noncompliance. UOVC has contracted and is working with a professional consultant to develop a Grant and Financial Management Policy and Procedure Manual. The manual will be updated to be inclusive of UOVC's work with the auditor and finance personnel.

Contact Person: Gary Scheller, UOVC Director, 801-277-9375
Lynsey Stock, UOVC Audit Manager, 304-545-7589
Anticipated Correction Date: July 1, 2021

2020-019. UOVC Does Not Monitor Grant Expenditures to Ensure Spending in the Proper Period

State Agency: Commission on Criminal and Juvenile Justice
Federal Program: 16.576 Crime Victim Compensation

UOVC will appropriately develop processes and procedures, with the advice of the auditors and Utah Division of Finance to begin identifying the source of benefit payments to victims as either federal or state funds. UOVC will also identify the specific federal grant from which federal funds were obtained for the payment(s).

Contact Person: Gary Scheller, UOVC Director, 801-227-9375
Patti Jensen, UOVC Financial Manager, 801-238-2368
Arnold Liu, UOVC Federal Funds Financial Analyst, 801-238-2374
Connie Wettlaufer, UOVC Admin Asst./Drawdown Specialist, 801-238-2371
Melanie Scarlet, UOVC Asst. Director, Reparations Program Manager, 801-238-2364
Lynsey Stock, UOVC Audit Manager, 304-545-7589

Anticipated Correction Date: July 1, 2021

2020-020. Crime Victim Reparations Determined and Paid without Independent Review

State Agency: Commission on Criminal and Juvenile Justice
Federal Program: 16.576 Crime Victim Compensation

UOVC will establish appropriate internal oversight and monitoring practices in consultation with the auditor to assure that determinations and actions within the Reparation Program are made within the parameters of the applicable laws and rules.

Contact Person: Gary Scheller, UOVC Director, 801-227-9375
Melanie Scarlet, UOVC Asst. Director, Reparations Program Manager, 801-238-2364
Lynsey Stock, UOVC Audit Manager, 304-545-7589
Anticipated Correction Date: July 1, 2021

2020-021. Administrative Expenditures Exceeded the Allowable 5% Threshold

State Agency: Commission on Criminal and Juvenile Justice
Federal Program: 16.576 Crime Victim Compensation

The established budgets in FINET for the allowable administrative expenses of the VOCA Compensation grant have had enhanced budget settings added to prohibit the recording of any charges in excess of the allowable 5% of the grant. UOVC will increase its internal monitoring on this matter. UOVC, in the process of the corrective action plan on Finding 1, will test and verify the effectiveness of the enhanced internal controls as well as develop and adopt internal monitoring of these practices.

Contact Person: Gary Scheller, UOVC Director, 801-227-9375
Patti Jensen, UOVC Financial Manager, 801-238-2368
Arnold Liu, UOVC Federal Funds Financial Analyst, 801-238-2374
Connie Wettlaufer, UOVC Admin Asst./Drawdown Specialist, 801-238-2371
Lynsey Stock, UOVC Audit Manager, 304-545-7589
Melanie Scarlet, UOVC Asst. Director, Reparations Program Manager, 801-238-2364
Anticipated Correction Date: July 1, 2021

2020-022. Claims Management System Unable to Provide Accurate Performance Report Data

State Agency: Commission on Criminal and Juvenile Justice
Federal Program: 16.576 Crime Victim Compensation

UOVC applied for and received a federal grant to develop a new claims database to resolve these concerns. The new databased is being developed by Utah Department of Technology Services (DTS). We have consulted with DTS and are having them change and/or extract what they are able in the existing database for the upcoming federal report. We are attempting to balance the time of the program developers to keep them moving as fast as possible on the new program. We have also committed additional funding to speed the project completion and DTS is attempting to locate the needed resources for the project. In conjunction with the corrective action plan taking place on Finding 1, UOVC will update the progress and monitor that all the concerns of this finding are addressed to degree we are able, prior to the anticipated completion of the new database scheduled for the fall of 2021.

Contact Person: Gary Scheller, UOVC Director, 801-227-9375
Melanie Scarlet, UOVC Asst. Director, Reparations Program Manager, 801-238-2364
Patti Jensen, UOVC Financial Manager, 801-238-2368
Lynsey Stock, UOVC Audit Manager, 304-545-7589
Anticipated Correction Date: July 1, 2021

2020-023. Design of Subrecipient Monitoring Procedures Does Not Ensure Compliance with Federal Regulations

State Agency: Commission on Criminal and Juvenile Justice
Federal Program: 16.575 Crime Victim Assistance

UOVC will, in conjunction with and in the process of the corrective action plan for Finding 1, work with the auditor to fully understand, address and resolve each of the auditor's concerns articulated in this finding. UOVC will design and implement, in consultation with the auditor, increased internal oversight of its monitoring practices.

Contact Person: Gary Scheller, UOVC Director, 801-227-9375
Lynsey Stock, UOVC Audit Manager, 304-545-7589
Tallie Viteri, UOVC Assistant Director, Assistance Grants Program Manager, 801-297-2620
Anticipated Correction Date: July 1, 2021

2020-024. Federal Cash Draws Calculated Using Employee-Prepared Spreadsheet Instead of General Ledger

State Agency: Commission on Criminal and Juvenile Justice
Federal Program: 16.575 Crime Victim Assistance

UOVC is eager to fully review the information supporting this finding and thoroughly resolve this finding. UOVC has enhanced its budgetary controls in FINET to further prohibit the recording of expenditures in excess of available funds, per grant. This will greatly reduce the probability or need of internal journal adjustments at the time of drawing grant funds. UOVC strictly prohibits the drawing of federal funds to reimburse expenditures not recorded in FINET. UOVC has requested additional training and consultation for its entire staff from the Utah Division of Finance on the use and capabilities of FINET. UOVC will increase its internal monitoring and record keeping in this area to assure strict compliance of the requirements that only FINET Data is used to prepare draws and that UOVC thoroughly reviews draws prior to drawing reimbursements. This process will be thoroughly evaluated and tested in conjunction with the corrective action plan for Finding 1.

Contact Person: Gary Scheller, UOVC Director, 801-227-9375
Lynsey Stock, UOVC Audit Manager, 304-545-7589
Tallie Viteri, UOVC Assistant Director, Assistance Grants Program Manager, 801-297-2620
Patti Jensen, UOVC Financial Manager, 801-238-2368
Arnold Liu, UOVC Federal Funds Financial Analyst, 801-238-2374
Connie Wettlaufer, UOVC Admin Asst./Drawdown Specialist, 801-238-2371
Anticipated Correction Date: July 1, 2021

2020-025. Subrecipient-Reported Information Not Adequately Verified

State Agency: Commission on Criminal and Juvenile Justice
Federal Program: 16.575 Crime Victim Assistance

UOVC is eager to work with the auditor to achieve understanding and resolution of the specific concerns articulated by the auditor in this finding. UOVC has again consulted with the grant manager from the federal funding agency and will in conjunction with the corrective action plan in Finding 1, work extensively with the auditor to fully resolve the auditors concerns on this finding.

Contact Person: Gary Scheller, UOVC Director, 801-227-9375
Lynsey Stock, UOVC Audit Manager, 304-545-7589
Tallie Viteri, UOVC Assistant Director, Assistance Grants Program Manager, 801-297-2620
Arnold Liu, UOVC Federal Funds Financial Analyst, 801-238-2374
Anticipated Correction Date: July 1, 2021

2020-026. Grant Expenditures Recorded Outside the Allowable Spending Period

State Agency: Commission on Criminal and Juvenile Justice
Federal Program: 16.575 Crime Victim Assistance

The established budgets in FINET for the allowable administrative expenses of the VOCA Compensation grant have had enhanced budget settings added to prohibit the recording of any charges in excess of the allowable 5% of the grant. UOVC will increase its internal monitoring on this matter. UOVC, in the process of the corrective action plan on Finding 1, will test and verify the effectiveness of the enhanced internal controls as well as develop and adopt internal monitoring of these practices.

Contact Person: Gary Scheller, UOVC Director, 801-227-9375
Patti Jensen, UOVC Financial Manager, 801-238-2368
Arnold Liu, UOVC Federal Funds Financial Analyst, 801-238-2374
Lynsey Stock, UOVC Audit Manager, 304-545-7589
Tallie Viteri, UOVC Assistant Director, Assistance Grants Program Manager, 801-297-2620
Anticipated Correction Date: July 1, 2021

2020-027. Quarterly Financial Report Did Not Agree to Accounting System

State Agency: Commission on Criminal and Juvenile Justice
Federal Program: 16.575 Crime Victim Assistance

UOVC has requested additional training and consultation for its entire staff from the Utah Division of Finance on the use and capabilities of FINET. UOVC will increase its internal monitoring and record keeping in this area to assure strict compliance of the requirement that only available FINET data is used to populate amounts reported on financial reports. UOVC will also ensure that prior to being filed; completed reports are thoroughly reviewed by someone other than the person completing the reports. UOVC will work extensively with the auditor and the Utah Division of Finance to understand and fully resolve the auditor's concerns in this finding. This process will be thoroughly evaluated and tested in conjunction with the corrective action plan for Finding 1.

Contact Person: Gary Scheller, UOVC Director, 801-227-9375
Patti Jensen, UOVC Financial Manager, 801-238-2368
Arnold Liu, UOVC Federal Funds Financial Analyst, 801-238-2374
Lynsey Stock, UOVC Audit Manager, 304-545-7589
Tallie Viteri, UOVC Assistant Director, Assistance Grants Program Manager, 801-297-2620
Anticipated Correction Date: July 1, 2021

U.S. DEPARTMENT OF LABOR:

2020-028. Mandatory Benefit Overpayment Detection Matches Not Performed for Pandemic Unemployment Assistance

State Agency: Department of Workforce Services
Federal Program:

DWS has successfully created and deployed the three mandatory overpayment cross-match procedures and ran them retroactively across all PUA payments to verify accuracy. DWS will continue to run all required cross-matches to ensure the ongoing integrity of the program.

Contact Person: Kevin Burt, Director, Unemployment Insurance Division, 801-526-9575
Anticipated Correction Date: October 21, 2020

2020-029. Incorrect Calculation of Pandemic Unemployment Assistance Benefits Results in Overpayment

State Agency: Department of Workforce Services
Federal Program:

As stated, this is an issuance error that was caused by worker error. The Pandemic Unemployment Assistance (PUA) program has been specialized to a single team. This finding was reviewed in a team meeting with all applicable staff in an effort to avoid future occurrence.

Contact Person: Kevin Burt, Director, Unemployment Insurance Division, 801-526-9575
Correction Date: March 31, 2021

U.S. DEPARTMENT OF THE TREASURY:

2020-030. Oversight of Federal Program Should Be Strengthened

State Agency: Governor's Office of Management and Budget
Federal Program: Coronavirus Relief Fund

GOPB will hire a Fiscal Grants Manager to strengthen a grants management program that will include written policies and procedures providing guidance on monitoring subrecipients based on risk. Additionally, GOPB will continue to delegate grant distribution and management to agencies with grant management experience where appropriate. Additionally, GOPB's policies and procedures will include monitoring and testing delegated agencies' internal controls.

Contact Person: Duncan Evans/Managing Director of Budget & Operations/801-538-1592
Anticipated Correction Date: October 31, 2021

2020-031. Weaknesses in Monitoring of Local Governments' Coronavirus Relief Fund Activity

State Agency: Governor's Office of Management and Budget
Federal Program: Coronavirus Relief Fund

GOPB will issue an agreement addendum to each subrecipient to ensure that key federal grant information is communicated, and conduct a forum in which training will be provided. Additionally, GOPB will develop written policies and procedures that will include a subrecipient risk assessment and related procedures for monitoring for CRF funding compliance. Written policies and procedures will also include a framework for enforcing key terms and conditions in agreements with local governments.

Contact Person: Duncan Evans/Managing Director of Budget & Operations/801-538-1592
Anticipated Correction Date: October 31, 2021

2020-032. Errors in Reimbursement to State Agencies

State Agency: Governor's Office of Management and Budget
Federal Program: Coronavirus Relief Fund

GOPB will approve reimbursements only after a state agency has used the correct account coding for actual costs, not estimates. In situations when coding adjustments are needed, GOPB will ensure that it reviews original expenditure transactions to verify that only eligible costs are charged to the CRF. Additionally, GOPB will send a memo to state agencies reminding them of proper use of CRF funding and the documentation they are required to maintain, as well as a reminder of the proper account coding for actual costs.

Contact Person: Duncan Evans/Managing Director of Budget & Operations/801-538-1592
Anticipated Correction Date: October 31, 2021

ENVIRONMENTAL PROTECTION AGENCY:

2020-033. Inadequate Controls Over and Noncompliance with Subrecipient Monitoring Requirements

State Agency: Department of Environmental Quality
Federal Program: Congressionally Mandated Projects

The department has developed a Subrecipient Monitoring Risk Assessment form to document our risk assessment, monitoring plan, and monitoring results. The Department will also modify its Subaward Terms and Conditions contract attachment to include the additional 4 elements to properly identify federal awards.

Contact Person: Craig Silotti, Finance Director, 801 536-4460
Anticipated Correction Date: April 1, 2021

2020-034. Lack of Control Over Suspension and Debarment

State Agency: Department of Environmental Quality
Federal Program: Congressionally Mandated Projects

We will either ensure that the standard terms and conditions containing the clause is included and/or work with the Office of the Attorney General to include an appropriate suspension and debarment clause in custom contracts.

Contact Person: Craig Silotti, Finance Director, 801 536-4460
Anticipated Correction Date: April 1, 2021

2020-035. Incorrect Sub-Recipient and Contractor

State Agency: Department of Environmental Quality
Federal Program: Congressionally Mandated Projects

The department will conduct additional training to applicable department employees and perform better reviews of completed checklists.

Contact Person: Craig Silotti, Finance Director, 801 536-4460
Anticipated Correction Date: April 14, 2021

VARIOUS FEDERAL AGENCIES:

2020-036. Working Capital Reserves in Excess of Federal Guidelines

State Agency: Department of Administrative Services
Federal Program: Various

Division of Purchasing and General Services

Cooperative Contract Management – Public entities in Utah rely on the Division of Purchasing (State Purchasing) to maintain the cooperative contract program to help with public procurement in Utah. The usage of state cooperative contracts by public entities increased each year over the past seven years resulting in a corresponding increase in the collection of administrative fees. However, State Purchasing continues to decrease the administrative fees on state cooperative contracts as each contract expires and is rebid. This is a slow process since State Purchasing has nearly 1,100 cooperative contracts that expire only every five years and are then rebid. Although State Purchasing is allowed under law to collect up to a 1.0 % administrative fee on each cooperative contract, currently, the average administrative fee is

0.38 %. As a result, while spending on cooperative contracts increased 28% from fiscal year 2018 through fiscal year 2020, administrative fees increased only 22% during that same period.

In addition, State Purchasing hired two additional employees and invested in a new contract usage system and analytics tool to improve management of cooperative contracts. The system will also assist in anticipating usage and decreasing the administrative fees of appropriate contracts.
Print Services – Print Services reviewed and decreased administrative fees. This resulted in a net operating loss for fiscal year 2020. Additionally, as a result of the COVID-19 pandemic, reserve funds were utilized to remain operational. While this combination was not sufficient to reduce the excess reserves to established guidelines, Print Services is expecting a continued use of portions of reserves in fiscal year 2021 due to the pandemic as more employees telework, reducing the use of copiers.

State Surplus Property – State Surplus Property anticipates relocating in fiscal year 2021 at the completion of the Utah State Prison relocation. At that time, State Surplus will use excess reserve funds to relocate and furnish the new location.

Contact Person: Christopher Hughes, Director of Purchasing & General Services, christopherhughes@utah.gov
Anticipated Completion Date: Cooperative Contract Management – June 30, 2023; Print Services – June 30, 2021; State Surplus Property – June 30, 2022

Division of Risk Management

Workers' Compensation Fund – This fund experienced a $1 million increase in premiums paid to the Workers Compensation Fund of Utah (separate entity) during fiscal year 2021 due to the COVID-19 pandemic, without a compensating increase in rates charged (funding received) for the current year. This increase in costs is expected to reduce and/or eliminate the excess reserve balance.

Property Liability Self- Insurance Fund – During fiscal year 2021, this fund experienced an unexpected $5.96 million increase in excess premiums paid and anticipates an operating loss for the year, reducing excess fund balances. Risk Management will continue to observe claim levels and set future rates to reduce excess balances to appropriate levels.

Contact Person: Brian Nelson, Director, Division of Risk Management, benelson@utah.gov
Anticipated Correction Date: June 30, 2021

2020-037. Working Capital Reserves in Excess of Federal Guidelines

State Agency: Department of Human Resource Management
Federal Program: Various

1. The excess retained earnings for Payroll Field Services was caused by lower than anticipated expenses in fiscal year 2020 and an unplanned halt of all spending due to the uncertainty related to the pandemic. DHRM is evaluating the Payroll Field Services rate for fiscal year 2021 and will adjust it, if necessary. DHRM anticipates this retained earnings balance will be in compliance with the 60 day working capital limit by June 30, 2021.

Contact Person: Mysti Miskimins, Finance Director, 385-256-5394
Anticipated Correction Date: June 30, 2021

2020-038. <u>Working Capital Reserves in Excess of Federal Guidelines</u>

State Agency: Department of Technology
Federal Program: Various

Partial Corrective Action Taken: DTS gave significant mid-year rate reductions and rebates in both FY 2018 and FY 2019 to Hosting Services customers of about $1.3 million and $900 thousand respectively. In addition, DTS has proposed rates for FY 2020 and FY 2021 which are lower than the projected actual costs to provide this service. This was done in order to further draw down Hosting Services retained earnings by about $1.7 million in FY 2020 and $900 thousand in FY 2021.

Corrective Action Planned: The advent and adoption of cloud based hosting technology will continue to change DTS operations and demand for DTS Hosting Services. As part of the DTS strategic plan, DTS will take advantage of cloud based hosting to provide even more efficient services. DTS is positioned to assist customers with a switch from hosting with DTS in the State Data Center to hosting with another provider. This switch will impact revenue; funds that would have been paid to DTS will now be paid to an outside vendor. Finally, many agencies are taking advantage of software as a service which, in some instances, moves the hosting services away from DTS to a vendor used by the software company. DTS is currently projecting to be a mere 650k above allowed retained earnings by the end of FY2021; however, staffing changes and large cloud related purchases coupled with reductions in our Storage and Backup services revenue may put us in line with the 45 day allowed amount by the end of FY21 and certainly by the goal date at the end of FY22. As customers continue to transition from DTS Hosting services to cloud based hosting services, DTS will closely track the impact to Hosting Services revenues and expenses.

DTS will annually review and adjust rates and will issue mid-year rebates if necessary to bring DTS Hosting Services into compliance with federal excess reserve guidelines by the end of FY 2022.

Network Corrective Action Plan

The Network Services product saw a number of significant unanticipated reimbursements in FY20 and FY21 which contributed to the majority of this overage in retained earnings. The product also had unanticipated unfilled FTEs in FY21, which will result in a projected savings. In addition, DTS anticipates significant expenses to this product in FY2021 and FY2022 as DTS upgrades the aging network infrastructure and as the demand for network services is ever increasing (e.g. Agencies are asking for increased bandwidth). DTS anticipates that the retained earnings balance will be in compliance by the end of FY2022.

Contact Person: Dan Frei, Finance Director
Anticipated Correction Date: June 30, 2022

2020-039. <u>Working Capital Reserves in Excess of Federal Guidelines</u>

State Agency: Public Employee Health Plan
Federal Program: Various

State Medical –Due to the unknown nature and risks associated with health care costs due to the COVID-19 pandemic, PEHP will request Cost Allocation Services (CAS) allow an additional two years to study claims and health care cost trends related to COVID-19. Although we have promising news regarding vaccines, it remains very unclear how effective they will work and how many people are willing to get them and any long-term side effects. It also remains very unclear how long it will take for medical procedures to resume at normal levels. If reserves in this program are still above federally allowed amounts as of June 30, 2022, PEHP will either issue a refund to employers and subscribers or refund the federal portion.

State Dental – PEHP had previously agreed with CAS if there were excess reserves at June 30, 2019, PEHP would refund the federal portion. This agreement, however, was made prior to the COVID-19 pandemic. Due to COVID-19, dental claim costs were well below expectations due to guidance given from the CDC regarding delaying elective procedures, surgeries, and non-urgent outpatient visits. The expected outlook is unclear as it relates to expected dental claims due to the delay of non-urgent care dental visits. PEHP will request Cost Allocation Services (CAS) allow an additional two years to study claims and dental costs trends related to COVID-19. If reserves in this program are still above federally allowed amounts as of June 30, 2022, PEHP will either issue a refund to employers and subscribers or refund the federal portion.

Long-term Disability – The COVID-19 pandemic may cause several our insureds to become disabled and thus cause a large increase in claims and a severe reduction in the reserves of this program. Therefore, PEHP will request CAS allow an additional two years to study claim and health care cost trends related to COVID-19. If reserves still exist above the federally allowed amounts as of June 30, 2022, PEHP will either issue a rebate to subscribers or refund the federal portion.

Medicare Supplement – PEHP had previously agreed with CAS if there were excess reserves at June 30, 2020, PEHP would refund the federal portion. This agreement, however, was made prior to the COVID-19 pandemic. This program is for people age 65 and older and these insureds, due to their age and higher likelihood of having serious medical conditions, are at greater risk of becoming seriously ill and incurring large medical claims if they are infected with COVID-19. Because of this, PEHP will request CAS allow an additional two years to study Medicare supplement claims and health care cost trends related to COVID-19. If reserves still exist above the federally allowed amounts as of June 30, 2022, PEHP will either issue a rebate to subscribers or refund the federal portion.

Contact Person: Rob Dolphin, PEHP Chief Financial Officer
Anticipated Correction Date: June 30, 2022

2020-040. <u>Oversight of Federal Programs Administered in the HCD and ASD Divisions Should Be Strengthened</u>

State Agency: Department of Workforce Services
Federal Program:

The department will conduct a review of its procedures and the associated internal controls to identify the cause of the errors cited by the auditors. The results of the review will be utilized to make adjustments to policies, procedures, and internal controls, as considered necessary, with the goal of ensuring that the five components of internal control (and relevant principles) are properly designed, implemented, and operate together in an integrated manner.

Contact Person: Nate McDonald, Deputy Executive Director, 801-694-0294
Anticipated Correction Date: June 30, 2021

2020-041. <u>Cost Allocation Plan Implementation Errors</u>

State Agency: Department of Workforce Services
Federal Program:

The department will conduct a review of the procedures and the associated internal controls for the random moment time study to identify the cause of the errors cited by the auditors for the fourth fiscal quarter. The results of the review will be utilized to make adjustments to internal controls, as considered necessary, with the goal of ensuring that the components of internal control (and relevant principles) for the department's random moment time study are properly designed, implemented, and operate together in an integrated manner.

Contact Person: Nathan Harrison, Finance Director, 801-526-9402
Anticipated Correction Date: June 30, 2021

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SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
Status as of June 30, 2020

U.S. DEPARTMENT OFAGRICULTURE

Finding	Initial Year	Status of Findings and Questioned Costs
2018-002	2018	**Finding**: Noncompliance With Subrecipient Monitoring Requirements (Utah Department of Natural Resources) **Status**: Partially Corrected **Reasons for Recurrence**: The revised grant award document did not have all the required elements. Due to a miscommunication between the program, finance section, and the auditor, the step to verify the single audit was overlooked. **Partial Corrective Action Taken**: The Department of Natural Resources (DNR) implemented a new award document that proved the grant number award amount, but the date of the grant award was not listed. Upon review of the auditor, items were overlooked that were listed in 2 CFR 200.5, 2 CFR 200.331(f), and 2 CFR 200.521 **Corrective Action Planned**: With the next grant period, FFSL will ensure that the sub recipients are compliant with the requirements in 2 CFR 200.5, 2 CFR 200.331(f), and 2 CFR 200.521. DNR will update the grant document to include the missing elements. **Contact Person**: Stacy Carroll, Financial Manager, 801-538-7307 **Anticipated Completion Date**: July 1, 2020
2018-006	2018	**Finding**: Inadequate Internal Controls Over Suspension and Debarment (Utah Department of Natural Resources) **Status**: Implemented – corrective action taken

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
Status as of June 30, 2020

U.S. DEPARTMENT OF DEFENSE

Finding	Initial Year	Status of Findings and Questioned Costs
2018-008	2014	**Finding**: Inadequate Internal Controls Over Suspension and Debarment (Utah National Guard) **Status**: Implemented – corrective action taken.

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
Status as of June 30, 2020

U.S. DEPARTMENT OF EDUCATION

Finding	Initial Year	Status of Findings and Questioned Costs
2019-003	2019	**Finding**: Untimely Enrollment Reporting (Dixie State University) **Status:** Partially implemented **Reasons for Recurrence:** During the state audit for FY19 there was a finding due to untimely enrollment reporting. The untimely reporting issue involved both unofficial withdrawal students and those who had graduated. **Partial Corrective Action Taken:** A report is run in the financial aid office at the end of each semester to identify those students who have unofficially withdrawn. Two individuals from the financial aid office (currently Dustin and Marcie) process this report (in order to review the work of the other) and submit either ‘UW’ or ‘UM’ codes in Banner for all students. These codes assist the registration office in identifying those students who need to be reported to the clearing house. Once the financial aid office has finished processing the report, a copy is sent to registration as an additional reference for identifying those students that need to be reported. As a final check, one individual from the financial aid office will compare the students from the report to the enrollment status in NSLDS to ensure that the enrollment reporting is accurate. This final check is completed a few weeks after the submission to the Clearinghouse in order to give adequate time for processing. Again, this process was fully implemented during FY20. (Financial Aid office personnel: Dustin Johnson & Marcie Kaye; Registration office personnel: Julie Stender & Jerhett Jerman) **Corrective Action Planned:** The issue that was not fully addressed during FY20 is that of the graduate reporting. For this process, a report is generated to identify those students who have graduated and need to be reported to the Clearinghouse. Julie Stender processes this report and sends it to the Clearinghouse. What we had not implemented during FY20 was spot checking after the report was submitted. This has since been implemented (as of August 2020). At the conclusion of each semester and after reporting to the Clearinghouse, Jerhett Jerman will spot check the enrollment status of students who have graduated. **Contact Person:** Dustin Johnson (Financial Aid), Julie Stender (Registrar) **Anticipated Completion Date:** completed as of August 2020
2019-004	2019	**Finding**: Untimely Loan Disbursement Notification (Dixie State University) **Status**: Implemented – corrective action taken

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
Status as of June 30, 2020

U.S. DEPARTMENT OF EDUCATION (CONT'D)

Finding	Initial Year	Status of Findings and Questioned Costs
2019-005	2019	**Finding:** Errors in Processing Returns of Title IV Funds (Salt Lake Community College) **Questioned Costs**: $309. The $309 of questioned costs was removed from the student's account on July 31, 2019 and returned to the Department of Education as part of the subsequent draw. **Status:** Not corrected **Reasons for Recurrence:** The review process related to returning Title IV funds is still not a consistent, well-documented, established control. **Partial Corrective Action Taken:** Reviews and reperformance of the calculations were implemented at the end of January. Advisors sent an email notification to the Financial Aid Director indicating that reviews were completed; however, there is no documentation of the review and the process was addressed late in the fiscal year. **Corrective Action Planned:** The Financial Aid Director will work with the Advisors to establish a consistent, well-documented auditable review. **Contact Person:** Cristi Millard, Director of the Office of Financial Aid and Scholarships, 801-957-4145 **Anticipated Completion Date:** August 30, 2020
2019-006	2016	**Finding:** Inaccurate Line Items in the FISAP Report (Southern Utah University) **Status:** Implemented – corrective action taken

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
Status as of June 30, 2020

U.S. DEPARTMENT OF EDUCATION (CONT'D)

Finding	Initial Year	Status of Findings and Questioned Costs
2019-007	2019	**Finding**: Untimely and Inaccurate Enrollment Reporting (Southern Utah University) **Status**: Not Implemented **Reasons for Recurrence**: In reviewing the audit findings response, it was determined that the process lacked a verification and follow-up process. This process should verify the enrollment reporting/corrections have been submitted and processed by the National Student Clearinghouse and then the reporting and corrections sent to the National Student Loan Data System (NSLDS). **Partial Corrective Action Taken**: SUU had put into place the process to report enrollments regularly and process corrections in a timely manner. This has been implemented. The lacking item was providing oversight and a verification process to ensure the enrollment reporting and correction files were submitted as needed. **Corrective Action Planned**: Our corrective action plan is to continue as follows: 1. Establish a good working relationship with an assigned Clearinghouse caseworker. This will give us a better line for accountability with the Clearinghouse when compliance issues are discovered. Currently, the National Student Clearinghouse sends update files to the NSLDS every two weeks. - (Update 11/15/20) SUU receives email correspondence used to verify submission of error reports, pending reports, NSLDS SCCR reports, and confirmation of completion from the National Student Clearinghouse. The emails verify that the Enrollment Reporting file has been received, that an Error Report is available to be reconciled and that the Error Report Corrections have been submitted. These emails are delivered to two separate officers within the Registrar's office to aid in constant verification of reporting and processing. 2. Implement internal verification of data exchanged between the Clearinghouse and the NSLDS via direct access to the NSLDS. This access was previously unavailable to the enrollment reporting official prior to this audit. This will take place 10 days after enrollment data is reported to the Clearinghouse. - (Update 11/15/20) Item #2 SUU logs in to NSLDS to view the reporting percentages obtained from the NSLDS. This score 2 report can be accessed by both enrollment officers within the Registrar's office, and officers within the Financial Aid office. Currently the NSLDS offers no external notification or confirmation of changes, other than when the enrollment percentage falls below an acceptable level. SUU can view the file upload dates from the National Student Clearinghouse to the NSLDS. This is how we are able to verify that the National Student Clearinghouse is submitting reports to the NSLDS as agreed.

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
Status as of June 30, 2020

U.S. DEPARTMENT OF EDUCATION (CONT'D)

Finding	Initial Year	Status of Findings and Questioned Costs
2019-007 (continued)	2019	3. Maintain training and implementation of enrollment reporting tools and changes offered by the Clearinghouse to maintain compliance and communication. 4. Create and verify information between Financial Aid and the Registrars' office to verify time status issues for correct program reporting to the NSLDS. - (Update 11/15/20) SUU will access NSLDS as the primary source of verification for updates made by both the National Student Clearinghouse, and directly to the NSLDS. This report will be accessed monthly by both Financial Aid and Registrar offices to ensure completion of enrollment program reporting. **Contact Person**: Blair Bentley, Enrollment Specialist/Enrollment Reporting Officer, Registrars' Office (Bentley@suu.edu, (435) 586-1964) **Anticipated Completion Date**: December 31, 2020
2019-008	2019	**Finding**: Failure to Design and Implement Internal Controls Over Enrollment Reporting (University of Utah) **Status**: Not Implemented – Corrective action plan finalized July 2020 with implementation during Fall 2020 **Reasons for Recurrence**: Effective coordination of processes and designation of appropriate systems' access necessitated deliberative process in plan development. Staffing changes delayed processing authorized access to NSLDS **Partial Corrective Action Taken**: Prior to June 30, 2020, Registrar's Office, Financial Office and NSC collaborated to identify and validate enrollment changes within five business days of the initial submission to NSC. UU will manually verify the accuracy of the intuition's submission to NSC. UU will manually verify the accuracy of NSC submission to NSLDS to ensure graduated students are reported correctly and timely. **Corrective Action Planned**: Effective July 1, 2020: • The Registrar resolves the error reports that the National Student Clearinghouse (NSC) submits for National Student Loan Data System (NSLDS) reporting. This process is as follows: o Registrar submits an enrollment report to the NSC 4-5 times a semester based on academic calendar dates. o Once submitted, an acknowledgment of submission is received or an error report comes back that is completed by the Registrar's Office in a timely manner. An email is sent to multiple Registrar staff notifying them of the report availability as well as the report being accepted after submission. o Once completed, NSC reports automatically the enrollment data to NSLDS at the first of every month (Per NSC Audit Guide)

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
Status as of June 30, 2020

U.S. DEPARTMENT OF EDUCATION (CONT'D)

Finding	Initial Year	Status of Findings and Questioned Costs
2019-008 (continued)	2019	○ Per federal regulation (§§34 CFR 682.610 and 685.309) and DCL 14-07, the NSC has 15 days to respond with the Student Status Confirmation Report (SSCR) NSLDS error report. An email is sent to multiple staff in both the Registrar and Financial Aid Offices notifying them of the report availability. ○ Once received the Registrar's Office can view the report in the NSLDS reporting tab in the Clearinghouse. The Registrar's Office will then work through the SSCR error report within the required response time. Effective during Fall 2020 semester: Additionally, we plan to augment current procedures to more broadly verify the accuracy of data submitted to NSLDS through the Student Clearinghouse, as follows: • The Office of the Registrar will gain access to the NSLDS site. This access will be given to the Data Steward and the Student Systems teams initially. **Contact Person**: Anthony P. Jones, Executive Director University Office of Scholarships and Financial Aid, nthony.p.jones@utah.edu and Tim Ebner, University Registrar, tebner@utah.edu **Anticipated Completion Date**: November 15, 2020
2019-009	2019	**Finding**: Untimely Review and Approval of Cash Draws (Utah State University) **Status**: Partially Implemented –There were no questioned costs. **Reasons for Recurrence**: Corrective action was not in place for the majority of the year. The initials of the reviewer and date of review were not being added to the PDF due to a miscommunication. **Partial Corrective Action Taken**: Post-award review of draws was added. After the Treasury Office records draws, receipts for deposits are emailed to the draw accountant. The draw accountant attaches the receipt to the draw documentation PDF in box, then updates the file name to include "with receipt". The accounting assistant/student intern reviews the file, looking at both the receipt and draw report, and compares it against the Trial Balance Summary form, A/R detail. They verify that there wasn't a keying error and the amount deposited is in the correct fund. **Corrective Action Planned**: In March 2020, the accounting assistant/student intern began adding their initials and date of review to the PDF in order to document that the review was done. **Contact Person**: Jennifer Jenkins, Manager of Sponsored Programs Accounting, 435-797-1070 **Anticipated Completion Date**: Corrective action implementation started in November 2019; implementation completed in March 2020.

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
Status as of June 30, 2020

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES

Finding	Initial Year	Status of Findings and Questioned Costs
2017-011	2015	**Finding**: Inadequate Internal Controls Over Eligibility (Utah Department of Health) **Questioned Costs**: $22,248 The Health Resources and Services Administration management decision letter dated January 11, 2019, deemed questioned costs of $870 as unallowable. The repayment of $870 was made by warrant on January 25, 2019. **Status**: Implemented – corrective action taken
2018-012	2018	**Finding**: Inadequate Internal Controls Over Subrecipient Determination and Monitoring (Utah Department of Health) **Status**: Implemented – corrective action taken
2018-013	2015	**Finding**: Inadequate Internal Controls Over Eligibility (Utah Department of Health) **Questioned Costs**: $9,897 The Health Resources and Services Administration (HRSA) has reviewed the $9,897of questioned costs and determined that no monetary recoveries are necessary, per the HRSA management decision letter dated July 12, 2019. **Status**: Implemented – corrective action taken
2018-016	2018	**Finding**: Inadequate Internal Controls Over CCDF Eligibility (Utah Department of Workforce Services) **Questioned Costs**: $8,723 The $8,723 of questioned cost will not be pursued for recovery by the Administration for Children and Families (ACF), per the ACF management decision letter dated September 3, 2019. **Status**: Implemented – corrective action taken
2018-017	2018	**Finding**: Inadequate Internal Controls Over TANF Child Support Non-Cooperation (Utah Department of Workforce Services) **Questioned Costs**: $3,980 The Utah Department of Workforce Services has not received a management decision regarding the questioned costs. **Status**: Implemented – corrective action taken

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
Status as of June 30, 2020

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES (CONT'D)

Finding	Initial Year	Status of Findings and Questioned Costs
2018-018	2018	**Finding:** Inadequate Internal Controls Over Reporting (Utah Department of Workforce Services) **Status:** Implemented – corrective action taken
2019-010	2019	**Finding:** Untimely and Incomplete Validation of Provider Eligibility (Department of Health) **Status:** Implemented – corrective action taken
2019-011	2019	**Finding**: Errors on Part 4 of the CB-496 Financial Report (Department of Human Services) **Status**: Implemented – corrective action taken
2019-012	2019	**Finding:** CCDF Benefit Overpayments Due to Eligibility System Updates (Department of Workforce Services) **Questioned Costs:** $1,518 The Department of Workforce Services has not received a management decision regarding the questioned costs. **Status:** Partially implemented due to timing
2019-013	2019	**Finding:** Child Care Provider Overpayments Due to Insufficient Reviews (Department of Workforce Services) **Questioned Costs:** $392,682 The Department of Workforce Services has not received a management decision regarding the questioned costs and is working to recoup funds from providers. **Status:** Implemented – corrective action taken

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
Status as of June 30, 2020

U.S. DEPARTMENT OF JUSTICE

Finding	Initial Year	Status of Findings and Questioned Costs
2019-014	2018	**Finding**: Inconsistent Compensation Threshold and Inappropriate Allocation (Commission on Criminal and Juvenile Justice, Utah Office for Victim of Crime) **Questioned Costs**: $12,224 The Commission on Criminal and Juvenile Justice has not received a management decision regarding the questioned costs. **Status**: Not implemented – repeated in fiscal year 2020, see finding 2020-020
2019-015	2018	**Finding**: Expenditures Not Monitored for Period of Performance Purposes (Commission on Criminal and Juvenile Justice, Utah Office for Victim of Crime) **Status**: Not implemented - repeated in fiscal year 2020, see finding 2020-019
2019-016	2018	**Finding**: Inaccurate Special and Performance Reports (Commission on Criminal and Juvenile Justice, Utah Office for Victim of Crime) **Status**: Partially corrected - repeated in fiscal year 2020, see finding 2020-022
2019-017	2017	**Finding**: Errors in and Inadequate Internal Controls Over Reporting and Earmarking (Commission on Criminal and Juvenile Justice, Utah Office for Victim of Crime) **Status**: Not implemented - repeated in fiscal year 2020, see finding 2020-025
2019-018	2017	**Finding**: On-Site Visits Not Performed Bi-Annually (Commission on Criminal and Juvenile Justice, Utah Office for Victim of Crime) **Status**: Not implemented – UOVC was granted a waiver due to the COVID-19 pandemic.
2019-019	2017	**Finding**: Inconsistency and Calculation Errors in Federal Cash Draws (Commission on Criminal and Juvenile Justice, Utah Office for Victim of Crime) **Status**: Not implemented - repeated in fiscal year 2020, see finding 2020-024.
2019-020	2019	**Finding**: Checks Issued to Incorrect Vendors **Status**: Implemented – corrective action taken

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
Status as of June 30, 2020

U.S. DEPARTMENT OF JUSTICE (CONT'D)

Finding	Initial Year	Status of Findings and Questioned Costs
2018-028	2018	**Finding**: No Allocation of Leave Balances Between Activities (Commission on Criminal and Juvenile Justice, Utah Office for Victims of Crime) **Status**: Implemented – corrective action taken
2018-030	2018	**Finding**: Internal Controls Over Federal Cash Management Requirements not Established (Commission on Criminal and Juvenile Justice, Utah Office for Victims of Crime) **Status**: Implemented – corrective action taken

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
Status as of June 30, 2020

U.S. DEPARTMENT OF LABOR

Finding	Initial Year	Status of Findings and Questioned Costs
2019-021	2019	**Finding**: Work Hours and Earnings Disregarded in Unemployment Insurance Benefit Overpayment Determination (Department of Workforce Services) **Status**: Partially implemented due to timing

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
Status as of June 30, 2020

U.S. DEPARTMENT OF VETERANS AFFAIRS

Finding	Initial Year	Status of Findings and Questioned Costs
2019-022	2019	**Finding**: Inaccurate State Home Report and Statement of Federal Aid Claimed (Utah Department of Veterans and Military Affairs) **Status**: Implemented – corrective action taken

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
Status as of June 30, 2020

GENERAL FINDINGS

Finding	Initial Year	Status of Findings and Questioned Costs
2018-038	2018	**Finding:** Cost Allocation Implementation Errors (Utah Department of Workforce Services) **Status:** Implemented – corrective action taken
2019-001	2019	**Finding**: Securities Lending Transactions Not Reported (School and Institutional Trust Funds Office) **Status**: Implemented – corrective action taken
2019-002	2019	**Finding**: Lack of Separation of Duties within HRIS (Department of Human Resource Management) **Status**: Not Implemented - No longer considered a significant deficiency of internal control.
2019-023	2011	**Finding:** Working Capital Reserves in Excess of Federal Guidelines (Utah Department of Administrative Services) DIVISION OF PURCHASING AND GENERAL SERVICES **Status:** Partially corrected. Repeated in current fiscal year. **Reasons for Recurrence:** Cooperative Contract Management: This fund still has working capital reserves above the federally allowed amount. The Division of Purchasing and General Services (Division) has been decreasing its administrative fees each time a contract expires and is rebid. This, however, is a slow process since contracts only expire and are rebid every five years and the Division has nearly 1,100 state cooperative contracts. The Division has also experienced an increase in the usage of its state cooperative contracts by public entities each year over the past seven years. With this increase in the usage of state cooperative contracts has come a corresponding increase in the collection of administrative fees. If the adjustment of fees had simply involved decreasing the fees on a fixed usage of the contracts, the Division would have been able to reduce their retained earnings much sooner. Print Services: This fund still has working capital reserves above the federally allowed amount. Both revenues and expenses decreased from the prior year, which resulted in a net operating loss, but it was not enough to eliminate the excess reserve balance. State Surplus Property: State Surplus Property will be relocating in 2021 when the Utah State Prison is relocated. State Surplus will need to use these excess reserves to invest in a new building. The excess reserves are anticipated to continue until that time.

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020

Status as of June 30, 2020

GENERAL FINDINGS (CONT'D)

Finding	Initial Year	Status of Findings and Questioned Costs
2019-023 (continued)	2011	**Partial Corrective Action Taken:** Cooperative Contract Management The Division continues to decrease the administrative fees on each of its state cooperative contracts as each contract expires and is rebid. The Division is allowed under law to collect up to a 1.0 % administrative fee on each cooperative contract. Currently, the average administrative fee is 0.38 %. As a result, while State Purchasing has seen an increase in spending on its Cooperative Contracts of 28% from FY 2018 through FY 2020, it has only seen an increase in Administrative Fees by 22% during that same time frame. State Purchasing continues to evaluate each cooperative contract as it expires to decrease the administrative fee. In addition, the Division has also hired two additional employees and invested in a new contract usage system and analytics tool. The new system and additional employees will help improve the management of its cooperative contracts. The system also will assist the Division in anticipating usage and decreasing the administrative fees of appropriate contracts. Print Services Print Services has reviewed and decreased its administrative fees. As a result of the COVID-19 pandemic, Print Services needed to use some of its retained earnings to remain operational. Print Services is expected to use some of its retained earnings in FY 2021 as a result of the pandemic and the increase in teleworking because employees are not using their copiers. Print Services has also used its retained earnings to purchase a new tracking system to replace its 20-year tracking system. State Surplus Property State Surplus will use the excess reserve funds to invest in a new building when they relocate in 2021. **Corrective Action Planned:** Planned corrective action is described in the current year's Corrective Plan in finding 2020-036. **Contact Person:** Christopher Hughes, Director, 801-538-3254 **Anticipated Completion Date:** Cooperative Contract Management – June 30, 2023 Print Services – June 30, 2021 State Surplus Property – June 30, 2022

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
Status as of June 30, 2020

GENERAL FINDINGS (CONT'D)

Finding	Initial Year	Status of Findings and Questioned Costs
2019-023 (continued)	2011	DIVISION OF RISK MANAGEMENT **Status:** Partially corrected**.** **Reasons for Recurrence:** Incomplete knowledge of the differences in calculation between the federal balance and the State (FINET) balance. **Partial Corrective Action Taken:** We transferred $1,000,000 from the Workers' Compensation Fund to the Liability Fund in FY 2020 to reduce the workers compensation balance and to strengthen the balance of liability. This makes a total of transferring $6,000,000 out of workers compensation over three fiscal years. **Corrective Action Planned:** Workers' Compensation Fund In FY 2021, our costs for insurance increased $1,400,000 over the prior year. This increase is anticipated to reduce our retained earnings. Additionally, there is nearly a $700,000 difference between FINET and the Federal retained earnings calculation. This seems to be an accrual of imputed interest over many years. We will consider whether it is beneficial to pay the difference, resolving this finding. Property Liability Self- Insurance Fund In FY 2021 our costs for property insurance increased $4,000,000 over the prior year. This increase is anticipated to reduce our retained earnings. Additionally, our excess property insurance now carries a $25,000,000 deductible for earthquakes. It is wise to develop a balance in this fund to cover this deductible, should we have another earthquake. **Contact Person:** Brian Nelson, Director, 801-538-9576 **Anticipated Completion Date:** Workers Compensation Fund - June 30, 2021 Property Fund - June 30, 2021

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
Status as of June 30, 2020

GENERAL FINDINGS (CONT'D)

Finding	Initial Year	Status of Findings and Questioned Costs
2019-024	2018	**Finding**: Working Capital Reserves in Excess of Federal Guidelines (Utah Department of Human Resource Management) **Status**: Repeated in current fiscal year. **Reasons for Recurrence**: Pandemic announcement in last quarter of FY20 resulted in a halt in many planned expenditures. Additionally, an allocation of approximately 40K for software maintenance and updates was missed. **Partial Corrective Action Taken**: DHRM provided rebate on Payroll ISF to participating agencies in total of $59,115.77. **Corrective Action Planned**: The excess retained earnings for Payroll Field Services was caused by lower than anticipated expenses in fiscal year 2020. DHRM is evaluating the Payroll Field Services rate for fiscal year 2021 and will adjust it, if necessary. DHRM anticipates this retained earnings balance will be in compliance with the 60-day working capital limit by June 30, 2021. Additionally, software maintenance allocations will not be missed for FY21 expenses. **Contact Person**: Mysti Miskimins, Financial Director, 385-256-5394 **Anticipated Completion Date**: June 30, 2021

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
Status as of June 30, 2020

GENERAL FINDINGS (CONT'D)

Finding	Initial Year	Status of Findings and Questioned Costs
2019-025	2018	**Finding**: Working Capital Reserves in Excess of Federal Guidelines (Utah Department of Technology Services) **Status**: Partially Corrected **Reasons for Recurrence**: The adoption of cloud based hosting technologies has been slower than anticipated due to an effort by the Department of Technology Services (DTS) to implement strong governance and best practices in this area. However, DTS has seen a big uptick in Agencies moving to the cloud is FY2021. DTS has also taken a conservative approach regarding spending by only investing in the infrastructure needed in the near term with the expectation that they will need to increase investment as cloud based hosting usage increases. **Partial Corrective Action Taken**: DTS gave significant mid-year rate reductions and rebates in both FY 2018 and FY 2019 to Hosting Services customers of about $1.3 million and $900 thousand respectively. In addition, DTS has proposed rates for FY 2020 and FY 2021, which are lower than the projected actual costs to provide this service. This was done in order to further draw down Hosting Services retained earnings. **Corrective Action Planned**: The advent and adoption of cloud based hosting technology will continue to change DTS operations and demand for DTS Hosting Services. As part of the DTS strategic plan, DTS will take advantage of cloud based hosting to provide even more efficient services. DTS is positioned to assist customers with a switch from hosting with DTS in the State Data Center to hosting with another provider. This switch will impact revenue; funds that would have been paid to DTS will now be paid to an outside vendor. Finally, many agencies are taking advantage of software as a service which, in some instances, moves the hosting services away from DTS to a vendor used by the software company. DTS reduced retained earnings $687k in FY2020; and is estimated to reduce retained earnings by $1.3 million in FY 2021 and $1.3 million in FY2022. These amounts are conservative estimates and if cloud based hosting services adoption continues to rise, DTS will likely see additional revenue shortfalls. As customers continue to transition from DTS Hosting services to cloud based hosting services, DTS will closely track the impact to Hosting Services revenues and expenses. DTS will annually review and adjust rates and will issue midyear rebates if necessary to bring DTS Hosting Services into compliance with Federal excess reserve guidelines by the end of FY 2022. **Contact Person**: Daniel Frei, Finance Director, 801-538-3459 **Anticipated Completion Date**: FY 2022

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
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GENERAL FINDINGS (CONT'D)

Finding	Initial Year	Status of Findings and Questioned Costs
2019-026	1997	**Finding**: Working Capital Reserves in Excess of Federal Guidelines (Public Employees Health Program) **Status**: Partially corrected. **Reasons for Recurrence**: The Public Employees Health Program (PEHP) State Medical, State Dental, Long-term Disability, and Medicare Supplement programs still have working capital reserves above the federally allowed amounts. This is mainly because it is difficult to predict actual trends and experience. Actual results in claims experience that are different than PEHP's initial assumptions have a significant impact on the level of working capital reserves. State Medical – Due to the COVID-19 pandemic, medical nonemergency procedures were put on hold and reserve balances at June 30, 2020, were above federally allowed amounts as a result. State Dental – Due to the COVID-19 pandemic, dental procedures were put on hold and therefore reserve balances at June 30, 2020, were above federally allowed amounts as a result. Long-term Disability – Due to favorable claim experience, higher than projected investment income, and cost cutting measures, this program had reserves in excess of the federally allowed amount at June 30, 2020. Medicare Supplement – Due to the COVID-19 pandemic, medical nonemergency procedures were put on hold and reserve balances at June 30, 2020, were above federally allowed amounts as a result. This program has also had favorable claim experience and received pharmacy subsidies greater than expected amounts, which resulted in reserves above the federally allowed amounts. **Partial Corrective Action Taken**: State Medical – PEHP issued a $30.5 million refund to employers and employee subscribers in April 2020. The federal portion of the refund made to the State of Utah will be refunded in December 2020. **Corrective Action Planned**: State Medical –Due to the unknown nature and risks associated with health care costs due to the COVID-19 pandemic, PEHP will request Cost Allocation Services (CAS) allow an additional two years to study claims and health care cost trends related to COVID-19. Although we have promising news regarding vaccines, it remains very unclear how effective they will work and how many people are willing to get them and any long-term side effects. It also remains very unclear how long it will take for medical procedures to resume at normal levels. If reserves in this program are still above federally allowed amounts as of June 30, 2022, PEHP will either issue a refund to employers and subscribers or refund the federal portion.

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020
Status as of June 30, 2020

GENERAL FINDINGS (CONT'D)

Finding	Initial Year	Status of Findings and Questioned Costs
2019-026 (continued)	1997	State Dental – PEHP had previously agreed with CAS if there were excess reserves at June 30, 2019, PEHP would refund the federal portion. This agreement, however, was made prior to the COVID-19 pandemic. Due to COVID-19, dental claim costs were well below expectations due to guidance given from the CDC regarding delaying elective procedures, surgeries, and non-urgent outpatient visits. The expected outlook is unclear as it relates to expected dental claims due to the delay of non-urgent care dental visits. PEHP will request Cost Allocation Services (CAS) allow an additional two years to study claims and dental costs trends related to COVID-19. If reserves in this program are still above federally allowed amounts as of June 30, 2022, PEHP will either issue a refund to employers and subscribers or refund the federal portion. Long-term Disability – The COVID-19 pandemic may cause several our insureds to become disabled and thus cause a large increase in claims and a severe reduction in the reserves of this program. Therefore, PEHP will request CAS allow an additional two years to study claim and health care cost trends related to COVID-19. If reserves still exist above the federally allowed amounts as of June 30, 2022, PEHP will either issue a rebate to subscribers or refund the federal portion. Medicare Supplement – PEHP had previously agreed with CAS if there were excess reserves at June 30, 2020, PEHP would refund the federal portion. This agreement, however, was made prior to the COVID-19 pandemic. This program is for people age 65 and older and these insureds, due to their age and higher likelihood of having serious medical conditions, are at greater risk of becoming seriously ill and incurring large medical claims if they are infected with COVID-19. Because of this, PEHP will request CAS allow an additional two years to study Medicare supplement claims and health care cost trends related to COVID-19. If reserves still exist above the federally allowed amounts as of June 30, 2022, PEHP will either issue a rebate to subscribers or refund the federal portion. **Contact Person**: Robert Dolphin, Chief Financial Officer, 801-366-7429 **Anticipated Completion Date**: June 30, 2022

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS

FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2020

Status as of June 30, 2020

GENERAL FINDINGS (CONT'D)

Finding	Initial Year	Status of Findings and Questioned Costs
2019-027	2019	**Finding:** Errors in Random Moment Time Strike (RMTS) Study (Department of Workforce Services) **Status:** Partially corrected—Repeated in current fiscal year **Reasons for Recurrence**: It appears that the established internal controls that should have prevented and/or detected the errors cited by the auditors did not function as designed. **Partial Corrective Action Taken:** The department strengthened the existing internal controls that should have prevented and/or detected the errors cited by the auditors. Specifically, the department added an additional level of review, effective for the quarter which began July 1, 2020, which will ensure that costs are recorded in the appropriate cost center and each of the department's random moment time study (RMTS) pools are accurate and complete. **Corrective Action Planned:** Planned corrective action is described in the current year's Corrective Action Plan in finding 2020-041. **Contact Person** Nathan Harrison, Finance Director, 801-526-9402 **Anticipated Completion Date:** July 1, 2020