In Re: January 2021 Short Squeeze Trading Litigation
1:21-md-02989-CMA
Consolidated Cases

	Local Case Number, Filing Date & SD FL Case Number	**Plaintiff(s)/ Counsel**	**Defendant(s)**	**Claims**	**Tranche**
1.	ARE/4:21-cv-00093 2/4/2021 1:21-cv-21428-CMA	Kevin Kelley Zackary Kelley **THRASH LAW FIRM, P.A.** Tom Thrash William Crowder tomthrash@sbcglobal.net willcrowder@thrashlawfirmpa.com 1101 Garland St. Little Rock, AR 72201 501-374-1058	1. Robinhood Financial LLC 2. Robinhood Securities, LLC 3. Robinhood Markets, Inc. 4. TD Ameritrade, Inc. 5. E*Trade Financial Corp	1. Breach of Contract 2. Negligence 3. Conspiracy 4. Unjust Enrichment 5. Unlawful Combination and Conspiracy in Restraint of Trade in Violation Section 1 of the Sherman Act	Antitrust, Robinhood, Other Broker

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2.	CAC/2:21-cv-00835 1/28/2021 1:21-cv-21291-CMA	Hanna Kayali Mohammed Doleh **BLAISE AND NITSCHKE PC** Heather Blaise Lanna Nassar Thomas Key hblaise@blaisenitschkelaw.com lnassar@blaisenitschkelaw.com thomask@blaisenitschkelaw.com 123 North Wacker Drive Suite 250 Chicago, IL 60606 312-448-6602	1. Robinhood Financial LLC 2. Robinhood Securities, LLC 3. Robinhood Markets, Inc. 4. Citadel Enterprise 5. Melvin Capital Management, LP 6. TD Ameritrade, Inc. 7. Charles Schwab Corporation 8. Charles Schwab & Co., Inc. 9. The Depository Trust Company 10. The Depository Trust & Clearing Corporation	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty 5. Conspiracy 6. Attempted Monopolization of the Stock Market in Violation of Section 2 of the Sherman Act 7. Fraud and Deceit 815 ILCS 505/1, *et seq.* 8. Unlawful Combination and Conspiracy in Restraint of Trade in Violation of Section 1 of the Sherman Act 9. Action for Temporary Restraining Order ("TRO") and Preliminary and Permanent Injunction	Antitrust, Robinhood, Other Broker
3.	CAC/2:21-cv-00837 1/29/2021 1:21-cv-21293-CMA	Josh Gossett James LaPlant Danielle Perreault Maurice Scarborough Scott Schiller **PESSAH LAW GROUP PC** Maurice Pessah Michael Morris-Nussbaum Summer Benson Jason Sunshine Nicholas Melvin	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Breach of Implied Terms and Covenants 3. Breach of the Implied Covenant of Good Faith and Fair Dealing 4. Negligence 5. Breach of Fiduciary Duty 6. False Promise 7. Negligent Misrepresentation 8. Unlawful Business Practices CAL. BUS. & PROF. CODE § 17200 9. Violation of SEC Rule 10b-5	Securities, Robinhood

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		maurice@pessahgroup.com mmnussbaum@pessahgroup.com sbenson@pessahgroup.com jsunshine@pessahgroup.com nmelvin@pessahgroup.com 661 North Harper Avenue Suite 208 West Hollywood, CA 90048 310-772-2261 **CHELIN LAW FIRM** Stuart Chelin stuart@chelinlaw.com 1801 Century Park East Suite 2500 Los Angeles, CA 90076 310-776-6500			
4.	CAC/2:21-cv-02230 3/11/2021 1:21-cv-23026- CMA	Taylor Thompson **KIESEL LAW LLP** Paul R. Kiesel Jeffrey A. Koncius Cherisse Heidi Alcantara Cleofe Jessica Mendez kiesel@kiesel.law koncius@kiesel.law cleofe@kiesel.law jmendez@kiesel.law 8648 Wilshire Boulevard Beverly Hills, CA 90211 310-854-4444	Robinhood Financial LLC	1. Breach of the Implied Covenant of Good Faith and Fair Dealing 2. Negligence 3. Breach of Fiduciary Duty 4. Violation of "UCL" (Unfair Competition Law) 5. Violation of "CLRA" (California Consumer Legal Remedies Act) 6. Violation of California's False Advertising Law	Robinhood

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		RICHARD C. DALTON LLC Richard Dalton rick@rickdaltonlaw.com 1343 West Causeway Approach Mandeville, LA 70471 985-778-2215			
5.	CAN/1:21-cv-01202 5/26/2021 1:21-cv-22702	Justina Carrasco **ESTELLE & KENNEDY** Michael Kennedy Paul Suppa paul@estellekennedylaw.com 367 N. 2nd Avenue Upland, CA 91786 909-608-0466	1. Robinhood Financial LLC 2. Robinhood Securities, LLC 3. Robinhood Markets, Inc.	1. Fraud/Concealment CA Civil Code § 1572, 1709, and 1710 and/or Violation of 15 USC §78i 2. Fraud/Concealment CA Civil Code § 1572, 1709, and 1710 and/or Violation of 18 USC §1030 (a) 3. Negligent Misrepresentation 4. BUS. & PROF. CODE § 17200 and/or Fraud /Concealment 5. Negligence	Robinhood
6.	CAN/3:21-cv-00980 2/8/2021 1:21-cv-21430-CMA	Joseph Daniluk **GREEN & NOBLIN, P.C.** Robert S. Green Evan Sumer rsg@classcounsel.com ems@classcounsel.com 2200 Larkspur Landing Cir., #101 Larkspur, CA 94939 415-477-6700 **MARSHALL LAW FIRM** Charles Marshall cdm@marshall-law-firm.com 2121 Northern California Blvd. Suite 290	1. Robinhood Financial LLC 2. Robinhood Securities, LLC 3. Robinhood Markets, Inc. 4. Citadel LLC Voluntary Dismissal Point72 Asset Management, L.P. (2/15/21)	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty 5. Aiding and Abetting Breach of Fiduciary Duty Against Defendants Citadel 6. Tortious interference with Business Relationship and Contract Against Defendants Citadel 7. Violation of "UCL" (Unfair Competition Law) CAL. BUS. & PROF. CODE § 17200 8. Violation of "CLRA" (California	Antitrust, Robinhood

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		Walnut Creek, CA 94596		Consumer Legal Remedies Act) Cal. Civ. § 1750 9. 15 USC 1 "Restraint of Trade"	
7.	CAN/4:21-cv-00693 1/28/2021 1:21-cv-21378-CMA	David Wieg **DEREK SMITH LAW GROUP, PLLC** Abraham Melamed Alexander Cabeceiras Ishan Dave abe@dereksmithlaw.com alexc@dereksmithlaw.com Ishan@dereksmithlaw.com 633 West 5th Street Suite 3250 Los Angeles, CA 90071 310-602-6050	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty 5. Violation of "UCL" (Unfair Competition Law) CAL. BUS. & PROF. CODE § 17200 6. Violation of "CLRA" (California Consumer Legal Remedies Act) Cal. Civ. § 1750	Robinhood
8.	CAN/4:21-cv-00696 1/28/21 1:21-cv-21310-CMA	Robert Days **BROWNE GEORGE ROSS O'BRIEN ANNAGUEY & ELLIS LLP** Matthew Venezia Dennis S. Ellis Katherine Murray Keith Wesley kwesley@bgrfirm.com mvenezia@bgrfirm.com	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Concealment 3. Negligent Misrepresentation 4. Breach of the Implied Covenant of Good Faith and Fair Dealing 5. Breach of Fiduciary Duty 6. Violation of "UCL" (Unfair Competition Law) CAL. BUS. & PROF. CODE § 17200 7. Violation of "CLRA" (California Consumer Legal Remedies Act)	Robinhood

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		dellis@bgrfirm.com kmurray@bgrfirm.com 2121 Avenue of the Stars Suite 2800 Los Angeles, CA 90067 310-274-7100 Carl Alan Roth croth@bgrfirm.com 801 South Figueroa Suite 2000 Los Angeles, CA 90017 213-258-4710		8. Intentional Interference with Prospective Economic Advantage 9. Negligent Interference with Prospective Economic Advantage	
9.	CAN/4:21-cv-00697 1/28/2021 1:21-cv-21311-CMA	Christian Dalton **KIESEL LAW LLP** Jeffrey Koncius Cherisse Cleofe Paul Kiesel Jessica Mendez koncius@kiesel.law cleofe@kiesel.law kiesel@kiesel.law jmendez@kiesel.law 8648 Wilshire Boulevard Beverly Hills, CA 90211 310-854-4444 **RICHARD C. DALTON LLC** Richard Dalton rick@rickdaltonlaw.com 1343 West Causeway Approach Mandeville, LA 70471 985-778-2215	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Implied Covenant of Good Faith and Fair Dealing 2. Fraud and Deceit 3. Declaratory Relief 4. Violation of "CLRA" (California Consumer Legal Remedies Act) Cal. Civ. § 1750 5. Violation of "UCL" (Unfair Competition Law) CAL. BUS. & PROF. CODE § 17200 6. Violation of California False Advertising Law CAL. BUS. & PROF. CODE § 17500 7. Breach of Fiduciary Duty	Robinhood

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10.	CAN/4:21-cv-00758 1/29/2021 1:21-cv-21313-CMA	Patryk Krasowski Nick Parker **KLAFTER LESSER LLP** Amir Alimehri Jeffrey Klafter amir.alimehri@klafterlesser.com jak@klafterlesser.com Two International Drive Suite 350 Rye Brook, NY 10573 914-934-9200 **CERA LLP** Pamela Markert Solomon Cera pmarkert@cerallp.com scera@cerallp.com 595 Market Street Suite 1350 San Francisco, CA 94105 415-777-2230	1. Robinhood Financial LLC 2. Robinhood Securities, LLC 3. Citadel Securities, LLC 4. Citadel Enterprise Americas, LLC	1. Negligence 2. Breach of Fiduciary Duty 3. Aiding and Abetting Breach of Fiduciary Duty	Robinhood
11.	CAN/4:21-cv-00759 1/29/2021 1:21-cv-21315-CMA	Sagi Cezana **GROSSMAN ROTH YAFFA COHEN, P.A.** 2525 Ponce de Leon Blvd Ste 1150 Coral Gables, FL 33134 305-442-8666	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence per se 4. Negligence (Cal. Civ. Code § 1714) 5. Breach of Fiduciary Duty 6. Constructive Fraud 7. Violation of "UCL" (Unfair	Robinhood

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				Competition Law) CAL. BUS. & PROF. CODE § 17200	
12.	CAN/4:21-cv-00781 2/1/2021 1:21-cv-21318-CMA	Shane Cheng Terrell Sterling **JOSEPH SAVERI LAW FIRM, INC.** Joseph R. Saveri Steven Noel Williams Christopher Kar-Lun Young Anupama Reddy jsaveri@saverilawfirm.com swilliams@saverilawfirm.com cyoung@saverilawfirm.com areddy@saverilawfirm.com 601 California Street Suite 1000 San Francisco, CA 94108 415-500-6800	1. Ally Financial Inc. 2. Alpaca Securities, LLC 3. Cash App Investing LLC 4. Square Inc. 5. Dough LLC 6. Morgan Stanley Smith Barney LLC 7. E*Trade Securities LLC 8. E*Trade Financial Corp 9. E*Trade Financial Holdings, LLC 10. eToro USA Securities, Inc. 11. Freetrade, Ltd. 12. Interactive Brokers, LLC 13. M1 Finance, LLC 14. Open to the Public Investing, Inc. 15. Robinhood Financial LLC 16. Robinhood Markets, Inc. 17. Robinhood Securities, LLC 18. IG Group Holdings PLC 19. Tastyworks, Inc. 20. TD Ameritrade, Inc. 21. The Charles Schwab Corporation 22. Charles Schwab & Co., Inc. 23. FF Trade Republic Growth, LLC 24. Trading 212 Ltd. 25. Trading 212 UK Ltd. 26. Webull Financial, LLC 27. Fumi Holdings, Inc. 28. Stash Financial, Inc. 29. Barclays Bank PLC 30. Citadel Enterprise Americas, LLC	1. Breach of the Implied Covenant of Good Faith and Fair Dealing 2. Negligence 3. Breach of Fiduciary Duty 4. Violation of "UCL" (Unfair Competition Law) CAL. BUS. & PROF. CODE § 17200 5. Constructive Fraud 6. Conspiracy to Restrain Trade in Violation of Section 1 of the Sherman Act 7. Negligence per se 8. Conspiracy to Restrain Trade in Violation of the California Cartwright Act; CAL. BUS. & PROF. CODE § 16700 9. Dissemination of Untrue and Misleading Public Statements in Violation of California Business and Professions Code CAL. BUS. & PROF. CODE § 17500	Antitrust, Robinhood, Other Broker

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			31. Citadel Securities, LLC 32. Melvin Capital Management, LP 33. Sequoia Capital Operations LLC 34. Apex Clearing Corporation 35. The Depository Trust & Clearing Corporation		
13.	CAN/4:21-cv-00829 2/2/2021 1:21-cv-21340-CMA	Elvia Curiel-Ruth **GROSSMAN ROTH YAFFA COHEN, P.A.** Stuart Z Grossman szg@grossmanroth.com 2525 Ponce de Leon Blvd Ste 1150 Coral Gables, FL 33134 305-442-8666	1. Robinhood Financial LLC 2. Robinhood Securities, LLC 3. Robinhood Markets, Inc. 4. The Charles Schwab Corporation 5. Charles Schwab & Co., Inc. 6. TD Ameritrade, Inc. 7. Webull Financial, LLC 8. E*Trade Financial Corp 9. Interactive Brokers, LLC 10. Citadel Enterprise Americas, LLC 11. Melvin Capital Management, LP	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty 5. Attempted Monopolization of the Stock Market in Violation of Section 2 of the Sherman Act 6. Violation of "UCL" (Unfair Competition Law) CAL. BUS. & PROF. CODE § 17200 7. Constructive Fraud 8. Unlawful Combination and Conspiracy in Restraint of Trade in Violation of Section 1 of the Sherman Act 9. Negligence per se 10. Restraint of Trade in Violation of the Cartwright Act 11. Attempt Monopolization in Violation of the Cartwright Act	Antitrust, Robinhood, Other Broker

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14.	CAN/4:21-cv-00833 2/2/2021 1:21-cv-21341-CMA	Mark Feeney Jason Fossella **MIGLIACCIO & RATHOD LLP** Jason Rathod Nicholas Migliaccio jrathod@classlawdc.com nmigliaccio@classlawdc.com 412 H. Street, N.E., Suite 302 Washington, DC 20002 202-470-3520 Selin Demir sdemir@classlawdc.com 639 N Broadway, Apt. 513 Los Angeles, CA 90012 301-412-0299	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty 5. Breach of Fiduciary Duty- 950 Mass. Code Regs. 12.200 6. Violation of "UCL" (Unfair Competition Law) CAL. BUS. & PROF. CODE § 17200 7. Gross Negligence 8. Unjust Enrichment	Robinhood
15.	CAN/4:21-cv-00838 2/2/2021 1:21-cv-21343-CMA	Jordan Krumenacker **BURSOR & FISHER, P.A.** Andrew Obergfell aobergfell@bursor.com 888 Seventh Avenue New York, NY 10019 646-837-7150 Lawrence Timothy Fisher ltfisher@bursor.com 1990 N. California Blvd. Suite 940 Walnut Creek, CA 94596 925-300-4455	1. Robinhood Financial LLC 2. Robinhood Securities, LLC 3. Robinhood Markets, Inc. 4. Charles Schwab & Co., Inc.	1. Violation of the Florida Deceptive and Unfair Trade Practice Act, §501.212(2) 2. Violation of Section 10b and 10b-5 of the Securities Exchange Act 1934 3. Violation Of The Florida Securities And Investor Protection Act	Securities, Robinhood, Other Broker

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16.	CAN/4:21-cv-00861 2/3/2021 1:21-cv-21349-CMA	David Moody Julie Moody **ANASTOPOULO LAW FIRM** Roy T. Willey, IV Eric M. Poulin Blake Abbott roy@akimlawfirm.com eric@akimlawfirm.com blake@akimlawfirm.com 32 Ann Street, Unit B Charleston, SC 29403 843-614-8888	1. Robinhood Financial LLC 2. Robinhood Securities, LLC 3. Robinhood Markets, Inc. 4. Citadel Securities, LLC 5. Citadel Enterprise Americas, LLC	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty 5. Violation of "UCL" (Unfair Competition Law) CAL. BUS. & PROF. CODE § 17200 6. Unjust Enrichment 7. Aiding and Abetting Breach of Fiduciary Duty 8. Violation of California's False Advertising Law CAL. BUS. & PROF. CODE § 17500 9. Intentional Interference with Contractual Relations	Robinhood
17.	CAN/4:21-cv-00871 2/3/2021 1:21-cv-21432-CMA	Jean-Paul Saliba **MCCATHERN, SHOKOUHI, EVANS, GRINKE** Evan Selik Christine C. Zaouk eselik@mccathernlaw.com czaouk@mccathernlaw.com 523 W Sixth Street Suite 830 Los Angeles, CA 90014 213-225-6150	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc. Robinhood Crypto, LLC	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Violation of "UCL" (Unfair Competition Law) CAL. BUS. & PROF. CODE § 17200 5. Violation of "CLRA" (California Consumer Legal Remedies Act) Cal. Civ. § 1750	Robinhood
18.	CAN/4:21-cv-00896	Sabrina Clapp **EDELSON LECHTZIN LLP** Marc H. Edelson	1. Ally Financial, Inc. 2. Alpaca Securities, LLC 3. Cash App Investing LLC 4. Square, LLC	1. Breach of the Implied Covenant of Good Faith and Fair Dealing 2. Negligence 3. Breach of Fiduciary Duty	Antitrust, Robinhood, Other Broker

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	2/4/2021 1:21-cv-21441-CMA	Eric Lechtzin medelson@edelson-law.com elechtzin@edelson-law.com 3 Terry Drive, Ste 205 Newtown, PA 18940 844-696-7492 **GRABAR LAW OFFICE** Joshua H. Grabar jgrabar@grabarlaw.com One Liberty Place 1650 Market Street Suite 3600 Philadelphia, PA 19103 267-507-6085	5. Dough LLC 6. Morgan Stanley Smith Barney, LLC 7. E*Trade Securities LLC 8. E*Trade Financial Corp 9. E*Trade Financial Holdings, LLC 10. eToro USA Securities, Inc. 11. Freetrade, Ltd. 12. Interactive Brokers, LLC 13. M1 Finance, LLC 14. Open to the Public Investing, Inc. 15. Robinhood Financial LLC 16. Robinhood Markets, Inc. 17. Robinhood Securities, LLC 18. IG Group Holdings PLC 19. Tastyworks, Inc. 20. TD Ameritrade, Inc. 21. The Charles Schwab Corporation 22. Charles Schwab & Co., Inc. 23. FF Trade Republic Growth, LLC 24. Trading 212 Ltd. 25. Trading 212 UK Ltd. 26. Webull Financial, LLC 27. Fumi Holdings, Inc. 28. Stash Financial, Inc. 29. Barclays Bank PLC 30. Citadel Enterprise Americas, LLC 31. Citadel Securities, LLC 32. Melvin Capital Management, LP 33. Sequoia Capital Operations LLC 34. Apex Clearing Corporation 35. The Depository Trust & Clearing Corporation	4. Violation of "UCL" (Unfair Competition Law) CAL. BUS. & PROF. CODE § 17200 5. Constructive Fraud 6. Conspiracy in Restraint of Trade in Violation of Section 1 of the Sherman Act 7. Negligence per se 8. Conspiracy to Restrain Trade in Violation of the California Cartwright Act 9. Dissemination of Untrue and Misleading Public Statements in Violation of California Business and Professions Code - Cal. Civ. § 1750	

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19.	CAN/4:21-cv-01596 3/5/2021 1:21-cv-21442-CMA	Spencer Lybrook Alec English Michael Watson Cody Hill **KELLER ROHRBACK LAW OFFICES LLP** Ryan McDevitt Gretchen Freeman Cappio Maxwell Goins Jeffrey Lewis Rachel Morowitz rmcdevitt@kellerrohrback.com gcappio@kellerrohrback.com mgoins@kellerrohrback.com jlewis@kellerrohrback.com rmorowitz@kellerrohrback.com 1201 Third Avenue Suite 3200 Seattle, WA 98101 206-623-1900 **SAUDER SCHELKOPF LLC** Joseph G. Sauder Joseph B. Kenney Sonjay Singh jgs@sstriallawyers.com jbk@sstriallawyers.com scs@sstriallawyers.com 1109 Lancaster Avenue Berwyn, PA 19312 888-711-9975	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Negligence Cal. Civ. Code § 1714 5. Violation of "UCL" (Unfair Competition Law) CAL. BUS. & PROF. CODE § 17200	Robinhood

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20.	CAS/3:21-cv-00167 1/28/2021 1:21-cv-21294-CMA	Timothy Nordeen Aaron Kolysko **LAW OFFICES OF GARY R. CARLIN, APC** Gary Richard Carlin Steven Romeyn gary@garycarlinlaw.com steven@garycarlinlaw.com 301 East Ocean Boulevard Suite 1550 Long Beach, CA 90802 562-432-1656	1. Robinhood Financial LLC 2. Robinhood Securities, LLC 3. Robinhood Markets, Inc. 4. The Interactive Brokers Group Inc and Subsidiaries 5. Interactive Brokers Corp 6. IBG, LLC 7. IBG Holdings, LLC 8. Merrill Lynch, Pierce, Fenner, Smith, and Subsidiaries 9. Merrill Edge 10. The Charles Schwab Corporation 11. TD Ameritrade Holding Corp 12. TD Ameritrade, Inc. 13. Webull Financial, LLC 14. Trade Republic 15. E*Trade Financial Corp 16. E*Trade Asset Management 17. Firstrade Securities, Inc. 18. Point72 Asset Management, L.P. 19. D1 Capital Partners, L.P. 20. Melvin Capital Management, L.P. 21. Candlestick Capital Management L.P. 22. Maplelane Capital, LLC 23. Citadel, EFT, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty 5. Intentional Interference with Prospective Economic Advantage 6. Negligent Interference with Prospective Economic Advantage 7. Constructive Fraud 8. Conversion 9. Tortious Interference with Contractual Relations 10. Negligent Performance of and Undertaking	Robinhood, Other Broker

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21.	CAS/3:21-cv-00238 2/9/2021 1:21-cv-21444-CMA	Emil Petrosyan **LOCKRIDGE GRINDAL NAUEN P.L.L.P.** Robert K. Shelquist Karen Hanson Riebel Rebecca A. Peterson Stephen M. Owen rkshelquist@locklaw.com khriebel@locklaw.com rapeterson@locklaw.com smowen@locklaw.com 100 Washington Avenue S. Suite 2200 Minneapolis, MN 55401 612-339-6900	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty 5. Violation of "UCL" (Unfair Competition Law) CAL. BUS. & PROF. CODE § 17200 6. Violation of "CLRA" (California Consumer Legal Remedies Act) Cal. Civ. § 1750	Robinhood
22.	CO/1:21-cv-00290 1/28/2021 1:21-cv-21261-CMA	Chance Daniels **HANNON LAW FIRM, LLC** Kevin Scott Hannon khannon@hannonlaw.com 1641 Downing Street Denver, CO 80218 303-861-8800	1. Robinhood Financial LLC 2. Robinhood Securities, LLC 3. Robinhood Markets, Inc. 4. TD Ameritrade, Inc. 5. Citadel Securities, LLC 6. Charles Schwab & Co., Inc. 7. Interactive Brokers, LLC 8. Open to the Public Investing, Inc 9. Webull Financial, LLC	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty 5. Unjust Enrichment 6. Non-Disclosure or Concealment 7. Intentional Interference with Prospective Economic Advantage 8. Negligent Interference with Prospective Economic Advantage 9. Violation of 15 USC § 78	SEC, Robinhood, Other Broker

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23.	CT/3:21-cv-00123 1/28/2021 1:21-cv-21359-CMA	Zachary Ziegler **DEREK SMITH LAW GROUP, PLLC** Alexander Cabeceiras alexc@dereksmithlaw.com 1 Penn Plaza Suite 49th Floor New York, NY 10011 212-587-0670	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty	Robinhood
24.	CT/3:21-cv-00134 1/30/2021 1:21-cv-21367-CMA	Marc Fresa **LAW OFFICES OF STEPHEN J. CARRIERO** Stephan E. Seeger sseeger@lawfirmsjc.com 810 Bedford Street Suite #3 Stamford, CT 06901 203-325-4338	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty 5. Connecticut Unfair Trade Practices C.G.S. §42-110(a)	Robinhood
25.	FLM/8:21-cv-00216 1/28/2021 1:21-cv-21264-CMA	Austin Schaff **WENZEL FENTON CABASSA, P.A.** Brandon J Hill Luis A. Cabassa bhill@wfclaw.com lcabassa@wfclaw.com 1110 North Florida Avenue Suite 300 Tampa, FL 33602 813-337-7992	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Negligence 2. Breach of Implied Warranty of Merchantability	Robinhood

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		JUSTICE FOR JUSTICE, LLC Chad A. Justice chad@getjusticeforjustice.com 1205 N. Franklin St. Suite 326 Tampa, FL 33602 813-544-7616 **MCKAY LAW LLC** Michael C. McKay mckay@mckay.law mmckay@mckaylaw.us 5635 North Scottsdale Road Suite 170 Scottsdale, AZ 85250 480-681-7000			
26.	FLM/8:21-cv-00222 1/29/2021 1:21-cv-21265-CMA	Austin Schaff **WENZEL FENTON CABASSA, P.A.** Brandon J Hill Luis A. Cabassa bhill@wfclaw.com lcabassa@wfclaw.com 1110 North Florida Avenue Suite 300 Tampa, FL 33602 813-337-7992 **JUSTICE FOR JUSTICE, LLC** Chad A. Justice chad@getjusticeforjustice.com 1205 N. Franklin St. Suite 326	TD Ameritrade, Inc.	1. Negligence 2. Breach of Implied Warranty of Merchantability	Other Broker

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		Tampa, FL 33602 813-544-7616			
27.	FLM/8:21-cv-00329 2/10/2021 1:21-cv-21445-CMA	Allen Zelewski Dillon Rogers James Hill Richard Conley **MATTLAW** Matthew Powell matt@mattlaw.com 304 S Plant Ave Tampa, FL 33606 813-222-2222 **DARIO DIAZ LAW** Dario Diaz dariofed@dariodiazlaw.com 1101 N Armenia Ave Tampa, FL 33607 813-259-1017	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Negligence 3. Breach of Fiduciary Duty 4. Breach of Implied Warranty of Merchantability 5. Violation of the Florida Deceptive and Unfair Trade Practice Act, §501.212(2) 6. Violation of "UCL" (Unfair Competition Law) CAL. BUS. & PROF. CODE § 17200 7. Gross Negligence 8. Negligent Misrepresentation	Robinhood
28.	FLN/4:21-cv-00061 1/29/2021 1:21-cv-21295-CMA	Steven Baird **GRAYROBINSON, P.A.** Jason Alec Zimmerman Savannah Clifton jason.zimmerman@gray-robinson.com Savannah.Clifton@gray-robinson.com 301 East Pine Street Orlando, FL 32803 407-843-8880	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Violation FDUPTA §501.212(2)	Robinhood

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29.	FLS/0:21-cv-60220 1/28/2021 0:21-cv-60220-CMA	Andrew B. Courtney **COHEN & MCMULLEN, P.A.** Michael James McMullen Michael@floridajusticefirm.com 1132 SE 3rd Avenue Fort Lauderdale, FL 33316 954-523-7774	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty	Robinhood
30.	FLS/0:21-cv-60226 1/28/2021 0:21-cv-60226-CMA	Peter Fray **DEREK SMITH LAW GROUP, PLLC** Caroline Hope Miller caroline@dereksmithlaw.com 701 Brickell Ave Suite 1310 Miami, FL 33131 305-946-1884 Alexander Gabriel Cabeceiras alexc@dereksmithlaw.com 1 Penn Plaza Suite 4905 New York, NY 10119 212-587-0670	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty	Robinhood

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31.	FLS/1:21-cv-20414 1/30/2021 1:21-cv-20414-CMA	Andrea Juncadella Patrick Young Omar Alsaedi Ethan Arellano Travis Elliot Jessica Hines Michelle del Valle Michael Ridpath Charles Fellows Bryan Joyner Christine Zukowski Carolyn Collier Amanda Giuliani Chasity Woodard Matt Scime William Urrutia **THE FERRARO LAW FIRM, P.A.** **BURSTYN LAW FIRM PLLC** **Jeffrey E. Kwatinetz**	1. Robinhood Financial LLC 2. Robinhood Securities, LLC 3. Robinhood Markets, Inc. 4. Citadel LLC. d/b/a Citadel Securities 5. Point72 Asset Management, L.P.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty 5. Breach of Implied Warranty of Merchantability 6. Tortious interference with Business Relationship and Contract Against Defendants Citadel and Point 72 7. Conspiracy 8. Attempted Monopolization of the Stock Market in Violation of Section 2 of the Sherman Act 9. Violation of the Florida Deceptive and Unfair Trade Practice Act, §501.212(2)	Antitrust, Robinhood
32.	FLS/1:21-cv-21404 4/12/2021 1:21-cv-21404-CMA	Eric Quat Aaron Fassinger Mike Ross Igor Kravchenko Michael McFadden Tenzin Woiser **BURSOR & FISHER, P.A.** Sarah N. Westcot swestcot@bursor.com	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Violation of § 10b of the Securities Exchange Act of 1934 and 10b-5	Securities

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		701 Brickell Ave Suite 1420 Miami, FL 33131 Andrew Obergfell aobergfell@bursor.com 888 Seventh Avenue New York, NY 10019 646-837-7150			
33.	21-cv-21458-CMA 4/15/2021	Stephen D'agostino Janelle Burch **COLSON HICKS EIDSON** Patrick Shanan Montoya patrick@colson.com 255 Alhambra Circle Penthouse Coral Gables, FL 33134 305-476-7400 **LEVIN SEDRAN & BERMAN LLP** Austin B. Cohen Daniel C. Levin acohen@lfsblaw.com dlevin@lfsblaw.com 510 Walnut Street, Suite 500 Philadelphia, PA 19106 215-592-1500 **ROBERT PEIRCE & ASSOCIATES** Aaron Rihn 412-281-7229	1. Ally Financial Inc. 2. Alpaca Securities LLC 3. Cash App Investing LLC 4. Square Inc. 5. Dough LLC 6. Morgan Stanley Smith Barney LLC 7. ETrade Securities LLC 8. ETrade Financial Corporation 9. ETrade Financial Holdings, LLC 10. eToro USA Securities, Inc. 11. Freetrade Ltd. 12. Interactive Brokers LLC 13. M1 Finance LLC 14. Open To The Public Investing, Inc. 15. Robinhood Financial LLC 16. Robinhood Securities, LLC 17. Robinhood Markets, Inc. 18. IG Group Holdings PLC 19. Tastyworks, Inc. 20. TD Ameritrade, Inc. 21. The Charles Schwab Corporation 22. Charles Schwab & Co., Inc. 23. FF Trade Republic Growth, LLC 24. Trading 212 Ltd. 25. Trading 212 UK Ltd. 26. Webull Financial, LLC 27. Fumi Holdings, Inc.	1. Violation of Section 1 of the Sherman Act 2. Conspiracy to Restrain Trade in Violation of the California Cartwright Act; CAL. BUS. & PROF. CODE § 16700 3. Violation of "UCL" (Unfair Competition Law) CAL. BUS. & PROF. CODE § 17200 4. Dissemination of Untrue and Misleading Public Statements in Violation of California Business and Professions Code CAL. BUS. & PROF. CODE § 17500 5. Breach of the Implied Covenant of Good Faith and Fair Dealing 6. Negligence 7. Negligence Per Se 8. Breach of Fiduciary Duty 9. Constructive Fraud	Antirust, Robinhood, Other Broker Tranche

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			28. Stash Financial, Inc. 29. Citadel Enterprise Americas, LLC 30. Citadel Securities, LLC 31. Melvin Capital Management, LP 32. Sequoia Capital Operations LLC 33. Apex Clearing Corporation 34. The Depository Trust & Clearing Corporation		
34.	FLS/:1:21-cv-21534 (4/21/21) 1:21-cv-21534-CMA	Uniqueka Best Rasheed Best Jacob Rosmarin Ariel Rubenstein **GUCOVSCHI LAW PLLC** Adrian Gucovschi adrian@gucovschi-law.com 630 Fifth Avenue Suite 2000 New York City, NY 10111 212-884-4230	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Breach of Fiduciary Duty 4. Negligence 5. 10b Violation of 15 USC § 78 Securities Exchange Act of 1934 and 10b-5 6. Violation of The Florida Securities And Investor Protection Act (FSIPA) 7. FDUPTA 8. Violation of NY General Business Law § 349 9. Violation of NY General Business Law § 350	SEC, Robinhood
35.	FLS/1:21-cv-21600 **4/26/2021	Michael Oberlin **SAFIRSTEIN METCALF LLP** Peter Safirstein	TD Ameritrade, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing	Other Broker

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	1:21-cv-21600-CMA	Elizabeth S. Metcalf psafirstein@safirsteinmetcalf.com emetcalf@safirsteinmetcalf.com 1345 Avenue of the Americas 2nd Floor New York, NY 10105 212-201-5845 **KANTROWITZ, GOLDHAMER & GRAIFMAN, P.C.** Gary S. Graifman, Esq. Jay I. Brody, Esq. ggraifman@kgglaw.com jbrody@kgglaw.com 135 Chestnut Ridge Road Montvale, NJ 07645 201-391-7000			
36.	FLS/1:21-cv-21665-CMA 4/30/2021	Eric Eisen **GUCOVSCHI LAW PLLC** Adrian Gucovschi adrian@gucovschi-law.com 630 Fifth Avenue Suite 2000 New York City, NY 10111 212-884-4230	1. Apex Clearing Corporation 2. Interactive Brokers, LLC	1. 10b Violation of 15 USC § 78 Securities Exchange Act of 1934 and 10b-5	Securities

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37.	FLS/9:21-cv-80238 2/28/2021 9:21-cv-80238-CMA	Michael Scalia **WEIL SNYDER & RAVINDRAN, PA** Ronald Peter Weil Marguerite Clare Racher Snyder Luke Jacobs rweil@weillawfirm.net msnyder@weillawfirm.net luke@weillawfirm.net 200 South Biscayne Boulevard Suite 900 Miami, FL 33131 305-372-5352	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty 5. Unjust Enrichment	Robinhood
38.	ILN/1:21-cv-00490 1/28/2021 1:21-cv-21296-CMA (non-class action)	Richard Gatz Pro Se richardgatz@gmail.com 1104 N. Mill St. Unit 212 Naperville, IL 60563	Robinhood Financial. LLC	1. Injunctive relief	Securities, Robinhood

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39.	ILN/1:21-cv-00510 1/28/2021 1:21-cv-21297-CMA	Hanna Kayali Mohammed Doleh **BLAISE AND NITSCHKE PC** Heather Blaise Lana Nassar Thomas Key hblaise@blaisenitschkelaw.com lnassar@blaisenitschkelaw.com thomask@blaisenitschkelaw.com 123 North Wacker Drive Suite 250 Chicago, IL 60606 312-448-6602	1. Robinhood Financial LLC 2. Robinhood Securities, LLC 3. Robinhood Markets, Inc. 4. Citadel Enterprise Americas, LLC 5. TD Ameritrade, Inc. 6. The Charles Schwab Corporation 7. Charles Schwab & Co., Inc. 8. Melvin Capital Management, LP 9. The Depository Trust Company 10. The Depository Trust & Clearing Corporation	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty 5. Conspiracy 6. Attempted Monopolization of the Stock Market in Violation of Section 2 of the Sherman Act 7. Violation of Illinois Consumer Fraud And Deceptive Business Practice 815 ILCS 505/1 8. Unlawful Combination and Conspiracy in Restraint of Trade in Violation of Section 1 of the Sherman Act 9. Action for Temporary Restraining Order ("TRO") and Preliminary and Permanent Injunction	Antitrust, Robinhood, Other Broker
40.	ILN/1:21-cv-00541 1/29/2021 1:21-cv-21298-CMA	Marcus Lagmanson Anthony Reyes Brian Belderrain **LAW OFFICES OF R. TAMARA DE SILVA, LLC** R. Tamara de Silva rtamaradesilva@gmail.com 980 N Michigan Avenue Suite 1400 Chicago, IL 60611 866-566-1849	1. Robinhood Financial LLC 2. Robinhood Securities, LLC 3. Robinhood Markets, Inc. 4. TD Ameritrade, Inc. 5. E*Trade Financial Corp.	1. Unlawful Combination and Conspiracy in Restraint of Trade in Violation of Section 1 of the Sherman Act 2. Attempted Monopolization of the Stock Market in Violation of Section 2 of the Sherman Act 3. Violation of 15 USC § 78 Securities Exchange Act of 1934	Securities, Antitrust

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		LAW OFFICE OF JONATHAN LUBIN Jonathan D. Lubin jonathan@lubinlegal.com 8800 Bronx Ave Suite 100H Skokie, IL 60077 773-945-2608			
41.	ILN/1:21-cv-00574 2/1/2021 1:21-cv-21299-CMA (non-class action)	Larry Cherry **Pro se** larrycherry22@gmail.com P.O. Box 1677 South Holland, IL 60473	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty	Robinhood
42.	ILN/1:21-cv-00624 2/3/2021 1:21-cv-21300-CMA	James Hiscock **LOFTUS & EISENBERG, LTD**. Alexander N. Loftus David Eisenberg alex@loftusandeisenberg.com david@loftusandeisenberg.com 161 N. Clark St. 16th Floor Chicago, IL 60601 312-899-6625	TD Ameritrade, Inc.	1. Negligence 2. Breach of Fiduciary Duty	Other Broker

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	Local Case Number, Filing Date & SD FL Case Number	Plaintiff(s)/ Counsel	Defendant(s)	Claims	Tranche
		LAW OFFICES OF JOSHUA B. KONS, LLC Joshua Bradford Kons joshuakons@konslaw.com 939 West North Avenue Suite 750 Chicago, IL 60642 312-757-2272			
43.	ILN/1:21-cv-01601 3/23/2021 1:21-cv-21446-CMA	Mark Milhouse **EDELSON PC** Eve-Lynn Rapp erapp@edelson.com 2101 Pearl Street Boulder, CO 80302 720-741-0076 Eviealle Dawkins edawkins@edelson.com 350 North LaSalle Street 14th Floor Chicago, Illinois 60654 312-589-6370 Lily Hough lhough@edelson.com Christopher Dore cdore@edelson.com 150 California Street 18th Floor San Francisco, CA 94111 415-212-9300	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Negligence 2. Breach of Fiduciary Duty 3. Violation of "UCL" (Unfair Competition Law) CAL. BUS. & PROF. CODE § 17200 4. Unjust Enrichment	Robinhood

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44.	ILN/1:21-cv-01643 3/25/2021 1:21-cv-21447-CMA	Hani Odeh **LOFTUS & EISENBERG, LTD.** Alexander N. Loftus David Eisenberg alex@loftusandeisenberg.com david@loftusandeisenberg.com 161 N. Clark St. 16th Floor Chicago, IL 60601 312-899-6625	1. TD Ameritrade, Inc. Respondents in Discovery 2. Citadel Securities Americas LLC 3. Citadel Enterprise Americas, LLC 4. Citadel Execution Services, Inc.	1. Negligence 2. Breach of Fiduciary Duty	Other Broker
45.	MN/0:21-cv-00689 3/11/2021 1:21-cv-21478-CMA	Julie Fox Preeno Huq **GUSTAFSON GLUEK PLLC** Daniel Gustafson David Goodwin Daniel Hedlund Kaitlyn Denis dgustafson@gustafsongluek.com dgoodwin@gustafsongluek.com dhedlund@gustafsongluek.com kdennis@gustafsongluek.com 120 S. 6th St., Minneapolis, MN, 55402 612-333-8844 **SCOTT HIRSCH LAW GROUP** Scott Hirsch scott@scotthirschlawgroup.com 6810 N. State Road 7 Coconut Creek, FL 33073	1. Ally Financial Inc. 2. Alpaca Securities, LLC 3. Cash App Investing LLC 4. Square, LLC 5. Dough LLC 6. Morgan Stanley Smith Barney, LLC 7. E*Trade Securities LLC 8. E*Trade Financial Corp 9. E*Trade Financial Holdings, LLC 10. eToro USA Securities, Inc. 11. Freetrade, Ltd. 12. Interactive Brokers, LLC 13. M1 Finance, LLC 14. Open to the Public Investing, Inc. 15. Robinhood Financial LLC 16. Robinhood Markets, Inc. 17. Robinhood Securities, LLC 18. IG Group Holdings PLC 19. Tastyworks, Inc. 20. TD Ameritrade, Inc. 21. The Charles Schwab Corporation 22. Charles Schwab & Co., Inc.	1. Breach of the Implied Covenant of Good Faith and Fair Dealing 2. Negligence 3. Breach of Fiduciary Duty 4. Constructive Fraud 5. Conspiracy to Restrain Trade in Violation of Section 1 of the Sherman Act 6. Negligence per se 7. Conspiracy to Restrain Trade in Violation Minnesota Antitrust Law Stat. 325D.51	Antitrust, Robinhood, Other Broker

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		561-569-7062	23. FF Trade Republic Growth, LLC 24. Trading 212 Ltd. 25. Trading 212 UK Ltd. 26. Webull Financial, LLC 27. Fumi Holdings, Inc. 28. Stash Financial, Inc. 29. Barclays Bank PLC 30. Citadel Enterprise Americas, LLC 31. Citadel Securities, LLC 32. Melvin Capital Management, LP 33. Sequoia Capital Operations LLC 34. Apex Clearing Corporation 35. The Depository Trust & Clearing Corporation		
46.	NE/8:21-cv-00093 3/8/2021 1:21-cv-21450-CMA	Francis Shaeffer **DOMINA LAW GROUP** David A. Domina ddomina@dominalaw.com dad@dominalaw.com 2425 South 144th Street Omaha, NE 68144 402-858-9212 **EVANGELISTA WORLEY, LLC** Stuart J. Guber David Worley James Evangelista Kristi McGregor Leslie Toran stuart@ewlawllc.com david@ewlawllc.com jim@ewlawllc.com	TD Ameritrade, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing	Other Broker

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		kristi@ewlawllc.com leslie@ewlawllc.com 500 Sugar Mill Road, Bldg. A Suite 245 Atlanta, GA 30350 404-963-8481			
47.	NJ/2:21-cv-01348 1/28/2021 1:21-cv-21305-CMA	Bartosz Zybura **DEREK SMITH LAW GROUP, PLLC** Alexander Gabriel Cabeceiras alexc@dereksmithlaw.com One Penn Plaza Suite 4905 New York, NY 10119 212-587-0670 Caroline Hope Miller caroline@dereksmithlaw.com 701 Brickell Ave Suite 1310 Miami, FL 33131 305-946-1884	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty	Robinhood
48.	NJ/2:21-cv-01729 2/2/2021 1:21-cv-21307-CMA	Damon Muncy **THE ROSEN LAW FIRM P.A.** Laurence Rosen Phillip Kim Erica Stone lrosen@rosenlegal.com pkim@rosenlegal.com estone@rosenlegal.com 275 Madison Avenue, 40th Floor New York, NY 10016	Robinhood Financial, LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Violation of 10b and 10b-5 2. Violation of 9(a)(2) of the Exchange Act	Securities

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		212-686-1060			
49.	NJ/3:21-cv-01361 1/29/2021 1:21-cv-21316-CMA	Asad Noorzaie **IBRAHIM AHMED LAW GROUP, P.C.** Ibrahim Ahmed Fayyaz Ahmed i.ahmed@ibrahimalaw.com f.hamed@ibrahimalaw.com 4105 US-1 South, Suite 2 Monmouth Junction, NJ 08852 609-235-7820	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty	Robinhood
50.	NYE/1:21-cv-00677 2/8/2021 1:21-cv-21451-CMA	Dan Dechirico Angel Guzman Joshua Palmer **HACH ROSE SCHIRRIPA & CHEVERIE LLP** Frank Schirripa Eugene Zaydfudim Kathryn Hettler Daniel Rehns FSchirripa@hrsclaw.com ezaydfudim@hrsclaw.com khettler@hrsclaw.com drehns@hrsclaw.com 112 Madison Ave New York, NY 10016 212-213-8311	1. Ally Financial, Inc. 2. Alpaca Securities, LLC 3. Cash App Investing LLC 4. Square, LLC 5. Morgan Stanley Smith Barney, LLC 6. E*Trade Securities LLC 7. E*Trade Financial Corp 8. E*Trade Financial Holdings, LLC eToro USA Securities, Inc. TERMINATED 2/24/2021 9. Freetrade, Ltd. 10. Interactive Brokers, LLC 11. M1 Finance, LLC 12. Open to the Public Investing, Inc. 13. Robinhood Financial LLC 14. Robinhood Markets, Inc. 15. Robinhood Securities, LLC	1. Breach of the Implied Covenant of Good Faith and Fair Dealing 2. Negligence 3. Breach of Fiduciary Duty 4. Constructive Fraud 5. Violation of Section 1 of the Sherman Act 6. Violation of New York Deceptive Trade Practices Act N.Y. Gen Bus. L. 349 7. Violation of New York Deceptive Trade Practices Act N.Y. Gen Bus. L. 350 8. Violation of the Donnelly Act N.Y. Gen. Bus. L. 340	Antitrust, Robinhood, Other Broker

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			16. IG Group Holdings PLC 17. Tastyworks, Inc. 18. TD Ameritrade, Inc. 19. The Charles Schwab Corporation 20. Charles Schwab & Co., Inc 21. FF Trade Republic Growth, LLC 22. Trading 212 Ltd. 23. Trading 212 UK Ltd. 24. Webull Financial, LLC 25. Fumi Holdings, Inc. 26. Stash Financial, Inc. 27. Barclays Bank PLC 28. Citadel Enterprise Americas, LLC 29. Citadel Securities, LLC 30. Melvin Capital Management, LP 31. Sequoia Capital Operations LLC 32. Apex Clearing Corporation 33. The Depository Trust & Clearing Corporation		
51.	NYS/1:21-cv-00777 1/28/2021 1:21-cv-21333-CMA	Brendon Nelson Brandon Nelson Benedict Wong Steffon Dunlop Alaa Khoury Nicholas Bogan **DEREK SMITH LAW GROUP, PLLC** Alexander Gabriel Cabeceiras alexc@dereksmithlaw.com Seamus Barrett sbarrett@spbarrettlaw.com One Penn Plaza, Suite 4905 New York, NY 10119	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty	Robinhood

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		212-587-0670 Caroline Hope Miller caroline@dereksmithlaw.com 701 Brickell Ave Suite 1310 305-946-1884 Miami, FL 33131			
52.	NYS/1:21-cv-00799 1/28/2021 1:21-cv-21335-CMA	Royal Williams **SIRI & GLIMSTAD LLP** Elizabeth Ann Brehm Rachel Meese Aaron Siri Mason Barney ebrehm@sirillp.com rmeese@sirillp.com aaron@sirillp.com mbarney@sirillp.com 200 Park Avenue 17th Floor New York, NY 10166 212-532-1091	Webull Financial, LLC	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty	Other Broker
53.	OR/3:21-cv-00319 3/2/2021 1:21-cv-21453-CMA	Raymond Norvell Mike Vallejo David Segovia **OLSENDAINES** Michael Fuller michael@underdoglawyer.com US Bancorp Tower 111 SW 5th Ave. Suite 3150	Robinhood Markets, Inc.	1. Unlawful Trade Practices ORS 646.608	Robinhood

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		Portland, OR 97204 503-222-2000			
54.	PAE/2:21-cv-00489 2/2/2021 1:21-cv-21353-CMA	Steven Minnick Jhanna White **DEREK SMITH LAW GROUP, PLLC** Nathaniel N. Peckham nathaniel@dereksmithlaw.com 1835 Market Street Suite 2950 Philadelphia, PA 19103 215-391-4790 Alexander Gabriel Cabeceiras alexc@dereksmithlaw.com One Penn Plaza Suite 4905 New York, NY 10119 212-587-0670	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty 5. Violation of the Pennsylvania Unfair Trade Practices and Consumer Protection Law 73 P.S. §§201.1 6. Violation of the Pennsylvania Securities Act of 1972 70 P.S. §§101.1	Robinhood
55.	TXS/4:21-cv-00292 1/29/2021 1:21-cv-21338-CMA	Ryan Zachary Ross Drew Hunnicut Erika Mercado C. Louis Bunya **MCDONALD WORLEY, P.C.** Gabriel Amin Assaad Matthew Yezierski McDonald Worley gassaad@mcdonaldworley.com	1. Ally Financial, Inc. 2. Alpaca Securities, LLC 3. Cash App Investing LLC 4. Square, LLC 5. Dough LLC 6. Morgan Stanley Smith Barney, LLC 7. E*Trade Securities LLC 8. E*Trade Financial Corp 9. E*Trade Financial Holdings, LLC 10. eToro USA Securities, Inc. 11. Free Freetrade, Ltd.	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty 5. Constructive Fraud 6. Conversion 7. Violation of Section 1 of the Sherman Act	Antitrust, Robinhood, Other Brokers

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	Local Case Number, Filing Date & SD FL Case Number	Plaintiff(s)/ Counsel	Defendant(s)	Claims	Tranche
		matt@mcdonaldworley.com don@mcdonaldworley.com 1770 St. James Place Suite 100 Houston, TX 77056 713-523-5500 **FARRAR BALL LLP** William Rey Ogden bill@fbtrial.com 1117 Herkimer Street Houston, TX 77008 713-221-8300	12. Interactive Brokers, LLC 13. M1 Finance, LLC 14. Open to the Public Investing, Inc. 15. Robinhood Financial LLC 16. Robinhood Markets, Inc. 17. Robinhood Securities, LLC 18. IG Group Holdings PLC 19. Tastyworks, Inc. 20. TD Ameritrade, Inc. 21. The Charles Schwab Corporation 22. Charles Schwab & Co., Inc. 23. FF Trade Republic Growth, LLC 24. Trading 212 Ltd. 25. Trading 212 UK Ltd. 26. Webull Financial, LLC 27. Fumi Holdings, Inc. 28. Stash Financial, Inc. 29. Barclays Bank PLC 30. Citadel Enterprise Americas, LLC 31. Citadel Securities, LLC 32. D1 Capital Partners, L.P. 33. Melvin Capital Management, LP 34. Maplelane Capital, LLC 35. Point72 Asset Management, L.P. 36. Sequoia Capital Operations LLC 37. Apex Clearing Corporation 38. The Depository Trust & Clearing Corporation	8. Tortious Interference with Contractual Relationship 9. Violations of State Antitrust Laws	
56.	TXS/4:21-cv-00311 1/30/21 1:21-cv-21350-CMA	Brian Ng Chetan Patel Anuj Kapur Jayesh Shah Summit Thakral	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Breach of Duty of Good Faith and Fair Dealing 3. Negligence	Robinhood

In Re: January 2021 Short Squeeze Trading Litigation
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Consolidated Cases

	Local Case Number, Filing Date & SD FL Case Number	Plaintiff(s)/ Counsel	Defendant(s)	Claims	Tranche
		REICH & BINSTOCK LLP Dennis C. Reich Shreedhar R. Patel Robert Binstock dreich@reichandbinstock.com spatel@reichandbinstock.com bbinstock@reichandbinstock.com 4265 San Felipe, Ste. 1000 Houston, TX 77027 713-622-7271		4. Breach of Fiduciary Duty 5. Breach of Express and Implied Warranties	
57.	TXS/4:21-cv-01083 4/1/2021 1:21-cv-21479-CMA	Nermin Memic Bharat Thakkar Skyler Baird Carlos Ferreiro **D. MILLER & ASSOCIATES, PLLC** Anuj Kapur Andy Rubenstein anuj@dmillerlaw.com andy@dmillerlaw.com 2610 W. Sam Houston Parkway Suite 200 Houston, TX 77042 713-850-8600 **NAPOLI SHKOLNIK PLLC** Nicholas R. Farnolo nfarnolo@napolilaw.com 400 Broadhollow Road Melville, NY 11747 212-397-1000	Robinhood Financial LLC Robinhood Securities, LLC Robinhood Markets, Inc.	1. Breach of Contract 2. Negligence 3. Breach of Fiduciary Duty 4. Breach of Duty of Good Faith and Fair Dealing 5. Breach of Express and Implied Warranties	Robinhood

In Re: January 2021 Short Squeeze Trading Litigation
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Consolidated Cases

	Local Case Number, Filing Date & SD FL Case Number	Plaintiff(s)/ Counsel	Defendant(s)	Claims	Tranche
58.	VAE/1:21-cv-00115 1/29/2021 1:21-cv-21351-CMA	Matthew Lavin **ROBERTS TATE LLC** Lena Marguerite Mirilovic Parker James Lavin lmirilovic@robertstate.com plavin@robertstate.com 2487 Demere Rd. Suite 400 St. Simons Island, GA 32802 912-638-5200	1. Robinhood Financial, LLC 2. Robinhood Securities, LLC 3. Robinhood Markets, Inc. 4. Citadel Securities, LLC 5. Citadel Enterprise Americas, LLC 6. Melvin Capital Management, LP	1. Breach of Contract 2. Breach of the Implied Covenant of Good Faith and Fair Dealing 3. Negligence 4. Breach of Fiduciary Duty 5. Conspiracy 6. Attempted Monopolization of the Stock Market in Violation of Section 2 of the Sherman Act 7. Unlawful Combination and Conspiracy in Restraint of Trade in Violation of Section 1 of the Sherman Act 8. Business Conspiracy Under Virginia Code § 18.2-499	Antitrust, Robinhood,

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