

United States District Court
Southern District of Florida

Case Number: 23 CR 60173

SUPPLEMENTAL ATTACHMENT(S)

Please refer to supplemental "file" in the division where the Documents/Exhibits were submitted and filed.

Division Document/Exhibits Submitted and Filed: _____

These Documents/Exhibits must not be placed in the "temp chron file".

Documents/Exhibits Retained in Supplemental Files (Scanned)

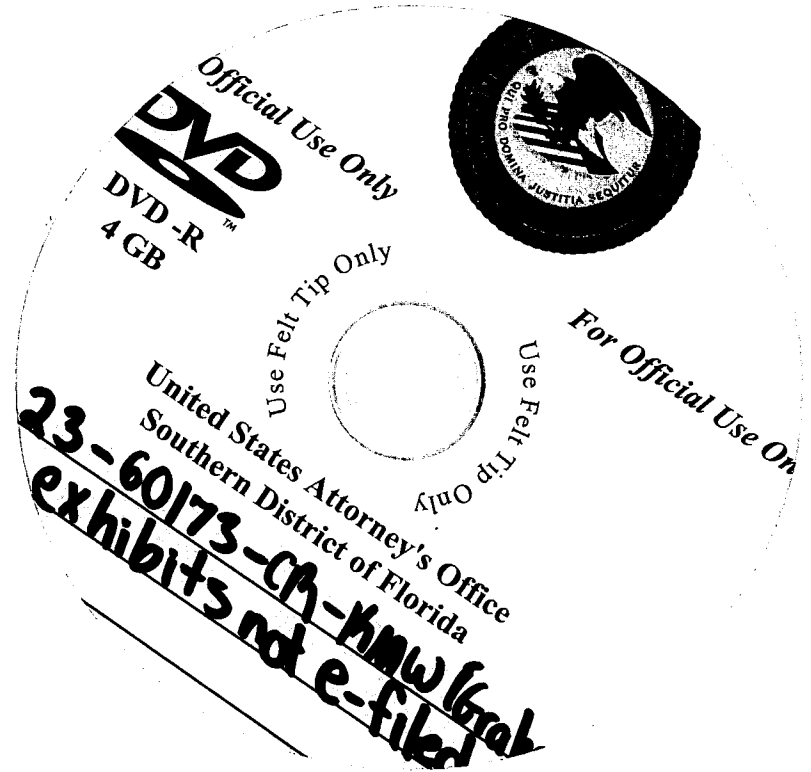
- ___ • Poor quality scanned images (i.e. Handwritten, Photographs)
- ___ • Surety bonds
- ___ • Bound extradition papers

Documents/Exhibits Retained in Supplemental Files (Not Scanned)

- ☒ CD, DVD, USB drive. (i.e. Audio/Visual)

** All other documents and documentary exhibits are part of the CM/ECF Case Record in pdf format.

Date: 11/4/2024



UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA

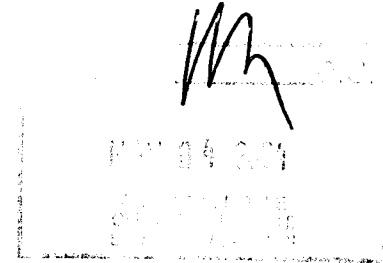
CASE NO. 23-60173-CR-KMW (Graham)

UNITED STATES OF AMERICA

vs.

CAROLYN DENISE WADE and
TRACY D. WADE,

Defendants.



CERTIFICATE OF COMPLIANCE RE: ADMITTED EVIDENCE

I, David Snider, as counsel for the government, hereby certify the following:

☐ ALL EXHIBITS E-FILED: All documentary exhibits and photographs of non-documentary physical exhibits offered or introduced into evidence have been electronically filed in CM/ECF.

☒ EXHIBITS NOT E-FILED: The following is an itemized list of exhibits that are exempt from mandatory electronic filing pursuant to Local Rule 5.3(b)(3):

SEE ATTACHED

Any original exhibits that have been returned to or retained by the filing party after electronic filing shall be kept for safe keeping until the conclusion of any appeals. Upon order of court, the filing party agrees to return the original exhibits to the Clerk of Court.

This Certificate shall be filed within ten (10) days of the conclusion of a hearing or trial. Failure to timely comply with the requirements of Local Rule 5.3(b) may result in the imposition of sanctions.

Signature:

A handwritten signature, likely 'David A. Snider', is written over a horizontal line.

Date:

11/04/2024

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA**

CASE NO. 23-60173-CR-KMW (GRAHAM)

UNITED STATES OF AMERICA

vs.

**CAROLYN DENISE WADE and
TRACY D. WADE,**

Defendants.

APPENDIX 1

**LIST OF GOVERNMENT EXHIBITS ADMITTED AT TRIAL EXEMPT FROM
MANDATORY ELECTRONIC FILING PURUSANT TO LOCAL RULE 5.3(b)(3)(C)**

GOV. EX. NO.	DATE ADMITTED	DESCRIPTION OF EXHIBIT
100	10/8/24	Womply Record for SBA Loan No. 6697269001 – Fund Rocket Screenshot
101	10/8/24	Womply Record for SBA Loan No. 6697269001 – PPP Fast Lane Application Details
102	10/8/24	Womply Record for SBA Loan No. 6697269001 – IP History Report (PDF)
102e	10/8/24	Womply Record for SBA Loan No. 6697269001 – IP History Report (Excel)
102.1	10/8/24	Womply Record for SBA Loan No. 6697269001 – Portal Login Information (PDF)
102.1e	10/8/24	Womply Record for SBA Loan No. 6697269001 – Portal Login Information (Excel)
102.2	10/8/24	Womply Record for SBA Loan No. 6697269001 – Version History Spreadsheet (PDF)
102.2e	10/8/24	Womply Record for SBA Loan No. 6697269001 – Version History Spreadsheet (Excel)
103	10/8/24	Womply Record for SBA Loan No. 6697269001 – KYC Details for Bank ID 2091
104	10/8/24	Womply Record for SBA Loan No. 6697269001 – KYC Details for Bank ID 2097
105	10/8/24	Womply Record for SBA Loan No. 6697269001 – Bank Data

APPENDIX 1**LIST OF GOVERNMENT EXHIBITS ADMITTED AT TRIAL EXEMPT FROM MANDATORY ELECTRONIC FILING PURUSANT TO LOCAL RULE 5.3(b)(3)(C)**

GOV. EX. NO.	DATE ADMITTED	DESCRIPTION OF EXHIBITS
106	10/8/24	Womply Record for SBA Loan No. 6697269001 – Bank Documents for Bank ID 2097
107	10/8/24	Womply Record for SBA Loan No. 6697269001 – Funding Instructions for Bank ID 2097
108	10/8/24	Womply Record for SBA Loan No. 6697269001 – Supporting Tax Documents for Bank ID 2097
109	10/8/24	Womply Record for SBA Loan No. 6697269001 – Carolyn Wade USAA Bank Statement
110	10/8/24	Womply Record for SBA Loan No. 6697269001 – Carolyn Wade USAA Bank Statement
111	10/8/24	Womply Record for SBA Loan No. 6697269001 – Carolyn Wade USAA Bank Statement
112	10/8/24	Womply Record for SBA Loan No. 6697269001 – Carolyn Wade USAA Bank Statement
113	10/8/24	Womply Record for SBA Loan No. 6697269001 – IRS Form 1040, Schedule C
114	10/8/24	Womply Record for SBA Loan No. 6697269001 – Persona Identity Verification Report (Failed)
114.1	10/8/24	Persona Identify Verification record related to SBA Loan No. 6697269001
114.2	10/8/24	Persona Identify Verification record related to SBA Loan No. 6697269001
115	10/8/24	Womply Record for SBA Loan No. 6697269001 – Persona Identity Verification Report (Approved)
115.1	10/8/24	Persona Identify Verification record related to SBA Loan No. 6697269001
115.2	10/8/24	Persona Identify Verification record related to SBA Loan No. 6697269001
116	10/8/24	Womply Record for SBA Loan No. 6697269001 – SBA Form 2483-C (PPP Loan Application) Signed
116.1	10/8/24	DocuSign Envelope History for Envelope ID “741ee07c-4ce4-4423-a6d1-d8e91f08bf27”
117	10/8/24	Womply Record for SBA Loan No. 6697269001 – SBA Form 2483-C (PPP Loan Application) Signed May 28, 2021
117.1	10/8/24	DocuSign Envelope History for Envelope ID “6bb13c16-055b-4b87-8d7e-c711d6046f00”
118	10/8/24	SBA Record for SBA Loan No. 6697269001 – SBA Form 3508S (PPP Loan Forgiveness Application) Signed August 7, 2021
118.1	10/8/24	DocuSign Envelope History for Envelope ID “6c82f48c-0ac0-4991-929d-0e0fcba643c2”

APPENDIX 1**LIST OF GOVERNMENT EXHIBITS ADMITTED AT TRIAL EXEMPT FROM
MANDATORY ELECTRONIC FILING PURUSANT TO LOCAL RULE 5.3(b)(3)(C)**

GOV. EX. NO.	DATE ADMITTED	DESCRIPTION OF EXHIBITS
119	10/8/24	Harvest Record for SBA Loan No. 6697269001 – Notice of PPP Forgiveness Payment
120	10/8/24	Womply Record for SBA Loan No. 6697269001 – List of Emails sent to ckidd1226@gmail.com
120.1	10/8/24	Womply Record for SBA Loan No. 6261949003 – List of Emails sent to tracyw764@gmail.com
121	10/8/24	Womply Record for SBA Loan No. 6697269001 – Email ID 1122 sent to ckidd1226@gmail.com
122	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1214 sent to ckidd1226@gmail.com and tracyw764@gmail.com
123	10/8/24	Womply Record for SBA Loan No. 6697269001 – Email ID 1216 sent to ckidd1226@gmail.com
124	10/8/24	Womply Record for SBA Loan No. 6697269001 – Email ID 1217 sent to ckidd1226@gmail.com
125	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1222 sent to ckidd1226@gmail.com and tracyw764@gmail.com
126	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1227 sent to ckidd1226@gmail.com and tracyw764@gmail.com
127	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1229 sent to ckidd1226@gmail.com and tracyw764@gmail.com
128	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1246 sent to ckidd1226@gmail.com and tracyw764@gmail.com
129	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1248 sent to ckidd1226@gmail.com and tracyw764@gmail.com
130	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1251 sent to ckidd1226@gmail.com and tracyw764@gmail.com
131	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1256 sent to ckidd1226@gmail.com and tracyw764@gmail.com
132	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1260 sent to ckidd1226@gmail.com and tracyw764@gmail.com

APPENDIX 1**LIST OF GOVERNMENT EXHIBITS ADMITTED AT TRIAL EXEMPT FROM
MANDATORY ELECTRONIC FILING PURUSANT TO LOCAL RULE 5.3(b)(3)(C)**

GOV. EX. NO.	DATE ADMITTED	DESCRIPTION OF EXHIBITS
133	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1263 sent to ckidd1226@gmail.com and tracyw764@gmail.com
134	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1268 sent to ckidd1226@gmail.com and tracyw764@gmail.com
135	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1273 sent to ckidd1226@gmail.com and tracyw764@gmail.com
136	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1319 sent to ckidd1226@gmail.com and tracyw764@gmail.com
137	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1493 sent to ckidd1226@gmail.com and tracyw764@gmail.com
138	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1500 sent to ckidd1226@gmail.com and tracyw764@gmail.com
139	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1503 sent to ckidd1226@gmail.com and tracyw764@gmail.com
140	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1509 sent to ckidd1226@gmail.com and tracyw764@gmail.com
141	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1514 sent to ckidd1226@gmail.com and tracyw764@gmail.com
142	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1517 sent to ckidd1226@gmail.com and tracyw764@gmail.com
143	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1519 sent to ckidd1226@gmail.com and tracyw764@gmail.com
144	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1533 sent to ckidd1226@gmail.com and tracyw764@gmail.com
145	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1539 sent to ckidd1226@gmail.com and tracyw764@gmail.com

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GOV. EX. NO.	DATE ADMITTED	DESCRIPTION OF EXHIBITS
146	10/8/24	Womply Record for SBA Loan Nos. 6697269001 and 6261949003 – Email ID 1546 sent to ckidd1226@gmail.com and tracyw764@gmail.com
147	10/8/24	Womply Record for SBA Loan No. 6261949003 – Email ID 1051 sent to tracyw764@gmail.com
148	10/8/24	Womply Record for SBA Loan No. 6261949003 – Email ID 1387 sent to tracyw764@gmail.com
149	10/8/24	Womply Record for SBA Loan No. 6261949003 – Fund Rocket Screenshot
150	10/8/24	Womply Record for SBA Loan No. 6261949003 – PPP Fast Lane Application Details
151	10/8/24	Womply Record for SBA Loan No. 6261949003 – KYC Details for Deal ID 63336
152	10/8/24	Womply Record for SBA Loan No. 6261949003 – Bank Documents for Deal ID 63336
152.1	10/8/24	Womply Record for SBA Loan No. 6261949003 – Account Statement for SunTrust Account
152.2	10/8/24	Womply Record for SBA Loan No. 6261949003 – Account Statement for USAA Classic Checking
152.3	10/8/24	Womply Record for SBA Loan No. 6261949003 – Account Statement for USAA Classic Checking
152.4	10/8/24	Womply Record for SBA Loan No. 6261949003 – Account Statement for USAA Classic Checking
153	10/8/24	Womply Record for SBA Loan No. 6261949003 – Supporting Tax Documents
154	10/8/24	Womply Record for SBA Loan No. 6261949003 – IRS Form 1040, Schedule C for “Tracy Wade”
155	10/8/24	Womply Record for SBA Loan No. 6261949003 – Funding Instructions
156	10/8/24	Persona Identify Verification record related to SBA Loan No. 6261949003 – Inquiry Report
156.1	10/8/24	Persona Identify Verification record related to SBA Loan No. 6261949003 – Sessions Tab
156.2	10/8/24	Persona Identify Verification record related to SBA Loan No. 6261949003 – Verifications Tab
157	10/8/24	Womply Record for SBA Loan No. 6261949003– SBA Form 2483-C (PPP Loan Application) for “Tracy Wade” dated May 12, 2021
157.1	10/8/24	DocuSign Certificate of Completion for Envelope ID “89A18283E64947B790AE064EAA69D98B”

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GOV. EX. NO.	DATE ADMITTED	DESCRIPTION OF EXHIBITS
157.2	10/8/24	DocuSign Envelope History for Envelope ID "89A18283E64947B790AE064EAA69D98B"
158	10/8/24	Womply Record for SBA Loan No. 6261949003– SBA Form 2483-C (PPP Loan Application) for "Tracy Wade" dated May 18, 2021
158.1	10/8/24	DocuSign Envelope History for Envelope ID "e7657691-b54f-462f-b819-b7561aae596e"
159	10/8/24	Womply Record for SBA Loan No. 6261949003– SBA Form 2483-C (PPP Loan Application) and Note for "Tracy Wade" dated May 27, 2021
159.1	10/8/24	DocuSign Envelope History for Envelope ID "5dfe0ae0-f761-4c3f-9751-251749bdbbbc"
160	10/8/24	SBA Record for SBA Loan No. 6261949003 – SBA Form 3508S (PPP Loan Forgiveness Application) for "Tracy Wade" dated August 7, 2021
160.1	10/8/24	DocuSign Certificate of Completion for Envelope ID "747527CFFCDD4EB28B85C1225696CA49"
160.2	10/8/24	DocuSign Envelope History for Envelope ID "747527CFFCDD4EB28B85C1225696CA49"
161	10/8/24	SBA Record for SBA Loan No. 6261949003 – Notice of PPP Forgiveness Payment
162	10/8/24	Womply Record for SBA Loan No. 6261949003 – IP History Report (PDF)
162e	10/8/24	Womply Record for SBA Loan No. 6261949003 – IP History Report (Excel)
163	10/8/24	Womply Record for SBA Loan No. 6261949003 – Portal Login Information (PDF)
163e	10/8/24	Womply Record for SBA Loan No. 6261949003 – Portal Login Information (Excel)
164	10/8/24	Womply Record for SBA Loan No. 6261949003 – Version History Spreadsheet (PDF)
164e	10/8/24	Womply Record for SBA Loan No. 6261949003 – Version History Spreadsheet (Excel)
200	10/8/24	IRS Form 1040 U.S Individual Income Tax Return for Carolyn Wade for Tax Year 2018
201	10/8/24	IRS Form 1040 U.S Individual Income Tax Return for Carolyn Wade for Tax Year 2019
202	10/8/24	IRS Form 1040 U.S Individual Income Tax Return for Carolyn Wade for Tax Year 2020
203	10/8/24	IRS Form 1040-X Amended U.S Individual Income Tax Return for Carolyn Wade for Tax Year 2020

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GOV. EX. NO.	DATE ADMITTED	DESCRIPTION OF EXHIBITS
204	10/8/24	IRS Form 1040 U.S Individual Income Tax Return for Tracy Wade for Tax Year 2018
205	10/8/24	IRS Form 1120-S U.S Income Tax Return for Wade Funeral Home LLC for Tax Year 2018
206	10/8/24	IRS Form 1040 U.S Individual Income Tax Return for Tracy Wade for Tax Year 2019
207	10/8/24	IRS Form 1120-S U.S Income Tax Return for Wade Funeral Home LLC for Tax Year 2019
208	10/8/24	IRS Form 1040 U.S Individual Income Tax Return for Tracy Wade for Tax Year 2020
209	10/8/24	IRS Form 1120-S U.S Income Tax Return for Wade Funeral Home LLC for Tax Year 2020
210	10/8/24	IRS Form 1120-S U.S Income Tax Return for Wade Funeral Home LLC for Tax Year 2021
211	10/8/24	IRS Certification of Lack of Record for Form 941 for Wade Funeral Home March 31, 2018 through December 31, 2021
212	10/8/24	IRS Certification of Lack of Record for Forms 1065 and 940 for Wade Funeral Home December 31, 2018 through December 31, 2021
300	10/8/24	USAA Federal Savings Bank Member Authentication History for Member Number ending 6578
301	10/8/24	USAA Federal Savings Bank Signature Card for Account Number ending 3926
302	10/8/24	USAA Federal Savings Bank Account Statements for Account Number ending 3926
303	10/8/24	USAA Federal Savings Bank Account Statements for Account Number ending 3926
303.1	10/8/24	USAA Federal Savings Bank Account Statement for Account Number ending 3926 – 05/27/2021 to 06/28/2021
304	10/8/24	USAA Federal Savings Bank Account Check Images from Account Number ending 3926
305	10/8/24	USAA Federal Savings Bank Account Check Image from Account Number ending 3926
306	10/8/24	USAA Federal Savings Bank Account Statements for Account Number ending 7309
306.1	10/8/24	USAA Federal Savings Bank Account Statement for Account Number ending 7309 – 05/07/2021 to 06/07/2021
307	10/8/24	USAA Federal Savings Bank Account Check Images from Account Number ending 7309

APPENDIX 1**LIST OF GOVERNMENT EXHIBITS ADMITTED AT TRIAL EXEMPT FROM MANDATORY ELECTRONIC FILING PURUSANT TO LOCAL RULE 5.3(b)(3)(C)**

GOV. EX. NO.	DATE ADMITTED	DESCRIPTION OF EXHIBITS
400	10/8/24	Records from Florida Department of Revenue regarding Wade Funereal Home South LLC, Just Us 2 Foundation Inc, and Carolyn Wade
401	10/8/24	Records from Florida Department of Revenue regarding Tracy Wade and Wade Funeral Home LLC
500	10/8/24	Broward Sheriff's Office Employee Action History for Carolyn Wade
501	10/8/24	Broward Sheriff's Office contact information for Carolyn Wade
502	10/8/24	Sheriff's Policy Manual Section 3.16 titled "Off- Duty and Extra Duty Employment," effective September 6, 2018
503	10/8/24	Broward Sheriff's Office Off-Duty Employment Form
504	10/8/24	Broward Sheriff's Office network interface showing IP address 70.151.137.130
505	10/8/24	Broward Sheriff's Office Employee Action History for Tracy Wade
506	10/8/24	Broward Sheriff's Office Off-Duty Employment Forms regarding Wade Funeral Home
600	10/8/24	AT&T Subscriber / IP Usage record for U-Verse account number ending 5638
601	10/8/24	AT&T Subscriber / IP Usage record for U-Verse account number ending 7558
700	10/8/24	Google subscriber information for Google Account ID ending 6506 (PDF file – page 1)
700e	10/8/24	Google subscriber information for Google Account ID ending 6506 (Google Chrome file)
701	10/8/24	Google subscriber information for Google Account ID ending 9890
800	10/8/24	Verizon cell phone records for cell phone number ending 6800.
801	10/8/24	Verizon subscriber record for account number ending 6310-1 (PDF)
801e	10/8/24	Verizon subscriber record for account number ending 6310-1 (Excel)
802	10/8/24	Verizon device record for account number ending 6310-1 (PDF)
802e	10/8/24	Verizon device record for account number ending 6310-1 (Excel)

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MANDATORY ELECTRONIC FILING PURUSANT TO LOCAL RULE 5.3(b)(3)(C)**

GOV. EX. NO.	DATE ADMITTED	DESCRIPTION OF EXHIBITS
803	10/8/24	Verizon phone number record for account number ending 6310-1 (PDF)
803e	10/8/24	Verizon phone number record for account number ending 6310-1 (Excel)
804	10/8/24	Verizon payment history record for account number ending 6310-1 (PDF)
804e	10/8/24	Verizon payment history record for account number ending 6310-1 (Excel)
805	10/8/24	Letter dated September 11, 2024 from Verizon regarding IP addresses.
806	10/8/24	Verizon cell phone records for cell phone number ending 1512.
806.1	10/8/24	Selected pages from Government Exhibit 806 (Verizon cell phone records for cell phone number ending 1512)
807	10/8/24	Verizon subscriber record for account number ending 6310-1 (PDF)
807e	10/8/24	Verizon subscriber record for account number ending 6310-1 (Excel)
808	10/8/24	Verizon device record for account number ending 6310-1 (PDF)
808e	10/8/24	Verizon device record for account number ending 6310-1 (Excel)
1000.1	10/17/24	Demonstrative Summary

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA

CASE NO. 23-60173-CR-KMW (GRAHAM)

UNITED STATES OF AMERICA

vs.

CAROLYN DENISE WADE and
TRACY D. WADE,

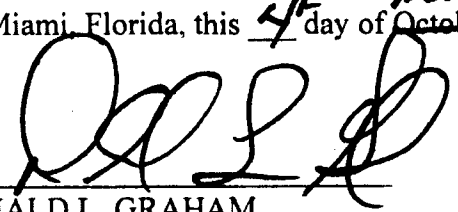
Defendants.

ORDER

THIS CAUSE is before the Court upon the government's motion for leave to file conventionally with the Clerk of Court, on a CD, DVD, or other electronic medium, all government exhibits admitted into evidence during the trial of this case that contain voluminous amounts of confidential information, which exhibits are listed on Appendix 1 to the motion, pursuant to Local Rule 5.3(b)(3)(C), which exempts from mandatory electronic filing any exhibits containing voluminous amounts of confidential information that is subject to privacy protections in accordance with Fed. R. Civ. P. 5.2, Fed. R. Crim. P. 49.1, or other applicable rule or statute. The Court has considered the Motion and is otherwise advised in the premises.

It is hereby **ORDERED AND ADJUDGED** that the Motion is **GRANTED**.

DONE AND ORDERED in Chambers, at Miami, Florida, this 4th day of ~~October~~ ^{November}, 2024.



DONALD L. GRAHAM
UNITED STATES DISTRICT JUDGE

Copies provide to:
Counsel of record via CM/ECF