IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF PENNSYLVANIA

ALICIA MARSHALL, DANIEL PRONSKY, PARIS TOWNSEND, NANCILEE HOLLAND, LEONA OWSLEY, KOLAWOLE AHMADOU, KIANA DERVIN, KRISTINA HENDERSON, DUSTIN INNIS, KELLY STALNAKER and JAMIE JONES, individually and on behalf of all others similarly situated, Plaintiffs, v. PRESTAMOS CDFI, LLC and CHICANOS POR LA CAUSA, INC., Defendants.	Case No. 5:21-cv-04337-JMG **<u>DECLARATION OF ALEXA L. LEVY</u>**

I, Alexa L. Levy, declare as follows:

1. I am an associate of the law firm of Ballard Spahr LLP, 1735 Market Street, Philadelphia, PA 19103, attorneys for Defendants Prestamos CDFI, LLC ("Prestamos") and Chicanos Por La Causa, Inc ("CPLC") (collectively, "Defendants"). I submit this declaration in support of Defendant CPLC's renewed motion to dismiss Plaintiffs' Second Amended Complaint.

2. Attached hereto as **Exhibit 1** is a true and correct copy of the transcript of the September 22, 2023 deposition of Alicia Nunez ("Nunez Deposition").

3. Attached hereto as **Exhibit 2** is a true and correct copy of the transcript of the September 22, 2023 deposition of Jose Martinez ("Martinez Deposition").

4. Attached hereto as **Exhibit 3** is a true and correct copy of the document bearing Bates numbers PRESTAMOS-00298173, which contains CPLC's Resolution 2023-1, approving the audited consolidated financial statements for fiscal year 2021-2022.[1]

5. Attached hereto as **Exhibit 4** is a true and correct copy of excerpts from Exhibit 24 to the Nunez Deposition, bearing Bates numbers PRESTAMOS-00304036–081; PRESTAMOS-00304114–120; PRESTAMOS-00304136. This exhibit contains a July 20, 2021 email and attachments from Sylvia Rebozo to Jose Martinez.

6. Attached hereto as **Exhibit 5** is a true and correct copy of the document bearing Bates numbers PRESTAMOS-00307735–43, which contains the Fourth Amended and Restated Operating Agreement of Prestamos CDFI, LLC.

7. Attached hereto as **Exhibit 6** is a true and correct copy of CPLC's Objections and Responses to Plaintiffs' First Set of Interrogatories, dated July 14, 2023.

8. Attached hereto as **Exhibit 7** is a true and correct copy of Exhibit 10 to the Nunez Deposition, bearing Bates numbers PRESTAMOS-00296762-794. This exhibit contains the Prestamos Consolidated Financial Statements for the fiscal years ended June 30, 2021 and 2020.

9. Attached hereto as **Exhibit 8** is a true and correct copy of the document bearing Bates numbers PRESTAMOS-00307654-7734, which contains CPLC's Form 990 for the fiscal year ended June 30, 2021.

10. Attached hereto as **Exhibit 9** is a true and correct copy of the document bearing Bates numbers PRESTAMOS-00297006-12. This exhibit contains the Intercompany Services Agreement between CPLC and Prestamos, entered into on July 1, 2019.

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[1] All documents referenced herein with a Bates number have been produced by Defendants in this case.

11. Attached hereto as **Exhibit 10** is a true and correct copy of Exhibit 32 to the Martinez Deposition, bearing Bates numbers PRESTAMOS-00296999-7005. This exhibit contains the Intercompany Services Agreement between CPLC and Prestamos, entered into on February 20, 2020.

12. Attached hereto as **Exhibit 11** is a true and correct copy of Exhibit 33 to the Martinez Deposition, bearing Bates numbers PRESTAMOS-00297013-015. This exhibit contains a resolution of the Prestamos Board of Directors dated February 20, 2020.

13. Attached hereto as **Exhibit 12** is a true and correct copy of Exhibit 15 to the Nunez Deposition, bearing Bates numbers PRESTAMOS-00307578-653. This exhibit contains the CPLC Consolidated Financial Statements and Supplementary Information for the fiscal year ended June 30, 2021.

14. Attached hereto as **Exhibit 13** is a true and correct copy of CPLC's Objections and Responses to Plaintiffs' First Set of Requests for Admission, dated July 14, 2023.

Dated: October 13, 2023

/s/ Alexa L. Levy
Alexa L. Levy

EXHIBIT 1

(FILED UNDER SEAL)

EXHIBIT 2

(FILED UNDER SEAL)

EXHIBIT 3

(FILED UNDER SEAL)

EXHIBIT 4

(FILED UNDER SEAL)

EXHIBIT 5

(FILED UNDER SEAL)

EXHIBIT 6

IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF PENNSYLVANIA

ALICIA MARSHALL, DANIEL PRONSKY, PARIS TOWNSEND, NANCILEE HOLLAND, LEONA OWSLEY, KOLAWOLE AHMADOU, KIANA DERVIN, KRISTINA HENDERSON, DUSTIN INNIS, KELLY STALNAKER and JAMIE JONES, individually and on behalf of all others similarly situated, Plaintiffs, v. PRESTAMOS CDFI, LLC and CHICANOS POR LA CAUSA, INC., Defendants.	Case No. 5:21-cv-04337-JMG

DEFENDANT CHICANOS POR LA CAUSA, INC.'S OBJECTIONS AND RESPONSES TO PLAINTIFFS' FIRST SET OF INTERROGATORIES

Defendant Chicanos Por La Causa, Inc. ("CPLC"), by and through its undersigned counsel, hereby objects and responds to the First Set of Interrogatories (the "Interrogatories") propounded by Plaintiffs Alicia Marshall, Daniel Pronsky, Paris Townsend, Nancilee Holland, Leona Owsley, Kolawole Ahmadou, Kiana Dervin, Kristina Henderson, Dustin Innis, Kelly Stalnaker, and Jamie Jones, individually (together, "Named Plaintiffs"), and on behalf of all others similarly situated, as follows:

GENERAL OBJECTIONS

CPLC states the following General Objections to the Interrogatories:

1. CPLC objects to the Interrogatories, including the instructions and definitions provided therewith, to the extent they purport to impose any obligation greater than, or inconsistent

with, that required by the Federal Rules of Civil Procedure and the Local Rules for the Eastern District of Pennsylvania.

2. CPLC objects to the Interrogatories, including the definitions and instructions provided therewith, to the extent they seek to alter or exceed the scope of the obligations placed on CPLC by applicable law, court rules, and/or court orders, including any obligations CPLC may have to supplement or amend its responses.

3. CPLC objects to the Interrogatories to the extent they seek CPLC's litigation strategy, or information prepared in anticipation of litigation or in preparation for trial, or information protected by the attorney-client privilege, the attorney work product doctrine, and/or other applicable privileges and immunities. The inadvertent disclosure of privileged information or the release of privileged information shall not constitute a waiver of any privilege.

4. CPLC objects to the definitions of the terms "you," "your," and "person," including all variations thereof, to the Interrogatories using those terms, as being overbroad, unduly burdensome, and requiring CPLC to undertake an unreasonable investigation.

5. CPLC objects to the definitions of the term "Identify," including all variations thereof, to the Interrogatories using those terms, as being overbroad, unduly burdensome, and requiring CPLC to undertake an unreasonable investigation.

6. CPLC objects to the Interrogatories using the terms "you" and "your," including all variations thereof, on the basis that each Interrogatory is overbroad, vague, ambiguous, unduly burdensome, and/or to the extent the Interrogatory is directed to parties other CPLC. CPLC objects to the Interrogatories to the extent such terms purport to encompass CPLC's present or former agents, representatives, and/or attorneys, each person acting or purporting to act on its behalf,

including each of its parents, predecessors, subsidiaries, and affiliates, and each of the present and former officers, employees, agents, representatives, and attorneys of the party.

7. CPLC objects to the Interrogatories to the extent they seek information that is not relevant to any claim or defense, nor proportional to the needs of the case. CPLC expressly reserves the right to object to the introduction into evidence at trial, or upon the record at any stage of this litigation, of information or documents subject to any objection contained herein. By responding to the Interrogatories, CPLC does not concede the relevance or admissibility of the information requested, nor does it adopt Plaintiffs' legal characterizations of terms and words used herein.

8. CPLC objects to the Interrogatories to the extent they are overbroad, vague, ambiguous, unduly burdensome, and/or duplicative.

9. CPLC objects to the Interrogatories to the extent they seek information already known or seek information equally accessible to Plaintiffs or already in Plaintiffs' possession, custody, or control, or to the extent the Interrogatories are related to or purport to require the identification of information in the public domain since such information is equally available to Plaintiffs.

10. CPLC objects to the Interrogatories to the extent they purport to request information from CPLC's agents, attorneys, predecessors, subsidiaries, affiliates and/or other non-parties to this action. CPLC will only provide information in its possession, custody and/or control, and not information in the possession of other persons or entities.

11. CPLC objects to the Interrogatories on the grounds of vagueness and overbreadth to the extent Plaintiffs did not provide definitions of key operative terms or phrases and has otherwise failed to delineate the scope or meaning of each Interrogatory.

12. CPLC objects to the Interrogatories to the extent they seek to discover "each" piece of or "any" or "all" information, identification of individuals or entities, or other materials or information as overbroad, unduly burdensome, onerous, vexatious, unreasonably cumulative and duplicative, and not susceptible to reasonable limitation.

13. To the extent that the information requested could be more readily and/or appropriately obtained through depositions or other means, CPLC objects to the Interrogatories as unduly burdensome, redundant, and harassing.

14. CPLC objects to the Interrogatories to the extent they seek discovery of electronically stored information from sources that are not reasonably accessible because of undue burden or cost.

15. CPLC objects to the Interrogatories to the extent they require CPLC to make a conclusion based on law, statute, regulation, or rule. CPLC's responses shall not be construed as providing or accepting a legal conclusion concerning the meaning or application of any of the terms used in the Interrogatories.

Subject to each and every one of these General Objections, each of which CPLC incorporates into each of the following responses, CPLC responds as follows:

RESPONSES TO INTERROGATORIES

1. Identify all Persons who are or have been officers or directors of Defendant CPLC at any time from January 1, 2019 to the present, including the Person's name, address, all email addresses, the dates of service, and, if any salary or compensation, the period of time for each payment, the amount of the payment, the nature of the payment, the value of any payment (if in a form other than money), and the date of the payment.

RESPONSE:

CPLC objects to this Interrogatory as overbroad and irrelevant to the extent it seeks information that is not material and necessary to the demonstration or refutation of personal jurisdiction over CPLC and/or is not calculated to reasonably lead to the discovery of admissible evidence related to personal jurisdiction over CPLC, as it seeks information without any limitation or nexus to the facts necessary to establish personal jurisdiction over CPLC. This Interrogatory exceeds the scope of the Court's Order permitting Plaintiffs to seek only "limited discovery on the issues of Defendant CPLC's relationship with Defendant Prestamos for purposes of establishing personal jurisdiction." DE 57 at 2. CPLC also objects to this Interrogatory as vague and ambiguous to the extent the term "payment" is not defined.

Subject to and without waiving the foregoing General and Specific Objections, CPLC responds to this Interrogatory by identifying the following individuals who serve or have served as directors or officers of CPLC since 2020:

Directors: Stephanie Acosta; Abe Arvizu, Barbara Boone, Terry Cain, Leticia de la Vara; Dina de Leon, Alberto Esparza, Mike Esparza, Joe Gaudio, Ted Geisler, Jose "Casper" Habre, Dan Hernandez, Delma Herrera, Leonardo Loo, Sal Martinez, Reyna Montoya, Tony Moya, Verma Pastor, Rudy Perez, Cecilia Rosales, Frank Salomon, Jody Sarchett, Mike Solis, Alex Varela, Jim Vigil, and Chad Welborn.

Officers: David Adame, Andres L. Contreras, Patricia Garcia Duarte, Max Gonzales, Jose Martinez, Alicia Nunez, Maria Spelleri, and German Reyes.

2. Identify all Persons who have been officers or directors or employees who were involved in any capacity in Your or Prestamos's PPP lending in 2021, and for each such Person, Identify the individual's name, address, the dates of service, and, if any salary or compensation, the period of time for each payment, the amount of the payment, the nature and payor of the payment, the value of any payment (if in a form other than money), and the date of the payment.

RESPONSE:

CPLC objects to this Interrogatory as overbroad and irrelevant to the extent it seeks information that is not material and necessary to the demonstration or refutation of personal jurisdiction over CPLC and/or is not calculated to reasonably lead to the discovery of admissible evidence related to personal jurisdiction over CPLC, as it seeks information without any limitation or nexus to the facts necessary to establish personal jurisdiction over CPLC. This Interrogatory exceeds the scope of the Court's Order permitting Plaintiffs to seek only "limited discovery on the issues of Defendant CPLC's relationship with Defendant Prestamos for purposes of establishing personal jurisdiction." DE 57 at 2. CPLC acknowledges that it and/or certain CPLC employees performed tasks and/or services for and/or on behalf of Prestamos pursuant to the Intercompany Services Agreements between CPLC and Prestamos (the "Intercompany Services Agreements"). In light of the foregoing, CPLC objects to the identification of *all* CPLC employees performing tasks and/or services pursuant to the Intercompany Services Agreements as unduly burdensome and not proportional to the needs of the litigation. CPLC also objects to this Interrogatory as vague and ambiguous to the extent the terms "officers," directors" and "employees" are not defined in relation to any entity, and also to the extent the term "payment" is not defined. CPLC further objects to this Interrogatory to the extent it assumes that CPLC engaged in PPP lending.

Subject to and without waiving the foregoing General and Specific Objections, CPLC responds to this Interrogatory that Jose Martinez, in his capacity as an officer of Prestamos, was involved in Prestamos's PPP lending. By way of further response, CPLC will produce, pursuant to Rule 33(d) of the Federal Rules of Civil Procedure, the Intercompany Services Agreements.

3. Identify all Persons who are or have been officers, directors, or employees of Defendant CPLC and who are or have also been officers, directors, or employees of Defendant Prestamos during the Relevant Time Period, including Person's name, address, all email addresses, the dates of service, and, if any salary or compensation, the period of time for each payment, the amount of the payment, the nature and payor of the payment, the value of any payment (if in a form other than money), and the date of the payment.

RESPONSE:

CPLC objects to this Interrogatory as overbroad and irrelevant to the extent it seeks information that is not material and necessary to the demonstration or refutation of personal jurisdiction over CPLC and/or is not calculated to reasonably lead to the discovery of admissible evidence related to personal jurisdiction over CPLC, as it seeks information without any limitation or nexus to the facts necessary to establish personal jurisdiction over CPLC. This Interrogatory exceeds the scope of the Court's Order permitting Plaintiffs to seek only "limited discovery on the issues of Defendant CPLC's relationship with Defendant Prestamos for purposes of establishing personal jurisdiction." DE 57 at 2. CPLC acknowledges that it and/or certain CPLC employees performed tasks and/or services for and/or on behalf of Prestamos pursuant to the Intercompany Services Agreements between CPLC and Prestamos (the "Intercompany Services Agreements").

In light of the foregoing, CPLC objects to the identification of *all* CPLC employees performing tasks and/or services pursuant to the Intercompany Services Agreements as unduly burdensome and not proportional to the needs of the litigation. CPLC also objects to this Interrogatory as vague and ambiguous to the extent the term "payment" is not defined.

Subject to and without waiving the foregoing General and Specific Objections, CPLC responds to this Interrogatory by identifying the following officers and directors of CPLC who serve or have served as officers or directors of Prestamos since 2020:

CPLC officers David Adame, Alicia Nunez, and Max Gonzales serve on Prestamos's "Board of Directors" as that term is used in Prestamos's operative operating agreement.

CPLC directors Jose "Casper" Habre, Dan Hernandez, and Delma Herrera, and CPLC officer David Adame, serve on Prestamos's "Community Advisory Board" as that term is used in Prestamos's operative operating agreement.

CPLC officer Jose Martinez is also an officer of Prestamos.

4. Identify each of Your officers, directors or employees who had any Communications with any Persons outside of CPLC regarding Prestamos's PPP lending, including any and all such Communications with Blueacorn, the SBA, the Federal Reserve Bank of Cleveland, and PPP Applicants

<u>RESPONSE:</u>

CPLC objects to this Interrogatory as overbroad and irrelevant to the extent it seeks information that is not material and necessary to the demonstration or refutation of personal jurisdiction over CPLC and/or is not calculated to reasonably lead to the discovery of admissible evidence related to personal jurisdiction over CPLC, as it seeks information without any limitation or nexus to the facts necessary to establish personal jurisdiction over CPLC. This Interrogatory exceeds the scope of the Court's Order permitting Plaintiffs to seek only "limited discovery on the issues of Defendant CPLC's relationship with Defendant Prestamos for purposes of establishing personal jurisdiction." DE 57 at 2. CPLC acknowledges that it and/or certain CPLC employees performed tasks and/or services for and/or on behalf of Prestamos pursuant to the Intercompany Services Agreements between CPLC and Prestamos (the "Intercompany Services Agreements"). In light of the foregoing, CPLC objects to the identification of *all* CPLC employees performing tasks and/or services pursuant to the Intercompany Services Agreements as unduly burdensome and not proportional to the needs of the litigation. CPLC further objects to this Interrogatory as unduly burdensome and not proportional to the needs of the case insofar as it seeks information about a wide swath of communications that can have no bearing on the exercise of control over Prestamos. CPLC also objects to this Interrogatory to the extent it seeks information protected from disclosure by the attorney-client privilege, work product doctrine, or any other applicable privilege.

Subject to and without waiving the foregoing General and Specific Objections, CPLC responds to this Interrogatory by producing, pursuant to Rule 33(d) of the Federal Rules of Civil Procedure, the Intercompany Services Agreements.

5. Identify the total amount of PPP loan processing fees You received in 2020 and 2021 from Prestamos, the SBA or any other source, and, for all such fees, Identify the source of payment to you.

RESPONSE:

CPLC objects to this Interrogatory as overbroad and irrelevant to the extent it seeks information that is not material and necessary to the demonstration or refutation of personal jurisdiction over CPLC and/or is not calculated to reasonably lead to the discovery of admissible evidence related to personal jurisdiction over CPLC, as it seeks information without any limitation or nexus to the facts necessary to establish personal jurisdiction over CPLC. This Interrogatory exceeds the scope of the Court's Order permitting Plaintiffs to seek only "limited discovery on the issues of Defendant CPLC's relationship with Defendant Prestamos for purposes of establishing personal jurisdiction." DE 57 at 2. CPLC also objects to this Interrogatory as vague and ambiguous to the extent the terms "loan processing fees," "received," and "payment" are not defined.

Subject to and without waiving the foregoing General and Specific Objections, CPLC states in response to this Interrogatory that it has not received PPP loan processing fees. By way of further response, CPLC will produce, pursuant to Rule 33(d) of the Federal Rules of Civil Procedure, a summary document sufficient to show aggregate debits and credits between CPLC and Prestamos by category for the annual periods during the putative class period.

6. Identify the amount and percentage of the $7,948,599,708 in assets reported in Your 2020 Form 990 that represented assets relating to the PPP, and the extent to which those assets consisted of PPP lending fees, PPPLF advances, PPP loans receivable or otherwise.

RESPONSE:

CPLC objects to this Interrogatory as overbroad and irrelevant to the extent it seeks information that is not material and necessary to the demonstration or refutation of personal jurisdiction over CPLC and/or is not calculated to reasonably lead to the discovery of admissible evidence related to personal jurisdiction over CPLC, as it seeks information without any limitation or nexus to the facts necessary to establish personal jurisdiction over CPLC. This Interrogatory exceeds the scope of the Court's Order permitting Plaintiffs to seek only "limited discovery on the issues of Defendant CPLC's relationship with Defendant Prestamos for purposes of establishing personal jurisdiction." DE 57 at 2. CPLC also objects to this Interrogatory as vague and ambiguous to the extent the terms "assets relating to the PPP," "lending fees," "advances," "loans receivable," and "otherwise" are not defined.

Subject to and without waiving the foregoing General and Specific Objections, CPLC responds to this Interrogatory by producing, pursuant to Rule 33(d) of the Federal Rules of Civil Procedure, CPLC's audited consolidated financial statements.

7. Identify the dollar amount and percentage of the $7,847,420,910 in liabilities reported in Your 2020 Form 990 that represented liabilities relating to the PPP, and how those liabilities relate to the PPP, including whether they represent obligations for PPPLF advances.

RESPONSE:

CPLC objects to this Interrogatory as overbroad and irrelevant to the extent it seeks information that is not material and necessary to the demonstration or refutation of personal jurisdiction over CPLC and/or is not calculated to reasonably lead to the discovery of admissible evidence related to personal jurisdiction over CPLC, as it seeks information without any limitation or nexus to the facts necessary to establish personal jurisdiction over CPLC. This Interrogatory exceeds the scope of the Court's Order permitting Plaintiffs to seek only "limited discovery on the issues of Defendant CPLC's relationship with Defendant Prestamos for purposes of establishing personal jurisdiction." DE 57 at 2. CPLC also objects to this Interrogatory as vague and ambiguous to the extent the terms "liabilities relating to the PPP," "relate to the PPP," and "obligations for PPPLF advances" are not defined.

Subject to and without waiving the foregoing General and Specific Objections, CPLC responds to this Interrogatory by producing, pursuant to Rule 33(d) of the Federal Rules of Civil Procedure, CPLC's audited consolidated financial statements.

8. Describe all training You provided, or made available, to Your and Prestamos's officers, directors, employees, independent contractors, or agents regarding compliance with the PPP and PPP rules and regulations during the Relevant Time Period.

RESPONSE:

CPLC objects to this Interrogatory as overbroad and irrelevant to the extent it seeks information that is not material and necessary to the demonstration or refutation of personal jurisdiction over CPLC and/or is not calculated to reasonably lead to the discovery of admissible evidence related to personal jurisdiction over CPLC, as it seeks information without any limitation or nexus to the facts necessary to establish personal jurisdiction over CPLC. This Interrogatory exceeds the scope of the Court's Order permitting Plaintiffs to seek only "limited discovery on the issues of Defendant CPLC's relationship with Defendant Prestamos for purposes of establishing personal jurisdiction." DE 57 at 2. CPLC also objects to this Interrogatory as vague and ambiguous to the extent the term "training" is not defined. CPLC also objects to this Interrogatory to the extent it seeks information protected from disclosure by the attorney-client privilege, work product doctrine, or any other applicable privilege.

In light of the foregoing General and Specific Objections, CPLC will not provide information in response to this Interrogatory. CPLC is willing to meet and confer regarding this Interrogatory.

9. Identify Your officers, directors, or employees who have authority to bind Prestamos to a contractual obligation and/or is a signatory to a financial account controlled entirely or partially by Prestamos, including the Person's name, address, all business-related email addresses, the dates of service, and where applicable the minimum and maximum levels of contractual authority and/or the relevant account numbers and the financial institution that maintains the account.

RESPONSE:

CPLC objects to this Interrogatory as overbroad and irrelevant to the extent it seeks information that is not material and necessary to the demonstration or refutation of personal jurisdiction over CPLC and/or is not calculated to reasonably lead to the discovery of admissible evidence related to personal jurisdiction over CPLC, as it seeks information without any limitation or nexus to the facts necessary to establish personal jurisdiction over CPLC. This Interrogatory exceeds the scope of the Court's Order permitting Plaintiffs to seek only "limited discovery on the issues of Defendant CPLC's relationship with Defendant Prestamos for purposes of establishing personal jurisdiction." DE 57 at 2. CPLC acknowledges that it and/or certain CPLC employees performed tasks and/or services for and/or on behalf of Prestamos pursuant to the Intercompany Services Agreements between CPLC and Prestamos (the "Intercompany Services Agreements"). In light of the foregoing, CPLC objects to the identification of all CPLC employees performing tasks and/or services pursuant to the Intercompany Services Agreements as unduly burdensome and not proportional to the needs of the litigation. CPLC also objects to this Interrogatory as vague and ambiguous to the extent the terms "bind," "contractual obligation," and "contractual authority" are not defined. CPLC further objects to this Interrogatory because it calls for a legal conclusion and not an application of law to facts with respect to "contractual authority" and who has "authority to bind Prestamos to a contractual obligation."

Subject to and without waiving the foregoing General and Specific Objections, CPLC states in response to this Interrogatory that Jose Martinez, in his capacity as an officer of Prestamos, is a signatory to Prestamos's financial accounts.

10. Identify any and all PPPLF advances that You directly, or as Prestamos's corporate parent indirectly, obtained or received concerning any PPP loans of Plaintiffs and other class member borrowers in Plaintiffs' respective states that Plaintiffs allege should have received PPP loans, including without limitation any and all of the $133,562,175.00 total loan amount proceeds for the 7,907 total PPP loans identified in discovery produced to date, and the status and disposition of all such PPPLF proceeds.

RESPONSE:

CPLC objects to this Interrogatory as overbroad and irrelevant to the extent it seeks information that is not material and necessary to the demonstration or refutation of personal jurisdiction over CPLC and/or is not calculated to reasonably lead to the discovery of admissible evidence related to personal jurisdiction over CPLC, as it seeks information without any limitation or nexus to the facts necessary to establish personal jurisdiction over CPLC. This Interrogatory exceeds the scope of the Court's Order permitting Plaintiffs to seek only "limited discovery on the issues of Defendant CPLC's relationship with Defendant Prestamos for purposes of establishing personal jurisdiction." DE 57 at 2. CPLC also objects to this Interrogatory as vague and ambiguous to the extent the terms "PPPLF advances," "obtained or received," and "class member borrowers" are not defined. CPLC further objects to this Interrogatory as overbroad and unduly burdensome to the extent it seeks information concerning individuals other than the named plaintiffs.

Subject to and without waiving the foregoing General and Specific Objections, CPLC states in response to this Interrogatory that it has not received PPPLF advances.

Dated: July 14, 2023

/s/ *Marcel S. Pratt*

Marcel S. Pratt (Pa. ID 307483)
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Attorneys for Defendant
Chicanos Por La Causa, Inc.

VERIFICATION

I, Alicia Nunez, verify and state that I am authorized to make this Verification on behalf of Defendant Chicanos Por La Causa, Inc., and that the responses to the foregoing Interrogatories are true and correct to the best of my knowledge, information, and belief.

This statement and Verification are made subject to the penalties of 28 U.S.C. § 1746 relating to unsworn declarations under penalty of perjury.

DocuSigned by:
Alicia Nunez
43BEE190E8AE471...

Date: 7/14/2023 | 9:16 AM PDT.

CERTIFICATE OF SERVICE

I hereby certify that on the 14th day of July, 2023, the undersigned counsel caused true and correct copies of Defendant Chicanos Por La Causa, Inc.'s Objections and Responses to Plaintiffs' First Set of Interrogatories to be served on the following counsel of record *via* electronic mail:

LAWRENCE J. LEDERER Bailey & Glasser LLP 1055 Thomas Jefferson Street NW, Suite 540 Washington, DC 20007 llederer@baileyglasser.com *Attorney for Plaintiffs*	**MICHAEL L. MURPHY** Bailey & Glasser LLP 1055 Thomas Jefferson Street NW, Suite 540 Washington, DC 20007 mmurphy@baileyglasser.com *Attorney for Plaintiffs*
PATRICIA M. KIPNIS Bailey & Glasser LLP 1055 Thomas Jefferson Street NW, Suite 540 Washington, DC 20007 pkipnis@baileyglasser.com *Attorney for Plaintiffs*	**JUSTIN A. HELLER** Nolan Heller Kauffman LLP 80 State Street, 11th Floor Albany, NY 12207 jheller@nhkllp.com *Attorney for Plaintiffs*
MATTHEW M. ZAPALA Nolan Heller Kauffman LLP 80 State Street, 11th Floor Albany, NY 12207 mzapala@nhkllp.com	

Dated: July 14, 2023

/s/ *Marcel S. Pratt*
Marcel S. Pratt

EXHIBIT 7

(FILED UNDER SEAL)

EXHIBIT 8

Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

A For the 2020 calendar year, or tax year beginning JUL 1, 2020 and ending JUN 30, 2021

B Check if applicable: [ ] Address change [ ] Name change [ ] Initial return [ ] Final return/terminated [ ] Amended return [ ] Application pending

C Name of organization
CHICANOS POR LA CAUSA INC
CPLC
Doing business as

Number and street (or P.O. box if mail is not delivered to street address) Room/suite
1112 E BUCKEYE RD

City or town, state or province, country, and ZIP or foreign postal code
PHOENIX, AZ 85034

D Employer identification number
86-0227210

E Telephone number
602-257-0700

G Gross receipts $ 123,984,633.

F Name and address of principal officer: DAVID ADAME
SAME AS C ABOVE

H(a) Is this a group return for subordinates? [ ] Yes [X] No

H(b) Are all subordinates included? [ ] Yes [ ] No
If "No," attach a list. See instructions

H(c) Group exemption number ▶

I Tax-exempt status: [X] 501(c)(3) [ ] 501(c) ( ) ◀ (insert no.) [ ] 4947(a)(1) or [ ] 527

J Website: ▶ WWW.CPLC.ORG

K Form of organization: [X] Corporation [ ] Trust [ ] Association [ ] Other ▶

L Year of formation: 1969

M State of legal domicile: AZ

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities: EMPOWERED LIVES. WE DRIVE ECONOMIC AND POLITICAL EMPOWERMENT.

2 Check this box ▶ [ ] if the organization discontinued its operations or disposed of more than 25% of its net assets.

3	Number of voting members of the governing body (Part VI, line 1a)	3	22
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	22
5	Total number of individuals employed in calendar year 2020 (Part V, line 2a)	5	962
6	Total number of volunteers (estimate if necessary)	6	119
7 a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b	Net unrelated business taxable income from Form 990-T, Part I, line 11	7b	0.

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	9,124,577.	36,671,576.
	9	Program service revenue (Part VIII, line 2g)	57,792,730.	74,200,939.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	18,786,158.	8,583,319.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	1,925,359.	2,347,962.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	87,628,824.	121,803,796.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	2,146,064.	7,147,646.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	40,368,642.	45,298,350.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 1,212,245.		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	31,981,716.	33,842,111.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	74,496,422.	86,288,107.
	19	Revenue less expenses. Subtract line 18 from line 12	13,132,402.	35,515,689.

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	204,748,364.	7,948,599,708.
	21	Total liabilities (Part X, line 26)	140,253,426.	7,847,420,910.
	22	Net assets or fund balances. Subtract line 21 from line 20	64,494,938.	101,178,798.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

▶ Signature of officer — Date

▶ ALICIA NUNEZ, CFO
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check [ ] if self-employed	PTIN
MELISSA HANGSLEBEN	MELISSA HANGSLEBEN	05/12/22		P02087031

Firm's name ▶ CLIFTONLARSONALLEN LLP — Firm's EIN ▶ 41-0746749

Firm's address ▶ 20 EAST THOMAS ROAD, SUITE 2300
PHOENIX, AZ 85012 — Phone no. (602) 266-2248

May the IRS discuss this return with the preparer shown above? See instructions [X] Yes [ ] No

CHICANOS POR LA CAUSA INC

Form 990 (2020) CPLC 86-0227210 Page **2**

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III ☒

1 Briefly describe the organization's mission:

WE DRIVE ECONOMIC AND POLITICAL EMPOWERMENT.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses $ 29,941,307. including grants of $ 3,810,845.) (Revenue $ 33,150,508.)

SOCIAL SERVICES AND EDUCATION: SEE SCHEDULE O.

4b (Code:) (Expenses $ 21,953,129. including grants of $ 1,341,655.) (Revenue $ 18,543,794.)

REAL ESTATE OPERATIONS: SEE SCHEDULE O.

4c (Code:) (Expenses $ 11,939,237. including grants of $ 148,434.) (Revenue $ 12,266,030.)

INTEGRATED HEALTH SERVICES - IHHS: SEE SCHEDULE O.

4d Other program services (Describe on Schedule O.)

(Expenses $ 9,633,788. including grants of $ 1,846,712.) (Revenue $ 12,734,120.)

4e Total program service expenses ▶ 73,467,461.

Form **990** (2020)

032002 12-23-20

CHICANOS POR LA CAUSA INC

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	X	
2	Is the organization required to complete *Schedule B, Schedule of Contributors*?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		X
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	X	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		X
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		X
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9	X	
10	Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi endowments? *If "Yes," complete Schedule D, Part V*	10		X
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*	11a	X	
b	Did the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b		X
c	Did the organization report an amount for investments - program related in Part X, line 13, that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		X
d	Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		X
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	X	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	X	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a		X
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b	X	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	X	
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b		X
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15		X
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16		X
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17		X
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18	X	
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		X
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		X
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		
21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	X	

CHICANOS POR LA CAUSA INC

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
22	Did the organization report more than $5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	X	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	X	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a*	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		X
26	Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part II*	26		X
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions, for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? *If "Yes," complete Schedule L, Part IV*	28a		X
b	A family member of any individual described in line 28a? *If "Yes," complete Schedule L, Part IV*	28b		X
c	A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? *If "Yes," complete Schedule L, Part IV*	28c		X
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29	X	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? *If "Yes," complete Schedule R, Part I*	33	X	
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34	X	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	X	
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b	X	
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36	X	
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note:** All Form 990 filers are required to complete Schedule O	38	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V ☐

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	1460			
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c		

Part V Statements Regarding Other IRS Filings and Tax Compliance *(continued)*

				Yes	No
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	962		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?			2b X	
	Note: If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* (see instructions)				
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?			3a	X
b	If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation on Schedule O*			3b	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a	X
b	If "Yes," enter the name of the foreign country ▶				
	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a	X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b	X
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?			5c	
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?			6a	X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b	
7	**Organizations that may receive deductible contributions under section 170(c).**				
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a X	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b X	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c	X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e	X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f	X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			7g	
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			7h	
8	**Sponsoring organizations maintaining donor advised funds.** Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?			8	
9	**Sponsoring organizations maintaining donor advised funds.**				
a	Did the sponsoring organization make any taxable distributions under section 4966?			9a	
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?			9b	
10	**Section 501(c)(7) organizations.** Enter:				
a	Initiation fees and capital contributions included on Part VIII, line 12	10a			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b			
11	**Section 501(c)(12) organizations.** Enter:				
a	Gross income from members or shareholders	11a			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b			
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b			
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**				
a	Is the organization licensed to issue qualified health plans in more than one state?			13a	
	Note: See the instructions for additional information the organization must report on Schedule O.				
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b			
c	Enter the amount of reserves on hand	13c			
14a	Did the organization receive any payments for indoor tanning services during the tax year?			14a	X
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation on Schedule O*			14b	
15	Is the organization subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?			15	X
	If "Yes," see instructions and file Form 4720, Schedule N.				
16	Is the organization an educational institution subject to the section 4968 excise tax on net investment income?			16	X
	If "Yes," complete Form 4720, Schedule O.				

Part VI Governance, Management, and Disclosure *For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions.*

Check if Schedule O contains a response or note to any line in this Part VI ..... [X]

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a	22		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain on Schedule O.				
b	Enter the number of voting members included on line 1a, above, who are independent	1b	22		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		2	X	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, trustees, or key employees to a management company or other person?		3		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		4		X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		5		X
6	Did the organization have members or stockholders?		6		X
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		7a		X
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		7b		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:				
a	The governing body?		8a	X	
b	Each committee with authority to act on behalf of the governing body?		8b	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses on Schedule O*		9		X

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a		X
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	X	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	X	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done*	12c	X	
13	Did the organization have a written whistleblower policy?	13	X	
14	Did the organization have a written document retention and destruction policy?	14	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	X	
b	Other officers or key employees of the organization	15b	X	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		X
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶ NONE

18 Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

[ ] Own website [ ] Another's website [X] Upon request [ ] Other *(explain on Schedule O)*

19 Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records ▶

JESSE SATTERLEE - 602-257-0700

1112 E BUCKEYE RD, PHOENIX, AZ 85034

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

See instructions for the order in which to list the persons above.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(1) DAVID ADAME PRESIDENT/CEO	34.00 6.00			X				515,440.	0.	34,379.
(2) MARIA SPELLERI EXECUTIVE VP	40.00 0.00			X				283,002.	0.	38,957.
(3) MAX GONZALES SECRETARY-EXECUTIVE EVP	35.00 5.00			X				242,400.	0.	50,079.
(4) ANDRES CONTRERAS EXECUTIVE VP	36.00 4.00			X				227,829.	0.	43,249.
(5) ALICIA NUNEZ CFO/TREASURER	33.00 7.00			X				212,778.	0.	40,672.
(6) GERMAN REYES EXECUTIVE VP-REAL ESTATE	36.00 4.00			X				193,191.	0.	47,679.
(7) RAMIRO GUILLEN NURSE PRACTIONER	40.00 0.00					X		184,656.	0.	50,371.
(8) BRANDY HAMMOND NURSE PRACTIONER	40.00 0.00					X		183,535.	0.	33,677.
(9) JOSE LORETO MARTINEZ VP PRESTAMOS	36.00 4.00			X				193,484.	0.	18,767.
(10) NIRVA JEAN-BAPTISTE NURSE PRACTIONER	40.00 0.00					X		188,812.	0.	17,025.
(11) JENNIFER LINEHAN NURSE PRACTIONER	40.00 0.00					X		170,083.	0.	21,665.
(12) ROBERT ALVARADO VICE PRESIDENT	40.00 0.00					X		142,754.	0.	34,168.
(13) PEDRO CONS EXECUTIVE VP (THRU 7/20)	36.00 4.00			X				109,943.	0.	10,500.
(14) ANTONIO MOYA CHAIR	2.00 0.00	X		X				0.	0.	0.
(15) DELMA HERRERA VICE CHAIR	2.00 0.00	X		X				0.	0.	0.
(16) ALEX VARELA TREASURER	2.00 0.00	X		X				0.	0.	0.
(17) STEPHANIE ACOSTA SECRETARY	2.00 0.00	X		X				0.	0.	0.

CHICANOS POR LA CAUSA INC

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(18) BARBARA BOONE	2.00									
DIRECTOR	0.00	X						0.	0.	0.
(19) ABE ARVIZU JR.	2.00									
DIRECTOR	0.00	X						0.	0.	0.
(20) RUDY PEREZ	2.00									
DIRECTOR	0.00	X						0.	0.	0.
(21) MIKE SOLIS	2.00									
DIRECTOR	0.00	X						0.	0.	0.
(22) JIM VIGIL	2.00									
DIRECTOR	0.00	X						0.	0.	0.
(23) JOE GAUDIO	2.00									
DIRECTOR	0.00	X						0.	0.	0.
(24) DAN HERNANDEZ	2.00									
DIRECTOR	0.00	X						0.	0.	0.
(25) LEONARDO LOO	2.00									
DIRECTOR	0.00	X						0.	0.	0.
(26) JODY SARCHETT	2.00									
DIRECTOR	0.00	X						0.	0.	0.
1b Subtotal ▶								2,847,907.	0.	441,188.
c Total from continuation sheets to Part VII, Section A ▶								0.	0.	0.
d Total (add lines 1b and 1c) ▶								2,847,907.	0.	441,188.

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 39

		Yes	No
3 Did the organization list any **former** officer, director, trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
TIEMPO INC. 1008 E. BUCKEYE ROAD, PHOENIX, AZ 85034	PROPERTY MANAGEMENT	1,307,768.
PATH CONSTRUCTION SOUTHWEST, LCC, 8281 E. EVANS ROAD, SUITE 101, SCOTTSDALE, AZ	CONSTRUCTION LABOR	810,748.
AMAZING GRACE GROUP 3502 NORTH 22ND STREET, PHOENIX, AZ 85016	LANDSCAPING	347,448.
AMERICAN TECHNOLOGIES 3360 E LA PALMA AVENUE, ANAHEIM , CA 92806	RESTORATION	292,356.
ARIZONA PARTSMASTER 7125 WEST SHERMAN STREET, PHOENIX, AZ 85043	APPLIANCE REPAIR	254,673.

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶ 24

SEE PART VII, SECTION A CONTINUATION SHEETS

CHICANOS POR LA CAUSA INC

Form 990 CPLC 86-0227210

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(27) JOSE ANTONIO HABRE DIRECTOR	2.00 0.00	X						0.	0.	0.
(28) TERRY CAIN DIRECTOR	2.00 0.00	X						0.	0.	0.
(29) TED GEISLER DIRECTOR	2.00 0.00	X						0.	0.	0.
(30) MIKE ESPARZA DIRECTOR	2.00 0.00	X						0.	0.	0.
(31) ALBERTO ESPARZA DIRECTOR	2.00 0.00	X						0.	0.	0.
(32) DINA DELEON DIRECTOR	2.00 0.00	X						0.	0.	0.
(33) SAL MARTINEZ DIRECTOR	2.00 0.00	X						0.	0.	0.
(34) REYNA MONTOYA DIRECTOR	2.00 0.00	X						0.	0.	0.
(35) CECILIA ROSALEE DIRECTOR	2.00 0.00	X						0.	0.	0.
(36) LETICIA DE LA VARA DIRECTOR (THRU 12/20)	2.00 0.00	X						0.	0.	0.
Total to Part VII, Section A, line 1c										

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04-01-20

18260512 131839 038-057609 2020.05094 CHICANOS POR LA CAUSA INC 038-0571

PRESTAMOS-00307662

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII ☐

					(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants and Other Similar Amounts	1 a	Federated campaigns	1a					
	b	Membership dues	1b					
	c	Fundraising events	1c	392,196.				
	d	Related organizations	1d					
	e	Government grants (contributions)	1e					
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	36,279,380.				
	g	Noncash contributions included in lines 1a-1f	1g	$ 8,216,138.				
	h	**Total.** Add lines 1a-1f ▶			36,671,576.			

			Business Code	(A)	(B)	(C)	(D)
Program Service Revenue	2 a	SERVICES FEES	900099	50,833,584.	50,833,584.		
	b	RENTAL INCOME	532000	14,003,134.	14,003,134.		
	c	LOAN REVENUE	900099	7,822,580.	7,822,580.		
	d	CLIENT FEES	900099	1,454,764.	1,454,764.		
	e	ADMINISTRATIVE INCOME	900099	86,877.	86,877.		
	f	All other program service revenue					
	g	**Total.** Add lines 2a-2f ▶		74,200,939.			

						(A)	(B)	(C)	(D)
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) ▶				8,375,045.			8,375,045.
	4	Income from investment of tax-exempt bond proceeds ▶							
	5	Royalties ▶							
				(i) Real	(ii) Personal				
	6 a	Gross rents	6a						
	b	Less: rental expenses	6b						
	c	Rental income or (loss)	6c						
	d	Net rental income or (loss) ▶							
				(i) Securities	(ii) Other				
	7 a	Gross amount from sales of assets other than inventory	7a	1,420,728.	496,750.				
	b	Less: cost or other basis and sales expenses	7b	1,136,656.	572,548.				
	c	Gain or (loss)	7c	284,072.	-75,798.				
	d	Net gain or (loss) ▶				208,274.			208,274.
	8 a	Gross income from fundraising events (not including $ 392,196. of contributions reported on line 1c). See Part IV, line 18	8a		197,095.				
	b	Less: direct expenses	8b		471,633.				
	c	Net income or (loss) from fundraising events ▶				-274,538.			-274,538.
	9 a	Gross income from gaming activities. See Part IV, line 19	9a						
	b	Less: direct expenses	9b						
	c	Net income or (loss) from gaming activities ▶							
	10 a	Gross sales of inventory, less returns and allowances	10a		2,493,513.				
	b	Less: cost of goods sold	10b		0.				
	c	Net income or (loss) from sales of inventory ▶				2,493,513.	2,493,513.		

			Business Code	(A)	(B)	(C)	(D)
Miscellaneous Revenue	11 a	MISCELLANEOUS INCOME	900099	128,987.			128,987.
	b						
	c						
	d	All other revenue					
	e	**Total.** Add lines 11a-11d ▶		128,987.			
	12	**Total revenue.** See instructions ▶		121,803,796.	76,694,452.	0.	8,437,768.

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	396,082.	396,082.		
2 Grants and other assistance to domestic individuals. See Part IV, line 22	6,751,564.	6,751,564.		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	2,238,031.		2,014,228.	223,803.
6 Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	35,034,366.	29,045,087.	5,390,351.	598,928.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	716,344.	557,461.	142,995.	15,888.
9 Other employee benefits	4,445,067.	4,107,237.	304,047.	33,783.
10 Payroll taxes	2,864,542.	2,730,811.	120,358.	13,373.
11 Fees for services (nonemployees):				
a Management	1,011,685.	1,011,685.		
b Legal	437,345.	206,868.	230,477.	
c Accounting	328,167.	161,848.	166,319.	
d Lobbying	243,254.		243,254.	
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	58,127.		58,127.	
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	2,817,672.	2,019,121.	718,696.	79,855.
12 Advertising and promotion	483,260.	320,206.	146,749.	16,305.
13 Office expenses	1,305,983.	1,306,969.	-887.	-99.
14 Information technology	1,275,047.	759,026.	464,419.	51,602.
15 Royalties				
16 Occupancy	1,806,164.	1,667,941.	124,401.	13,822.
17 Travel	159,205.	101,757.	51,703.	5,745.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	26,496.	11,882.	13,153.	1,461.
20 Interest	5,917,586.	5,687,752.	206,851.	22,983.
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	4,329,505.	4,012,829.	285,008.	31,668.
23 Insurance	1,015,320.	868,181.	132,425.	14,714.
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a REPAIRS & MAINTENANCE	2,467,325.	2,467,325.		
b UTILITIES	2,153,230.	2,153,230.		
c PROGRAM CONSTRUCTION	1,646,155.	1,646,155.		
d LICENSES AND TAXES	1,635,993.	1,409,117.	204,188.	22,688.
e All other expenses	4,724,592.	4,067,327.	591,539.	65,726.
25 **Total functional expenses.** Add lines 1 through 24e	86,288,107.	73,467,461.	11,608,401.	1,212,245.
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ ☐ if following SOP 98-2 (ASC 958-720)				

CHICANOS POR LA CAUSA INC
Form 990 (2020) CPLC 86-0227210 Page **11**

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X ☐

			(A) Beginning of year		(B) End of year
Assets	1	Cash - non-interest-bearing	22,964,022.	1	28,022,619.
	2	Savings and temporary cash investments	6,958,999.	2	1,016,361,526.
	3	Pledges and grants receivable, net	538,097.	3	4,630,087.
	4	Accounts receivable, net	899,614.	4	56,933,581.
	5	Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)		6	
	7	Notes and loans receivable, net	43,205,441.	7	6,704,143,244.
	8	Inventories for sale or use	5,867,166.	8	10,898,451.
	9	Prepaid expenses and deferred charges	695,690.	9	312,948.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D — 10a 165,398,049.			
	b	Less: accumulated depreciation — 10b 53,160,384.	98,654,417.	10c	112,237,665.
	11	Investments - publicly traded securities	4,559,056.	11	5,014,875.
	12	Investments - other securities. See Part IV, line 11		12	
	13	Investments - program-related. See Part IV, line 11	4,706,324.	13	5,076,082.
	14	Intangible assets	573,783.	14	514,469.
	15	Other assets. See Part IV, line 11	15,125,755.	15	4,454,161.
	16	**Total assets.** Add lines 1 through 15 (must equal line 33)	204,748,364.	16	7,948,599,708.
Liabilities	17	Accounts payable and accrued expenses	9,176,884.	17	264,274,017.
	18	Grants payable		18	
	19	Deferred revenue	2,610,640.	19	311,265,356.
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D	107,779.	21	147,987.
	22	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		22	
	23	Secured mortgages and notes payable to unrelated third parties	114,836,713.	23	7,258,488,604.
	24	Unsecured notes and loans payable to unrelated third parties	12,662,495.	24	12,575,000.
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	858,915.	25	669,946.
	26	**Total liabilities.** Add lines 17 through 25	140,253,426.	26	7,847,420,910.
Net Assets or Fund Balances		**Organizations that follow FASB ASC 958, check here ▶ [X] and complete lines 27, 28, 32, and 33.**			
	27	Net assets without donor restrictions	62,439,869.	27	95,873,342.
	28	Net assets with donor restrictions	2,055,069.	28	5,305,456.
		Organizations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 29 through 33.			
	29	Capital stock or trust principal, or current funds		29	
	30	Paid-in or capital surplus, or land, building, or equipment fund		30	
	31	Retained earnings, endowment, accumulated income, or other funds		31	
	32	Total net assets or fund balances	64,494,938.	32	101,178,798.
	33	Total liabilities and net assets/fund balances	204,748,364.	33	7,948,599,708.

Form **990** (2020)

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Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	121,803,796.
2	Total expenses (must equal Part IX, column (A), line 25)	2	86,288,107.
3	Revenue less expenses. Subtract line 2 from line 1	3	35,515,689.
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	64,494,938.
5	Net unrealized gains (losses) on investments	5	1,186,835.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	-18,664.
9	Other changes in net assets or fund balances (explain on Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B))	10	101,178,798.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII ☐

			Yes	No
1	Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other ______ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		X
	If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b	X	
	If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: ☐ Separate basis ☒ Consolidated basis ☐ Both consolidated and separate basis			
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	X	
	If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O.			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	X	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits	3b	X	

Form 990 (2020)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047
2020
Open to Public Inspection

Name of the organization CHICANOS POR LA CAUSA INC
CPLC
Employer identification number 86-0227210

Part I Reason for Public Charity Status. (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:
5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
9 ☐ An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university:
10 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions, subject to certain exceptions; and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.

a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**

b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**

c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**

d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**

e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f Enter the number of supported organizations

g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document? Yes	No	(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
Total						

CHICANOS POR LA CAUSA INC

Schedule A (Form 990 or 990-EZ) 2020 CPLC 86-0227210 Page 2

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	8,965,239.	8,828,576.	8,143,206.	9,124,577.	36,671,576.	71,733,174.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3	8,965,239.	8,828,576.	8,143,206.	9,124,577.	36,671,576.	71,733,174.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						3,689,110.
6 **Public support.** Subtract line 5 from line 4.						68,044,064.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
7 Amounts from line 4	8,965,239.	8,828,576.	8,143,206.	9,124,577.	36,671,576.	71,733,174.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources	898,486.	925,883.	1,201,108.	1,325,429.	8,375,045.	12,725,951.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)		71,602.	69,851.	64,920.	128,987.	335,360.
11 **Total support.** Add lines 7 through 10						84,794,485.

12 Gross receipts from related activities, etc. (see instructions) | 12 | 315,952,500.

13 **First 5 years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2020 (line 6, column (f), divided by line 11, column (f)) | 14 | 80.25 %

15 Public support percentage from 2019 Schedule A, Part II, line 14 | 15 | 92.37 %

16a **33 1/3% support test - 2020.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☒

b **33 1/3% support test - 2019.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10% -facts-and-circumstances test - 2020.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and **stop here.** Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported organization ▶ ☐

b **10% -facts-and-circumstances test - 2019.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and **stop here.** Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

Schedule A (Form 990 or 990-EZ) 2020

032022 01-25-21

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support.** (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 **Total support.** (Add lines 9, 10c, 11, and 12.)						

14 **First 5 years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15	Public support percentage for 2020 (line 8, column (f), divided by line 13, column (f))	15	%
16	Public support percentage from 2019 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17	Investment income percentage for **2020** (line 10c, column (f), divided by line 13, column (f))	17	%
18	Investment income percentage from **2019** Schedule A, Part III, line 17	18	%

19a **33 1/3% support tests - 2020.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests - 2019.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked box 12a, Part I, complete Sections A and B. If you checked box 12b, Part I, complete Sections A and C. If you checked box 12c, Part I, complete Sections A, D, and E. If you checked box 12d, Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

			Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? *If "No," describe in* **Part VI** *how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.*	1		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? *If "Yes," explain in* **Part VI** *how the organization determined that the supported organization was described in section 509(a)(1) or (2).*	2		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? *If "Yes," answer lines 3b and 3c below.*	3a		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? *If "Yes," describe in* **Part VI** *when and how the organization made the determination.*	3b		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? *If "Yes," explain in* **Part VI** *what controls the organization put in place to ensure such use.*	3c		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? *If "Yes," and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.*	4a		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? *If "Yes," describe in* **Part VI** *how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.*	4b		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? *If "Yes," explain in* **Part VI** *what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.*	4c		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? *If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in* **Part VI,** *including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).*	5a		
b	**Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b		
c	**Substitutions only.** Was the substitution the result of an event beyond the organization's control?	5c		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? *If "Yes," provide detail in* **Part VI.**	6		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? *If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).*	7		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? *If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).*	8		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? *If "Yes," provide detail in* **Part VI.**	9a		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? *If "Yes," provide detail in* **Part VI.**	9b		
c	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? *If "Yes," provide detail in* **Part VI.**	9c		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? *If "Yes," answer line 10b below.*	10a		
b	Did the organization have any excess business holdings in the tax year? *(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)*	10b		

Part IV Supporting Organizations *(continued)*

			Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?			
a	A person who directly or indirectly controls, either alone or together with persons described in lines 11b and 11c below, the governing body of a supported organization?	**11a**		
b	A family member of a person described in line 11a above?	**11b**		
c	A 35% controlled entity of a person described in line 11a or 11b above? *If "Yes" to line 11a, 11b, or 11c, provide detail in* **Part VI.**	**11c**		

Section B. Type I Supporting Organizations

			Yes	No
1	Did the governing body, members of the governing body, officers acting in their official capacity, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's officers, directors, or trustees at all times during the tax year? *If "No," describe in* **Part VI** *how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove officers, directors, or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.*	**1**		
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? *If "Yes," explain in* **Part VI** *how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.*	**2**		

Section C. Type II Supporting Organizations

			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? *If "No," describe in* **Part VI** *how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).*	**1**		

Section D. All Type III Supporting Organizations

			Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	**1**		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? *If "No," explain in* **Part VI** *how the organization maintained a close and continuous working relationship with the supported organization(s).*	**2**		
3	By reason of the relationship described in line 2, above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? *If "Yes," describe in* **Part VI** *the role the organization's supported organizations played in this regard.*	**3**		

Section E. Type III Functionally Integrated Supporting Organizations

1 *Check the box next to the method that the organization used to satisfy the Integral Part Test during the year* **(see instructions).**

a ☐ The organization satisfied the Activities Test. *Complete* **line 2** *below.*

b ☐ The organization is the parent of each of its supported organizations. *Complete* **line 3** *below.*

c ☐ The organization supported a governmental entity. *Describe in* **Part VI** *how you supported a governmental entity (see instructions).*

			Yes	No
2	Activities Test. **Answer lines 2a and 2b below.**			
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? *If "Yes," then in* **Part VI identify those supported organizations and explain** *how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.*	**2a**		
b	Did the activities described in line 2a, above, constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? *If "Yes," explain in* **Part VI** *the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.*	**2b**		
3	Parent of Supported Organizations. **Answer lines 3a and 3b below.**			
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *If "Yes" or "No" provide details in* **Part VI.**	**3a**		
b	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? *If "Yes," describe in* **Part VI** *the role played by the organization in this regard.*	**3b**		

Part V **Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (*explain in* **Part VI**). **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income			(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1		
2	Recoveries of prior-year distributions	2		
3	Other gross income (see instructions)	3		
4	Add lines 1 through 3.	4		
5	Depreciation and depletion	5		
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6		
7	Other expenses (see instructions)	7		
8	**Adjusted Net Income** (subtract lines 5, 6, and 7 from line 4)	8		

Section B - Minimum Asset Amount			(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):			
a	Average monthly value of securities	1a		
b	Average monthly cash balances	1b		
c	Fair market value of other non-exempt-use assets	1c		
d	**Total** (add lines 1a, 1b, and 1c)	1d		
e	**Discount** claimed for blockage or other factors (*explain in detail in* **Part VI**):			
2	Acquisition indebtedness applicable to non-exempt-use assets	2		
3	Subtract line 2 from line 1d.	3		
4	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).	4		
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6	Multiply line 5 by 0.035.	6		
7	Recoveries of prior-year distributions	7		
8	**Minimum Asset Amount** (add line 7 to line 6)	8		

Section C - Distributable Amount				Current Year
1	Adjusted net income for prior year (from Section A, line 8, column A)	1		
2	Enter 0.85 of line 1.	2		
3	Minimum asset amount for prior year (from Section B, line 8, column A)	3		
4	Enter greater of line 2 or line 3.	4		
5	Income tax imposed in prior year	5		
6	**Distributable Amount.** Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	6		

7 ☐ Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations *(continued)*

Section D - Distributions		Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	1	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	2	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	3	
4 Amounts paid to acquire exempt-use assets	4	
5 Qualified set-aside amounts (prior IRS approval required - *provide details in* **Part VI**)	5	
6 Other distributions (*describe in* **Part VI**). See instructions.	6	
7 **Total annual distributions.** Add lines 1 through 6.	7	
8 Distributions to attentive supported organizations to which the organization is responsive (*provide details in* **Part VI**). See instructions.	8	
9 Distributable amount for 2020 from Section C, line 6	9	
10 Line 8 amount divided by line 9 amount	10	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2020	(iii) Distributable Amount for 2020
1 Distributable amount for 2020 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2020 (reasonable cause required - *explain in* **Part VI**). See instructions.			
3 Excess distributions carryover, if any, to 2020			
a From 2015			
b From 2016			
c From 2017			
d From 2018			
e From 2019			
f **Total** of lines 3a through 3e			
g Applied to underdistributions of prior years			
h Applied to 2020 distributable amount			
i Carryover from 2015 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from line 3f.			
4 Distributions for 2020 from Section D, line 7: $			
a Applied to underdistributions of prior years			
b Applied to 2020 distributable amount			
c Remainder. Subtract lines 4a and 4b from line 4.			
5 Remaining underdistributions for years prior to 2020, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, *explain in* **Part VI.** See instructions.			
6 Remaining underdistributions for 2020. Subtract lines 3h and 4b from line 1. For result greater than zero, *explain in* **Part VI**. See instructions.			
7 **Excess distributions carryover to 2021.** Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2016			
b Excess from 2017			
c Excess from 2018			
d Excess from 2019			
e Excess from 2020			

CHICANOS POR LA CAUSA INC

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

SCHEDULE A, PART II, LINE 10, EXPLANATION FOR OTHER INCOME:

OTHER INCOME

2017 AMOUNT: $ 71,602.

2018 AMOUNT: $ 69,851.

2019 AMOUNT: $ 64,920.

2020 AMOUNT: $ 128,987.

Schedule B

(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Go to www.irs.gov/Form990 for the latest information.**

OMB No. 1545-0047

2020

Name of the organization	**Employer identification number**
CHICANOS POR LA CAUSA INC CPLC	86-0227210

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	[X] 501(c)(3) (enter number) organization
	[ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	[ ] 527 political organization
Form 990-PF	[ ] 501(c)(3) exempt private foundation
	[ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation
	[ ] 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

[ ] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

[X] For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

[ ] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

[ ] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year .... ▶ $ ________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
CHICANOS POR LA CAUSA INC CPLC	86-0227210

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1		$ 4,326,265.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
2		$ 755,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
3		$ 5,000,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
4		$ 4,619,721.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
5		$ 5,000,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
6		$ 8,216,138.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
CHICANOS POR LA CAUSA INC CPLC	86-0227210

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
6	BUILDINGS	$ 8,216,138.	06/30/21
		$	
		$	
		$	
		$	
		$	

Name of organization: CHICANOS POR LA CAUSA INC CPLC

Employer identification number: 86-0227210

Part III **Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this info. once.) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (See separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (See separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization	Employer identification number
CHICANOS POR LA CAUSA INC CPLC	86-0227210

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.

2 Political campaign activity expenditures ▶ $ ______

3 Volunteer hours for political campaign activities ______

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $ ______

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $ ______

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $ ______

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ $ ______

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ $ ______

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds. If none, enter -0-.	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing organization's totals	**(b)** Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grassroots lobbying)	243,254.	
b Total lobbying expenditures to influence a legislative body (direct lobbying)		
c Total lobbying expenditures (add lines 1a and 1b)	243,254.	
d Other exempt purpose expenditures	86,077,941.	
e Total exempt purpose expenditures (add lines 1c and 1d)	86,321,195.	
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.	1,000,000.	

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over $500,000	20% of the amount on line 1e.
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000.
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000.
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000.
Over $17,000,000	$1,000,000.

	(a)	(b)
g Grassroots nontaxable amount (enter 25% of line 1f)	250,000.	
h Subtract line 1g from line 1a. If zero or less, enter -0-	0.	
i Subtract line 1f from line 1c. If zero or less, enter -0-	0.	

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2017	**(b)** 2018	**(c)** 2019	**(d)** 2020	**(e)** Total
2a Lobbying nontaxable amount			1,000,000.	1,000,000.	2,000,000.
b Lobbying ceiling amount (150% of line 2a, column(e))					3,000,000.
c Total lobbying expenditures			154,642.	243,254.	397,896.
d Grassroots nontaxable amount			250,000.	250,000.	500,000.
e Grassroots ceiling amount (150% of line 2d, column (e))					750,000.
f Grassroots lobbying expenditures			154,642.	243,254.	397,896.

Schedule C (Form 990 or 990-EZ) 2020

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.	(a) Yes	(a) No	(b) Amount
1 During the year, did the filing organization attempt to influence foreign, national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities?			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

		Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1		
2 Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3 Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year?	3		

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (See instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (See instructions); and Part II-B, line 1. Also, complete this part for any additional information.

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.
▶Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047
2020
Open to Public Inspection

Name of the organization: CHICANOS POR LA CAUSA INC CPLC

Employer identification number: 86-0227210

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate value of contributions to (during year)		
3	Aggregate value of grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II Conservation Easements.
Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).
- ☐ Preservation of land for public use (for example, recreation or education)
- ☐ Preservation of a historically important land area
- ☐ Protection of natural habitat
- ☐ Preservation of a certified historic structure
- ☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

			Held at the End of the Tax Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ ______

4 Number of states where property subject to conservation easement is located ▶ ______

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ ______

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ $ ______

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide in Part XIII the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 ▶ $ ______

(ii) Assets included in Form 990, Part X ▶ $ ______

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:

a Revenue included on Form 990, Part VIII, line 1 ▶ $ ______

b Assets included in Form 990, Part X ▶ $ ______

CHICANOS POR LA CAUSA INC

Schedule D (Form 990) 2020 CPLC 86-0227210 Page 2

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that make significant use of its collection items (check all that apply):

a [ ] Public exhibition
b [ ] Scholarly research
c [ ] Preservation for future generations
d [ ] Loan or exchange program
e [ ] Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? [ ] Yes [ ] No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? [ ] Yes [X] No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? [X] Yes [ ] No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII [X]

Part V Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance		124,110.	176,400.	767,223.	2,947,663.
b Contributions					
c Net investment earnings, gains, and losses			7,960.		327,560.
d Grants or scholarships					
e Other expenditures for facilities and programs		124,110.	60,250.	590,823.	2,508,000.
f Administrative expenses					
g End of year balance			124,110.	176,400.	767,223.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment ▶ .0000 %

b Permanent endowment ▶ .0000 %

c Term endowment ▶ .0000 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

		Yes	No
(i) Unrelated organizations	3a(i)		
(ii) Related organizations	3a(ii)		
b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		12,256,850.		12,256,850.
b Buildings		120,029,285.	41,191,978.	78,837,307.
c Leasehold improvements		3,082,507.	2,138,397.	944,110.
d Equipment		8,742,761.	5,986,648.	2,756,113.
e Other		21,286,646.	3,843,361.	17,443,285.
Total. Add lines 1a through 1e. *(Column (d) must equal Form 990, Part X, column (B), line 10c.)* ▶				112,237,665.

Schedule D (Form 990) 2020

032052 12-01-20

CHICANOS POR LA CAUSA INC

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 15.)* ▶	

Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) SELF FUNDING ADMIN & CLAIMS	22,202.
(3) DEPOSITS	601,778.
(4) INTERCOMPANY PAYABLES	45,966.
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 25.)* ▶	669,946.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII ... [X]

Schedule D (Form 990) 2020

032053 12-01-20

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:				
a	Net unrealized gains (losses) on investments	2a			
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII.)	2d			
e	Add lines **2a** through **2d**			2e	
3	Subtract line **2e** from line **1**			3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total revenue. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 12.)*			5	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII.)	2d			
e	Add lines **2a** through **2d**			2e	
3	Subtract line **2e** from line **1**			3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total expenses. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 18.)*			5	

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART IV, LINE 2B:

THERE ARE A FEW CLIENTS THAT CPLC SERVES AS A FISCAL AGENT. FUNDS ARE HOUSED IN A SAVINGS ACCOUNT AND RECORDED UNDER FUNDS HELD IN CUSTODY OF OTHERS. REQUEST FOR PAYMENT IS MADE BY THE ENTITY WHEN PAYMENT IS REQUIRED.

PART V, LINE 4:

A FUND WAS ESTABLISHED IN 1991 WITH A GRANT FROM THE FORD FOUNDATION, OF WHICH $2,500,000 WAS TO BE USED STRICTLY TO ESTABLISH AN ENDOWMENT. THE PURPOSE OF THE ENDOWMENT FUND IS TO FUND THE ADMINISTRATIVE COSTS OF CPLC. HOWEVER, UNDER THE TERMS OF THE GRANT, THE ENDOWMENT FUND AND INCOME EARNED BY THE FUND WERE TO REMAIN UNUSED FOR FIVE YEARS, AFTER WHICH CPLC

Part XIII Supplemental Information *(continued)*

COULD BEGIN USING INVESTMENT EARNINGS TO FUND ADMINISTRATIVE COSTS. DURING FISCAL YEAR 2017, CPLC RECEIVED APPROVAL FROM THE DONOR TO RELEASE THE $2,500,000 GRANT FROM PERMANENTLY RESTRICTED NET ASSETS. THE REMAINING VALUE IN THIS ENDOWMENT IS THE ACCUMULATION OF EARNINGS AND ARE CONSIDERED TEMPORARILY RESTRICTED UNTIL THOSE AMOUNTS ARE APPROPRIATED FOR EXPENDITURE BY THE ORGANIZATION IN A MANNER CONSISTENT WITH THE STANDARD OF PRUDENCE PRESCRIBED BY ARIZONA UNIFORM PRUDENT MANAGEMENT OF INSTITUTIONAL FUNDS ACT (UPMIFA). THESE REMAINING EARNINGS WERE EXPENDED DURING FISCAL 2020.

PART X, LINE 2:

CPLC IS ORGANIZED AS A NONPROFIT CORPORATION AND HAS BEEN RECOGNIZED BY THE INTERNAL REVENUE SERVICE (IRS) AS EXEMPT FROM FEDERAL INCOME TAXES UNDER SECTION 501(A) OF THE INTERNAL REVENUE CODE (IRC) AS AN ORGANIZATION DESCRIBED IN SECTION 501(C)(3) AND HAS BEEN DETERMINED NOT TO BE A PRIVATE FOUNDATION. ACCORDINGLY, CONTRIBUTIONS TO THE ORGANIZATION QUALIFIES FOR THE CHARITABLE CONTRIBUTION DEDUCTION UNDER SECTION 170(B)(1)(A). THE ENTITY IS ANNUALLY REQUIRED TO FILE A RETURN OF ORGANIZATION EXEMPT FROM INCOME TAX WITH THE IRS.

THE ORGANIZATION BELIEVES THAT IT HAS APPROPRIATE SUPPORT FOR ANY INCOME TAX POSITIONS TAKEN AND, AS SUCH, DOES NOT HAVE ANY UNCERTAIN TAX POSITIONS THAT ARE MATERIAL TO THE CONSOLIDATED FINANCIAL STATEMENTS. THE ENTITIES WOULD RECOGNIZE FUTURE ACCRUED INTEREST AND PENALTIES RELATED TO UNRECOGNIZED TAX BENEFITS AND LIABILITIES IN INCOME TAX EXPENSE IF SUCH INTEREST AND PENALTIES ARE INCURRED.

SCHEDULE G (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, line 17, 18, or 19, or if the organization entered more than $15,000 on Form 990-EZ, line 6a.

▶ Attach to Form 990 or Form 990-EZ.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization: CHICANOS POR LA CAUSA INC
CPLC

Employer identification number: 86-0227210

Part I **Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a ☐ Mail solicitations
- b ☐ Internet and email solicitations
- c ☐ Phone solicitations
- d ☐ In-person solicitations
- e ☐ Solicitation of non-government grants
- f ☐ Solicitation of government grants
- g ☐ Special fundraising events

2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☐ Yes ☐ No

b If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions? Yes	No	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
Total ▶						

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

CHICANOS POR LA CAUSA INC

Schedule G (Form 990 or 990-EZ) 2020 CPLC 86-0227210 Page 2

Part II **Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than $15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than $5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events (add col. (a) through col. (c))
		GOLF	VIRTUAL DINNER	8	
		(event type)	(event type)	(total number)	
Revenue	1 Gross receipts	163,167.	90,000.	336,124.	589,291.
	2 Less: Contributions	37,247.	90,000.	264,949.	392,196.
	3 Gross income (line 1 minus line 2)	125,920.		71,175.	197,095.
Direct Expenses	4 Cash prizes	125,920.		38,275.	164,195.
	5 Noncash prizes			27,500.	27,500.
	6 Rent/facility costs			3,500.	3,500.
	7 Food and beverages			15.	15.
	8 Entertainment		4,275.	48,990.	53,265.
	9 Other direct expenses	90,636.	10,400.	122,122.	223,158.
	10 Direct expense summary. Add lines 4 through 9 in column (d) ▶				471,633.
	11 Net income summary. Subtract line 10 from line 3, column (d) ▶				-274,538.

Part III **Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	☐ Yes ____ % ☐ No	☐ Yes ____ % ☐ No	☐ Yes ____ % ☐ No	
	7 Direct expense summary. Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary. Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities: ____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: ____

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: ____

032082 11-25-20 **Schedule G (Form 990 or 990-EZ) 2020**

18260512 131839 038-057609 2020.05094 CHICANOS POR LA CAUSA INC 038-0571

PRESTAMOS-00307688

11 Does the organization conduct gaming activities with nonmembers? ☐ **Yes** ☐ **No**

12 Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed to administer charitable gaming? ☐ **Yes** ☐ **No**

13 Indicate the percentage of gaming activity conducted in:

a The organization's facility **13a** %

b An outside facility **13b** %

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶

Address ▶

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ **Yes** ☐ **No**

b If "Yes," enter the amount of gaming revenue received by the organization ▶ $ ______ and the amount of gaming revenue retained by the third party ▶ $ ______

c If "Yes," enter name and address of the third party:

Name ▶

Address ▶

16 Gaming manager information:

Name ▶

Gaming manager compensation ▶ $

Description of services provided ▶

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ **Yes** ☐ **No**

b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $

Part IV Supplemental Information.

Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

Part IV Supplemental Information *(continued)*

SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization CHICANOS POR LA CAUSA INC
CPLC

Employer identification number 86-0227210

Part I **General Information on Grants and Assistance**

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ..... [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II **Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
MARICOPA COMMUNITY COLLEGES FOUNDATION - 2419 W. 14TH STREET - TEMPE, AZ 85281	86-0327449	STATE OF ARIZONA	10,000.	0.	N/A	N/A	HEROES OF EDUCATION HONOREE
VICTORIA FOUNDATION 1122 E. BUCKEYE STE B-5 PHOENIX, AZ 85034	23-7091708	501(C)(3)	25,000.	0.	N/A	N/A	UNRESTRICTED
VALLEY OF THE SUN YMCA 350 N 1ST AVENUE PHOENIX, AZ 85003	86-0096799	501(C)(3)	6,000.	0.	N/A	N/A	UNRESTRICTED
UNIVERSITY OF ARIZONA FOUNDATION P.O.BOX 245163 TUCSON, AZ 85724	86-6050388	501(C)(3)	172,500.	0.	N/A	N/A	SCHOLARSHIPS

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ..... ▶ 4.

3 Enter total number of other organizations listed in the line 1 table ..... ▶ 0.

LHA **For Paperwork Reduction Act Notice, see the Instructions for Form 990.** **Schedule I (Form 990) 2020**

032101 11-02-20

CHICANOS POR LA CAUSA INC

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	**(b)** Number of recipients	**(c)** Amount of cash grant	**(d)** Amount of non-cash assistance	**(e)** Method of valuation (book, FMV, appraisal, other)	**(f)** Description of noncash assistance
SCHOLARSHIPS TO INDIVIDUALS	23	52,632.	0.		
DOWN PAYMENT ASSISTANCE	999	821,532.	0.		
EMERGENCY ASSISTANCE TO INDIVIDUALS	181	272,076.	0.		
BUS TOKENS	790	3,162.	0.		
UTILITY ASSISTANCE	1281	1,166,902.	0.		

Part IV **Supplemental Information.** Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

PART I, LINE 2:

CPLC PUBLICALLY SOLICITS APPLICATIONS FOR ITS SCHOLARSHIP PROGRAM.

APPLICATIONS ARE SUBMITTED TO ASU AND MARICOPA COMMUNITY COLLEGES AND

REVIEWED BY A SCHOLARSHIP COMMITTEE MADE UP OF CPLC EMPLOYEES AND

VOLUNTEERS ACCORDING TO A PRE-DETERMINED SCORING RUBRIC. THE RUBRIC COVERS

THE STUDENT'S GPA, EDUCATION AND CAREER GOALS, AND COMMITMENT TO COMMUNITY

SERVICE. ONCE AWARDED, THE SCHOLARSHIP COORDINATOR MANAGES DAY TO DAY

COMMUNICATION WITH THE SCHOLARSHIP RECIPIENTS AS WELL AS WITH PARTNER

COLLEGES. THE COORDINATOR ALSO ENSURES THAT ALL RECIPIENTS CONTINUE TO MEET

CHICANOS POR LA CAUSA INC

Schedule I (Form 990) CPLC 86-0227210 Page **2**

Part III **Continuation of Grants and Other Assistance to Domestic Individuals** (Schedule I (Form 990), Part III.)

(a) Type of grant or assistance	**(b)** Number of recipients	**(c)** Amount of cash grant	**(d)** Amount of non-cash assistance	**(e)** Method of valuation (book, FMV, appraisal, other)	**(f)** Description of noncash assistance
FUNERAL ASSISTANCE	8.	2,659.	0.		
RENTAL AND EVICTION ASSISTANCE	1,171.	2,723,285.	0.		
PARTICIPANT ACTIVITIES	536.	194,169.	0.		
COVID-19 ASSISTANCE	208.	1,515,147.	0.		

Schedule I (Form 990)

032242
11-05-20

Part IV Supplemental Information

ELIGIBILITY CRITERIA, INCLUDING MAINTAINING THEIR GRADES AND PROVIDING A CERTAIN AMOUNT OF VOLUNTEER HOURS TO CPLC OR ANY OTHER ORGANIZATION. CPLC AND THE COLLEGES WORK TOGETHER TO ENSURE EACH STUDENT MEETS THE CRITERIA TO MAINTAIN OR RENEW THE SCHOLARSHIP.

FOR GRANTS GIVEN TO ORGANIZATION THAT DO NOT SUPPORT SCHOLARSHIPS THE ORGANIZATION CHOOSES TO PROVIDE SUPPORT TO ORGANIZATIONS WITH SIMILAR PROGRAM INITIATIVES. ONCE THE GRANTS ARE GIVEN NO FURTHER MONITORING IS CONSIDERED NECESSARY.

NON-SCHOLARSHIP ASSISTANCE GIVEN TO INDIVIDUALS IS EITHER A CASH DONATION PAID TO A PROVIDER ON THE INDIVIDUAL'S BEHALF OR ITEMS SUCH AS BUS TOKENS ARE PROVIDE TO THE INDIVIDUAL AT THE TIME OF NEED AND NO FURTHER MONITORING IS CONSIDERED NECESSARY.

SCHEDULE J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047
2020
Open to Public Inspection

Name of the organization: CHICANOS POR LA CAUSA INC
CPLC

Employer identification number
86-0227210

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. ☐ First-class or charter travel ☐ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax indemnification and gross-up payments ☐ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (such as maid, chauffeur, chef)			
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?	2		
3	Indicate which, if any, of the following the organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. ☐ Compensation committee ☐ Written employment contract ☒ Independent compensation consultant ☒ Compensation survey or study ☐ Form 990 of other organizations ☒ Approval by the board or compensation committee			
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
a	Receive a severance payment or change-of-control payment?	4a		X
b	Participate in or receive payment from a supplemental nonqualified retirement plan?	4b		X
c	Participate in or receive payment from an equity-based compensation arrangement?	4c		X
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
	Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
a	The organization?	5a		X
b	Any related organization?	5b		X
	If "Yes" on line 5a or 5b, describe in Part III.			
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
a	The organization?	6a		X
b	Any related organization?	6b		X
	If "Yes" on line 6a or 6b, describe in Part III.			
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III	7	X	
8	Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		X
9	If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9		

CHICANOS POR LA CAUSA INC

Schedule J (Form 990) 2020 CPLC 86-0227210 Page **2**

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		**(B)** Breakdown of W-2 and/or 1099-MISC compensation: **(i)** Base compensation	**(ii)** Bonus & incentive compensation	**(iii)** Other reportable compensation	**(C)** Retirement and other deferred compensation	**(D)** Nontaxable benefits	**(E)** Total of columns (B)(i)-(D)	**(F)** Compensation in column (B) reported as deferred on prior Form 990
(1) DAVID ADAME	(i)	441,440.	74,000.	0.	9,774.	24,605.	549,819.	0.
PRESIDENT/CEO	(ii)	0.	0.	0.	0.	0.	0.	0.
(2) MARIA SPELLERI	(i)	263,002.	20,000.	0.	13,428.	25,529.	321,959.	0.
EXECUTIVE VP	(ii)	0.	0.	0.	0.	0.	0.	0.
(3) MAX GONZALES	(i)	238,400.	4,000.	0.	26,000.	24,079.	292,479.	0.
SECRETARY-EXECUTIVE EVP	(ii)	0.	0.	0.	0.	0.	0.	0.
(4) ANDRES CONTRERAS	(i)	215,920.	11,909.	0.	18,644.	24,605.	271,078.	0.
EXECUTIVE VP	(ii)	0.	0.	0.	0.	0.	0.	0.
(5) ALICIA NUNEZ	(i)	175,778.	37,000.	0.	15,393.	25,279.	253,450.	0.
CFO/TREASURER	(ii)	0.	0.	0.	0.	0.	0.	0.
(6) GERMAN REYES	(i)	187,971.	5,220.	0.	26,000.	21,679.	240,870.	0.
EXECUTIVE VP-REAL ESTATE	(ii)	0.	0.	0.	0.	0.	0.	0.
(7) RAMIRO GUILLEN	(i)	184,656.	0.	0.	23,892.	26,479.	235,027.	0.
NURSE PRACTIONER	(ii)	0.	0.	0.	0.	0.	0.	0.
(8) BRANDY HAMMOND	(i)	183,535.	0.	0.	19,500.	14,177.	217,212.	0.
NURSE PRACTIONER	(ii)	0.	0.	0.	0.	0.	0.	0.
(9) JOSE LORETO MARTINEZ	(i)	168,484.	25,000.	0.	16,462.	2,305.	212,251.	0.
VP PRESTAMOS	(ii)	0.	0.	0.	0.	0.	0.	0.
(10) NIRVA JEAN-BAPTISTE	(i)	188,812.	0.	0.	1,917.	15,108.	205,837.	0.
NURSE PRACTIONER	(ii)	0.	0.	0.	0.	0.	0.	0.
(11) JENNIFER LINEHAN	(i)	170,083.	0.	0.	4,329.	17,336.	191,748.	0.
NURSE PRACTIONER	(ii)	0.	0.	0.	0.	0.	0.	0.
(12) ROBERT ALVARADO	(i)	128,754.	14,000.	0.	11,289.	22,879.	176,922.	0.
VICE PRESIDENT	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

Schedule J (Form 990) 2020

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CHICANOS POR LA CAUSA INC

 CPLC 86-0227210

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 7:

BONUSES ARE DETERMINED BY THE RECEIPT OF A CLEAN AUDIT REPORT PROVIDED

WITHIN THE REQUIRED TIME FRAME AND BY THE FEEDBACK AND EVALUATION ON THE

DEPARTMENT DURING THE YEAR.

SCHEDULE M (Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization: CHICANOS POR LA CAUSA INC CPLC

Employer identification number 86-0227210

Part I Types of Property

		(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1	Art - Works of art				
2	Art - Historical treasures				
3	Art - Fractional interests				
4	Books and publications				
5	Clothing and household goods				
6	Cars and other vehicles				
7	Boats and planes				
8	Intellectual property				
9	Securities - Publicly traded				
10	Securities - Closely held stock				
11	Securities - Partnership, LLC, or trust interests				
12	Securities - Miscellaneous				
13	Qualified conservation contribution - Historic structures				
14	Qualified conservation contribution - Other				
15	Real estate - Residential				
16	Real estate - Commercial	X	18	8,216,138.	
17	Real estate - Other				
18	Collectibles				
19	Food inventory				
20	Drugs and medical supplies				
21	Taxidermy				
22	Historical artifacts				
23	Scientific specimens				
24	Archeological artifacts				
25	Other ▶ ( )				
26	Other ▶ ( )				
27	Other ▶ ( )				
28	Other ▶ ( )				

29	Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part V, Donee Acknowledgement	29	0

			Yes	No
30a	During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?	30a		X
b	If "Yes," describe the arrangement in Part II.			
31	Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?	31	X	
32a	Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	32a		X
b	If "Yes," describe in Part II.			
33	If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.			

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

SCHEDULE M, PART I, COLUMN (B):

COLUMN (B) REPRESENTS THE NUMBER OF CONTRIBUTIONS.

SCHEDULE O
(Form 990 or 990-EZ)
Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047
2020
Open to Public Inspection

Name of the organization	Employer identification number
CHICANOS POR LA CAUSA INC CPLC	86-0227210

FORM 990, PART III, LINE 4A:

SOCIAL SERVICES AND EDUCATION:

1. EARLY HEADSTART AND MIGRANT HEADSTART:

CHICANOS POR LA CAUSA EARLY CHILDHOOD DEVELOPMENT (CPLC ECD) EARLY HEAD START AND MIGRANT HEAD START PROGRAMS PROMOTE SCHOOL READINESS FOR CHILDREN AND FAMILIES THROUGHOUT ARIZONA. CPLC ECD IS FOCUSED ON MEETING THE EDUCATION AND CHILDCARE NEEDS OF FAMILIES BY FOSTERING FAMILY SELF-SUFFICIENCY THROUGH THE PROVISION OF SUPPORTIVE CARE AND DEVELOPMENTALLY APPROPRIATE EARLY CHILDHOOD EDUCATION SERVICES TO CHILDREN UNDER FIVE YEARS OLD. CPLC'S FIRST HEAD START GRANT WAS AWARDED IN 1996 WHEN THE AGENCY BECAME THE STATEWIDE GRANTEE FOR MIGRANT & SEASONAL HEAD START WITH 301 FUNDED SLOTS. IN 2000, THE AGENCY WON A SECOND GRANT TO PROVIDE EARLY HEAD START SERVICES IN SOUTH CENTRAL PHOENIX WITH 52 FUNDED SLOTS. IN 2021, CPLC WAS AWARDED A MIGRANT & SEASONAL HEAD START GRANT TO PROVIDE SERVICES IN THE STATES OF TEXAS AND NEW MEXICO. THIS MOST RECENT GRANT FUNDED A TOTAL OF 1,026 SLOTS. AS OF TODAY, ALL PROGRAMS HAVE GROWN WITH MIGRANT & SEASONAL HEAD START HAVING 930 FUNDED SLOTS, EARLY HEAD START HAVING 112 FUNDED SLOTS AND TEXAS/NEW MEXICO MIGRANT & SEASONAL HEAD START HAVING 1,026 FUNDED SLOTS. CPLC ECD FAMILIES REPRESENT AN UNDERSERVED POPULATION WITH MOUNTING CHALLENGES: POVERTY, HOUSING SHORTAGES, UNEMPLOYMENT, HEALTH CONCERNS, HAZARDOUS WORKING CONDITIONS, POOR NUTRITION, AND A HOST OF CULTURAL AND LANGUAGE BARRIERS. ALL OF THESE CHALLENGES HAVE BEEN COMPOUNDED BY THE COVID-19 VIRUS. COLLABORATING WITH COMMUNITY PARTNERS WHO SHARE CPLC'S COMMITMENTS TO ALL FAMILIES IN

Name of the organization	CHICANOS POR LA CAUSA INC CPLC	Employer identification number 86-0227210

NEED, ECD TURNS THE TIDE, PROVIDING AN ARRAY OF SERVICES TO SUPPORT THE HEALTH, WELL-BEING, AND FUTURE EDUCATIONAL SUCCESS OF YOUNG CHILDREN. WITHIN THE SOUTHWEST'S AGRICULTURAL COMMUNITIES, MIGRANT AND SEASONAL FARM WORKING FAMILIES FACE ADDITIONAL OBSTACLES AS THEY STRUGGLE TO FIND ACCESS TO HEALTH CARE, SOCIAL SERVICES, HOUSING, TRANSPORTATION AND EDUCATION. MANY FAMILIES STRUGGLE TO CARE FOR CHILDREN WITH DISABILITIES AND HAVE CHALLENGES MEETING MENTAL HEALTH NEEDS CHALLENGES. THEREFORE, CPLC ECD MIGRANT & SEASONAL / MIGRANT & EARLY HEAD START (MSHS AND MEHS) SERVICES ARE PROVIDED IN THOSE AREAS WHERE HANDPICKED AND ROW CROPS ARE MOST PREVALENT. PROGRAM CENTERS PROVIDE FULL-DAY SERVICES BASED ON CROP SEASONS AND FAMILY MOVEMENT. CHILDREN ARE TRANSPORTED TO THE CENTERS DAILY ON BUSES WHICH MEET HEAD START PROGRAM PERFORMANCE STANDARDS (HSPPS) FOR SAFETY. CPLC ECD IS THE SOLE GRANTEE FOR MIGRANT & SEASONAL HEAD START IN THE STATE OF ARIZONA, PROVIDING SERVICE TO 930 CHILDREN AGES 0 TO 5 YEARS OLD IN THE COMMUNITIES OF YUMA, SOMERTON, SAN LUIS, ELOY, WILLCOX, SURPRISE AND QUEEN CREEK. CPLC ECD EARLY HEAD START (EHS) IS FUNDED TO SERVE 112 CHILDREN AGES 0-3 WITH A FOCUS ON PREGNANT AND PARENTING TEENS. THE SERVICE AREA INCLUDES THE PHOENIX, MURPHY, WILSON & ROOSEVELT ELEMENTARY SCHOOL DISTRICTS AND THE TOWN OF QUEEN CREEK. OUR TEXAS/NEW MEXICO MIGRANT & SEASONAL HEAD START ALLOWS US THE OPPORTUNITY TO PROVIDE SERVICE TO 1,026 CHILDREN AGES 0 TO 5 YEARS OLD IN THE COMMUNITIES OF ALICE, CARRIZO SPRINGS, CRYSTAL CITY, EAGLE PASS, FLOYDADA, LAREDO, LUBBOCK, MATHIS, MULESHOE, PEARSALL, PLAINVIEW AND UVALDE TEXAS AS WELL AS CLOVIS AND MESQUITE NEW MEXICO.

2. ELDERLY SERVICES:

CPLC ADDRESSES THE NEEDS OF ARIZONA'S GROWING SENIOR POPULATION THROUGH

Name of the organization	CHICANOS POR LA CAUSA INC CPLC	Employer identification number 86-0227210

A COMPREHENSIVE, CENTER BASED PROGRAM THAT OFFERS ADVOCACY AND CASE MANAGEMENT SERVICES. THIS PROGRAM IS CENTERED ON THE SOCIAL AND NUTRTIONAL NEEDS OF OUR SENIORS. THIS FOCUS CONSISTS OF DAILY ACTIVITIES TO PROMOTE SOCIAL, EMOTIONAL, HEALTH AND WELLNESS, CONGREGATE MEALS, AND HOME DELIVERED MEALS. THIS PROGRAM ANNUALLY SERVES OVER 400 ELDERLY CLIENTS LIVING IN ITS 162-UNIT FACILITY IN WEST PHOENIX. TO QUALIFY, RESIDENTS MUST BE 62+, AND EARN LESS THAN 30% OF THE AREA MEDIAN INCOME OF $49,328. ALMOST NINE OUT OF TEN RESIDENTS ARE HISPANIC. THE PROGRAM USES HOLISTIC SOLUTIONS THAT ADDRESS NON-MEDICAL ISSUES TO BOOST RESIDENTS WELL-BEING AND OVERALL HEALTH. ACCORDING TO A STUDY FUNDED BY THE ROBERT WOOD JOHNSON FOUNDATION, RESIDENTS INVOLVED IN THE SOCIAL ACTIVITIES AT THE CENTER ARE LESS LIKELY TO BE DEPRESSED AND CLAIM THEY ARE BETTER ABLE TO MANAGE THEIR CHRONIC DISEASES LIKE ARTHRITIS AND DIABETES. THE FACILITY, WHICH DID NOT OFFER NURSING SERVICES, IS IN THE PROCESS OF LAUNCHING AND ELDERLY HOME HEALTH CARE PROGRAM ON-SITE.

3. CPLC FAMILY IMMIGRATION SERVICES:

CPLC HAS BEEN ACCREDITED WITH THE DEPARTMENT OF JUSTICE SINCE 1980 TO PROVIDE LEGAL IMMIGRATION SERVICES IN SOMERTON, AZ. RECENTLY, THE ORGANIZATION HAS ALSO BEEN RECOGNIZED FOR ACCREDITATION IN TUCSON, AZ. THE TUCSON IMMIGRATION SERVICES PROGRAM HELPS FAMILIES AND INDIVIDUALS NAVIGATE THE COMPLEX IMMIGRATION SYSTEM. THE PROGRAM OFFERS THESE SERVICES THROUGH KNOWLEDGEABLE, ACCREDITED INDIVIDUALS AT AN AFFORDABLE COST. OUR GOAL IS TO REUNITE FAMILIES DIVIDED BY INTERNATIONAL BORDERS, HELP ELIGIBLE INDIVIDUALS IN THIS COUNTRY APPLY FOR IMMIGRATION BENEFITS, OR APPLY FOR CITIZENSHIP, WHILE MAKING SURE THESE INDIVIDUALS ARE NOT VICTIMS OF SCAMS OR POOR REPRESENTATION THROUGH THE RAMPANT

Name of the organization	CHICANOS POR LA CAUSA INC CPLC	Employer identification number 86-0227210

ISSUE OF "NOTARIO FRAUD" IN OUR COMMUNITY. THIS PROGRAM OFFERS A BROAD RANGE OF SERVICES DESIGNED TO FIT THE NEEDS OF EVERY FAMILY OR INDIVIDUAL GOING THROUGH THE IMMIGRATION PROCESS. IN FY 21, CPLC FAMILY IMMIGRATION SERVICES WAS ABLE TO SERVE 2,521 CLIENTS ON THEIR PATHWAY TOWARDS BECOMING U.S. CITIZENS. RESULTS FROM A CLIENT SATISFACTION STUDY CONDUCTED IN FY 21 IN THE SOMERTON OFFICE, DEMONSTRATE A STRONG TRUST TOWARDS CPLC IMMIGRATION AND ITS ABILITY TO SERVE THE COMMUNITY. CLIENTS THAT TRIED USING A DIFFERENT IMMIGRATION SERVICE TO PROCESS THEIR CASES ULTIMATELY STATED THAT CPLC UNDOUBTEDLY OFFERS A BETTER EXPERIENCE, BETTER PRICES AND FASTER PROCESSING. ALL PARTICIPANTS AGREED THAT THIS IS CREDIBLE, RELIABLE AND HONEST. THIS CAN BE SUBSTANTIATED BY CPLC'S NET PROMOTER SCORE, WHICH IS A METRIC THAT QUANTIFIES CUSTOMER LOYALTY, OF 81%. THIS SCORE IS HIGHER THAN THE BENCHMARK OF 70% FOR OTHER SOCIAL SERVICES ORGANIZATIONS. AS PART OF THE NATIONAL IMMIGRANT EMPOWERMENT PROJECT THROUGH A GRANT FROM THE CATHOLIC LEGAL IMMIGRATION NETWORK, INC. (CLINIC), CPLC FAMILY IMMIGRATION SERVICES IS WORKING TO PROMOTE IMMIGRANT INTEGRATION INTO OUR SOCIETY AND COMMUNITIES. IMMIGRATION IS A DYNAMIC, TWO-WAY PROCESS IN WHICH NEWCOMERS AND THE RECEIVING SOCIETY WORK TOGETHER TO BUILD SECURE, VIBRANT AND COHESIVE COMMUNITIES. BY WORKING WITH OUR CLIENTS AND THE LOCAL COMMUNITY, WE WANT TO HELP IDENTIFY BARRIERS THAT PREVENT IMMIGRANTS FROM FULLY INTEGRATING INTO SOCIETY AND WORK TOGETHER AT THE GRASSROOTS LEVEL TO ADDRESS THESE EFFORTS AND SEEK LONG-TERM SOLUTIONS.

4. WORKFORCE SOLUTIONS:

IN OPERATION FOR 34 YEARS, THE MISSION OF CHICANOS POR LA CAUSA WORKFORCE SOLUTIONS IS TO "EMPOWER ECONOMIC MOBILITY THROUGH WORKFORCE DEVELOPMENT" AND, AS SUCH, PROGRAMMING AIMS TO EMPOWER MEMBERS OF THE

Name of the organization	Employer identification number
CHICANOS POR LA CAUSA INC CPLC	86-0227210

COMMUNITY TO ACHIEVE SELF-SUFFICIENCY THROUGH SERVICES THAT HELP DEFINE AND LAUNCH CAREER PATHWAYS WHILE ADDRESSING BARRIERS TO EMPLOYMENT. SERVICES INCLUDE WALK-IN JOB SEARCH ASSISTANCE AND RESUME DEVELOPMENT AT THE CPLC ENGAGEMENT CENTER; VOCATIONAL TRAININGS IN BANKING/FINANCE, CUSTOMER SERVICE, AND RETAIL; GED AND COMPUTER SKILLS PROFICIENCY CLASSES; JOB FAIRS AND HIRING EVENTS; PAID INTERNSHIPS; AND CASE MANAGEMENT. CPLC WORKFORCE SOLUTIONS SUPPORTS THE LOCAL ECONOMY BY UPSKILLING CLIENTS WITH SKILL SETS DESIRED BY LOCAL EMPLOYERS, IN ADDITION TO FACILITATING JOB PLACEMENTS. IN FY 21, CPLC WORKFORCE SOLUTIONS PROVIDED THESE EMPLOYMENT AND TRAINING SERVICES TO APPROXIMATELY 3,504 CLIENTS.

5. THE FAMILY ASSISTANCE PROGRAM (EMERGENCY SERVICES):

CPLC OFFERS SERVICES TO THOSE WHO NEED IT MOST THROUGH THE FAMILY ASSISTANCE (FORMERLY KNOWN AS EMERGENCY ASSISTANCE) PROGRAM. THE CPLC FAMILY ASSISTANCE PROGRAM ACTS AS THE TRIAGE HUB (SIMILAR TO ARIZONA 211) FOR CPLC SOCIAL SERVICES, PROVIDING SERVICES FOR THE SAFETY NET POPULATION IN THE FORM OF RESOURCE NAVIGATION, FUNERAL ASSISTANCE, ARIZONA PUBLIC SERVICE UTILITY ASSISTANCE, AND SNAP OUTREACH/ENROLLMENT. IN FY 21, FAMILY ASSISTANCE HELPED ALMOST 1,000 (951) HOUSEHOLDS WITH ELECTRIC UTILITY ASSISTANCE. CPLC PRIMARY DATA FOUND THAT CLIENTS WHO REQUEST TRIAGE SERVICES PREDOMINANTLEY REQUIRE ACCESS TO HEALTHCARE, UTILITY ASSISTANCE, AND/OR BEHAVIORAL/MENTAL HEALTH SERIVCES. THE FAMILY ASSISTANCE PROGRAM WAS ABLE TO SUPPORT AND PROVIDE SERVICES TO 1,235 FAMILIES.

FORM 990, PART III, LINE 4A, DESCRIPTION OF PROGRAM SERVICE CONTINUED:

6. HOUSING & HOUSING COUNSELING:

HOUSING COUNSELING PROVIDES PROGRAMS INCLUDING HOMEBUYING FOR FIRST

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TIME BUYERS AND CLIENTS WHO ARE RE-ENTERING THE HOUSING MARKET, FINANCIAL EDUCATION, MORTGAGE DEFAULT, AND RENTAL ASSISTANCE. HOUSING COUNSELING PARTNERS WITH OTHER CPLC PROGRAMS SUCH AS WORKFORCE, TO IDENTIFY CLIENTS THAT WOULD BENEFIT FROM FINANCIAL EDUCATION PROVIDED BY HOUSING COUNSELING. ADDITIONALLY IN 2020 DURING THE COVID-19 PANDEMIC, HOUSING COUNSELING SUPPORTED THE CITY OF PHOENIX CARES ACT BY MANAGEING THE DISTRUBTION OF 735K IN RENTAL AND UTILITY ASSISTANCE. HOUSING CONTINUES TO EDUCATE YOUNG ADULTS ON THE FUTURE WEIGHT OF THEIR FINANCIAL CHOICES PROVIDING SERVICES THROUGH TWICE MONTHLY ONLINE WORKSHOPS IN BOTH ENGLISH AND SPANISH.

7. PARENTING ARIZONA

CPLC PARENTING PROGRAMS PROVIDE MULTICULTURAL FAMILY SUPPORT SERVICES TO FAMILIES AT RISK OF VIOLENCE, POVERTY, AND OTHER HARDSHIPS. ALL PROGRAMS PROVIDE CULTURALLY RELEVANT SERVICES USING EVIDENCE BASED AND SCIENTIFICALLY PROVEN CURRICULA TO MEET THE NEEDS OF FAMILIES IN URBAN, RURAL, AND RESERVATION SETTINGS. THE PROGRAM OPERATES UNDER THE FOLLOWING MISSION:

"CPLC PARENTING PROMOTES STRONG FAMILIES AND IMPROVES THE LIVES OF CHILDREN BY EMPOWERING PARENTS TO THRIVE".

CPLC PARENTING SERVICES AND CURRICULUMS ARE AS FOLLOWS:

HOME BASED SERVICES - CPLC PARENTING PROGRAMS SERVE FAMILIES THROUGH HOME VISITATION FUNDS PROVIDED LOCAL ENTITIES AND GOVERNMENT AGENCIES ARE AVAILABLE. THE HOME VISITATION PROGRAMS USE THE EVIDENCE-BASED PARENTS AS TEACHERS CURRICULUM AND PROMOTE HEALTH, OPTIMAL DEVELOPMENT,

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AND SCHOOL READINESS FOR CHILDREN UNDER THE AGE OF FIVE. HOME VISITATION SERVICES ARE AVAILABLE IN SOUTH PHOENIX, SRP MIC, SIERRA VISTA, YUMA, FLAGSTAFF, AND HAVASUPAI.

COMMUNITY BASED SERVICES - PARENT EDUCATION CLASSES OCCUR THROUGHOUT THE STATE IN THE COMMUNITIES WHERE OUR CLIENTS LIVE. CLASSES UTILIZE EVIDENCE-BASED CURRICULA PROVEN EFFECTIVE IN INCREASING PARENTAL KNOWLEDGE OF CHILD DEVELOPMENT, POSITIVE DISCIPLINE, SCHOOL READINESS, AND HEALTHY COMMUNICATION. CURRICULUMS INCLUDE NURTURING PARENTING, TRIPLE P, TOWARDS NO DRUGS, AND ACTIVE PARENTING. CPLC PARENTING PROGRAMS ALSO INCLUDE A FAMILY RESOURCE CENTER (FRC) STRATEGY. THE FRC PROVIDES GROUP ACTIVITIES, PARENTING CLASSES, FAMILY ENRICHMENT PROGRAMMING, AND ACCESS TO COMMUNITY RESOURCES. THE FRC USES THE NURTURING PARENTING CURRICULUM, RAISING A READER, AND ABRIENDO PUERTAS TO FACILITATE CLASSES.

SCHOOL BASED SERVICES - CPLC PARENTING PROGRAM STAFF ADVOCATE FOR FAMILIES AND CHILDREN TO STRENGTHEN THEIR ABILITY TO PROVIDE A SAFE AND NURTURING ENVIRONMENT WITHIN THEIR HOME, SCHOOL AND COMMUNITY. CPLC PARENTING PROGRAMS ALSO IMPLEMENT FAMILY RESOURCE CENTERS FOR STUDENTS AND THEIR FAMILIES INSIDE THE SCHOOL ENVIRONMENT. SERVICES INCLUDE HOME VISITING, COMMUNITY RESOURCE AND REFERRAL, PARENTING EDUCATION, FAMILY ENRICHMENT PROGRAMS, LIFE SKILLS TRAINING AND FOOD AND CLOTHING BANKS. THESE PROGRAMS UTILIZE THE ACTIVE PARENTING CURRICULUM AND THE FAMILIES AND SCHOOLS TOGETHER (FAST) CURRICULUM. SCHOOL BASED SERVICES ARE LOCATED IN FLAGSTAFF AT LEUPP, KINSEY, AND MARSHALL ELEMENTARY SCHOOLS.

HUMAN TRAFFICKING SERVICES - CPLC PARENTING ARIZONA PROVIDES CASE

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MANAGEMENT AND COMPREHENSIVE SERVICES TO SURVIVORS OF HUMAN TRAFFICKING. SERVICE NEEDS FOR EACH FAMILY ARE ASSESSED AND SERVICES WILL BE PROVIDED IN-HOUSE OR THROUGH REFERRALS TO COMMUNITY PARTNERS USING A TRAUMA INFORMED APPROACH. THESE SERVICES ARE PROVIDED AT THE DESERT SKY ENGAGEMENT CENTER.

COMMUNITY OUTREACH - CPLC PARENTING PROGRAMS PROVIDE AWARENESS EVENTS AND EDUCATION IN COMMUNITIES AROUND CHILD ABUSE AND NEGLECT PREVENTION. CPLC PARENTING ALSO UTILIZES STAFF TO PROVIDE INFORMATION AND TRAINING REGARDING CHILD ABUSE PREVENTION, PARENTING TECHNIQUES, AND COMMUNITY RESOURCES. THIS OUTREACH IS ACCOMPLISHED THROUGH VARIOUS LOCAL COMMUNITY FAIRS, SCHOOL EVENTS, AND HEALTH FAIRS.

8. FAMILY EMPOWERMENT AND EDUCATION:

CPLC'S FAMILY EMPOWERMENT SERVICES EMPOWERS COMMUNITY CLIENTS BY PROVIDING INNOVATIVE SOCIAL SERVICES PROGRAMMING AT ITS MULTIGENERATIONAL COMMUNITY AND REC CENTERS FOR SCHOOL-AGED YOUTH, ADULT INDIVIDUALS AND FAMILIES.

THE LEARNING CENTERS PROVIDE LITERACY DEVELOPMENT THRU ITS ADULT GED, ESL CLASSES, AFTERSCHOOL DROP-IN PROGRAMMING FOR K-12 YOUTH AND HOMEWORK ENRICHMENT THAT INCLUDES STEM LESSONS AND A VIBRANT SUMMER K-8 YOUTH CAMP. IN ADDITION, HEALTH & WELLNESS SERVICES INCLUDING HARM REDUCTION AND PREVENTION COMMUNITY WORKSHOPS, ACCESS TO A COMPUTER LAB, A SECURE PLAYGROUND FOR K-12 YOUTH, A COMMUNITY GARDEN, A FOOD PANTRY, FOOD BOX ONSITE DISTRIBUTION AND DELIVERY OF RESOURCES THRU A CENTER ON WHEELS APPROACH AS WELL AS AFTER-SCHOOL MEALS SERVED.

THRU A WHOLE-CHILD, WHOLE-FAMILY APPROACH, CRITICAL SAFETY NET

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REFERRALS AND RESOURCES ARE ALSO ACCESSED FOR FAMILIES THAT INCLUDE FUNERAL, RENTAL AND UTILITY ASSISTANCE THRU IT'S INTERNAL ONSITE EMERGENCY ASSISTANCE SERVICES.

THE CENTERS ALSO PROVIDE A CENTRALIZED HUB FOR COMMUNITY PARTNERSHIPS WITH OTHER CPLC PROGRAMS AND PARTNERING AGENCIES. THRU A CULTURALLY SENSITIVE LENSE, THESE MULTIGENERATIONAL LEARNING CENTERS THRU DIRECT, INDIRECT AND VARIOUS OUTREACH AND COMMUNITY EVENTS BOTH ONSITE AND WITH COMMUNITY PARTNERS HAVE IMPACTED AND EMPOWERED OVER 650 YOUTH AND SERVED OVER 3,000 INDIVIDUALS AND FAMILIES. ALL MADE POSSIBLE BY GENEROUS FUNDING AND DONATIONS THRU COMMUNITY AND BUSINESS RELATIONSHIPS. THE CPLC COMMUNITY CENTER ALONE HOLDS ANNUAL EVENTS INCLUDING A TOY DISTRIBUTION EVENT, FALL CULTURAL EVENTS, AND SERVES COMMUNITY THANKSGIVING MEALS WITH THIS PAST YEAR SERVING OVER 100 INDIVIDUALS A HOT TURKEY PLATE WITH VARIOUS SIDES TO EAT. AS A HOST FOR MANY, CITY OF PHOENIX BLOCK WATCH MEETINGS, MANY COMMUNITY MEMBERS ARE ALSO ABLE TO CONNECT WITH THEIR NEIGHBORS AND CITY LEADERS AT OUR CENTERS.

THE CPLC COMMUNITY CENTER ALONE PROVIDES AN OPPORTUNITY FOR THE COMMUNITY TO HAVE A DIRECT RELATIONSHIP WITH CPLC PERSONNEL AND SUPPORTING PROGRAMS. THESE COMMUNITY REC AND LEARNING CENTERS ARE EMBEDED INTO THE NEIGHBORHOOD AND ARE A CRITICAL PIECE IN THE DEVELOPMENT OF SUCCESSFUL AND EMPOWERED COMMUNITY MEMBERS INCLUDING OUR FUTURE WORKFORCE IN ALIGNMENT OF CHICANOS POR LA CAUSA'S MISSION.

FORM 990, PART III, LINE 4B:

REAL ESTATE OPERATIONS:

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UNDER THIS DIVISION VARIOUS MISSION DRIVEN DEVELOPMENT ACTIVITIES OCCUR INCLUDING: MULTI-FAMILY, COMMERCIAL AND SINGLE-FAMILY CONSTRUCTION, SINGLE FAMILY SELF-HELP HOUSING AND ACTING AS A GENERAL CONTRACTOR ON PROJECTS.

1. MULTIFAMILY APARTMENTS:

PROVIDING AFFORDABLE RENTAL UNITS HAS BEEN A MAJOR COMPONENT OF CPLC'S AFFORADABLE HOUSING EFFORTS. CPLC CURRENTLY OWNS AND MANAGES MORE THAN 2,650 APARTMENT UNITS THROUGHOUT THE STATE OF ARIZONA HOUSING 3,975 RESIDENTS. THESE UNITS OFFER RENTS AND DEPOSITS THAT ARE MANAGEABLE FOR LOW-INCOME AND/OR ELDERLY RESIDENTS. MOST OF THE PROPERTIES ARE NEWLY REFURBISHED AND SOME OFFER AMENITIES SUCH AS FREE LEARNING CENTERS FOR ADULT LEARNING AND AFTERSCHOOL PROGRAMMING FOR CHILDREN, EXPANSIVE PLAYGROUNDS AND REGULAR SOCIAL ACTIVITIES.

2. NEIGHBORHOOD STABILIZATION PROGRAM:

IN 2010, AS THE LEAD AGENCY IN A 13-MEMBER CONSORTIUM OF NON-PROFIT COMMUNITY DEVELOPMENT ORGANIZATIONS, CHICANOS POR LA CAUSA INC., (CPLC) WAS AWARDED A U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD) NEIGHBORHOOD STABILIZATION II (NSP2) AWARD IN THE AMOUNT OF $137 MILLION TO STABILIZE COMMUNITIES IMPACTED BY HOME FORECLOSURE AND ABANDONMENT. CPLC, AS THE LEAD AGENCY, IMPLEMENTED THE PROGRAM ACTIVITIES AND PROTOCOLS FOR THE 13 NON-PROFIT ENTITIES ACROSS EIGHT STATES WHICH INCLUDE NOT ONLY ARIZONA, BUT CALIFORNIA, ILLINOIS, PHILADELPHIA, COLORADO, TEXAS, MARYLAND, NEW MEXICO, AND THE DISTRICT OF COLUMBIA. CPLC'S RESPONSIBILITY AS THE LEAD AGENCY UNDER THE NSP 2 PROGRAM INCLUDES MANAGING ALL FUNDS OF THE GRANT, ENSURING ALL

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ACTIVITIES MEET REGULATORY COMPLIANCE ACCORDING TO THE TERMS OF THE GRANT AND REPORTING.

THE CONSORTIUM HAS IDENTIFIED FIVE (5) ELIGIBLE ACTIVITIES UNDER THE NSP 2 PROGRAM TO ACCOMPLISH GOALS:

- ESTABLISH FINANCING MECHANISMS FOR THE PURCHASE AND REDEVELOPMENT OF FORECLOSED UPON HOMES AND RESIDENTIAL PROPERTIES
- PURCHASE AND REHABILITATE HOMES AND RESIDENTIAL PROPERTIES THAT HAVE BEEN ABANDONED OR FORECLOSED UPON
- ESTABLISH LAND BANKS FOR HOMES AND RESIDENTIAL PROPERTIES THAT HAVE BEEN FORECLOSED
- DEMOLITION OF BLIGHTED STRUCTURES
- REDEVELOP DEMOLISHED OR VACANT PROPERTIES AS HOUSING

SINCE THE AWARD OF $137,107,133 WAS GIVEN ON OF JANUARY 2010, THE LEAD AGENCY (CPLC) AND MEMBERS OF THE CONSORTIUM ACQUIRED OVER 1,000 SINGLE FAMILY HOMES FOR REHAB AND RESALE IN 7 STATES AND THE DISTRICT OF COLUMBIA. THE CONSORTIUM HAS OBLIGATED AND/OR EXPENDED OVER $246 MILLION DOLLARS OF ALLOCATED FUNDS WHICH INCLUDES GENERATING MORE THAN $120 MILLION IN PROGRAM INCOME. THE CONSORTIUM HAS ACQUIRED, REHABBED OR REDEVELOPED AND SOLD OR RENTED OVER A 1,000 OF THESE SF UNITS. IN ADDITION, THE CONSORTIUM HAS ALSO ACQUIRED SEVERAL MULTIFAMILY PROPERTIES WHICH TOTAL 1,200 UNITS. MEMBERS OF THE CONSORTIUM HAVE CONTINUE TO LEVERAGE THE SUCCESS OF THE NSP2 PROGRAM TO COMPLETE NEW CONSTRUCTION, ACQUIRE, REHAB, MANAGE, RESELL AND OBTAIN ADDITIONAL AFFORDABLE HOUSING PROPERTIES WITH MANY OTHER GRANT AND COMMUNITY INITIATIVES.

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3. SINGLE FAMILY HOMES:

CPLC HAS STABILIZED NEIGHBORHOODS BY PROVIDING HOME OWNERSHIP OPPORTUNITIES TO NEARLY 40 PEOPLE ANNUALLY CREATING WEALTH THROUGH HOME OWNERSHIP AND EDUCATION TOWARDS SUSTAINABLE OWNERSHIP REMAINS A PRIORITY TO CPLC. WE ARE COMMITED TO ASSIST LOW, MODERATE AND MIDDLE INCOME HOMEBUYERS BY OFFERING SAFE, HABITABLE AND EFFICIENT HOMES AT AFFORDABLE PRICES. WE WANT TO ASSIST FAMILIES AND INDIVIDUALS ACHIEVE THEIR DREAM OF PURCHASING A HOME.

FORM 990, PART III, LINE 4C:

INTEGRATED HEALTH SERVICES - IHHS:

CPLC OFFERS OUTPATIENT BEHAVIORAL HEALTH SERVICES TO FAMILIES, ADULTS, CHILDREN, AND ADOLESCENTS, SHELTER, COMMUNITY-BASED SERVICES, CASE MANAGEMENT, AND LEGAL SERVICES FOR WOMEN WHO ARE VICTIMS OF DOMESTIC VIOLENCE, AND HIV SCREENING, CASE MANAGEMENT, PSYCHOSOCIAL SERVICES, AND PREP/PEP NAVIGATION FOR INDIVIDUALS WHO ARE LIVING WITH HIV/AIDS AND/OR ARE AT RISK OF BECOMING INFECTED. IN FY 21, IHHS IMPACTED 66,114 PEOPLE THROUGH DIRECT, INDIRECT, AND OUTREACH SERVICES. 63% OF IHHS CLIENTS REPORT BEING OF HISPANIC DESCENT. 83% OF IHHS CLIENTS ARE CURRENTLY UTILIZING ARIZONA'S MEDICAID SERVICES, AND 94% OF IHHS CLIENTS ARE BELOW 100% OF THE FEDERAL POVERTY LINE.

1. CPLC CENTRO DE LA FAMILIA (CDLF): CDLF IS A PROVIDER OF COMMUNITY-BASED BEHAVIORAL HEALTH SERVICES FOR OVER 30 YEARS AND CURRENTLY OPERATES AN OUTPATIENT BEHAVIORAL HEALTH CLINIC IN PHOENIX, SPECIALIZING IN SERVICES TO YOUTH, ADULT, AND PERSONS LIVING WITH HIV.

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CENTRO DE LA FAMILIA PROVIDES COMPREHENSIVE, CULTURALLY COMPETENT SERVICES TO ADULTS, CHILDREN, AND ADOLESCENTS OF LOW TO MIDDLE-INCOME FAMILIES WITH A FOCUS ON LATINO AND OTHER MINORITY POPULATIONS. SERVICES ARE DELIVERED AT THE CENTERS AS WELL AS IN HOMES, SCHOOLS, AND OTHER COMMUNITY-BASED LOCATIONS. THESE SERVICES INCLUDE INDIVIDUAL, MARRIAGE, GROUP AND FAMILY COUNSELING AND INCLUDE PSYCHIATRIC EVALUATIONS AND MEDICATION MANAGEMENT SERVICES IN ACCORDANCE WITH THE CHILD AND FAMILY TEAM MODEL OF CARE. CENTRO DE LA FAMILIA SERVES OVER 1,000 INDIVIDUALS, CHILDREN AND FAMILIES EACH YEAR. CPLC'S CENTRO DE LA FAMILIA HAS SUCCESSFULLY ESTABLISHED A COMPREHENSIVE SERVICE DELIVERY SYSTEM INCLUSIVE OF OUTPATIENT AND PSYCHIATRIC SERVICES. CDLF CURRENTLY PROVIDES SUBSTANCE ABUSE INTENSIVE OUTPATIENT SERVICES. CENTRO DE LA FAMILIA IS CURRENTLY ACCREDITED THROUGH THE COMMISSION ON ACCREDITATION OF REHABILITATION FACILITIES (CARF). RECENTLY, CDLF WAS GRANTED SAMHSA FUNDING FOR THE "TREATMENT FOR INDIVIDUALS EXPERIENCING HOMELESSNESS" PROGRAM. IT WAS CREATED TO TARGET INDIVIDUALS WHO ARE CURRENTLY EXPERIENCING HOMELESSNESS AND HAVE CO-OCCURRING SUBSTANCE USE DISORDERS AND SERIOUS MENTAL ILLNESS WITHIN PHOENIX, ARIZONA'S MARYVALE NEIGHBORHOOD. WITHIN THE SECOND YEAR OF THE PROGRAM (2020), 275 UNDUPLICATED INDIVIDUALS WERE OUTREACHED AND 57 INDIVIDUALS WERE ENROLLED IN THE PROGRAM. 100% PARTICIPANTS HAD A COMPRHENSIVE ASSESSMENT, WERE PROVIDED ANNUAL PREVENATIVE CARE, AND WERE DETERMINED AND ENROLLED IN INSURANCE. 25% OF CLIENTS ARE STILL RECEIVING MENTAL HEALTH AND HOUSING SERVICES THROUGH CPLC WHILE THEY ARE GAINING SELF-SUFFICIENCY.

2. CENTRO ESPERANZA: THE CENTRO ESPERANZA PROGRAM PROVIDES PHYCHIATRIC EVALUATONS, MEDICATION MANAGEMENT, CASE MANAGEMENT, REHABILIATION

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SERVICES, PEER SERVICE, EMPLOYMENT SERVICES, HOUSING SERVICES, INDIVIDUAL AND GROUP COUNSELING SERVICES FOR ADULTS DIAGNOSED WITH A SERIOUS MENTAL ILLNESS. THE GOAL OF THE PROGRAM IS TO PROVIDE CLIENTS SERVICES TO REDUCE OR ELIMINATE THEIR PSYCHIATRIC/BEHAVIORAL HEALTH SYMPTOMS FOR AN IMPROVED QUALITY OF LIFE. THE TYPES OF SERVICES OFFERED VARY BY TYPE, FREQUENCY, INTENSITY AND DURATION BASED ON EACH INDIVIDUAL'S PRESENTING CLINICAL NEEDS. THE AVERAGE CENTRO ESPERANZA CLIENT STAYS IN SERVICE FOR OVER THREE YEARS. IN FY 21, ESPERANZA DIRECTLY SERVED 1,266 CLIENTS AND HAS CONSISTENTLY SERVED A SIMILAR NUMBER SINCE 2016. IN 2021 CENTRO ESPERANZA WAS AWARDED THE MOBILIZE AZ GRANT THROUGH BLUE CROSS BLUE SHIELD OF ARIZONA. THIS GRANT PROVIDES FUNDING TO PROVIDE DIABETES SELF-MANAGEMENT EDUCATION TO CLIENTS WHO HAVE TESTED POSITIVE FOR TYPE 2 DIABETES OR PRE-DIABETES. FUNDING WILL ALSO BE USED TO IMPROVE ACCESS TO THE SOCIAL DETERMINANTS OF HEALTH FOR CLIENTS WITH DIABETES AND PRE-DIABETES SO THAT THEY MAY BETTER MANAGE OR PREVENT THE DISEASE.

3. CPLC LUCES RYAN WHITE PART A SERVICES & ARIZONA DEPARTMENT OF HEALTH SERVICES: CPLC LUCES HIV/AIDS PROGRAM PROVIDES SERVICES TO HIV POSITIVE AND HIGH-RISK HIV NEGATIVE INDIVIDUALS. UNDER MARICOPA COUNTY RWPA, CPLC LUCES PROVIDES SERVICES THAT INCLUDE: MEDICAL CASE MANAGEMENT, SUPPORTIVE CASE MANAGEMENT, MENTAL HEALTH, SUBSTANCE ABUSE, PSYCHOSOCIAL SUPPORT GROUPS, AND A FUERZA POSITIVA UNIVERSITY FOR NEWLY DIAGNOSED INDIVIDUALS LIVING WITH HIV/AIDS. CPLC LUCES PROGRAM, UNDER RWPA, PROVIDES SERVICES TO ALL INDIVIDUALS LIVING WITH HIV/AIDS IN MARICOPA COUNTY. UNDER AZ DEPARTMENT OF HEALTH SERVICES (ADHS) RWPB, CPLC LUCES PROGRAM HAS THREE COMPONENTS: PREP & PEP NAVIGATION, HIV TESTING, AND BEHAVIORAL HEALTH INTERVENTIONS. CPLC LUCES PROVIDES PREP

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& PEP SERVICES TO PREVENT HIV TRANSMISSION FOR INDIVIDUALS AT HIGH RISK. CPLC LUCES ADHS BEHAVIORAL HEALTH INTERVENTIONS PROVIDE EDUCATIONAL PREVENTION SERVICES TO HIV POSITIVE AND HIV NEGATIVE INDIVIDUALS. CPLC LUCES HIV TESTING SERVICES OFFER COMMUNITY AND CLINIC BASED HIV TESTING, EDUCATION, AND LINKAGE TO CARE SERVICES TO MORE THAN 800 INDIVIDUALS PER MONTH. CPLC LUCES HAS TWO 340B PROGRAMS UNDER HRSA: AN HIV 340B PROGRAM FOR HIV POSITIVE INDIVIDUALS AND A 340B PREP FOR INDIVIDUALS ON PREVENTION MEDICATION. UNDER SAMHSA, LUCES HAS ADDED PREP & PEP NAVIGATION AND EDUCATIONAL CURRICULUMS FOR INDIVIDUALS EXPERIENCING SUBSTANCE ABUSE ISSUES. THE VAST MAJORITY OF CLIENTS THAT LUCES PROVIDES SERVICES TO ARE LATINO INDIVIDUALS WHO ARE PREDOMINANTLY SPANISH-SPEAKING AND ARE UNINSURED OR UNDERINSURED. IN 2021, LUCES IMPACTED 14,523 LIVES THROUGH DIRECT, INDIRECT, AND OUTREACH SERVICES TO HELP IMPROVE HEALTH OUTCOMES AND MAKE OUR COMMUNITY A SAFER PLACE TO LIVE. ACCORDING TO RWPA CONTINUUM OF CARE DATA, IN 2021 97% OF LUCES CLIENTS WERE LINKED TO PRIMARY MEDICAL CARE, 90% OF LUCES CLIENTS WERE RETAINED IN CARE, AND 88% OF LUCES CLIENTS BECAME VIRALLY SUPPRESSED AND ACHIEVED UNDETECTABLE STATUS.

4. CORAZON: CORAZON IS A CARF-ACCREDITED, LICENSED LEVEL II RESIDENTIAL SUBSTANCE ABUSE TREATMENT CENTER FOR MEN OVER THE AGE OF EIGHTEEN. CORAZON HAS BEEN PROVIDING SERVICES TO THE COMMUNITY SINCE 1983. THE CENTER CURRENTLY HAS 65 BEDS FOR RESIDENTIAL SUBSTANCE ABUSE TREATMENT. THE CENTER SPECIALIZES IN PROVIDING SUBSTANCE ABUSE TREATMENT IN AN ENVIRONMENT THAT IS CULTURALLY SENSITIVE AND INCLUSIVE. CORAZON UTILIZES A VARIETY OF TREATMENT MODALITIES, INTEGRATING IDENTIFIED BEST PRACTICES WITH TRADITIONAL HEALING ACTIVITIES. CORAZON PROVIDES THE TOOLS NECESSARY FOR THE MEN TO BE SUCCESSFUL AS THEY PURSUE A LIFELONG

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CHALLENGE TO LIVE FREE OF SUBSTANCE ABUSE. IN FY 21, CORAZON IMPACTED 1,622 PEOPLE THROUGH DIRECT, INDIRECT, AND OUTREACH SERVCES. OF 708 CLIENT RECORDS COLLECTED IN FY 21 FOR DIRECT SERVICE, 60% OF PARTICIPANTS SUCCESSFULLY GRADUATED FROM CORAZON'S SUBSTANCE USE PROGRAM.

FORM 990, PART III, LINE 4C, DESCRIPTION OF PROGRAM SERVICE CONTINUED:

5. DE COLORES: DE COLORES IS A DOMESTIC VIOLENCE SHELTER AND COMMUNITY BASED PROGRAM THAT SERVES WOMAN, MEN AND CHILDREN SEEKING SERVICES AND SUPPORT AS A RESULT OF VIOLENT RELATIONSHIPS AND SEXUAL ASSAULT. THE SHELTER WAS OPENED IN 1986 WITH 16 BEDS AND SUPPORTS INDIVIDUALS AND FAMILIES FLEEING DOMESTIC AND SEXUAL VIOLENCE. TODAY DE COLORES HAS 52 BEDS FOR THE CRISIS PROGRAM AND 16 BEDS FOR THE TRANSITIONAL LIVING PROGRAM. THE PROGRAM PROVIDES ALL OF THE BASIC NEEDS FOR THE FAMILIES LIVING IN THE CRISIS PROGRAM. FOR THE FAMILIES LIVING IN THE TRANSITIONAL PROGRAM THE SHELTER PROVIDES APARTMENTS AND TRAINING THAT WILL ASSIST THEM AS THEY BEGIN THEIR JOURNEY TOWARD HEALING AND INDEPENDENCE. THE COMMUNITY BASED PROGRAM PROVIDES SUPPORT AND SERVICES FOR COMMUNITY MEMBERS THAT ARE VICTIMS OF DOMESTIC VIOLENCE, SEXUAL ASSAULT AND HUMAN TRAFFICKING. SERVICES INCLUDE SUPPORT GROUPS, VICTIM ADVOCACY, ECONOMIC EMPOWERMENT, PREVENTION SERVICES AND HOUSING INTERVENTION. THE STAFF IS BI-CULTURAL/BI-LINUAL AND IT IS THE ONLY SEXUAL AND DOMESTIC VIOLENCE PROGRAM IN MARICOPA COUNTY THAT SPECIALIZES IN CULTURALLY AND LINGUISTICALLY SPECIFIC SERVICES FOR HISPANIC VICTIMS OF SEXUAL AND DOMESTIC VIOLENCE. IN FY 21, DE COLORES IMPACTED 5,504 CLIENTS THROUGH DIRECT, INDIRECT, AND OUTREACH SERVICES. DE COLORES PUTS A SPECIAL EMPHASIS ON INCREASING SELF-SUFFICIENCY AND DV KNOWLEDGE WHILE RECEVING SERVICES. OF CLIENTS WHO WERE INTERVIEWED MADE AN IMPROVEMENT IN AT LEAST ONE SELF-SUFFICIENCY DOMAIN. TWO DOMAIN

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INCREASES (FOOD SECURITY AND HOUSING) WERE STATISTICALLY SIGNIFICANT FROM PRE TO POST-TESTING. ADDTIONALLY, UPON EXIT, WOMEN REPORTED HAVING AN INCREASED KNOWLEDGE ABOUT THEIR RIGHTS UNDER THE VIOLENCE AGAINST WOMEN ACT AND HAVING KNOWLEDGE ON HOW TO RECEIVE LEGAL SERVICES FOR DOMESTIC VIOLENCE. IN ADDITION, 41% OF DE COLORES CLIENTS RECEIVED A RESIDENTIAL/HOUSING SERVICE AND 59% RECEIVED A COMMUNITY-BASED SERVICE. DECOLORES ALSO SERVES HOMELESS FAMILIES AT THE SHELTER. DE COLORES HAS 20 BEDS RESERVED FOR THE CITY OF PHOENIX FIRST RESPONDERS TO REFER HOMELESS FAMILIES IN NEED OF EMERGENCY CRISIS SHELTER. ANNUALY, DE COLORES PROVIDES EMERGENCY SHELTER TO 50-60 FAMILIES. ON AVERAGE, AROUND 90% OF THE FAMILIES WHO HAD EXITED ARE SUCCESSFULLY PLACED OR DIVERTED TO EITHER PERMANENT HOUSING OR A TRANSITIONAL SHELTER.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

ECONOMIC DEVELOPMENT/ BUSINESS ENTERPRISES:

ECONOMIC DEVELOPMENT PROVIDES PRINCIPAL PURPOSE PLANNING, DEVELOPING AND/OR MANAGING LOW INCOME HOUSING, AND COMMUNITY DEVELOPMENT ACTIVITIES. THE PROGRAM UTILIZES RESOURCES TO ATTRACT CAPITAL AND INCREASE PHYSICAL, COMMERCIAL, AND BUSINESS DEVELOPMENT THEREFORE CREATING EDUCATION AND JOB OPPORTUNITIES FOR THE RESIDENTS OF THE NEIGHBORHOOD BEING SERVED. LA CAUSA DEVELOPMENT, TIEMPO INC., LA CAUSA CONSTRUCTION LLC, AND LA CAUSA REALTY LLC PROVIDES COMPREHENSIVE PROFESSIONAL EXPERTISE IN DESIGN AND CONSTRUCTION, IN ADDITION TO CONSTRUCTION MANAGEMENT, PROPERTY MANAGEMENT, AND SALE OF COMPLETED PROJECTS, TO INCLUDE: RETAIL, MEDICAL AND MIXED-USE DEVELOPMENT, AS WELL AS RESIDENTIAL MULTI-FAMILY AND SINGLE FAMILY HOMES. CPLC PROVIDES LEASING AND PROPERTY MANAGEMENT SERVICES FOR SENIOR, PROJECT-BASED,

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AFFORDABLE AND MARKET-RATE MULTIFAMILY HOUSING WITH CPLC CURRENTLY MANAGING 2,406 MULTIFAMILY RENTAL UNITS. CPLC REAL ESTATE DIVISION ALSO SPECIALIZES IN CONTRACTING WITH MUNICIPALITIES AND FUNDING SOURCES VIA FEDERAL AND STATE LEVEL GRANTS. CPLC HAS A SUCCESSFUL RECORD IN UTILIZING LOW INCOME HOUSING TAX CREDITS (LIHTC), NEW MARKET TAX CREDIT, FUNDING FROM HUD, ECONOMIC DEVELOPMENT ADMINSTRATION (EDA) AND OTHER COMMUNITY CAPITAL FUNDING IN ORDER TO PROVIDE AFFORDABLE HOUSING ALTERNATIVES AND COMMERCIAL DEVELOPMENT TO THE COMMUNITED SERVED.

PRESTAMOS CDFI - SMALL BUSINESS LENDING:

CPLC CREATED PRESTAMOS CDFI IN 2000, A WHOLLY OWNED SUBSIDIARY TO PROVIDE EMERGING SMALL BUSINESSES IN TARGETED LOW-INCOME COMMUNITIES' ACCESS TO AFFORDABLE CAPITAL THROUGH NON-TRADITIONAL FINANCING. PRESTAMOS ALSO INVESTS IN LARGE CATALYTIC ECONOMIC DEVELOPMENT PROJECTS THAT CREATE JOBS AND PROVIDE SERVICES TO ECONOMICALLY DISTRESSED AREAS. PRESTAMOS PROVIDES SBA MICROLOAN PROGRAM $1,500 TO $50,000, SBA 7A COMMUNITY ADVANTAGE LOANS UP TO $250,000, SMALL BUSINESS LOANS UP TO $1,000,000, EQUITY INVESTMENTS UP TO $500,000 AND NEW MARKETS TAX CREDIT FINANCING UP TO $15 MILLION. CAPITAL ACCESS PROGRAMS ADDRESS THE PROBLEMS ENCOUNTERED BY SMALL BUSINESS ENTREPRENEURS SEEKING CAPITAL FOR THEIR BUSINESS BUT DO NOT QUALIFY FOR BANK FINANCING WITHIN THE TARGET AREAS. PRESTAMOS CDFI, LLC PARTICIPATED IN PAYCHECK PROTECTION PROGRAM (PPP) LENDING, WHICH IS BASED ON THE CORONAVIRUS AID RELIEF AND ECONOMIC SECURITY ACT (THE "CARES" ACT) IN THE LAST QUARTER OF FISCAL YEAR 2020, AND THE THIRD QUARTER OF FISCAL YEAR 2021. IN TOTAL, PRESTAMOS LENT OUT MORE THAN 500,000 PPP LOANS. PRESTAMOS SERVES THE MARKETS OF ARIZONA, NEVADA, NEW MEXICO, TEXAS AND CALIFORNIA.

Name of the organization	Employer identification number
CHICANOS POR LA CAUSA INC CPLC	86-0227210

EXPENSES $ 9,322,835. INCL GRANTS OF $ 1,535,759. REVENUE $ 10,518,171.

OTHER PROGRAMS:

YOUTH SERVICES

THE NAHUI OLLIN WELLNESS PROGRAM (NOWP) IS BASED ON THE PREMISE OF LA CULTURA CURA, "CULTURE HEALS". WE BELIEVE THAT BY FOSTERING YOUTH ENGAGEMENT AND LEADERSHIP DEVELOPMENT OUR COMMUNITIES CAN STRIVE FOR HOLISTIC WELLNESS. THROUGH CULTURALLY RESPONSIVE PRACTICES, WE CAN BUILD A FOUNDATION FOR JUSTICE AND HEALING. STRIVING FOR HOLISTIC WELLNESS INCORPORATES THE EXTENSION OF FOCUS FROM THE SELF TO INCORPORATING THE POSITION OF THE SELF WITHIN A SYSTEM, A COMPLETE UNIT WITH THE CONTINUAL MOVEMENT WITH THE SELF, THE COMMUNITY, THE HISTORY OF ANCESTORS AND THE LAND IN WHICH THEY ARE CARETAKERS. HOLISTIC WELLNESS INCORPORATES THE BALANCE OF THESE MEASURES, IDENTIFYING THAT IF THERE IS AN IMPACT ON ONE OF THESE FACTORS THERE IS MOVEMENT IN EACH OF THESE FACTORS. WELLNESS IS THE JOURNEY IN WHICH YOUTH PARTICIPATE IN AS THEY BECOME PART OF THE CURRICULUM THE NOWP IS COMMUNICATING, WITH CONTEXT OF HOW THE INFORMATION CAME TO BE, THE LENS IN WHICH IT IS ACCEPTED CULTURALLY AND THE IMPACT THAT LENS HAS ON THE STUDENT'S CULTURE AND HERITAGE AND THEIR ABILITY TO INTERNALIZE AND APPLY THE MATERIAL INTO THEIR TRUTH AND SYSTEM. JUSTICE IS HOW THE HOLISTIC WELLNESS SYSTEM BALANCES ITSELF. THE IDENTIFYING OF THE IMBALANCE, THE IMPACT IT HAS HAD ON ALL FACTORS AND HOW BALANCE CAN BE RESTORED. IT IS ONLY WHEN JUSTICE HAS BEEN APPLIED THAT THE ALL FACTORS CAN BEGIN TO HEAL TOGETHER, GROWING AND REGAINING SYSTEM BALANCE.

Name of the organization	CHICANOS POR LA CAUSA INC CPLC	Employer identification number 86-0227210

NEW MEXICO OPERATIONS

CPLC NM EXISTS TO EMPOWER POSITIVE ACTION THROUGH SUSTAINABLE SOCIAL SERVICES THROUGH THREE EXECUTIVE BRANCHES: THE OFFICE OF ECONOMIC EMPOWERMENT, OFFICE OF LEARNING & ACADEMIC OPPORTUNITY AND THE OFFICE OF FINANCE AND ADMINISTRATION. HELP NM AIMS TO ENGAGE, INSPIRE, AND MOTIVATE THROUGH EFFECTIVE COMMUNITY IMPROVEMENT PROGRAMS AND TO EDUCATE FAMILIES AND COMMUNITIES THROUGH INNOVATIVE COACHING IN THE FOLLOWING AREAS: EMPLOYMENT SERVICES, EDUCATION & COGNITIVE DEVELOPMENT, HOUSING SERVICES, HEALTH & SOCIAL/BEHAVIORAL DEVELOPMENT SERVICES, CIVIC ENGAGEMENT & COMMUNITY INVOLVEMENT SERVICES, FAMILY STABILITY, EMERGENCY ASSISTANCE AND ECONOMIC ASSET ENHANCEMENT/UTILIZATION. CPLC NM SERVED 22,955 CLIENTS IN 2019.

EXPENSES $ 310,953.   INCLUDING GRANTS OF $ 310,953.   REVENUE $ 2,215,949.

FORM 990, PART VI, SECTION A, LINE 1:

THE ORGANIZATION HAS AN EXECUTIVE COMMITTEE THAT SHALL HAVE AUTHORITY TO ACT ON BEHALF OF THE GOVERNING BODY. THE EXECUTIVE COMMITTEE SHALL CONSIST OF THE CHAIRPERSON OF THE BOARD, THE VICE CHAIRPERSON OF THE BOARD, THE SECRETARY OF CORPORATION AND THE TREASURER OF CORPORATION.

THE BOARD OF DIRECTORS WILL APPOINT, FROM AMONG ITS DIRECTORS, AN EXECUTIVE COMMITTEE WHICH SHALL SERVE AT THE PLEASURE OF THE BOARD OF DIRECTORS AND SHALL BE SUBJECT TO THE CONTROL AND DIRECTION OF THE BOARD OF DIRECTORS. THE EXECUTIVE COMMITTEE SHALL HAVE AUTHORITY TO ACT ONLY DURING THE INTERVALS BETWEEN MEETINGS OF THE BOARD OF DIRECTORS. THE EXECUTIVE COMMITTEE SHALL POSSESS AND MAY EXERCISE THE AUTHORITY OF THE BOARD OF DIRECTORS IN THE MANAGEMENT OF THE ORDINARY BUSINESS AFFAIRS OF CORPORATION, EXCEPT FOR THE PROHIBITIONS CONTAINED IN SECTION 6.1.

Name of the organization	CHICANOS POR LA CAUSA INC CPLC	Employer identification number 86-0227210

NOTWITHSTANDING THE GENERALITY OF THE FOREGOING AND THE PROHIBITIONS CONTAINED IN SECTION 6.1, THE EXECUTIVE COMMITTEE SHALL HAVE THE POWER AND AUTHORITY TO GUARANTY ANY OF THE DEBTS OF THE CORPORATION.

FORM 990, PART VI, SECTION A, LINE 2:

ALBERTO ESPARZA AND MIKE ESPARZA HAVE A FAMILY RELATIONSHIP.

FORM 990, PART VI, SECTION B, LINE 11B:

THE FORM 990 IS PREPARED BY ACCOUNTING STAFF AND CPA FIRM, THEN REVIEWED BY THE VICE PRESIDENT OF FINANCE, FOR ACCURACY AND CONSISTENCY, WITH THE CPLC FINANCIAL STATEMENTS. IT IS THEN GIVEN TO CPLC'S CFO FOR DISCUSSION AND REVIEW. ONCE APPROVED BY THE FINANCE COMMITTEE, THE FORM 990 TAX RETURN IS PRESENTED TO THE CPLC'S BOARD OF DIRECTORS FOR REVIEW AND APPROVAL PRIOR TO FILING.

FORM 990, PART VI, SECTION B, LINE 12C:

THE CONFLICT OF INTEREST REQUIRES AN ANNUAL DECLARATION BY ALL BOARD MEMBERS AND KEY STAFF. WE ADHERE TO THE CODE OF CONDUCT GUILDLINES IN THE OMB A110 CIRCULAR. ALL POTENTIAL CONFLICTS OF INTEREST ARE REVIEWED BY THE BOARD OF DIRECTORS. ANY BOARD MEMBER WHO HAS A POTENTIAL CONFLICT OF INTEREST IN A SPECIFIC ACTION OF THE BOARD UNDER CONSIDERATION AT A MEETING IS EXPECTED TO EXCUSE THEMSELVES FROM ANY INFLUENCE ON SUCH ACTION. SINCE EVERY SITUATION AND CIRCUMSTANCE CANNOT BE ANTICIPANTED OR DISCLOSED IN ADVANCE, CPLC RELIES UPON THE HONESTY AND INTEGRITY OF EACH INDIVIDUAL TO COMPLY WITH THIS PROTOCOL.

FORM 990, PART VI, SECTION B, LINE 15:

COMPENSATION IS CONDUCTED IN ACCORDANCE WITH THE IRS SAFEHARBOR PROVISIONS

Name of the organization	CHICANOS POR LA CAUSA INC CPLC	Employer identification number 86-0227210

BEGINNING WITH AN IRS INTERMEDIATE SANCTIONS REASONED ANALYSIS REVIEW AND CERTIFICATION BY AN INDEPENDENT COMPENSATION CONSULTANT SUPPORTING TOTAL COMPENSATION FOR PRESIDENT AND CEO AND EXECUTIVE KEY EMPLOYEES. RECOMMENDATION FOR PRESIDENT AND CEO IS PROVIDED TO THE BOARD, AND THE BOARD REVIEWS, SETS AND APPROVES THE COMPENSATION. THE PROCESS IS DOCUMENTED IN THE MEETING MINUTES AND IS DONE ON AN ANNUAL BASIS.

RECOMMENDATION FOR THE EXECUTIVES IS PROVIDED TO THE PRESIDENT & CEO WHO REVIEWS, SETS AND APPROVES THE COMPENSATION ON AN ANNUAL BASIS.

THE MOST RECENT YEAR THIS PROCESS WAS FOLLOWED WAS 2021.

FORM 990, PART VI, SECTION C, LINE 19:

THE ORGANIZATION MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST.

SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047
2020
Open to Public Inspection

Name of the organization: CHICANOS POR LA CAUSA INC
CPLC

Employer identification number 86-0227210

Part I **Identification of Disregarded Entities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
CASA DE ENCANTO OPERATING COMPANY LLC - 65-1271915, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	INVESTMENT MANAGEMENT	ARIZONA	-23,121.	1,071,880.	CHICANOS POR LA CAUSA, INC
CASA DE FLORES OPERATING COMPANY LLC - 65-1271917, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	INVESTMENT MANAGEMENT	ARIZONA	-23,122.	1,025,156.	CHICANOS POR LA CAUSA, INC
CASA DE PRIMAVERA APARTMENTS LLC - 86-0897878, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	ARIZONA	96,252.	1,298,261.	CHICANOS POR LA CAUSA, INC
CASA LOMA AFFORDABLE APARTMENTS LLC - 47-3030876, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	ARIZONA	20,228.	1,975,140.	CHICANOS POR LA CAUSA, INC

Part II **Identification of Related Tax-Exempt Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes	No
PUEBLO SENIOR HOUSING INC DBA CASA DEL PUEBLO I - 86-0757227, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	ARIZONA	501(C)(3)	LINE 10	CHICANOS POR LA CAUSA, INC	X	
CASA DEL PUEBLO II INC - 39-0275488 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	ARIZONA	501(C)(3)	LINE 10	CHICANOS POR LA CAUSA, INC	X	
CASA MIA SENIOR APARTMENTS INC - 74-2465161 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	ARIZONA	501(C)(3)	LINE 10	CHICANOS POR LA CAUSA, INC	X	
CAUSA COMMUNITY DEVELOPMENT DBA GUADALUPE BARRIO NUEVO - 74-2465130, 1112 E BUCKEYE ROAD,, PHOENIX, AZ 85034	HOUSING	ARIZONA	501(C)(3)	LINE 10	CHICANOS POR LA CAUSA, INC	X	

For Paperwork Reduction Act Notice, see the Instructions for Form 990. **Schedule R (Form 990) 2020**

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CHICANOS POR LA CAUSA INC

Schedule R (Form 990) CPLC 86-0227210

Part I **Continuation of Identification of Disregarded Entities**

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
CHICANOS POR LA CAUSA LAND BANK LLC - 47-2787045, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	ARIZONA	0.	0.	CHICANOS POR LA CAUSA, INC
CPLC DONATION PARTNERS LLC - 47-2813544 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	HOUSING	ARIZONA	0.	0.	CHICANOS POR LA CAUSA, INC
CPLC ESTANCIA LLC - 36-4825346 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	HOUSING	ARIZONA	-487,226.	12,759,118.	CHICANOS POR LA CAUSA, INC
CPLC FOUNTAIN VILLAS - 35-2553825 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	HOUSING	ARIZONA	-232,760.	5,843,410.	CHICANOS POR LA CAUSA, INC
CPLC HOLDING AND ASSET MANAGEMENT COMPANY LLC - 47-2781685, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	ARIZONA	0.	0.	CHICANOS POR LA CAUSA, INC
CPLC HOUSING AND HEALTH LLC - 81-0973252 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	HOUSING	ARIZONA	0.	0.	CHICANOS POR LA CAUSA, INC
CPLC LAND BANK MANAGER LLC - 86-0227210 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	HOUSING	ARIZONA	0.	0.	CHICANOS POR LA CAUSA, INC
FUTURO EQUITY FUND LLC - 46-3781260 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	INVESTMENT	ARIZONA	0.	0.	CHICANOS POR LA CAUSA, INC
GLENROSA AFFORDABLE APARTMENTS LLC DBA LA BUENA - 47-3050416, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	ARIZONA	12,532.	1,761,779.	CHICANOS POR LA CAUSA, INC
GRAND VICTORIA HOUSING LLC - 86-0985482 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	HOUSING	ARIZONA	789,244.	13,369,408.	CHICANOS POR LA CAUSA, INC

CHICANOS POR LA CAUSA INC

Schedule R (Form 990) CPLC 86-0227210

Part I **Continuation of Identification of Disregarded Entities**

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
GUADALUPE HUERTA OPERATING COMPANY LLC - 65-1271919, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	INVESTMENT MANAGEMENT	ARIZONA	6,078.	1,456,721.	CHICANOS POR LA CAUSA, INC
HAZELWOOD AFFORDABLE APARTMENTS LLC DBA STARLIGHT - 47-3220332, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	ARIZONA	-33,717.	903,240.	CHICANOS POR LA CAUSA, INC
MOUNTAIN POINTE APARTMENTS LIHTC LLC - 86-0227210, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	INVESTMENT MANAGEMENT	ARIZONA	0.	0.	CHICANOS POR LA CAUSA, INC
MOUNTAIN POINTE APARTMENTS LIHTC PHASE II LLC - 01-0857328, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	INVESTMENT MANAGEMENT	ARIZONA	-343,782.	4,178,486.	CHICANOS POR LA CAUSA, INC
PRESTAMOS CDFI LLC - 26-0020430 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	LENDING	ARIZONA	19,905,156.	7,779,473,937.	CHICANOS POR LA CAUSA, INC
ROSA LINDA OPERATING COMPANY, LLC - 65-1271918, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	INVESTMENT MANAGEMENT	ARIZONA	-111,981.	3,179,427.	CHICANOS POR LA CAUSA, INC
SAN MARINA AFFORDABLE APARTMENTS LLC - 47-2999355, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	INVESTMENT MANAGEMENT	ARIZONA	-132,112.	0.	CHICANOS POR LA CAUSA, INC
CPLC PICKLE HOUSE LLC - 47-2240497 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	HOUSING	ARIZONA	0.	0.	CHICANOS POR LA CAUSA, INC
CPLC SHOUSE, LLC - 82-2126115 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	HOUSING	ARIZONA	0.	0.	CHICANOS POR LA CAUSA, INC
CPLC 25TH AND BELL, LLC - 86-0227210 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	HOUSING	ARIZONA	-49,868.	2,126,831.	CHICANOS POR LA CAUSA, INC

CHICANOS POR LA CAUSA INC

Schedule R (Form 990) CPLC 86-0227210

Part I Continuation of Identification of Disregarded Entities

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
CPLC FNMA FIRST LOOK LLC - 81-1405916 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	INACTIVE	ARIZONA	0.	0.	CHICANOS POR LA CAUSA, INC
CPLC HOUSING PARTNERS LLC - 47-2799386 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	HOUSING	ARIZONA	0.	0.	CHICANOS POR LA CAUSA, INC
59TH AVENUE AND ROOSEVELT, LLC - 82-4302043 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	HOUSING	ARIZONA	-243.	139,900.	CHICANOS POR LA CAUSA, INC
NUEVAS VISTAS ON MAIN, LLC - 82-3792122 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	HOUSING	ARIZONA	0.	1,845,263.	CHICANOS POR LA CAUSA, INC
CPLC REIT, LLC - 82-2766111 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	HOUSING	ARIZONA	0.	0.	CHICANOS POR LA CAUSA, INC
CPLC PASTOR COURT LLC - 83-1190924 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	HOUSING	ARIZONA	-208,350.	3,663,914.	CHICANOS POR LA CAUSA, INC
CPLC 1551 W VAN BUREN, LLC - 86-0227210 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	HOUSING	ARIZONA	-46,333.	488,187.	CHICANOS POR LA CAUSA, INC
MOUNTAIN POINTE APARTMENTS LP - 86-0971578 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	HOUSING	ARIZONA	-208,350.	3,663,914.	CHICANOS POR LA CAUSA, INC
CPLC SOUTH PHOENIX CHARTER SCHOOLS, LLC 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	COMMUNITY DEVELOPMENT	ARIZONA	0.	0.	CHICANOS POR LA CAUSA, INC
CPLC BROADWAY REVITALIZATION LLC 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	COMMUNITY DEVELOPMENT	ARIZONA	0.	0.	CHICANOS POR LA CAUSA, INC

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CHICANOS POR LA CAUSA INC

Schedule R (Form 990) CPLC 86-0227210

Part I **Continuation of Identification of Disregarded Entities**

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
VISTA VILLAGE ON VAN BUREN, LLC (TRAVEL INN) - 47-3239819, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	ARIZONA	-26,307.	6,091,578.	CHICANOS POR LA CAUSA, INC
MESA ROYALE WEST LLC (KIVA) - 86-1815293 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	HOUSING	ARIZONA	9,926.	1,838,329.	CHICANOS POR LA CAUSA, INC

CHICANOS POR LA CAUSA INC

Schedule R (Form 990) CPLC 86-0227210

Part II **Continuation of Identification of Related Tax-Exempt Organizations**

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled organization? Yes	No
CPLC COMMUNITY SCHOOLS - 86-0842209 1113 E BUCKEYE ROAD, PHOENIX, AZ 85034	EDUCATION	ARIZONA	501(C)(3)	LINE 2	CHICANOS POR LA CAUSA, INC	X	
CPLC NEVADA INC - 47-2621854 1114 E BUCKEYE ROAD, PHOENIX, AZ 85034	SOCIAL SERVICES	ARIZONA	501(C)(3)	LINE 7	CHICANOS POR LA CAUSA, INC	X	
CHICANOS POR LA CAUSA TUCSON FOUNDATION - 20-3992584, 1115 E BUCKEYE ROAD,, PHOENIX, AZ 85034	FOUNDATION	ARIZONA	501(C)(3)	LINE 7	CHICANOS POR LA CAUSA, INC	X	
SANTA CRUZ APARTMENTS INC - 86-0712873 1116 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	ARIZONA	501(C)(3)	LINE 7	CHICANOS POR LA CAUSA, INC	X	
CPLC NEW MEXCIO, INC. - 85-0227776 1117 E BUCKEYE ROAD, PHOENIX, AZ 85034	SOCIAL SERVICES	ARIZONA	501(C)(3)	LINE 7	CHICANOS POR LA CAUSA, INC	X	
CPLC HEALTH, INC - 82-2418349 1118 E BUCKEYE ROAD, PHOENIX, AZ 85034	HEALTH CARE	ARIZONA	501(C)(3)	LINE 7	CHICANOS POR LA CAUSA, INC	X	
CPLC ACTION FUND - 82-2471201 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	LEGISLATIVE ADVOCACY	ARIZONA	501(C)(4)	LINE 7	CHICANOS POR LA CAUSA, INC	X	
CPLC TEXAS - 84-4125422 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	SOCIAL SERVICES	ARIZONA	501(C)(3)	LINE 7	CHICANOS POR LA CAUSA, INC	X	
CPLC ACTION FUND PAC - 84-4043516 1046 E BUCKEYE ROAD PHOENIX, AZ 85034	POLITICAL COMMITTEE	ARIZONA	527		CHICANOS POR LA CAUSA, INC	X	
CPLC CALIFORNIA - 85-2893131 1112 E BUCKEYE ROAD PHOENIX, AZ 85034	SOCIAL SERVICES	CALIFORNIA	501(C)(3)	LINE 7	CHICANOS POR LA CAUSA, INC	X	

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations? Yes	(h) No	(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	(j) No	(k) Percentage ownership
CASA DE FLORES SENIOR APARTMENTS LIHTC LP - 65-1271909, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	AZ	CASA DE FLORES OPERATING COMPANY LLC	RELATED	-1.	60,977.		X	N/A	X		.01%
GUADALUPE HUERTA SENIOR APARTMENTS LIHTC LP - 65-1271912, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	AZ	GUADELUPE HUERTA OPERATING COMPANY LLC	RELATED	0.	241,744.		X	N/A	X		.01%
MOUNTAIN POINTE APARTMENTS PHASE II LIHTC LP - 01-0857328, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	AZ	MOUNTAIN POINT APARTMENTS LIHTC PHASE II, LLC	RELATED	-34.	-554.		X	N/A	X		.01%
ROSA LINDA SENIOR APTS LIHTC LP DBA GENE RICE - 65-1271911, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	AZ	ROSA LINDA OPERATING COMPANY LLC	RELATED	-11.	275,922.		X	N/A	X		.01%

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity? Yes	(i) No
FUTURO INVESTMENT CORP - 86-0329801, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOLDING COMPANY	AZ	CHICANOS POR LA CAUSA INC	C CORP	0.	43,439.	100%	X	
TIEMPO INC - 65-1271918, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	REAL ESTATE	AZ	FUTURO INVESTMENT CORPORATION	C CORP	118,396.	1,117,615.	100%	X	
FRIENDSHIP COMMUNITY MENTAL HEALTH CENTER - 93-1182443, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	MENTAL HEALTH SERVICES	AZ	CHICANOS POR LA CAUSA INC	C CORP	0.	3,499.	100%	X	
HIGHLAND MANAGER, LLC - 37-1869539, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	NV	CPLC NEVADA, INC.	C CORP	0.	0.			X
CPLC PRESTAMOS - 83-1562101, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	INVESTMENT	AZ	PRESTAMOS CDFI LLC	C CORP	140.	77,541.	100%		X

SEE PART VII FOR CONTINUATIONS

CHICANOS POR LA CAUSA INC

Schedule R (Form 990) CPLC 86-0227210

Part III Continuation of Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations? Yes	(h) No	(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	(j) No	(k) Percentage ownership
COURTYART AT ENCANTO LLC - 82-2605851, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	AZ	CPLC REIT, LLC	RELATED	0.	412,871.		X	N/A	X		15.00%
CASA DE ENCANTO SENIOR APARTMENTS LIHTC LP - 65-1271908, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	AZ	CASA DE ENCANTO OPERATING COMPANY LLC	RELATED	6.	222,448.		X	N/A		X	.01%
OLD WOOD LLC - 86-0969541 803 CALLE ROMOLO SANTA FE, NM 85034	DEVELOPMENT	NM	NA	N/A				X	N/A		X	
TAOS MOUNTAIN ENERGY FOODS LLC - 47-3479869, 2638 US HWY 522, QUESTA, NM 85034	FOOD DISTRIBUTION	NM	NA	N/A				X	N/A		X	
PRESTAMOS SUB CDE 5, LLC - 82-4808102, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	INVESTMENT	AZ	PRESTAMOS CDFI LLC					X	N/A		X	.01%
PRESTAMOS SUB CDE 6, LLC - 82-4817308, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	INVESTMENT	AZ	PRESTAMOS CDFI LLC					X	N/A		X	.01%
HIGHLAND AT VISTA LLC - 82-2892790, 1112 E BUCKEYE ROAD, PHOENIX, AZ 85034	HOUSING	NV	HIGHLAND MANAGER LLC					X	N/A		X	

Part V **Transactions With Related Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.		Yes	No
1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a Receipt of **(i)** interest, **(ii)** annuities, **(iii)** royalties, or **(iv)** rent from a controlled entity	1a		X
b Gift, grant, or capital contribution to related organization(s)	1b	X	
c Gift, grant, or capital contribution from related organization(s)	1c	X	
d Loans or loan guarantees to or for related organization(s)	1d	X	
e Loans or loan guarantees by related organization(s)	1e		X
f Dividends from related organization(s)	1f		X
g Sale of assets to related organization(s)	1g		X
h Purchase of assets from related organization(s)	1h		X
i Exchange of assets with related organization(s)	1i		X
j Lease of facilities, equipment, or other assets to related organization(s)	1j		X
k Lease of facilities, equipment, or other assets from related organization(s)	1k		X
l Performance of services or membership or fundraising solicitations for related organization(s)	1l		X
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	X	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n		X
o Sharing of paid employees with related organization(s)	1o		X
p Reimbursement paid to related organization(s) for expenses	1p	X	
q Reimbursement paid by related organization(s) for expenses	1q	X	
r Other transfer of cash or property to related organization(s)	1r	X	
s Other transfer of cash or property from related organization(s)	1s		X

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) CPLC ACTION FUND	B	100,000.	FMV
(2) CPLC COMMUNITY SCHOOLS	D	730,000.	FMV
(3) CPLC NEW MEXICO	D	831,000.	FMV
(4) CPLC NEVADA	D	1,090,000.	FMV
(5) CPLC HEALTH	P	466,681.	FMV
(6) CPLC HEALTH	R	422,484.	FMV

Part V **Continuation of Transactions With Related Organizations** (Schedule R (Form 990), Part V, line 2)

(a) Name of other organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(7) CPLC HEALTH	M	89,638.	FMV
(8) CPLC HEALTH	Q	313,816.	FMV
(9) CPLC TEXAS	C	4,619,721.	FMV
(10) CASA DE PUEBLO	Q	86,331.	FMV
(11) CASA MIA	Q	135,399.	FMV
(12) CPLC CALIFORNIA	Q	350,000.	FMV
(13) CPLC COMMUNITY SCHOOLS	Q	650,000.	FMV
(14) CPLC NEVADA INC	P	627,628.	FMV
(15) CPLC NEVADA INC	Q	1,553,237.	FMV
(16) CAUSA COMMUNITY DEVELOPMENT DBA GUADALUPE BARRIO NUEVO	Q	2,061,846.	FMV
(17) CAUSA COMMUNITY DEVELOPMENT DBA GUADALUPE BARRIO NUEVO	Q	132,462.	FMV
(18) SANTA CRUZ APARTMENTS INC	Q	191,094.	FMV
(19) CPLC NEW MEXICO, INC.	P	122,827.	FMV
(20) CPLC NEW MEXICO, INC.	Q	863,542.	FMV
(21) COURTYART AT ENCANTO LLC	Q	192,253.	FMV
(22) ROSA LINDA SENIOR APTS LIHTC LP DBA GENE RICE	Q	181,704.	FMV
(23) MESA ROYALE EAST MOTEL LLC KNIGHTS INN	Q	282,264.	FMV
(24) FUTURO INVESTMENT CORP	D	1,381,000.	FMV

Part V **Continuation of Transactions With Related Organizations** (Schedule R (Form 990), Part V, line 2)

	(a) Name of other organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(7)	FUTURO INVESTMENT CORP	P	1,878,852.	FMV
(8)	FUTURO INVESTMENT CORP	Q	3,698,036.	FMV
(9)	FUTURO INVESTMENT CORP	R	931,373.	FMV
(10)	TIEMPO, INC.	D	350,000.	FMV
(11)	TIEMPO, INC.	Q	1,344,815.	FMV
(12)	TIEMPO, INC.	R	154,812.	FMV
(13)				
(14)				
(15)				
(16)				
(17)				
(18)				
(19)				
(20)				
(21)				
(22)				
(23)				
(24)				

Part VI Unrelated Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners sec. 501(c)(3) orgs.? Yes	(e) No	(f) Share of total income	(g) Share of end-of-year assets	(h) Dispropor-tionate allocations? Yes	(h) No	(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	(j) No	(k) Percentage ownership

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R. See instructions.

PART III, IDENTIFICATION OF RELATED ORGANIZATIONS TAXABLE AS PARTNERSHIP:

NAME OF RELATED ORGANIZATION:

GUADALUPE HUERTA SENIOR APARTMENTS LIHTC LP

DIRECT CONTROLLING ENTITY: GUADELUPE HUERTA OPERATING COMPANY LLC

NAME OF RELATED ORGANIZATION:

MOUNTAIN POINTE APARTMENTS PHASE II LIHTC LP

DIRECT CONTROLLING ENTITY: MOUNTAIN POINT APARTMENTS LIHTC PHASE II, LLC

NAME OF RELATED ORGANIZATION:

CASA DE ENCANTO SENIOR APARTMENTS LIHTC LP

DIRECT CONTROLLING ENTITY: CASA DE ENCANTO OPERATING COMPANY LLC

EXHIBIT 9

(FILED UNDER SEAL)

EXHIBIT 10

(FILED UNDER SEAL)

EXHIBIT 11

(FILED UNDER SEAL)

EXHIBIT 12

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES

CONSOLIDATED FINANCIAL STATEMENTS AND SUPPLEMENTARY INFORMATION

YEAR ENDED JUNE 30, 2021

WEALTH ADVISORY | OUTSOURCING
AUDIT, TAX, AND CONSULTING

CLAconnect.com

PLAINTIFF'S EXHIBIT
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CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
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INDEPENDENT AUDITORS' REPORT

Board of Directors
Chicanos Por La Causa, Inc. and Subsidiaries and Affiliates
Phoenix, Arizona

Report on the Financial Statements

We have audited the accompanying consolidated financial statements of Chicanos Por La Causa, Inc. and Subsidiaries and Affiliates, which comprise the consolidated statement of financial position as of June 30, 2021, and the related consolidated statements of activities, functional revenues and expenses, and cash flows for the year then ended, and the related notes to the consolidated financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these consolidated financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of consolidated financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these consolidated financial statements based on our audit. We did not audit the financial statements of Casa del Pueblo II dba: Casa del Pueblo II Apartments; Casa Mia Senior Apartments, Inc. dba: Casa Mia Apartments; Causa Community Development, Inc. dba: Guadalupe Barrio Nuevo; Gran Victoria Housing, LLC; Casa de Primavera Apartments, LLC dba: Casa de Primavera; Pueblo Senior Housing, Inc. dba: Casa del Pueblo; Santa Cruz Apartments, Inc. dba: Santa Cruz Apartments; Mountain Pointe Apartments, LP; dba Mountain Pointe Apartments, Bella Vista Properties I Limited Partnership; Ladera Village Limited Partnership and Villa Las Vegas Limited Partnership, wholly-owned subsidiaries, which statements reflect total assets of $37,625,823 as of June 30, 2021, and total revenues of $11,855,060 for the year then ended. Those statements were audited by other auditors, whose reports have been furnished to us, and our opinion, insofar as it relates to the amounts included for Casa del Pueblo II dba: Casa del Pueblo II Apartments; Casa Mia Senior Apartments, Inc. dba: Casa Mia Apartments; Causa Community Development, Inc. dba: Guadalupe Barrio Nuevo; Gran Victoria Housing, LLC; Casa de Primavera Apartments, LLC dba: Casa de Primavera; Pueblo Senior Housing, Inc. dba: Casa del Pueblo; Santa Cruz Apartments, Inc. dba: Santa Cruz Apartments; Mountain Pointe Apartments, LP; dba Mountain Pointe Apartments, Bella Vista Properties I Limited Partnership; Ladera Village Limited Partnership and Villa Las Vegas Limited Partnership, is based solely on the reports of the other auditors. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the consolidated financial statements are free from material misstatement.

Board of Directors
Chicanos Por La Causa, Inc. and Subsidiaries and Affiliates

The financial statements of Gran Victoria Housing, LLC, Santa Cruz Apartments, Inc. dba: Santa Cruz Apartments; Bella Vista Properties I Limited Partnership; Ladera Village Limited Partnership and Villa Las Vegas Limited Partnership were not audited in accordance with *Government Auditing Standards.*

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the consolidated financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the consolidated financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the consolidated financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the consolidated financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the financial position of Chicanos Por La Causa, Inc. and Subsidiaries and Affiliates as of June 30, 2021, and the changes in their net assets and their cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Report on Supplementary Information

Our audit was conducted for the purpose of forming an opinion on the consolidated financial statements as a whole. The accompanying supplementary information on pages 52 through 72 is presented for purposes of additional analysis and is not a required part of the consolidated financial statements. Such information is the responsibility of management and derived from and relates directly to the underlying accounting and other records used to prepare the consolidated financial statements. The information has been subjected to the auditing procedures applied in the audits of the consolidated financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the consolidated financial statements or to the consolidated financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the consolidated financial statements as a whole.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated December 21, 2021, on our consideration of the Chicanos Por La Causa, Inc. and Subsidiaries and Affiliates' internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is solely to describe the scope of our testing of internal control over financial reporting and compliance and the result of that testing, and not to provide an opinion on the effectiveness of the Chicanos Por La Causa, Inc. and Subsidiaries and Affiliates' internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Chicanos Por La Causa, Inc. and Subsidiaries and Affiliates' internal control over financial reporting and compliance.

CliftonLarsonAllen LLP

CliftonLarsonAllen LLP

Phoenix, Arizona
December 21, 2021

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
CONSOLIDATED STATEMENT OF FINANCIAL POSITION
JUNE 30, 2021

ASSETS

CURRENT ASSETS	
Cash and Cash Equivalents	$ 460,780,825
Restricted Cash	320,526
Cash Held for Loan Programs	594,489,043
Receivables:	
Grants and Contracts, Net	48,303,447
Interest Receivable	8,727,437
Other Receivables, Net	6,256
Notes Receivable, Current	1,808,687
Prepaid Expenses	1,659,901
Total Current Assets	1,116,096,122
LONG-TERM ASSETS	
Notes Receivable, Net	6,701,543,128
Real Estate Properties - Rental, Net	114,500,238
Property and Equipment, Net	26,731,391
Related Party Receivables, Net	1,146,908
Real Estate Held for Sale	18,242,881
Investments in Debt and Equity Securities	4,665,427
Investments in Affiliated Entities - Cost Method	3,081,065
Investments in Affiliated Entities - Equity Method	953,193
Deposits and Funded Reserves	4,182,025
Other Assets	1,271,367
Total Long-Term Assets	6,876,317,623
Total Assets	$ 7,992,413,745

See accompanying Notes to Consolidated Financial Statements.

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
CONSOLIDATED STATEMENT OF FINANCIAL POSITION (CONTINUED)
JUNE 30, 2021

LIABILITIES AND NET ASSETS

CURRENT LIABILITIES	
Accounts Payable and Accrued Expenses	$ 19,330,863
Deferred Revenue, Current Portion	309,174,631
Lines of Credit, Current Portion	12,245,241
Mortgages Payable and Other Debt, Current	12,930,809
Other Liabilities	255,370,440
Total Current Liabilities	609,051,984
LONG-TERM LIABILITIES	
Lines of Credit	7,145,275,744
Deferred Revenue, Long-Term Portion	2,250,909
Mortgages Payable and Other Debt, Net	131,799,389
Total Long-Term Liabilities	7,279,326,042
Total Liabilities	7,888,378,026
NET ASSETS	
Without Donor Restrictions	98,191,366
With Donor Restrictions	5,844,353
Total Net Assets	104,035,719
Total Liabilities and Net Assets	$ 7,992,413,745

See accompanying Notes to Consolidated Financial Statements.

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
CONSOLIDATED STATEMENT OF ACTIVITIES
YEAR ENDED JUNE 30, 2021

	Without Donor Restrictions	With Donor Restrictions	Total
REVENUES			
Contract Revenues:			
Grants and Contracts	$ 23,266,684	$ 7,204,765	$ 30,471,449
Cost Reimbursement	72,607,168	-	72,607,168
Fee for Service	62,149,507	-	62,149,507
Total Contract Revenues	158,023,359	7,204,765	165,228,124
Noncontract Revenues:			
Rental Income	17,132,077	-	17,132,077
Development Fees	393,220	-	393,220
Client Fees	3,595,067	-	3,595,067
Donations	9,173,800	-	9,173,800
Fundraising	589,291	-	589,291
In-Kind	1,357,973	-	1,357,973
Sales of Inventory	2,506,940	-	2,506,940
Other Revenues	2,898,895	-	2,898,895
Total Noncontract Revenues	37,647,263	-	37,647,263
Other Revenues:			
Investment Income (Loss)	1,945,019	-	1,945,019
Interest Income	15,632,024	-	15,632,024
Total Other Revenues	17,577,043	-	17,577,043
Net Assets Released from Restrictions	3,857,280	(3,857,280)	-
Total Revenues	217,104,945	3,347,485	220,452,430
OPERATING EXPENSES			
Program Services	161,555,682	-	161,555,682
General and Administrative	14,278,342	-	14,278,342
Fundraising	1,588,303	-	1,588,303
Total Operating Expenses	177,422,327	-	177,422,327
NONOPERATING ACTIVITY			
Gain (Loss) on Sale of Assets	(246,622)	-	(246,622)
Impairment Loss on Real Estate	(18,667)	-	(18,667)
Net Nonoperating Activity	(265,289)	-	(265,289)
CHANGES IN NET ASSETS	39,417,329	3,347,485	42,764,814
Net Assets - Beginning of Year	58,774,037	2,496,868	61,270,905
NET ASSETS - END OF YEAR	$ 98,191,366	$ 5,844,353	$ 104,035,719

See accompanying Notes to Consolidated Financial Statements.

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
CONSOLIDATED STATEMENT OF FUNCTIONAL REVENUES AND EXPENSES
YEAR ENDED JUNE 30, 2021

						Corporate		
	CPLC Community Schools	Prestamos	Behavioral Health	Health and Human Services	Single Family Housing and Counseling	Public Relations and Fund Raising	Management and General	La Causa
SUPPORT AND REVENUES								
Contract Revenues:								
Grants and Contracts	$ 2,733,878	$ 14,413,358	$ 297,236	$ 2,216,897	$ 748,042	$ -	$ 458,999	$ -
Cost Reimbursement	-	1,024,035	-	30,314,291	676,522	-	-	-
Fee for Service	-	-	7,955,797	4,509,276	1,038,757	-	141,764	4,446,470
Total Contract Revenues	2,733,878	15,437,393	8,253,033	37,040,464	2,463,321	-	600,763	4,446,470
Noncontract Revenues:								
Rental Income	-	-	-	-	-	-	-	-
Development Fees	-	-	-	-	-	-	168,596	248,481
Client Fees	-	3,170,613	5,660	1,215,133	19,438	-	505,125	-
Donation	957	26,375	9,322	8,659,011	4,186	154,800	6,975,060	-
Fund Raising	-	-	-	47,469	400	441,422	100,000	-
In-Kind	-	-	-	33,088	7,500	-	1,316,360	-
Sales of Inventory	-	-	-	-	-	-	-	-
Other Revenues	6,117	194,400	1,248	14,621	15,048	970,424	7,883,196	-
Total Noncontract Revenues	7,074	3,391,388	16,230	9,969,322	46,572	1,566,646	16,948,337	248,481
Other Revenues:								
Intercompany Dividend Income	-	-	-	-	-	-	1,193,158	-
Investment Income (Loss)	-	272,024	-	(452)	-	-	1,193,581	-
Dividend Income	-	423,774	-	-	-	-	-	-
Loan Fees	-	5,144,806	-	-	-	-	-	-
Interest Income	-	10,433,241	-	40	-	-	117,097	3
Total Other Revenues	-	16,273,845	-	(412)	-	-	2,503,836	3
Total Support and Revenues	2,740,952	35,102,626	8,269,263	47,009,374	2,509,893	1,566,646	20,052,936	4,694,954

See accompanying Notes to Consolidated Financial Statements.

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
CONSOLIDATED STATEMENT OF FUNCTIONAL REVENUES AND EXPENSES (CONTINUED)
YEAR ENDED JUNE 30, 2021

	Economic Development	CPLC Nevada	CPLC New Mexico, Inc.	CPLC Health Inc. (AZRA)	NSP II	Multifamily Properties and Property Management	Commercial Properties and Property Management	Single Family Residential
SUPPORT AND REVENUES								
Contract Revenues:								
Grants and Contracts	$ 3,350,503	$ 5,545,084	$ 30,595	$ 939,104	$ -	$ 310,427	$ 50,000	$ 905,493
Cost Reimbursement	2,007,319	583,086	86,493	-	-	-	-	9,418,005
Fee for Service	-	68,895	3,423,687	-	-	-	-	62,460
Total Contract Revenues	5,357,822	6,197,065	3,540,775	939,104	-	310,427	50,000	10,385,958
Noncontract Revenues:								
Rental Income	22,094	-	-	-	-	15,758,030	2,826,602	399,629
Development Fees	343,334	-	-	-	-	-	-	-
Client Fees	-	11,853	257,500	-	-	1,747,335	-	-
Donation	-	19,500	20,000	5,000	-	-	-	3,212
Fund Raising	-	-	-	-	-	-	-	-
In-Kind	-	1,025	-	-	-	-	-	-
Sales of Inventory	-	-	-	-	3,510,329	-	-	(874,950)
Other Revenues	42,595	616	6,797	-	-	2,276,564	37,529	880
Total Noncontract Revenues	408,023	32,994	284,297	5,000	3,510,329	19,781,929	2,864,131	(471,229)
Other Revenues:								
Intercompany Dividend Income	-	-	-	-	-	-	-	-
Investment Income (Loss)	160,121	-	(69,029)	-	-	-	-	-
Dividend Income	-	-	-	-	-	-	-	-
Loan Fees	-	-	-	-	-	-	-	-
Interest Income	-	-	-	-	-	1,692	13	28
Total Other Revenues	160,121	-	(69,029)	-	-	1,692	13	28
Total Support and Revenues	5,925,966	6,230,059	3,756,043	944,104	3,510,329	20,094,048	2,914,144	9,914,757

See accompanying Notes to Consolidated Financial Statements.

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
CONSOLIDATED STATEMENT OF FUNCTIONAL REVENUES AND EXPENSES (CONTINUED)
YEAR ENDED JUNE 30, 2021

	CPLC California	CPLC Texas	CPLC Action Fund PAC	CPLC Action Fund	Other	Eliminations	Total
SUPPORT AND REVENUES							
Contract Revenues:							
Grants and Contracts	$ -	$ 10,694	$ -	$ -	$ -	$ (1,538,861)	$ 30,471,449
Cost Reimbursement	-	39,346,073	-	3,250	-	(10,851,906)	72,607,168
Fee for Service	-	43,225,818	-	-	-	(2,723,417)	62,149,507
Total Contract Revenues	-	82,582,585	-	3,250	-	(15,114,184)	165,228,124
Noncontract Revenues:							
Rental Income	-	-	-	-	-	(1,874,278)	17,132,077
Development Fees	-	-	-	-	-	(367,191)	393,220
Client Fees	-	-	-	-	-	(3,337,590)	3,595,067
Donation	-	-	112,500	279,127	-	(7,095,250)	9,173,800
Fund Raising	-	-	-	-	-	-	589,291
In-Kind	-	-	-	-	-	-	1,357,973
Sales of Inventory	-	-	-	-	-	(128,439)	2,506,940
Other Revenues	-	19	-	-	8	(8,551,167)	2,898,895
Total Noncontract Revenues	-	19	112,500	279,127	8	(21,353,915)	37,647,263
Other Revenues:							
Intercompany Dividend Income	-	-	-	-	-	(1,193,158)	-
Investment Income (Loss)	-	-	-	-	-	(35,000)	1,521,245
Dividend Income	-	-	-	-	-	-	423,774
Loan Fees	-	-	-	-	-	-	5,144,806
Interest Income	-	-	-	-	-	(64,896)	10,487,218
Total Other Revenues	-	-	-	-	-	(1,293,054)	17,577,043
Total Support and Revenues	-	82,582,604	112,500	282,377	8	(37,761,153)	220,452,430

See accompanying Notes to Consolidated Financial Statements.

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
CONSOLIDATED STATEMENT OF FUNCTIONAL REVENUES AND EXPENSES (CONTINUED)
YEAR ENDED JUNE 30, 2021

	CPLC Community Schools	Prestamos	Behavioral Health	Health and Human Services	Single Family Housing and Counseling	Corporate: Public Relations and Fund Raising	Corporate: Management and General	La Causa
OPERATING EXPENSES								
Salaries and Wages	$ 994,405	$ 1,728,269	$ 5,243,492	$ 19,433,061	$ 507,633	$ 880,992	$ 7,700,228	$ 953,896
Payroll Taxes	72,461	141,311	459,557	1,788,647	47,364	80,726	53,005	90,443
Fringe Benefits	149,832	147,652	705,440	3,274,151	97,013	119,337	377,376	117,240
Contractor Expense	-	-	-	-	-	-	-	4,001,367
Professional Fees	260,605	773,934	142,578	271,133	9,477	27,639	1,257,018	115,071
Management Fees	-	1,506,990	-	33,601	-	-	-	-
Staff Development	22,210	53,536	20,029	285,373	402	5,579	202,377	16,554
Property Administration	-	-	-	-	-	-	-	-
Travel	-	10,028	35,400	137,613	2,755	11,535	159,147	23,555
Advertising and Marketing	802	7,266	13,573	157,221	2,554	139,111	470,180	1,296
Administrative Costs	364,134	477,308	1,273,360	4,808,992	124,219	-	-	274,001
Occupancy	394,881	102,740	554,128	863,045	81,020	51,016	487,490	49,483
Insurance and Taxes	6,707	11,454	50,446	323,597	12,857	3,002	371,011	283,932
Utility Expenses	17,495	6,502	76,753	447,118	3,613	2,494	42,559	595
Repairs and Maintenance	-	4,570	149,349	434,801	9,047	130	5,399	2,380
Office Expenses	11,563	19,656	24,403	297,461	4,115	5,448	58,216	14,226
Furniture and Fixtures	-	-	964	41,838	714	-	2,797	700
Technology and Communication	170,439	108,335	291,663	878,767	30,716	8,692	375,867	26,239
Consumable Supplies	71,228	1,202	156,216	975,010	5,571	10,498	24,649	3,189
Monetary Assistance	-	3,535,759	22,059	2,906,447	1,224,921	191,695	564,402	25,000
Miscellaneous	30,928	17,708	5,637	(40,044)	1,207	509	263,749	33,679
Program Construction	-	-	-	37,090	2,017	-	-	197
Donated Materials and Services	-	-	-	33,088	-	-	1,316,360	-
Depreciation	32,958	2,679	9,196	477,569	-	-	254,326	4,221
Capital Outlay	-	-	-	23,473	-	-	-	-
Interest Expense	-	3,195,584	-	3,852	372	-	292,186	38,709
Bad Debt Expense (Recovery)	7,151	1,518,722	23,519	-	-	49,900	-	-
Total Operating Expenses	2,607,799	13,371,205	9,257,762	37,892,904	2,167,587	1,588,303	14,278,342	6,075,973
NONOPERATING ACTIVITY								
Gain/Loss on Sale of Assets, Net	-	-	-	500	(22,981)	-	-	(559,363)
Impairment Loss on Real Estate	-	-	-	-	-	-	-	-
Net Nonoperating Activity	-	-	-	500	(22,981)	-	-	(559,363)
CHANGES IN NET ASSETS	$ 133,153	$ 21,731,421	$ (988,499)	$ 9,116,970	$ 319,325	$ (21,657)	$ 5,774,594	$ (1,940,382)

See accompanying Notes to Consolidated Financial Statements.

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CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
CONSOLIDATED STATEMENT OF FUNCTIONAL REVENUES AND EXPENSES (CONTINUED)
YEAR ENDED JUNE 30, 2021

	Economic Development	CPLC Nevada	CPLC New Mexico, Inc.	CPLC Health Inc. (AZRA)	NSP II	Multifamily Properties and Property Management	Commercial Properties and Property Management	Single Family Residential
OPERATING EXPENSES								
Salaries and Wages	$ 740,945	$ 2,669,040	$ 468,808	$ 208,925	$ -	$ 3,367,083	$ 253,558	$ 322,293
Payroll Taxes	67,889	310,596	40,315	20,197	-	340,009	24,277	33,097
Fringe Benefits	83,359	265,699	35,675	22,882	-	580,469	29,172	40,020
Contractor Expense	-	-	-	-	-	-	-	-
Professional Fees	376,851	222,626	2,944,596	182,305	-	365,231	61,202	85,740
Management Fees	-	2,743	-	-	-	1,034,920	331,202	132,514
Staff Development	1,233	21,494	150	503	-	29,806	129	4,382
Property Administration	-	-	-	-	-	61,709	(340)	-
Travel	8,824	17,449	24,846	4,192	-	41,658	-	21,587
Advertising and Marketing	-	35,223	463	140,000	-	219,015	1,045	5,155
Administrative Costs	174,723	627,628	122,827	35,890	-	166,812	-	105,554
Occupancy	39,078	63,810	69,167	8,169	-	33,887	-	6,031
Insurance and Taxes	91,082	5,536	15,025	2,734	-	1,107,753	932,760	168,462
Utility Expenses	13,470	5,346	7,201	-	-	2,754,199	217,060	16,335
Repairs and Maintenance	44	12,209	3,371	100	-	2,814,905	288,985	83,691
Office Expenses	537	79,696	2,828	2,130	-	72,813	-	2,284
Furniture and Fixtures	-	7,526	-	-	-	695	-	-
Technology and Communication	12,746	53,225	13,988	19,995	-	148,759	31,175	34,443
Consumable Supplies	217	9,785	-	5,925	-	8,037	-	14,863
Monetary Assistance	-	224,123	-	-	-	451,281	50,000	1,038,446
Miscellaneous	47,393	13,139	18,308	827	82	508,514	101,321	14,879
Program Construction	1,309	2,780	-	-	11,591,170	-	-	5,142
Donated Materials and Services	-	1,025	-	-	-	-	-	-
Depreciation	-	7,227	55,643	-	-	3,036,947	1,253,619	214,967
Capital Outlay	-	1,538,861	-	-	-	-	-	-
Interest Expense	22,906	-	-	-	-	2,627,741	718,226	13,719
Bad Debt Expense (Recovery)	-	-	13,055	-	-	86,274	18,652	(74)
Total Operating Expenses	1,682,606	6,196,786	3,836,266	654,774	11,591,252	19,858,517	4,312,043	2,363,530
NONOPERATING ACTIVITY								
Gain/Loss on Sale of Assets, Net	91,465	-	9,107	-	-	(161,503)	(113,413)	(11,582)
Impairment Loss on Real Estate	-	-	-	-	-	-	-	(18,667)
Net Nonoperating Activity	91,465	-	9,107	-	-	(161,503)	(113,413)	(30,249)
CHANGES IN NET ASSETS	$ 4,334,825	$ 33,273	$ (71,116)	$ 289,330	$ (8,080,923)	$ 74,028	$ (1,511,312)	$ 7,520,978

See accompanying Notes to Consolidated Financial Statements.

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
CONSOLIDATED STATEMENT OF FUNCTIONAL REVENUES AND EXPENSES (CONTINUED)
YEAR ENDED JUNE 30, 2021

	CPLC Texas	CPLC Texas	CPLC Action Fund	CPLC Action Fund	Other	Eliminations	Total
OPERATING EXPENSES							
Salaries and Wages	$ 6,885	$ 24,977,268	$ -	$ 30,544	$ 12,361	$ -	$ 70,499,686
Payroll Taxes	730	3,088,226	-	2,147	851	-	6,661,848
Fringe Benefits	4,510	348,303	-	2,750	(1,130)	-	6,399,750
Contractor Expense	-	-	-	-	-	(2,179,649)	1,821,718
Professional Fees	-	21,754,349	-	38,320	-	(556,708)	28,331,967
Management Fees	-	-	-	-	-	(2,890,053)	151,917
Staff Development	-	210,750	-	4,188	-	-	878,695
Property Administration	-	-	-	-	-	-	61,369
Travel	1,846	1,230,961	-	-	323	-	1,731,719
Advertising and Marketing	-	12,874,110	-	39,487	117	-	14,106,618
Administrative Costs	-	23,868	-	-	-	(8,551,167)	28,149
Occupancy	-	65,050	-	155	-	(1,874,278)	994,872
Insurance and Taxes	-	430,407	-	-	18,184	-	3,834,949
Utility Expenses	-	-	-	-	8,865	-	3,619,605
Repairs and Maintenance	-	126,011	-	-	4,393	-	3,939,385
Office Expenses	-	160,428	12,237	32,210	-	-	800,251
Furniture and Fixtures	74	258,699	-	-	-	-	314,007
Technology and Communication	-	2,443,839	-	547	5,017	-	4,654,452
Consumable Supplies	-	1,925,099	-	882	-	-	3,212,371
Monetary Assistance	-	4,658,015	99,991	180,491	-	(7,095,250)	8,077,380
Miscellaneous	-	115,749	146	20,231	-	(421,459)	732,503
Program Construction	-	-	-	-	-	(10,851,906)	787,799
Donated Materials and Services	-	-	-	-	-	-	1,350,473
Depreciation	-	31,894	-	-	102,299	(260,770)	5,222,775
Capital Outlay	-	606,575	-	-	-	(1,538,861)	630,048
Interest Expense	-	-	-	-	2,235	(64,896)	6,850,634
Bad Debt Expense (Recovery)	-	9,912	-	-	276	-	1,727,387
Total Operating Expenses	14,045	75,339,513	112,374	351,952	153,791	(36,284,997)	177,422,327
NONOPERATING ACTIVITY							
Gain/Loss on Sale of Assets, Net	-	-	-	-	-	521,148	(246,622)
Impairment Loss on Real Estate	-	-	-	-	-	-	(18,667)
Net Nonoperating Activity	-	-	-	-	-	521,148	(265,289)
CHANGES IN NET ASSETS	$ (14,045)	$ 7,243,091	$ 126	$ (69,575)	$ (153,783)	$ (955,008)	$ 42,764,814

See accompanying Notes to Consolidated Financial Statements.

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
CONSOLIDATED STATEMENT OF CASH FLOWS
YEAR ENDED JUNE 30, 2021

CASH FLOWS FROM OPERATING ACTIVITIES	
Changes in Net Assets	$ 42,764,814
Adjustment to Reconcile Changes in Net Assets to Net Cash Provided by Operating Activities:	
Depreciation	5,222,775
Realized/Unrealized Loss on Investments	(1,209,189)
Change in Allowance for Doubtful Accounts and Provision for Loan Loss	1,727,387
Noncash Contribution of Property	(7,583,000)
Gain on Sale of Assets, Net	246,622
Impairment Loss on Real Estate	18,667
Amortization of Loan Issuance Costs	188,558
Forgivable Loan Principal Reduction	(51,702)
(Increase) Decrease in Assets:	
Grants and Contracts, Net	(41,515,898)
Interest Receivable	(8,463,420)
Other Receivables, Net	296,762
Prepaid Expenses	(1,433,653)
Related Party Receivables, Net	44,112
(Increase) Decrease in Liabilities:	
Accounts Payable and Accrued Expenses	7,796,575
Deferred Revenue	308,570,384
Other Liabilities	253,704,793
Net Cash Provided by Operating Activities	560,324,587
CASH FLOWS FROM INVESTING ACTIVITIES	
Purchases of Real Estate and Property and Equipment	(12,332,015)
Proceeds from Sale of Real Estate and Property and Equipment	42,208
Purchases of Investments	(1,695,196)
Proceeds from Sales of Investments	1,666,600
Purchases of Real Estate Held for Sale	(10,531,092)
Proceeds from Sales of Real Estate	2,434,502
Change in Deposits and Funded Reserves	(639,512)
Payments Received from Notes Receivable	7,658,250
Issuances of Notes Receivable	(6,669,295,371)
Change in Other Assets	(290,945)
Net Cash Used by Investing Activities	(6,682,982,571)
CASH FLOWS FROM FINANCING ACTIVITIES	
Proceeds from Mortgages and Other Debt Borrowings	11,354,433
Payments on Mortgages and Other Debt	(10,585,435)
Debt Issuance Costs	(72,500)
Proceeds from Lines of Credit	7,144,036,776
Net Cash Provided by Financing Activities	7,144,733,274
NET INCREASE IN CASH AND CASH EQUIVALENTS	1,022,075,290
Cash, Cash Equivalents and Restricted Cash - Beginning of Year	33,515,104
CASH, CASH EQUIVALENTS AND RESTRICTED CASH - END OF YEAR	$ 1,055,590,394

See accompanying Notes to Consolidated Financial Statements.

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
CONSOLIDATED STATEMENT OF CASH FLOWS (CONTINUED)
YEAR ENDED JUNE 30, 2021

SUPPLEMENTAL DISCLOSURE OF CASH FLOW INFORMATION	
Cash Paid for Interest	$ 6,850,634
SUPPLEMENTAL DISCLOSURES OF NONCASH INVESTING AND FINANCING ACTIVITIES	
Transfers of Property to Real Estate for Sale	$ 6,342,536
Purchase of Property Through Debt Issuance	$ 9,558,945
Repayment of Debt Through Sale of Property	$ 7,060,221
Cash, Cash Equivalents and Restricted Cash Consist of the Following:	
Cash and Cash Equivalents	$ 460,780,825
Restricted Cash	320,526
Cash Held for Loan Programs	594,489,043
Total Cash, Cash Equivalents and Restricted Cash	$ 1,055,590,394

See accompanying Notes to Consolidated Financial Statements.

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Nature of Operations

Chicanos Por La Causa, Inc. (CPLC or the Organization) is an Arizona statewide community development corporation (CDC) with operations in California, Nevada, New Mexico and Texas, committed to building stronger, healthier communities as a lead advocate, coalition builder, and direct service provider. CPLC promotes positive change and self-sufficiency to enhance the quality of life for the benefit of those they serve. The objective of CPLC and its subsidiaries and affiliates (collectively referred to as CPLC or Organization) is the accumulation of a sufficient capital asset base to continually advance the mandate for self-sufficiency, as stated in Title VII of the Community Services Act of 1974 (Title VII). CPLC receives substantially all of its revenue from government contracts and rental income from its real estate properties.

Principles of Consolidation

The accompanying consolidated financial statements include the financial statements of CPLC and its subsidiaries, Futuro Investments Corp. (FIC); Tiempo, Inc. (Tiempo); La Causa Realty, LLC (LCR); La Causa Development, LLC (LCD); Futuro Camion Services, LLC; Liberty-Irvington, LLC; Friendship Community Mental Health Center (FCMHC); Prestamos CDFI, LLC (PC); La Causa Construction (LCC); San Marina Apartments, LLC; San Marina Affordable Apartments, LLC (SM); Casa Loma Affordable Apartments, LLC (CL); Glenrosa Affordable Apartments, LLC (GA or La Buena Vida); Hazelwood Affordable Apartments, LLC (HA or Starlight); Bella Vista Management, LLC and Bella Vista Properties I Limited Partnership; Villa Las Vegas Limited Partnership; Ladera Village Limited Partnership; Mountain Pointe Apartments LIHTC, LLC and Mountain Pointe Apartments, LP; CPLC Donation Partners, LLC (DP); CPLC Housing Partners, LLC; Casa de Primavera Apartments, LLC (COP); Gran Victoria Housing, LLC (GV); CPLC Estancia, LLC; CPLC Housing & Health, LLC; Central and Grant Plaza, LLC; CPLC Fountain Villas, LLC; Chicanos por la Causa Land Bank, LLC; CPLC Holding and Asset Management Company, LLC; CPLC Land Bank Manager, LLC; Futuro Equity Fund, LLC; CPLC NM Community Stabilization Partners, LLC (CS); CPLC Pickle House, LLC; 59TH Avenue and Roosevelt, LLC; Nuevas Vistas on Main, LLC (Mesa Royal); CPLC 1551 W. Van Buren, LLC; Vista Village on Van Buren, LLC (Travel Inn); La Causa Development Nevada, LLC; La Causa Realty Nevada, LLC ;CPLC Main and Country, LLC and Main and Country Club, LLC, CPLC Prestamos, Mesa Royale East Motel, LLC, CPLC Southwest Charter Schools, LLC, CPLC Broadway Revitalization, LLC and CPLC Mesa 5th Place, LLC: CPLC Nevada Property Holdings, LLC, CPLC 1401 S. Central, LLC, CPLC Freemont Apartments LLC, CPLC Property Holdings, LLC, CPLC Resource Navigation, LLC, CPLC 1202 Central, LLC and Mesa Royale West, LLC.

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Principles of Consolidation (Continued)

Also included in the accompanying consolidated financial statements are the assets, liabilities, and net assets of thirteen affiliates which are under common control, and in which CPLC possess an economic interest. These include CPLC Community Schools (CCS); Santa Cruz Apartments, Inc. (SC); Causa Community Development, Inc. (CCD); Pueblo Senior Housing, Inc. (PSH); Casa Del Pueblo II, Inc. (PSH II); Casa Mia Senior Apartments, Inc. (CM); CPLC New Mexico, Inc.; CPLC Nevada, Inc. (CPLC Nevada); CPLC Action Fund; CPLC Health, Inc. (ARZA Home Health, LLC); CPLC Texas Inc. CPLC California Inc.; and CPLC Action Fund PAC. SC owns the Santa Cruz apartment complex; CCD owns the Guadalupe Barrio Nuevo apartment complex; and PSH owns the Casa Del Pueblo apartment complex. All significant inter-organization transactions and accounts have been eliminated in consolidation.

The consolidated financial statements of Chicanos Por La Causa, Inc. and Subsidiaries and Affiliates have been prepared on the accrual basis of accounting. The significant accounting policies followed are described below to enhance the usefulness of the consolidated financial statements to the reader.

Basis of Presentation

The accompanying consolidated financial statements are prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America (U.S. GAAP) applicable to nonprofit organizations. The Organization's consolidated financial statements are prepared to focus on the Organization as a whole and to present balances and transactions according to the existence or absence of donor-imposed restrictions.

Net Assets

Net assets, revenues, gains, and losses are classified based on the existence or absence of donor- or grantor-imposed restrictions. Accordingly, net assets and changes therein are classified and reported as follows:

Net Assets Without Donor Restrictions – Net assets available for use in general operations and not subject to donor (or certain grantor) restrictions. The governing board has designated, from net assets without donor restrictions, net assets for an operating reserve and board-designated endowment.

Net Assets With Donor Restrictions – Net assets subject to donor- (or certain grantor-) imposed restrictions. Some donor-imposed restrictions are temporary in nature, such as those that will be met by the passage of time or other events specified by the donor. Other donor-imposed restrictions are perpetual in nature, where the donor stipulates that resources be maintained in perpetuity. Donor-imposed restrictions are released when a restriction expires, that is, when the stipulated time has elapsed, when the stipulated purpose for which the resource was restricted has been fulfilled, or both.

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Net Assets (Continued)

We report contributions restricted by donors as increases in net assets without donor restrictions if the restrictions expire (that is, when a stipulated time restriction ends, or purpose restriction is accomplished) in the reporting period in which the revenue is recognized. All other donor-restricted contributions are reported as increases in net assets with donor restrictions. When a restriction expires, net assets with donor restrictions are reclassified to net assets without donor restrictions and reported in the consolidated statement of activities as net assets released from restrictions.

Cash and Cash Equivalents

The Organization considers all cash and highly liquid financial instruments with original maturities of three months or less to be cash and cash equivalents. Restricted cash consists of funds held for the Classroom Site Fund, for use solely at school sites. These funds are available for teacher compensation increases, employment related expenses and maintenance and operations purposes including classroom size reduction.

Cash Designated for Lending and Cash Held for Loan Loss Reserves

CPLC receives loans and grant funds from various sources to be used for loans to new or existing small businesses or as cash reserves for loan losses. These loans are included in mortgages payable and lines of credit in the accompanying consolidated statement of financial position. The funds are maintained in separate bank accounts. As of June 30, 2021, CPLC had $493,747,151 in these accounts as cash designated for lending that are to be used to fund additional loans and $100,741,892, as cash held for loan loss reserves.

Grants and Contracts Receivable

Grants and contracts receivable consist primarily of amounts due from state and federal agencies for the provision of services; accordingly, credit risk is limited. Receivables are stated at the amount management expects to collect. Management provides for probable uncollectible amounts through a decrease to earnings and an increase to the allowance for uncollectible accounts based on its assessment of the current status of individual receivables. The allowance for uncollectible receivables was $370,648 as of June 30, 2021.

CPLC recognizes contributions when cash, securities or other assets; an unconditional promise to give; or a notification of a beneficial interest is received. Conditional promises to give that is, those with a measurable performance or other barrier and a right of return are not recognized until the conditions on which they depend have been met. Federal and state contracts and grants are conditioned upon certain performance requirements and the incurrence of allowable qualifying expenses. Consequently, as of June 30, 2021, conditional contributions approximating $20,838,268, of which no amounts had been received in advance, have not been recognized in the accompanying consolidated financial statements.

Notes Receivable

Notes receivable consists of loans made to small businesses. They are reported at their outstanding unpaid principal balance adjusted for the notes allowance for notes receivable credit losses.

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Notes Receivable (Continued)

Interest income is accrued on the unpaid principal balance. The accrual of interest on loans is discontinued at the time the loan is 90 days delinquent unless the credit is well secured and in process of collection. Past due status is based on contractual terms of the loan. Loans are placed on nonaccrual or charged-off at an earlier date if collection of principal or interest is considered doubtful. All interest accrued but not collected for loans that are placed on nonaccrual or charged-off is reversed against interest income. The interest on these loans is accounted for on the cash-basis or cost-recovery method, until qualifying for return to accrual. Loans are returned to accrual status when all the principal and interest amounts contractually due are brought current and future payments are reasonably assured.

The Organization has determined that the accounting for nonrefundable fees and costs associated with originating or acquiring loans, except for those associated with PPP loans, does not have a material effect on its consolidated financial statements. As such, these fees and costs have been recognized during the period they are collected and incurred, respectively. Starting in May 2020, the Organization began participating in the Paycheck Protection Program. Loan origination fees associated with PPP loans are deferred and amortized through the earlier of SBA forgiveness obtained or through the term of the loan.

Allowance for Notes Receivable Credit Losses

The allowance for notes receivable credit losses is established as losses are estimated to have occurred through a provision for loan losses charged to earnings. Loan losses are charged against the allowance when management believes the uncollectibility of a loan balance is confirmed. Subsequent recoveries, if any, are credited to the allowance.

The allowance for notes receivable credit losses is evaluated on a regular basis by management and is based upon management's periodic review of the collectability of the loans in light of historical experience, the nature and volume of the loan portfolio, adverse situations that may affect the borrower's ability to repay, estimated value of any underlying collateral and prevailing economic conditions. This evaluation is inherently subjective as it requires estimates that are susceptible to significant revision as more information becomes available.

The allowance consists of allocated and general components. The allocated component relates to loans that are classified as impaired. For those loans that are classified as impaired, an allowance is established when the discounted cash flows (or collateral value or observable market price) of the impaired loan is lower than the carrying value of that loan. The general component covers nonimpaired loans and is based on historical loss rates for each portfolio segment, adjusted for the effects of qualitative or environmental factors that are likely to cause estimated credit losses as of the evaluation date to differ from the portfolio segment's historical loss experience.

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Allowance for Notes Receivable Credit Losses (Continued)

Qualitative factors include consideration of the following: changes in lending policies and procedures; changes in economic conditions; changes in the nature and volume of the portfolio; changes in the experience, ability and depth of lending management and other relevant staff; changes in the volume and severity of past due, nonaccrual and other adversely graded loans; changes in the loan review system; changes in the value of the underlying collateral for collateral-dependent loans; and concentrations of credit and the effect of other external factors such as competition and legal and regulatory requirements.

Investments in Limited Liability Companies

The Organization is the sole member of seven limited liability companies (LLCs): Casa De Encanto Operating Company, LLC; Casa De Flores Operating Company, LLC; Guadalupe Huerta Operating Company, LLC; Rosa Linda Operating Company, LLC; Mountain Pointe Apartments LIHTC Phase II, LLC and Highland Manager, LLC and 25th and Bell, LLC. These LLCs have virtually no assets or liabilities as of June 30, 2021. These LLCs manage the general partnership interest for Casa De Encanto Senior Apartments LIHTC, LP; Casa De Flores Senior Apartments LIHTC, LP; Guadalupe Huerta Senior Apartments LIHTC, LP; Rosa Linda Senior Apartments LIHTC, LP; Mountain Pointe Apartments Phase II, LP and Highland at Vista, LLC and 25th and Bell LIHTC, LLC. The LLCs have 0.01% general partner interest in each of the Partnerships, and have minimal assets, liabilities, revenue, and expenses.

In October 2017, the Organization invested $412,871 into Courtyard at Encanto, LLC, which is the owner of a 160-unit multifamily apartments. The investment represented 15% ownership of the LLC.

Investments in Affiliated Entities

The equity method of accounting is used when the Organization has 20% to 50% interest in other entities. Under the equity method, original investments are recorded at cost and adjusted for the Organization's share of undistributed earnings or losses of these entities. Nonmarketable investments in which the Organization has less than a 20% interest and in which it does not have the ability to exercise significant influence over the investee are initially recorded at cost, and periodically reviewed for impairment.

Investments in Debt and Equity Securities

The Organization records investment purchases at cost, or if donated, at fair value on the date of donation. Thereafter, investments are reported at their fair values in the consolidated statement of financial position. Net investment return/(loss) is reported in the consolidated statement of activities and consists of interest and dividend income, realized and unrealized capital gains and losses, less investment management and custodial fees.

Real Estate Held for Sale

Real estate held for sale is stated at lower of cost or market and includes foreclosed properties, land under development, developed lots, direct and indirect costs of housing construction and interest, and overhead costs incurred during the development period. Cost is determined by the specific identification method.

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Real Estate Properties and Property and Equipment

The Organization records real estate properties and property and equipment additions over $5,000 at cost, or if donated, at fair value on the date of donation. Depreciation and amortization are computed using the straight-line method over the estimated useful lives of the assets ranging from five to forty years, or in the case of capitalized leased assets or leasehold improvements, the lesser of the useful lives of the assets or the lease terms. When assets are sold or otherwise disposed of, the cost and related depreciation or amortization are removed from the accounts, and any resulting gain or loss is included in the consolidated statement of activities. Costs of maintenance and repairs that do not improve or extend the useful lives of the respective assets are expensed currently.

The Organization reviews the carrying values of real estate property and property and equipment for impairment whenever events or circumstances indicate that the carrying value of an asset may not be recoverable from the estimated future cash flows expected to result from its use and eventual disposition. When considered impaired, an impairment loss is recognized to the extent carrying value exceeds the fair value of the asset. During the year ended June 30, 2021, the Organization recognized an impairment loss of $18,667.

Deposits and Funded Reserves

Deposits and funded reserves are assets whose use is limited and are held by trustees under third-party debt agreements. Deposits consist of escrow and security deposits, and reserves are required for property replacements and repairs.

Debt Issuance Costs

Debt issuance costs are amortized over the period the related obligation is outstanding using the straight-line method, which approximates the effective interest method. Debt issuance costs are included within long-term debt on the consolidated statement of financial position. Amortization of debt issuance costs is included in interest expense.

Deferred Revenue

Each month, the Organization receives block payment funding for behavioral health services from the Regional Behavioral Health Authority (RBHA) in Maricopa County. These funds are considered earned if total service claims generated equal or exceed the block payments. If service claims are insufficient, the RBHA has the contractual right to recoup the fund or characterize the deficiency as deferred revenue with an authorization to use the funding in the next fiscal year. For the year ended June 30, 2021, the Organization has a service claim deficiency balance of $1,734,763.

Prestamos CDFI, LLC participated in Paycheck Protection Program (PPP) lending, which was based on the Coronavirus Aid Relief and Economic Security Act (the "CARES" act) in the last quarter of fiscal year 2020 and in the third quarter of fiscal year 2021. For each approved PPP loan, the Small Business Administration (SBA) covered a percentage of the loan principal balance as a loan processing fee to the organization. The fee is amortized through the term of each PPP loan. As of June 30, 2021, the Organization received $314,260,826 from SBA, $5,086,196 was recognized as loan fee revenue and $309,174,630 was recorded as deferred revenue.

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Fee for Service – Patient Services

Patient service revenue is reported at the amount that reflects the consideration to which the Organization expects to be entitled in exchange for providing patient care. These amounts are due from patients, third-party payors (including health insurers and government payors), and others and includes variable consideration for retroactive revenue adjustments due to settlement of audits, reviews, and investigations. Generally, the Organization bills the patients and third-party after the services are performed. Revenue is recognized as the performance obligations are satisfied.

Performance obligations are determined based on the nature of the services provided by the Organization. Revenue for performance obligations satisfied over time is recognized based on actual charges incurred in relation to total expected (or actual) charges. The Organization believes that this method provides a faithful depiction of the transfer of services over the term of the performance obligation based on the inputs needed to satisfy the obligation.

Generally, performance obligations satisfied over time relate to patients receiving services in our behavioral health and medical/therapy programs, which includes a majority of the Organization's charges for services revenue.

The Organization determines the transaction price based on standard charges for services provided, reduced by contractual adjustments provided to third-party payors or the established rates of the government entities. The Organization determines its estimates of contractual adjustments and discounts based on contractual agreements, and historical experience. The Organization determines its estimate of implicit price concessions based on its historical collection experience with patients.

Agreements with third-party payors provide for payments that may differ from established rates. A summary of the payment arrangements with major third-party payors follows:

Medicaid: Reimbursements for Medicaid services are generally paid at prospectively determined rates, per occasion of service, or per covered member.

Other: Payment agreements with certain commercial insurance carriers, health maintenance organizations, and preferred provider organizations provide for payment using prospectively determined rates and discounts from established charges.

Laws and regulations concerning government programs, including Medicaid, are complex and subject to varying interpretation. As a result of investigations by governmental agencies, various health care companies have received requests for information and notices regarding alleged noncompliance with those laws and regulations, which, in some instances, have resulted in companies entering into significant settlement agreements. Compliance with such laws and regulations may also be subject to future government review and interpretation as well as significant regulatory action, including fines, penalties, and potential exclusion from the related programs. There can be no assurance that regulatory authorities will not challenge the Organization's compliance with these laws and regulations, and it is not possible to determine the impact (if any) such claims or penalties would have upon the Organization.

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Fee for Service – Patient Services (Continued)

In addition, the contracts the Organization has with commercial payors also provide for retroactive audit and review of claims.

Settlements with third-party payors for retroactive revenue adjustments due to audits, reviews or investigations are considered variable consideration and are included in the determination of the estimated transaction price for providing patient services. These settlements are estimated based on the terms of the payment agreement with the payor, correspondence from the payor and the Organization's historical settlement activity, including an assessment to ensure that it is probable that a significant reversal in the amount of cumulative revenue recognized will not occur when the uncertainty associated with the retroactive adjustment is subsequently resolved.

Estimated settlements are adjusted in future periods as adjustments become known (that is, new information becomes available), or as years are settled or are no longer subject to such audits, reviews, and investigations.

Generally patients who are covered by third-party payors are responsible for related deductibles and coinsurance, which vary in amount. The Organization estimates the transaction price for patients with deductibles and coinsurance based on historical experience and current market conditions. The initial estimate of the transaction price is determined by reducing the standard charge by any contractual adjustments, discounts, and implicit price concessions. Subsequent changes to the estimate of the transaction price are generally recorded as adjustments to patient service revenue in the period of the change. Additional revenue recognized due to changes in its estimates of implicit price concessions, discounts, and contractual adjustments were not considered material for the years ended June 30, 2021 and 2020. Subsequent changes that are determined to be the result of an adverse change in the patient's ability to pay are recorded as bad debt expense.

The Organization has determined that the nature, amount, timing and uncertainty of revenue and cash flows are affected by the following factors:

- Payors (for example, Medicaid, managed care or other insurance, patient) have different reimbursement/payment methodologies
- Length of the patient's service of care
- Method of reimbursement (fee for service or capitation)
- Organization's line of business that provided the service

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Management Fee Revenue

Management fee revenue consists primarily of New Market Tax Credit Community Development Entity (CDE) Sponsor Fees and CDE Asset Management Fees earned from the Sub-CDEs. In July 2020, Prestamos CDFI, LLC was awarded an additional $55,000,000 New Market Tax Credit allocation from the United States Department of Treasury. CDE Sponsor Fees are recognized when an investor makes a qualified equity investment in a Sub-CDE or is otherwise earned in accordance with the terms of the individual CDE Fee Agreement. CDE Asset Management Fees are recognized as services are performed and collectibility is reasonably assured. The Organization recognized $1,586,556 and $397,544 in CDE Asset Management Fees for the years ended June 30, 2021, and 2020, respectively.

Rental Income Revenue Recognition

Rental income revenue consists primarily of rental receipts from tenants and Section 8 housing subsidy payments and/or mortgage guarantees under CPLC's contracts with the U.S. Department of Housing and Urban Development (HUD). Revenue is recognized monthly in accordance with the lease agreement or contract. As of June 30, 2021, amounts due from tenants and/or HUD are included within grants and contracts receivables.

These receivables are evaluated by management on an annual basis accordingly, an allowance for doubtful accounts was setup. As of June 30, 2021, the allowance for doubtful accounts balance was $64,936.

Donated Services and In-Kind Contributions

Volunteers contribute significant amounts of time to our program services, administration, and fundraising and development activities; however, the consolidated financial statements do not reflect the value of these contributed services because they do not meet recognition criteria prescribed by U.S. GAAP. Contributed goods are recorded at fair value at the date of donation. The Organization records donated professional services at the respective fair values of the services received. The Organization received airtime and production costs valued at $1,316,360 for the year ended June 30, 2021. The revenues and expenses for these contributions are recorded in management and general in the consolidated statement of functional revenue and expenses.

Advertising and Marketing Costs

Advertising and marketing costs are charged to operations when incurred. Advertising and marketing costs charged to operations were $14,106,622 for the year ended June 30, 2021.

Benefits

The Organization has a partially self-insured health benefit program covering medical and prescription claims. The plan includes a stop-loss provision that insures claims exceeding $175,000.

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Benefits (Continued)

The Plan offers health benefits to regular, full-time employees working 30 or more hours per week and their beneficiaries and covered dependents once a 90-day waiting period is met. The cost of health care services is recognized as a deduction in the period in which it is provided to participants. Liabilities for health claims incurred but not reported are estimated based on historical claims and industry trends.

Functional Allocation of Expenses

The costs of program and supporting services activities have been summarized on a functional basis in the consolidated statement of activities. The consolidating statement of functional revenues and expenses presents the natural classification detail of revenues and expenses by function. Accordingly, certain costs have been allocated among the programs and supporting services benefited. The allocation methods used are subject to a degree of estimation by management. Directly identifiable expenses are charged to program and supporting services. Salary and related expenses related to more than one function are charged to program and supporting services on the basis of direct time and effort reporting. Management and general expenses include those expenses that are not directly identifiable to any other specific function but provide for the overall support and direction of the Organization.

Use of Estimates in the Preparation of Consolidated Financial Statements

The preparation of consolidated financial statements in conformity with U.S. GAAP requires management to make a number of estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the consolidated financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Income Taxes

CPLC, SC, CCD, PSH, PSH II, CPLC SW, CM, CPLC New Mexico, CCS, CPLC Nevada, CPLC Health Inc. CPLC Texas Inc. and CPLC Action Fund, CPLC California, Inc. and CPLC Action Fund PAC are organized as nonprofit corporations and have been recognized by the Internal Revenue Service (IRS) as exempt from federal income taxes under Section 501(a) of the Internal Revenue Code (IRC) as organizations described in Section 501(c)(3) and Section 501(c)(4) and have been determined not to be private foundations. Accordingly, contributions to them qualify for the charitable contribution deduction under Section 170(b)(1)(A). Each entity is annually required to file a Return of Organization Exempt from Income Tax with the IRS.

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Income Taxes (Continued)

GV, CPLC Nevada, PC, SM, CL, GA, HA, COP, DP, Chicanos por la Causa Land Bank, LLC; CPLC Holding and Asset Management Company, LLC; CPLC Land Bank Manager, LLC; CPLC Housing & Health, LLC; CPLC Villas, LLC, San Marina Apartments, LLC; 59TH Avenue and Roosevelt, LLC; Nuevas Vistas on Main, LLC (Mesa Royal); CPLC 1551 W. Van Buren, LLC; Vista Village on Van Buren, LLC; CPLC Southwest Charter Schools, LLC, CPLC Broadway Revitalization, LLC and CPLC Mesa 5th Place, LLC, CPLC 1401 S. Central LLC, CPLC Property Holdings LLC, CPLC 1202 Central LLC and Mesa Royale West, LLC are organized as Limited Liability companies whose sole member is CPLC. CPLC Estancia and CPLC Fountain Villas are organized as a Limited Liability whose sole member is CPLC Housing & Health, LLC. Futuro Equity Fund is organized as an LLC whose sole member is PC. For income tax purposes, they are disregarded entities and are treated as departments of CPLC. Bella Vista Properties I LP is organized as a limited partnership whose sole partner is CS. For income tax purposes, it is a disregarded entity and treated as a department of CS. Villa Las Vegas, NM; Bella Vista Management, LLC; Ladera Village, LLC, and CS are organized as Limited Liability Companies whose sole member is CPLC New Mexico, Inc. CPLC Nevada Property Holdings LLC, CPLC Freemont Apartments, LLC, are organized as Limited Liability companies whose sole member is CPLC Nevada, Inc. CPLC Resource Navigation, LLC is organized as Limited Liability companies whose sole member is CPLC Texas, Inc. Accordingly, the disregarded LLCs are exempt from income taxes under the applicable sections of the IRC and the Arizona Revised Statutes. In addition, the entities are generally subject to income tax on net income that is derived from business activities that are unrelated to their exempt purposes. CPLC and affiliates have determined it does not have any taxable unrelated business income and they have not filed Exempt Organization Business Income Tax Returns.

FIC, a for-profit company, files a consolidated income tax return with its subsidiaries, Tiempo, Futuro Camion Servicios, LLC; LCC; LCD; LCD Nevada; LCR; LCR NV; Liberty-Irvington, LLC; Central and Grant Plaza, LLC; CPLC Main and Country Club, LLC; Main and Country Club, LLC Mesa Royale East Motel, LLC, are organized as Limited Liability Companies whose sole member is FIC. For income tax purposes, they are disregarded entities and are treated as departments of FIC. Friendship Community Mental Health Center, Inc.; and CPLC Prestamos are each separately taxable corporations and are responsible for filing their own income tax returns.

The Organizations have sustained operating losses in previous and current years from its for-profit subsidiaries and have loss carryforwards aggregating approximately $1.6 million dollars. Capital loss carryforwards ($524,081) expire in 2022. Net operating losses ($1.6million) expire in years through 2038. There are also charitable contribution carryovers of approximately $1 million that expire in years through 2022. The loss carryforwards generate a deferred tax asset that may be realized in future years. It is the opinion of management that it is more likely than not that some portion or all of the deferred tax asset will not be realized. The Organization has elected to record a valuation allowance to reduce any deferred tax assets to zero as of June 30, 2021.

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Income Taxes (Continued)

The Organization believes that it has appropriate support for any income tax positions taken and, as such, does not have any uncertain tax positions that are material to the consolidated financial statements. The entities would recognize future accrued interest and penalties related to unrecognized tax benefits and liabilities in income tax expense if such interest and penalties are incurred.

Changes in Accounting Principle

In May 2014, the Financial Accounting Standards Board (FASB) and the International Accounting Standards Board issued Accounting Standards Update (ASU) 2014-09, *Revenue from Contracts with Customers* (Topic 606). ASU 2014-09's core principle is that an organization will recognize revenue when it transfers promised goods or services to customers in an amount that reflects the consideration to which the organization expects to be entitled in exchange for those goods or services. The Organization adopted ASU 2014-09 on July 1, 2020.

ASU 2014-09 requires organizations to exercise more judgment and recognize revenue using a five-step process. The Organization adopted ASU 2014-09 using the modified retrospective method for all contracts effective July 1, 2021 and is using a portfolio approach to group contracts with similar characteristics and analyze historical cash collections trends. Modified retrospective adoption requires entities to apply the standard retrospectively to the most current period presented in the financial statements, requiring the cumulative effect of the retrospective application as an adjustment to the opening balance of net assets at the date of initial application. Prior periods have not been adjusted. No cumulative-effect adjustment in net assets was recorded as the adoption of ASU 2014-09 did not significantly impact the Organization's reported historical revenue.

As a result of certain changes required by ASU 2014-09, the majority of the Organization's provision for doubtful accounts are recorded as a direct reduction to revenue and considered an implicit price concession instead of being presented as a separate line item on the consolidated statements of functional expenses. The adoption of ASU 2014-09 has no impact on the Organization's accounts receivable as it was historically recorded net of allowance for doubtful accounts and contractual adjustments. The adoption of ASU 2014-09 had no impact on the Organization's consolidated statements of activities.

The Organization evaluated the nature, amount, timing and uncertainty of revenue and cash flows using the five-step process provided within ASU 2014-09.

Revenue is primarily derived from the provision of program services rendered to patients for outpatient behavioral health and medical/therapy services.

Organization's consolidated financial statements reflect adoption of ASU 2014-09 guidance beginning in fiscal year 2021. The adoption of this standard did not impact Organization's reported revenue.

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Changes in Accounting Principle (Continued)

In August 2018, the FASB issued ASU 2018-13, *Fair Value Measurement (Topic 820) – Changes to the Disclosure Requirements for Fair Value Measurement*. The update is to improve the effectiveness of disclosures in the notes to the financial statements. The Organization's consolidated financial statements reflect adoption of ASU 2018-13 guidance beginning in for the year-ended June 30, 2021 and retrospectively applied for the year-ended June 30, 2021. The adoption of ASU 2018-13 did not impact the Organization's reported change in net assets.

NOTE 2 LIQUIDITY AND AVAILABILITY

Financial assets available for general expenditure, that is, without donor or other restrictions limiting their use, within one year of the consolidated statement of financial position date, comprise the following:

Cash and Cash Equivalents	$ 460,780,825
Receivables	57,037,140
Investments in Debt and Equity Securities	4,665,427
Less: Net Assets With Donor Restrictions, Net of Restricted Cash	(5,523,827)
Total	$ 516,959,565

In addition to cash on hand, the Organization has a $6.0 million operating line of credit with Wells Fargo bank which is available for general operating expenditures. As of June 30, 2021, the line of credit had an outstanding balance of $-0-.

As part of the Organization's liquidity management plan, the Organization invests cash in excess of daily requirements in short-term investments, CDs, and money market funds.

NOTE 3 FAIR VALUE MEASUREMENTS AND DISCLOSURES

Fair value measurement applies to reported balances that are required or permitted to be measured at fair value under an existing accounting standard. The Organization emphasizes that fair value is a market-based measurement, not an entity-specific measurement. Therefore, a fair value measurement should be determined based on the assumptions that market participants would use in pricing the asset or liability and establishes a fair value hierarchy.

NOTE 3 FAIR VALUE MEASUREMENTS AND DISCLOSURES (CONTINUED)

The fair value hierarchy consists of three levels of inputs that may be used to measure fair value as follows:

Level 1 – Quoted prices (unadjusted) in active markets for identical assets or liabilities that we can access at the measurement date.

Level 2 – Inputs other than quoted prices included within Level 1 that are observable for the asset or liability, either directly or indirectly. These include quoted prices for similar assets or liabilities in active markets, quoted prices for identical or similar assets or liabilities in markets that are not active, inputs other than quoted prices that are observable for the asset or liability, and market-corroborated inputs.

Level 3 – Valuation is based on unobservable inputs that are supported by little or no market activity and that are significant to the fair value of the assets or liabilities. Level 3 assets and liabilities may include financial instruments whose value is determined using pricing models, discounted cash flow methodologies, or similar techniques, as well as instruments for which the determination of fair value requires significant management judgment or estimation.

In some cases, the inputs used to measure the fair value of an asset or a liability might be categorized within different levels of the fair value hierarchy. In those cases, the fair value measurement is categorized in its entirety in the same level of the fair value hierarchy as the lowest level input that is significant to the entire measurement. Assessing the significance of a particular input to entire measurement requires judgment, taking into account factors specific to the asset or liability.

The categorization of an asset within the hierarchy is based upon the pricing transparency of the asset and does not necessarily correspond to our assessment of the quality, risk or liquidity profile of the asset or liability.

The following table summarizes the valuation of CPLC's assets and liabilities subject to measurements at fair value on a recurring basis as of June 30, 2021:

	Level 1	Level 2	Level 3	Total
Fixed Income Securities	$ 122,021	$ -	$ -	$ 122,021
Government and Agency Securities	576,300	-	-	576,300
Corporate Bonds	-	514,299	-	514,299
Equities - Common Stock	3,035,747	-	-	3,035,747
Mutual Funds	397,277	-	-	397,277
Arizona Community Foundation	-	-	19,783	19,783
Total Investments at Fair Value	$ 4,131,345	$ 514,299	$ 19,783	$ 4,665,427

NOTE 3 FAIR VALUE MEASUREMENTS AND DISCLOSURES (CONTINUED)

The following table presents the fair value hierarchy for the balances of financial assets measured at fair value on a nonrecurring basis as of June 30, 2021:

	Level 1	Level 2	Level 3	Total
Real Estate Held for Sale	$ -	$ -	$ 258,802	$ 258,802
Impaired Loans	-	-	209,424	209,424
Total Assets at Fair Value	$ -	$ -	$ 468,226	$ 468,226

The fair value of impaired loans is estimated based on either the loan's obtainable market price of the fair value of the collateral if the loan is collateral dependent or the discounted cash flows of future payments. Each method contains significant unobservable inputs and thus is classified as Level 3 fair value measurement.

A portion of the real estate held for sale is based on what the local markets are currently offering for assets with similar characteristics, less costs to sell, which CPLC classifies as Level 3 fair value measurement. The most common approach is through appraisals which are evaluated for various factors including age of the appraisal, age of comparables included in the appraisal, and known changes in the market and in the collateral.

Purchases, sales, transfers in and transfers out of Level 3 assets consist of the following for the years ended June 30, 2021:

	Arizona Community Foundation
Purchases	$ -
Sales	475
Transfers In	-
Transfers Out	-

NOTE 4 RELATED PARTY ACCOUNTS RECEIVABLE

Related party receivables consist of amounts due from low-income housing real estate projects that CPLC has invested in or nonprofit organizations which CPLC holds membership in. These receivables primarily result from development fees earned at the inception of these projects and funds advanced to the projects. Related party receivables are stated at the amount management expects to collect and management provides an allowance for uncollectible accounts. The allowance for uncollectible related party receivables was $104,886 as of June 30, 2021.

NOTE 4 RELATED PARTY ACCOUNTS RECEIVABLE (CONTINUED)

Amounts due from related parties consist of the following:

Casa de Encanto	$	21,804
Casa de Flores		3,838
Rosa Linda/Gene Rice		632,742
Guadalupe Huerta		6,480
Mountain Pointe Phase II		428,582
Courtyard at Encanto		8,120
Other Related Party Receivables		150,228
Total Related Party Accounts Receivable		1,251,794
Less: Allowance		(104,886)
Related Party Accounts Receivable, Net	$	1,146,908

The above accounts receivable are unsecured and have no repayment terms.

NOTE 5 REAL ESTATE PROPERTIES

Santa Cruz Apartments, organized by SC, has 132 units and is not a HUD property. Vue Nineteen 01 Apartments (289 units) and Grandes Cortez Apartments (151 units), organized under Gran Victoria LLC, are market rate properties. Casa de Primavera (COP) and Casa Del Pueblo II (CDP II), projects of CPLC, operate apartment complexes of 162 and 38 units, respectively, under Section 202 of The National Housing Act of 1959. Casa Del Pueblo, organized by PSH, has 58 units and is operated under Section 811 of National Housing Act as amended. Guadalupe Barrio Nuevo Apartments, organized by CCD, has 60 units and is operated under Section 207 of the National Housing Act of 1959 and are HUD properties. Casa Mia Senior Apartments has 64 units and is operated under Section 202 of the National Affordable Housing Act, as amended.

The projects are regulated by HUD as to rent charges and operating methods and require maintaining various deposits and reserves in restricted cash accounts. These items are included in deposits and funded reserves in the accompanying consolidated statement of financial position. COP, CDP II, CCD, PSH and Casa Mia have executed rental assistance contracts with HUD whereby HUD provides financial assistance to enable certain private housing to be available to individuals who are elderly, handicapped or low income.

During fiscal year 2015, the limited partner of one low-income tax credit apartments transferred 99.99% ownership to CPLC New Mexico, Inc. upon completion of the 15-year compliance period. The apartments are Bella Vista Apartments (40 units) located in Taos, New Mexico.

During 2016, CPLC obtained low interest rate financing from United Health Care to purchase two additional multifamily properties, CPLC Estancia Apartments and CPLC Fountain Villas Apartments. Both apartments are located in the Phoenix Maryvale area and are part of the CPLC community service center program to restore and stabilize the economic environment for the local area.

NOTE 5 REAL ESTATE PROPERTIES (CONTINUED)

During fiscal year 2017, the limited partners of two low-income tax credit apartments transferred 99.99% ownership to CPLC and CPLC New Mexico, Inc. upon completion of the 15-year compliance period. The two apartments are Mountain Pointe Apartments (108 units located in Nogales, Arizona) and Villa Las Vegas Apartments (60 units located in Las Vegas, New Mexico).

During fiscal year 2020, the limited partners of Ladera Village Apartments transfer 99.99% ownership to CPLC New Mexico, Inc. upon completion of the 15-year compliance period of low-income tax credit. The property has 60 units and is located in Farmington, New Mexico.

During 2021, CPLC obtained low interest rate financing from United Health Care to purchase one multifamily property, CPLC Freemont Apartments. The apartments are located in the Las Vegas, Nevada and is a part of the CPLC community service center program to restore and stabilize the economic environment for the local area.

The Organization owns different commercial buildings purchased through grants, private mortgages or a combination of both. The buildings are leased to internal programs or to third parties. The Organization also owns single-family rental homes that are leased to unrelated third-party residents.

Real estate properties consisted of the following as of June 30, 2021:

Cost and Donated Value:	
Land	$ 17,886,242
Buildings and Improvements	146,809,446
Furniture, Fixtures and Equipment	5,837,670
Construction in Progress	5,201,474
Total Cost and Donated Value	175,734,832
Less: Accumulated Depreciation	(61,234,594)
Real Estate Properties, Net	$ 114,500,238

Depreciation expense on real estate properties charged to operations was $4,243,949 for the year ended June 30, 2021.

As of June 30, 2021, construction in progress consists of renovations of current facilities and development of new facilities. The Organization capitalized $484,409 of interest incurred on construction in progress during the year ended June 30, 2021.

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
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NOTE 6 PROPERTY AND EQUIPMENT

Property and equipment consisted of the following at June 30, 2021:

Cost and Donated Value:	
Land	$ 1,976,503
Buildings and Improvements	13,582,901
Furniture, Fixtures and Equipment	6,253,238
Vehicles	4,201,146
Construction in Progress	12,226,295
Total Cost and Donated Value	38,240,083
Less: Accumulated Depreciation	(11,508,692)
Property and Equipment, Net	$ 26,731,391

Depreciation expense on property and equipment charged to operations was $978,826 for the year ended June 30, 2021.

As of June 30, 2021, construction in progress consisted of renovations of current facilities and development of new facilities. The Organization capitalized $159,063 of interest incurred on construction in progress during the year ended June 30, 2021.

NOTE 7 NOTES RECEIVABLE

Prestamos CDFI, LLC (PC) notes receivable are summarized as follows as of June 30, 2021:

Micro Loans	$ 2,483,637
Business Loans	32,826,336
Paycheck Protection Program (PPP)	6,673,606,754
Residential Mortgage	70,367
Citizenship Loans (Pilot Program)	3,256
Total Loans	6,708,990,350
Intercompany Loans (Eliminated in Consolidation)	(2,558,484)
Discount on Loan Purchase	(1,355,571)
Allowance for Loan Losses	(2,332,741)
Loans, Net Intercompany and Allowance	$ 6,702,743,554
Current Portion of Loans	$ 1,606,899

NOTE 7 NOTES RECEIVABLE (CONTINUED)

The following table presents the activity in the allowance for loan losses by portfolio segment for PC for the year ended June 30, 2021 and the balance in the allowance for loan losses and the recorded investment in loans by portfolio segment based on impairment method for PC as of June 30, 2021:

	Micro Loans	Business Loans	Residential Mortgage	Citizenship Loan (Pilot Program)	PPP	Total
Allowance for Credit Losses:						
Balance at Beginning of Year	$ 23,090	$ 802,445	$ 1,407	$ -	$ -	$ 826,942
Charge-Offs	-	(29,682)	-	-	-	(29,682)
Recoveries	26,776	6,900	-	-	-	33,676
Provisions	1,323,353	178,452	-	-	-	1,501,805
Balance at End of Year	$ 1,373,219	$ 958,115	$ 1,407	$ -	$ -	$ 2,332,741
Individually Evaluated for Impairment	$ -	$ 4,149	$ -	$ -	$ -	$ 4,149
Collectively Evaluated for Impairment	1,373,219	953,966	1,407	-	-	2,328,592
Balance at End of Year	$ 1,373,219	$ 958,115	$ 1,407	$ -	$ -	$ 2,332,741
Loans and Financing Receivables:						
Individually Evaluated for Impairment	$ 10,933	$ 666,554	$ -	$ -	$ -	$ 677,487
Collectively Evaluated for Impairment	2,472,704	32,159,781	70,367	3,256	6,673,606,755	6,708,312,863
Balance at End of Year	$ 2,483,637	$ 32,826,335	$ 70,367	$ 3,256	$ 6,673,606,755	$ 6,708,990,350

Credit Quality Indicators

PC categorizes loans into risk categories based on relevant information about the ability of borrowers to service their debt such as: current financial information, historical payment experience, collateral adequacy, credit documentation, public information, and current economic trends, among other factors. PC analyzes loans individually by classifying the loans as to credit risk. This analysis typically includes micro, business, and residential loans. This analysis is performed on an ongoing basis as new information is obtained. PC uses the following definitions for risk ratings:

Pass – Loans classified as pass represent loans that are evaluated and are performing under the stated terms. Pass rated assets are analyzed by the paying capacity, the current net worth, and the value of the loan collateral of the obligor.

Non-Pass – Loans classified as Non-Pass possess weaknesses that require management attention, such as being inadequately protected by the current net worth, paying capacity of the obligor, or by the collateral pledged. Non pass loans must have a well-defined weakness or weaknesses that jeopardize the repayment of the debt as originally contracted. They are characterized by the distinct possibility that PC may sustain a loss if the deficiencies are not correct. Loans in this category are allocated a specific reserve based on the estimated discounted cash flows from the loan (or collateral value less cost to sell for collateral dependent loans) or are charged off if deemed uncollectible.

NOTE 7 NOTES RECEIVABLE (CONTINUED)

Credit Risk Profile by Internally Assigned Grade – Micro Loans, Business Loans, and Residential Mortgage

A loan is considered impaired when, based on current information and events, it is probable that Prestamos will be unable to collect all the scheduled payments of principal or interest when due according to the contractual terms of the loan agreement. Factors considered by management in determining impairment include payment status, collateral value, and the probability of collecting scheduled principal and interest payments when they are due. Loans that experience insignificant payment delays and payments shortfalls generally are not classified as impaired. Management determines the significance of payment delays and payments shortfalls on case by case basis, taking into consideration all of the circumstance surrounding the loan and the borrower, including the length of the delay, the reason for the delay, the borrower's prior payments record, and the amount if the shortfall in relation to the principal and interest owed.

Under certain circumstances, Prestamos will provide borrowers relief through loan restructuring. A restructuring of debts constitutes a troubled debt restructuring (TDR) if Prestamos for economic or legal reasons related to the borrower's financial difficulties grants concessions to the borrower that would not otherwise consider. TDR concession can include reduction of interest rates, extension of maturity dates, or payment reduction. Prestamos considers all aspects of the restructuring to determine whether it has granted a concession to the borrower. An insignificant delay in payment resulting from a restructuring is not deemed to be a concession and would not be considered TDR.

On March 22, 2020, Interagency statement of Loan Modifications and Reporting for Financial Institutions Working with Customers Affected by the Coronavirus (interagency release) was issued and later revised on April 7, 2020. The Interagency Release seeks to provide relief when structuring loan modification with the borrowers impacted by COVID-19. In order to qualify the modification must be related to COVID-19, the loan was current at the time the modification was implemented, and the modification period must be six months or less. Under the interagency release, these loan modifications are not considered to be TDR's.

Based on the most recent analysis performed, the risk category of loans by class of loans for PC as of June 30, 2021 was as follows:

	Pass	Non Pass
Micro Loans	$ 821,973	$ 1,661,664
Business Loans	30,014,364	2,811,972
Paycheck Protection Program (PPP)	6,673,606,754	-
Residential Mortgage	70,367	-
Citizenship Loans (Pilot Program)	3,256	-
Total	$ 6,704,516,714	$ 4,473,636

NOTE 7 NOTES RECEIVABLE (CONTINUED)

Credit Risk Profile by Internally Assigned Grade – Micro Loans, Business Loans, and Residential Mortgage (Continued)

The following table summarizes the aging of the past due loans by loan class within the portfolio segments as of June 30, 2021:

	Still Accruing		
	30 to 89 Days Past Due	Over 90 Days Past Due	Nonaccrual Balance
Micro Loans	$ -	$ -	$ 10,933
Business Loans	-	-	346,803
Residential Mortgage	-	-	-
Total	$ -	$ -	$ 357,736

The following table summarizes individually impaired loans by class of loans as of June 30, 2021:

	Recorded Investments	Unpaid Principal Balance (1)	Average Recorded Investment	Interest Income Recognized
Micro Loans	$ 10,933	$ 10,933	$ 10,933	$ -
Business Loans	666,554	666,554	666,554	-
Total	$ 677,487	$ 677,487	$ 677,487	$ -

(1) Represents the borrower's loan obligation, gross of any previously charge-off amounts.

Impaired loans also include loans modified in a troubled debt restructuring (TDR) where concessions have been granted to borrowers experiencing financial difficulties. These concessions could include a reduction in interest rate on the loan, payment extensions, forgiveness of principal, forbearance or other actions intended to maximize collections.

There were no TDR during the year ended June 30, 2021.

CPLC Consolidated Portfolio

Other notes receivable consisted of the following at June 30, 2021:

25th and Bell, LLC (Related Party)	$ 234,520
Tierra Del Sol	201,788
Victoria Foundation	767,949
Other	171,953
Total Other Notes Receivable	1,376,210
Less: Allowance	(767,949)
Other Notes Receivable, Net	$ 608,261
Current Portion of Other Notes Receivable	$ 201,788

There was no change in the allowance account on the above business loans during the year ended June 30, 2021.

NOTE 7 NOTES RECEIVABLE (CONTINUED)

CPLC Consolidated Portfolio (Continued)

CPLC consolidated portfolio consists of the following:

Micro Loans	$ 2,483,637
Business Loans	32,826,336
Paycheck Protection Program (PPP)	6,673,606,754
Residential Mortgage	70,367
Citizenship Loans (Pilot Program)	3,256
Total Loans	6,708,990,350
Intercompany Loans (Eliminated in Consolidation)	(2,558,484)
Discount on Loan Purchase	(1,355,571)
Allowance for Loan Losses	(2,332,741)
Loans, Net Intercompany and Allowance	$ 6,702,743,554
Current Portion of Loans	$ 1,606,899

NOTE 8 INVESTMENTS IN DEBT AND EQUITY SECURITIES

Investments consisted of the following at June 30, 2021:

Fixed Income Securities	$ 122,021
Government and Agency Securities	576,300
Corporate Bonds	514,299
Equities - Common Stock	3,035,747
Mutual Funds	397,277
Arizona Community Foundation	19,783
Total Investments	$ 4,665,427

NOTE 9 INVESTMENTS IN AFFILIATED ENTITIES

The Organization owns interests in low-income housing real estate projects and other limited liability companies and accounts for these interests using the equity method of accounting.

Summarized below is financial information for equity method investments as of and for the year ended June 30, 2021:

Assured Engineering	$ 402,209
Mi Escuelita	40,328
NALCAB Network Investors, LLC	504,248
Other	6,408
Total	$ 953,193

NOTE 9 INVESTMENTS IN AFFILIATED ENTITIES (CONTINUED)

Nonmarketable investments in which the Organization has less than a 20% interest and in which it does not have the ability to exercise significant influence over the investee are initially recorded at cost, and periodically reviewed for impairment.

Summarized below is financial information for nonmarketable investments as of and for the year ended June 30, 2021:

NALCAB Network Investors, LLC-Acceso Loan fund	$	829,700
Casa de Encanto		222,442
Casa de Flores		60,978
Gene Rice - Rosa Linda		275,933
Guadalupe Huerta		241,744
Housing Partnership Equity Trust, LLC		121,399
Courtyard at Encanto		692,921
Taos Mountain Energy Foods LLC		182,528
Old Wood LLC		173,181
Good4U Organics, LLC		210,007
Other		70,232
Total	$	3,081,065

NOTE 10 LINES OF CREDIT

The Organization has $3,500,000 line of credit with Wells Fargo, unsecured. Borrowings under the line bear interest equal to prime rate. Interest is payable monthly, and the line of credit matured in December 2020. In May 2021, the Organization replaced the line of credit with a $6,000,000 line of credit with Wells Fargo, unsecured, borrowing at prime rate (3.25% at June 20 ,2021) and matures in May 2023. As of June 31, 2021, the outstanding balance was $-0-.

The Organization obtained a line of credit from Local Initiatives Support Corporation in the amount of $5,500,000. The loan is secured by real property, and bears interest at 5% due at maturity in September 2021. The line of credit was used to fund construction of real estate project in Dayton Nevada and had an outstanding balance of $4,807,042 at June 30, 2021. The Line of Credit was fully paid off subsequent to year end, in September 2021

The Organization obtained a Paycheck Protection Program Liquidity Facility (PPPLF) advance agreement with the Federal Reserve Bank in May 2020. The Paycheck Protection Program Liquidity Facility (PPPLF) was established by the Federal Reserve to extend credit to eligible financial institutions that originate PPP loans, taking the loans as collateral at face value. The advance is used to fund PPP lending based on the Coronavirus Aid, Relief, and Economic Security Act (the CARES Act).

NOTE 10 LINES OF CREDIT (CONTINUED)

The advance is secured by PPP loans guaranteed by the Small Business Administration (SBA) and bears interest at 0.35%. The maturity of an advance will equate to the maturity date of the PPP loan pledged to secure the advance. The maturity can be accelerated if the underlying PPP loan goes into default and the Organization sells the PPP loan to the SBA to realize on the SBA guarantee or if PPP loan is forgiven by SBA and the Organization receives reimbursement from SBA. No advance may be made after July 31, 2021. As of June 30, 2021. The outstanding advance balance was $7,152,713,943.

NOTE 11 MORTGAGES PAYABLE AND OTHER DEBT

Mortgages payable and other debt consisted of the following at June 30, 2021:

Description	
Casa Mia Senior Apartments, Inc., Federal Housing and Urban Development (HUD) Capital Advance Program Mortgage Note, collateralized by real property. So long as Casa Mia meets the requirements outlined in the agreement, the note bears no interest and no monthly payments are required. The note is due April 1, 2046. Should Casa Mia default, the entire amount of the note could become due with interest accruing at 5.25%.	$ 5,601,900
Casa Mia Senior Apartments, Inc., mortgage agreement with the City of Phoenix, collateralized by real property. The note bears no interest and Casa Mia receives a 5.00% principal credit annually, until fully amortized in 2026, provided it complies with the note agreement. Should Casa Mia default, the entire amount of the note could become due with no interest accruing.	123,956
Casa de Primavera Apartments, LLC (COP) mortgage agreement with Greystone, collateralized by substantially all the assets of COP, payable in monthly installments of $17,410 including interest of 2.58% maturing in November 2048.	4,102,891
Causa Community Development (Guadalupe Barrio Nuevo) entered into an insured mortgage loan under Section 207 pursuant to Section 223(f) of the National Housing Act, as amended. The mortgage is currently serviced by Bellwether Enterprise Real Estate Capital, LLC, payable in monthly interest and principal payments of $15,295, bearing 3.92% interest rate and maturing in June 2053.	3,343,750

NOTE 11 MORTGAGES PAYABLE AND OTHER DEBT (CONTINUED)

Description	
Santa Cruz note payable to Hunt Mortgage Capital, LLC, secured by the security instrument and other loan documents, payable in monthly principal and interest payments of $10,828 beginning May 2017, including interest of 4.65%, with a balloon payment of $1,710,472 in April 2027.	$ 1,957,972
Causa Community Development (CCD) second mortgage agreement with HUD, collateralized by substantially all assets of CCD, payable in annual installments in the amount of 75% of surplus cash, as defined, including annually accrued interest at 4.00%, maturing in February 2034.	680,773
Note payable to Arizona Department of Housing for the Corazon Building, secured by certain real property, noninterest bearing. If certain use restrictions associated with the real property are followed, the principal balance will be forgiven in January 2028.	550,000
Note payable to City of Phoenix Housing Department, secured by the Corazon Building, noninterest bearing. If certain use restrictions associated with the real property are followed, the principal balance will be forgiven in May 2050.	550,000
Note payable to U.S. Small Business Administration (SBA) for Prestamos from the Microloan Revolving Trust Fund (MRFT) for SBA VII, secured by cash held for loan programs and select notes receivable, payable in monthly installments of principal and interest at 0.875% commencing in May 2012, maturing in July 2021. The loan agreement requires Prestamos to act as a financial intermediary by using the proceeds of the loan for lending to existing small businesses, and the loan was paid in full subsequent to year end.	4,950
Note payable to U.S. Small Business Administration (SBA) for Prestamos from the Microloan Revolving Trust Fund (MRTF) for SBA VIII, secured by cash held for loan programs and select notes receivable, payable in monthly installments of principal and interest at 0.125% commencing in November 2013, maturing in November 2023. The loan agreement requires Prestamos to act as a financial intermediary by using the proceeds of the loan for lending to existing small businesses.	269,579
Note payable to National Bank of Arizona for Prestamos, unsecured, quarterly interest payments of 3.00%, automatically renews annually until its maturity in December 2023.	200,000

NOTE 11 MORTGAGES PAYABLE AND OTHER DEBT (CONTINUED)

Description	
Note payable to National Bank of Arizona for Prestamos, unsecured, interest at 2.00% paid quarterly, automatically renews annually until its maturity in December 2023.	$ 150,000
Causa Community Development third mortgage agreement with HUD, collateralized by substantially all the assets of CCD (Guadalupe Barrio Nuevo), payable in full at the option of HUD including annually accrued interest at 4.00%, maturing in February 2034.	75,761
Note payable to Northern Trust Bank for the Corazon Building, secured by deeds of trust of real property, interest free and due in full on February 2024.	325,000
Note payable to SCF Arizona, secured by loans originated from the funds and guaranteed by CPLC. Up to $10 million can be drawn down on loan. Interest only payments due quarterly at interest rates of 3.00%. Note matures in August 2024.	9,996,000
Note payable to Kansas State Bank, secured by deeds of trust of real property, payable in monthly installments of interest only at a rate of 4.29% from July 2013 through November 2013. Principal and interest payments began December 2013. Variable interest rate at weekly average yield (one year) plus 3.5% with Initial rate of 3.61% starting in June 2020. Loan matures in November 2033. This note is for the Maryvale property.	1,689,809
Note payable to City of Phoenix Housing Department, secured by the De Colores Building, noninterest bearing. If certain use restrictions associated with the real property are followed, the principal balance of the loan is being forgiven in equal amounts annually through December 2022.	58,330
Note payable to Kansas State Bank, secured by deed of trust of real property and assignment of rental income, from Central and Grant property payable in monthly installments of principal and interest at a rate of 4.59% maturing in May 2035.	892,002
Mortgage note payable to The United Presbyterian Church. The note has no maturity date, bears no interest and no monthly payments are required, as long as CPLC New Mexico maintains the requirements outlined in the note agreement. The mortgage note would become payable in the event of a sale of the building or the building is not used for its intended purpose. Should CPLC New Mexico default, the entire amount of the note could become due with interest accruing at 8.00%.	250,000

NOTE 11 MORTGAGES PAYABLE AND OTHER DEBT (CONTINUED)

Description		
Note payable to First Bank, secured by deeds of trust of real property, payable in monthly installments of interest only until February 2016, at which time monthly principal and interest payments of $3,648 commenced with principal due at maturity at a rate of 4.10%, maturing in February 2025. This note is for the Hazelwood multi-family housing property.	$	443,079
Bella Vista note payable to Pacific Life Insurance, secured by certain real property and equipment, payable in monthly installments of $5,056 including interest at 8.97% through May 2031.		397,535
Bella Vista note payable to New Mexico Finance Authority secured by certain real property, requiring interest-only payments of $321 at the annual rate of 1.00% per annum. Maturity of the loan occurs at the sale, refinance, or transfer of the property or on April 10, 2030.		385,000
Capitalized lease, collateralized by equipment, payable in monthly installments of $15,275 including interest at 1.74%, maturing in October 2021.		76,071
Note payable to First Bank, secured by Casa Loma Apartments, payable in monthly installments of principal and interest of $5,563, at an interest rate of 4.10%, with a balloon payment due April 2026.		893,543
Note payable to United Health Care, secured by all assets and income of CPLC Fountain Villas, LLC, interest bearing at 1-3.00% during the term of this note. Interest accruing monthly with principal and interest balance due upon maturity in April 2023.		6,451,659
Note payable to United Health Care, secured by all assets and income of CPLC Estancia, LLC, interest bearing at 1-3.00% during the term of this note. Interest accruing monthly with principal and interest balance due upon maturity in November 2022.		14,303,219
Note payable to Western Alliance Bank for Prestamos, unsecured, interest at 3.00% paid quarterly, maturing in September 1, 2020 and on September 1st of each year thereafter maturity date shall automatically be extended for one year unless the lender cancel such automatic maturity extension.		2,000,000

NOTE 11 MORTGAGES PAYABLE AND OTHER DEBT (CONTINUED)

Description	
Note payable to U.S. Small Business Administration (SBA) for Prestamos from the Microloan Revolving Trust Fund (MRTF) for SBA X, secured by cash held for loan programs and select notes receivable, payable in monthly installments of principal and interest at 0.375% commencing in November 2016, maturing in October 2025. The loan agreement requires Prestamos to act as a financial intermediary by using the proceeds of the loan for lending to existing small businesses.	$ 622,071
Note payable to Kansas State Bank for the refinance of Glenrosa Affordable Apartments, LLC, collateralized by substantially all the assets of Glenrosa and is payable in monthly installments of $5,823, until June 2021, then $5,903 until maturity date, including interest of 4.89%, maturing in September 2042.	928,229
Note payable to Wells Fargo, collateralized by the MHS Yuma Building and payable in monthly installments of $16,120 including interest of LIBOR plus 1.50% variable interest rate, maturing in November 2023.	133,797
Note payable to Kansas State Bank for Maryvale project, collateralized by deed of trust on real property, payable in monthly principal and interest payments of $2,722, beginning in June 2017, including interest of 4.59% through May 2022, then 4.62% through maturity, maturing in May 2027.	168,019
Note payable to Kansas State Bank for Central and Grant Project, collateralized by deed of trust, assignment of rents, and a business loan, payable in monthly principal, and interest payments of $2,558, beginning in June 2017, including interest of 4.59%, maturing in May 2027.	157,752
Gran Victoria note payable to Berkeley Point Capital, LLC, secured by the security instrument and other loan documents, payable in monthly principal and interest payments of $101,257 beginning in July 2017, including interest of 4.63%, with a balloon payment due in June 2027.	18,407,594
Equity Equivalent (EQ2) unsecured loan to Dignity Health to finance real estate activities associated with the acquisition and rehabilitation of affordable multi-family housing for CPLC's Neighborhood Stabilization Program and real estate operations. Interest bearing 1.0% to 2.0% during the term of this note, with maturity in August 2024.	3,000,000

NOTE 11 MORTGAGES PAYABLE AND OTHER DEBT (CONTINUED)

Description		
Equity Equivalent (EQ2) unsecured loan to Wells Fargo to operate and manage activities in support of low to moderate-income households and financially underserved. Quarterly interest payments accruing at 2.00% per annum. Quarterly principal payments of $250,000 become effective the fifth anniversary with equal payments due until maturity in January 2024.	$	1,000,000
Equity Equivalent (EQ2) unsecured loan to BBVA Compass to operate and manage activities in support of low to moderate-income households and financially underserved. Quarterly interest payments accruing at 2.25% per annum, until maturity in April 2028.		2,500,000
Seller Carry-back loan related to the purchase of real property in Mesa, AZ payable in annual installments of $105,063 accruing interest at 4.00% maturing in April 2030.		864,761
Prestamos CDFI, LLC note payable to Wells Fargo, secured by collateral assignment of loan documents, interest at 1.07% paid quarterly commencing March 5, 2018 and maturing December 2024.		4,060,800
Prestamos CDFI, LLC note payable to Wells Fargo, secured by collateral assignment of loan documents, interest at 1.07% paid quarterly commencing March 5, 2018 and maturing December 2047.		1,939,200
Prestamos CDFI, LLC EQ2 unsecured loan to Wells Fargo Community Investment Holdings, with quarterly interest payments at 2.00% per annum until January 2028. then additional quarterly principal payments (8) of $187,500 commence until liability is paid in full (January 2030).		1,500,000
Mountain Pointe Apartments, LP entered into an insured loan under Section 207 pursuant to Section 223(f) of the National Housing Act, as amended. The loan is serviced by Bellwether Enterprise Real Estate Capital, LLC, payable in monthly installments of $14,719 including interest of 3.92% per annum, maturing June 1, 2053.		3,217,928
Note payable to Local Initiatives Support Corporation, collateralized by deed of trust, payable in monthly installments of interest at 6.00% with various principal payments due upon the stage of real estate development. Loan original maturity was January 2021 and was extended to Feb 2023. This loan is for a mobile home project in Mesa, Arizona. Debt was paid in full subsequent to year end.		2,125,000

NOTE 11 MORTGAGES PAYABLE AND OTHER DEBT (CONTINUED)

Description		
Note payable to Arizona Bank and Trust related to Nevada real estate project, payable in monthly installments of interest at 3.75% with principal balance due February 2022.	$	1,774,982
Note payable to Arizona Community Foundation, unsecured with principal balance due at maturity in September 2021.		75,000
Note payable to Dignity Health, unsecured, payable quarterly at 1.00% from October 2020 until October 2022, at 2.00% thereafter with principal balance due at maturity in October 2025.		1,000,000
Note payable to Calvert Impact Capital, Inc., unsecured, interest payable monthly at 5-treasury rate plus 340 basis points (6.02%) for five years, after which monthly principal and interest payments commence through maturity December 2023.		5,000,000
Note payable to City of Phoenix from federal HOME program with $2 million maximum loan amount, collateralized by deed of trust on real property, with 0% interest rate. $50,000 principal payment will start on the 1st day of September 2020 and continuing on the 1st day of September each year thereafter if surplus cash is available. The entire outstanding principal balance is due January 1, 2059.		1,229,644
Note payable to US Bancorp Community Development Corporation for Prestamos, unsecured, with quarterly interest only payments at 3.0% and principal balance due at maturity in July 2021. The maturity date will automatically be extended for one year as long as Prestamos performs its obligation under the loan agreement.		1,000,000
Note payable to the New World Foundation, unsecured, 0% interest loan, with principal payments in four equal quarterly installments commencing September 2028. This loan is eligible for loan forgiveness after meeting certain defined benchmarks over the term of the loan.		3,000,000
Note payable to State Bank of Arizona, unsecured, interest at 3.50% payable in one lump sum of principal and accrued interest on March 20, 2024.		250,000
Note payable to Arizona Bank and Trust Corporation, secured by deed of trust and tangible asset of a Motel purchased in Mesa, AZ, with monthly interest and principal payments at 4.25% until January 2022, and the remaining balance due in February 2022.		3,042,673

NOTE 11 MORTGAGES PAYABLE AND OTHER DEBT (CONTINUED)

Description		
Notes payable to City of Mesa from federal HOME program with $1,443,339 maximum loan amount, collateralized by deed of trust on real property, with 0% interest rate. The loan shall be repaid either from the sales proceeds received from the sales of the units to qualified income eligible homebuyers, or at the end of the term of 20 years from each residential unit.	$	783,606
Note payable to Arizona Bank and Trust related to Pickle House and 445 Mesa Projects, payable in monthly installments of interest at 3.75% with principal balance due February 2022. Debt was paid in full subsequent to year end.		4,288,941
Note payable to Western Alliance Bank for Prestamos with $3,000,000 maximum loan amount, unsecured, quarterly interest only payment at 3.0% and all outstanding principal plus all accrued unpaid interest in November 2024 with right for additional 60 months extension under certain conditions.		3,000,000
Note payable to U.S. Small Business Administration (SBA) for Prestamos from the Microloan Revolving Trust Fund (MRTF) for SBA XI in the maximum amount of $1,250,000, secured by cash held for loan programs and select notes receivable, no payment and 0% interest rate for the first 12 months, then monthly installment of principal and interest at 0.5% thereafter, maturing in November 2027. The loan agreement requires Prestamos to act as a financial intermediary by using the proceeds of the loan for lending to existing small business.		1,223,504
Notes payable to New Mexico Mortgage Finance Authority with monthly installment of $10,943 at 6.29% and due in November 2044. It is for Laderal Village Apartments located in New Mexico. The United State Department of Housing and Urban Development (HUD) will endorse the loan under section 542(e) of the Housing and Community Development Act of 1992 and the regulations set forth at 24 CFR part 266, in effect on the date of endorsement (90% loan guarantee).		1,609,792
Notes payable to New Mexico Mortgage Finance Authority in the original amount of $500,000 with a 5.09% Interest compounded monthly on unpaid principal and interest balances. Interest only payment should be made in the amount of $416 per month at an interest rate of 1% until maturity. Maturity of the loan occurs at the sale, refinance, or transfer of the property or on September 2034. It is for Laderal Village Apartment located in New Mexico.		500,000

NOTE 11 MORTGAGES PAYABLE AND OTHER DEBT (CONTINUED)

Description	
In Oct 2020, in order to provide funds to finance the cost of acquiring and improving a charter school real estate project, the Industrial Development Authority of the City of Phoenix, Arizona issued one or more series of Lease Revenue Bonds, Tax Exempt series 2020 in an aggregate principal amount not to exceed $4,335,000 at a 2.76% interest rate. The final funding date is expected in 2022. The bond will mature the earlier of (1) September 30, 2027 (2) the 60th payment date to occur following the Final Funding Date, commencing with the Amortization Date, and (3) the date that all of the principal of the Bonds is paid to the bondholders.	$ 50,001
Construction notes payable to Local Initiatives Support Corporation in the amount not to exceed $2,350,000 with a 6% interest rate. This is for the construction of 10 town homes in Mesa Mobile Home Park project. Interest is due and payable monthly. A payment of principal and interest should be due and payable upon the closing of sale of each home. The payment amount should equal to the greater of (1) 90% of the gross sales price or (2) $235,000. Debt was paid in full subsequent to year end.	417,148
Note payable to Kansas State Bank, secured by deed of trust and tangible asset of an office building in Las Vegas, NV with monthly interest and principal payments at 3.79% from April 2021 to March 2026, then one year weekly average yield on US Treasury Securities plus 4.25% from April 2026 to March 2046 (initial 4.32%) with maturity in March 2048.	2,047,816
Note payable to United Health Care, secured by all assets and income of CPLC Freemont Apartments LLC, a multifamily apartments, interest bearing at 1-2.5% during the term of this note, monthly Interest and principal payment and a balloon payment due upon maturity in May 2028.	6,517,342
Note payable to First Fidelity Bank, secured by all assets and income of several commercial buildings, interest bearing at 3.85% with monthly principal and interest payments. A balloon payment due upon maturity in December 2027.	12,307,566
Total Mortgages and Other Debt	145,515,945
Less: Unamortized Debt Issuance Costs	(785,747)
Less: Current Maturities	(12,930,809)
Total	$ 131,799,389

NOTE 11 MORTGAGES PAYABLE AND OTHER DEBT (CONTINUED)

Future maturities of mortgages payable and other debt are as follows:

Year Ending June 30,	Amount
2022	$ 12,902,035
2023	4,324,428
2024	32,204,499
2025	9,352,827
2026	4,551,757
Thereafter	75,046,213
Forgivable Loans	7,134,186
Total Future Maturities	$ 145,515,945

The Organization is required to maintain certain net asset, liquidity, and indebtedness ratios, and must comply with other general covenants of the loan agreements.

The Organization entered into a loan agreement with the United States Department of Agriculture (USDA) in December 2016 for $15,000,000. The loan is secured by select notes receivable. Principal and interest at 2.38% to be paid monthly. The note matures in December 2056. No amounts were advanced or due on the note, and the note had an outstanding balance of $-0- at June 30, 2021.

NOTE 12 RETIREMENT PLAN

CPLC sponsors a 401(k) plan, covering employees who meet specified age and service requirements. Contributions to the plan are participant directed. Employer contributions are made annually at the discretion of the board of directors. During the year ended June 30, 2021, CPLC paid contributions totaling $547,589 the plan.

NOTE 13 OPERATING LEASES

CPLC leases various buildings and office equipment under several operating lease agreements expiring through 2028. Minimum future rental payments under noncancelable operating leases having remaining terms in excess of one year at June 30, 2021 are:

Year Ending June 30,	Amount
2022	$ 740,472
2023	386,542
2024	156,687
2025	29,536
2026	19,200
Thereafter	36,800
Total	$ 1,369,237

NOTE 13 OPERATING LEASES (CONTINUED)

In the normal course of business, operating leases are generally renewed or replaced by other leases. Total rental expense for operating leases with terms in excess of one month was approximately $703,114 for the year ended June 30, 2021.

CPLC leases office space to various third parties under operating leases that expire through 2056. Future minimum lease receipts to be received under these agreements as of June 30, 2021 are as follows:

Year Ending June 30,	Amount
2022	$ 1,115,102
2023	845,892
2024	572,809
2025	188,986
2026	147,802
Thereafter	2,459,678
Total	$ 5,330,269

NOTE 14 COMMITMENTS AND CONTINGENCIES

Five of the HUD properties have a housing assistance payments contract (HAP). The HAP contract is a rent assistance program for low-income families (or persons) as provided by the Section 8 Program of the National Housing Act of 1959. Eligible low-income tenants pay 30% of their income as rent, while HUD pays the difference between this rental amount and "contract rents" (as defined). CPLC can request from HUD an amount equal to 80% of contract rent during periods that the unit is vacant if certain conditions are met, but not to exceed 60 days. If a unit continues to be vacant after the 60-day period, CPLC may submit a claim and receive additional housing assistance payments on a semi-annual basis if certain conditions are met as outlined in the HAP contract.

The HAP contracts expire beginning in 2022 through 2038 as follows:

Casa de Primavera Apartments	March 31, 2033
Casa Del Pueblo	March 31, 2022
Casa Del Pueblo II	January 31, 2022
Guadalupe Barrio Nuevo	June 1, 2038
Casa Mia Senior Apartments	April 30, 2022

Management intends to renew the HAP contracts as they expire. The rental income from these contracts represents approximately 17% of total rental income from real estate for the year ended June 30, 2021. It is uncertain whether the contracts will be extended. HUD is contemplating several changes, which may have a significant financial impact on the properties. Management cannot reasonably estimate the ramifications, if any, of these uncertainties.

NOTE 14 COMMITMENTS AND CONTINGENCIES (CONTINUED)

CPLC guarantees the debt of a nonconsolidated real estate venture. The debtor holds the deed of trust on the underlying real property. Payments on the debt are due in monthly installments and the debt matures in 2034. CPLC would be obligated to perform under this guarantee if the real estate venture failed to pay principal and interest payments to the lender as due. CPLC's guarantee on the performance of the debt was approximately $457,636 as of June 30, 2021. As of June 30, 2021, the real estate venture was current with their debt payments.

CPLC participates in a number of federal and state-assisted grant and contract programs which are subject to financial and compliance audits. The audits of these programs for, or including, the year ended June 30, 2021 remain subject to review. Accordingly, CPLC's compliance with applicable grant or contract requirements may be established at some future date. The amount, if any, of expenditures or fees for units of service which may be disallowed by the granting or contracting agencies cannot be determined at this time, although the CPLC's management expects such amounts, if any, to be immaterial.

CPLC is guarantor of a line of credit for a nonprofit organization, Help-New Mexico. At June 30, 2021, the borrowing limit was $1,000,000, and the outstanding balance was $212,216.

In the normal course of business, CPLC is subject to various lawsuits. It is the opinion of management that the resolution of such lawsuits will not have a material adverse effect on the consolidated financial statements taken as a whole. Accordingly, no provision has been made in the accompanying consolidated financial statements for losses, if any, that might result from the ultimate outcome of these matters.

NOTE 15 NET ASSETS WITH DONOR RESTRICTIONS

Net assets with donor restrictions are restricted for the following purposes or periods.

Real Estate Development	$ 2,726,065
Small Business Lending	1,826,265
Supportive Housing	334,251
Prop 301 Plan	320,526
Workforce Development	209,563
Housing Counseling	187,500
Home Health Care	151,179
Substance Abuse Treatment	25,000
Domestic Violence Shelter	10,000
Other	54,004
Total	$ 5,844,353

NOTE 16 DONATED PROFESSIONAL SERVICES AND MATERIALS

CPLC received donated professional services for production and on-air media in the amount of $1,316,360and other donated professional services and materials in the amount of $39,563 for the year ended June 30, 2021.

NOTE 17 SUBSEQUENT EVENTS

Subsequent to year-end, the Organization entered into the following agreements:

In July 2021, CPLC issued payment to pay off two notes payable to Local Initiatives Support Corporations in the total amount of $2,544,233. Those notes were for a mobile home project in Mesa, Arizona.

In July 2021, CPLC issued payment to pay off a line of credit from Local Initiatives Support Corporation in the amount of $5,094,319. The line of credit was used to fund the construction of a real estate project in Dayton, Nevada.

In September 2021, CPLC purchased commercial real estate property located in Phoenix, Arizona for $63,000,000. The purchased was financed with a $30,500,000 tax exempt bond and $11,500,000 taxable bond.

On September 30, 2021, the board of both Florence Crittenton Services of Arizona, Inc. (Flo Cri) and Chicanos Por La Causa, Inc. (CPLC) approved the Amended and Restated articles of Incorporation of Florence Crittenton Services of Arizona, Inc. As of September 30, 2021, Flo Cri, was officially acquired by CPLC. Flo Cri is a nonprofit corporation in Arizona providing residential housing to girls between the ages of 12 and 21, community-based services to adolescents and young adults, permanent supportive housing to females transitioning out of foster care or on their way to becoming homeless, and education through its charter school.

In November 2021, CPLC Nevada Inc. purchased a 112-unit multifamily apartment complex located at Las Vegas Nevada with a $12,185,000 purchase price and 100% financing.

In November 2021, CPLC issued payment to pay off notes payable with AZ bank and Trust in the amount of $4,288,941. The note was for the purchase and remodel of two commercial buildings.

From July 1, 2021 to October 31, 2021, $3,597,107,548 PPP loans funded through Prestamos CDFI, LLC have been forgiven by Small Business Administration and Prestamos CDFI, LLC recognized $172,977,576 PPP loan fees from deferred revenue.

NOTE 19 SUBSEQUENT EVENTS (CONTINUED)

Management evaluated subsequent events through December 21, 2021, the date the consolidated financial statements were available to be issued. Events or transactions occurring after June 30, 2021, but prior to December 21, 2021, that provided additional evidence about conditions that existed at June 30, 2021, have been recognized in the consolidated financial statements for the year ended June 30, 2021. Events or transactions that provided evidence about conditions that did not exist at June 30, 2021, but arose before the consolidated financial statements were available to be issued have not been recognized in the consolidated financial statements for the year ended June 30, 2021.

NOTE 18 NEW ACCOUNTING STANDARDS

In February 2016, the FASB issued ASU 2016-02, *Leases*, to improve financial reporting about leasing transactions (Topic 842). The ASU affects all lease transactions. Lessors will classify leases as either a sales-type, direct financing, or operating lease. In a sales-type lease, the lessor transfers control of the underlying asset to the lessee. At lease commencement, the lessor should derecognize the leased asset and record its net investment in the lease. The net investment in the lease consists of a lease receivable and the unguaranteed residual asset. In a direct financing lease, the lessor should derecognize the leased asset underlying the lease and record a net investment in the lease at lease commencement. The net investment in the lease should be measured in the same manner as a sales-type lease adjusted for selling profit and initial direct costs. An operating lease is neither a sale nor financing of an asset. The lessor should keep the asset underlying the lease on its balance sheet and continue to depreciate the asset based on its useful life. Rental revenue should be recognized on a straight-line basis. CPLC has several leasing transactions. The amendments in this update are effective for years beginning after December 15, 2021. The Organization is currently evaluating the impact of adopting ASU 2016-02 and has not determined the effect to the consolidated financial statements. The standard requires a modified retrospective approach to implementation.

In June 2016, the FASB approved ASU 2016-13, *Financial Instruments – Credit Losses (Topic 326): Measurement of Credit Losses on Financial Instruments.* The main objective of the ASU is to provide financial statement users with more decision-useful information about the expected credit losses on financial instruments and other commitments to extend credit held by a reporting entity at each reporting date. To achieve this objective, the amendments in the ASU replace the incurred loss impairment methodology in U.S. GAAP with a methodology that reflects expected credit losses and requires consideration of a broader range of reasonable and supportable information to inform credit loss estimates. This ASU is effective for the fiscal year beginning after December 15, 2022. The Organization will evaluate the impact of ASU 2016-13 on its consolidated financial statements.

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
CONSOLIDATING STATEMENT OF FINANCIAL POSITION
JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

	Prestamos CDFI, LLC	CPLC Community Schools	Multifamily Properties	CPLC and Other Subsidiaries	Consolidating Eliminations	Total
ASSETS						
CURRENT ASSETS						
Cash and Cash Equivalents	$ 427,498,750	$ 371,797	$ 5,619,616	$ 27,290,662	$ -	$ 460,780,825
Restricted Cash	-	320,526	-	-	-	320,526
Cash Held for Loan Programs	594,489,043	-	-	-	-	594,489,043
Receivables:						
Grants and Contracts, Net	38,667,380	112,969	126,900	9,396,198	-	48,303,447
Intercompany Receivables	2,705,186	200	177,300	14,131,434	(17,014,120)	-
Interest Receivable	8,727,437	-	-	-	-	8,727,437
Other Receivables, Net	73	-	-	6,183	-	6,256
Notes Receivable, Current	1,606,899	-	-	201,788	-	1,808,687
Prepaid Expenses	-	-	84,856	1,575,045	-	1,659,901
Total Current Assets	1,073,694,768	805,492	6,008,672	52,601,310	(17,014,120)	1,116,096,122
LONG-TERM ASSETS						
Notes Receivable, Net	6,703,695,139	-	-	2,295,438	(4,447,449)	6,701,543,128
Real Estate Properties - Rental, Net	-	-	71,238,203	45,011,351	(1,749,316)	114,500,238
Property and Equipment, Net	16,071	121,240	55,775	26,538,305	-	26,731,391
Related Party Receivables, Net	-	-	36,916	1,109,992	-	1,146,908
Real Estate Held for Sale	-	-	-	18,242,881	-	18,242,881
Investments in Debt and Equity Securities	-	-	-	4,665,427	-	4,665,427
Investments in Affiliated Entities - Cost Method	1,114,766	-	-	15,715,928	(13,749,629)	3,081,065
Investments in Affiliated Entities - Equity Method	953,193	-	-	-	-	953,193
Deposits and Funded Reserves	-	-	3,267,840	914,185	-	4,182,025
Other Assets	-	-	753,683	517,684	-	1,271,367
Total Long-Term Assets	6,705,779,169	121,240	75,352,417	115,011,191	(19,946,394)	6,876,317,623
Total Assets	$ 7,779,473,937	$ 926,732	$ 81,361,089	$ 167,612,501	$ (36,960,514)	$ 7,992,413,745
LIABILITIES AND NET ASSETS						
CURRENT LIABILITIES						
Accounts Payable and Accrued Expenses	$ 3,109,397	$ 169,516	$ 1,997,330	$ 14,054,620	$ -	$ 19,330,863
Intercompany Payables	343,222	1,530,705	2,610,373	12,529,820	(17,014,120)	-
Deferred, Current Portion	309,174,631	-	-	-	-	309,174,631
Lines of Credit, Current Portion	7,438,199	-	-	6,183,598	(1,376,556)	12,245,241
Mortgages Payable and Other Debt, Current	1,444,225	-	3,884,751	7,601,833	-	12,930,809
Other Liabilities	253,756,156	-	1,188,836	425,448	-	255,370,440
Total Current Liabilities	575,265,830	1,700,221	9,681,290	40,795,319	(18,390,676)	609,051,984
LONG-TERM LIABILITIES						
Lines of Credit	7,145,275,744	-	-	-	-	7,145,275,744
Deferred Revenue, Long-Term Portion	-	-	-	2,250,909	-	2,250,909
Mortgages Payable and Other Debt, Net	27,771,879	-	72,534,584	34,563,819	(3,070,893)	131,799,389
Total Long-Term Liabilities	7,173,047,623	-	72,534,584	36,814,728	(3,070,893)	7,279,326,042
Total Liabilities	7,748,313,453	1,700,221	82,215,874	77,610,047	(21,461,569)	7,888,378,026
NET ASSETS						
Without Donor Restrictions	29,334,219	(1,094,015)	338,373	86,254,263	(16,692,103)	98,140,737
With Donor Restrictions	1,826,265	320,526	-	3,697,562	-	5,844,353
Contributions	-	-	-	-	-	-
Distributions	-	-	(1,193,158)	50,629	1,193,158	50,629
Total Net Assets	31,160,484	(773,489)	(854,785)	90,002,454	(15,498,945)	104,035,719
Total Liabilities and Net Assets	$ 7,779,473,937	$ 926,732	$ 81,361,089	$ 167,612,501	$ (36,960,514)	$ 7,992,413,745

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
CONSOLIDATING STATEMENT OF ACTIVITIES
YEAR ENDED JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

	Prestamos CDFI, LLC	CPLC Community Schools	Multifamily Properties	CPLC and Other Subsidiaries	Eliminations	Total
REVENUES						
Contract Revenues:						
Grants and Contracts	$ 14,413,358	$ 2,733,878	$ 310,427	$ 14,552,647	$ (1,538,861)	$ 30,471,449
Cost Reimbursement	1,024,035	-	-	82,435,039	(10,851,906)	72,607,168
Fee for Service	-	-	-	64,872,924	(2,723,417)	62,149,507
Total Contract Revenues	15,437,393	2,733,878	310,427	161,860,610	(15,114,184)	165,228,124
Noncontract Revenues:						
Rental Income	-	-	15,758,030	3,248,325	(1,874,278)	17,132,077
Development Fees	-	-	-	760,411	(367,191)	393,220
Client Fees	3,170,613	-	1,747,335	2,014,709	(3,337,590)	3,595,067
Donations	26,375	957	-	16,241,718	(7,095,250)	9,173,800
Fundraising	-	-	-	589,291	-	589,291
In-Kind	-	-	-	1,357,973	-	1,357,973
Sales of Inventory	-	-	-	2,635,379	(128,439)	2,506,940
Other Revenues	194,400	6,117	2,276,564	8,972,981	(8,551,167)	2,898,895
Total Noncontract Revenues	3,391,388	7,074	19,781,929	35,820,787	(21,353,915)	37,647,263
Other Revenues:						
Intercompany Dividend Income	-	-	-	1,193,158	(1,193,158)	-
Investment Income (Loss)	272,024	-	-	1,284,221	(35,000)	1,521,245
Dividend Income	423,774	-	-	-	-	423,774
Loan Fees	5,144,806	-	-	-	-	5,144,806
Interest Income	10,433,241	-	1,692	117,181	(64,896)	10,487,218
Total Other Revenues	16,273,845	-	1,692	2,594,560	(1,293,054)	17,577,043
Total Revenues	35,102,626	2,740,952	20,094,048	200,275,957	(37,761,153)	220,452,430
OPERATING EXPENSES						
Salaries and Wages	1,728,269	994,405	3,367,083	64,409,929	-	70,499,686
Payroll Taxes	141,311	72,461	340,009	6,108,067	-	6,661,848
Fringe Benefits	147,652	149,832	580,469	5,521,797	-	6,399,750
Contractor Expenses	-	-	-	4,001,367	(2,179,649)	1,821,718
Professional Fees	773,934	260,605	365,231	27,488,905	(556,708)	28,331,967
Management Fees	1,506,990	-	1,034,920	500,060	(2,890,053)	151,917
Staff Development	53,536	22,210	29,806	773,143	-	878,695
Property Administration	-	-	61,709	(340)	-	61,369
Travel	10,028	-	41,658	1,680,033	-	1,731,719
Advertising and Marketing	7,266	802	219,015	13,879,535	-	14,106,618
Administrative Costs	477,308	364,134	166,812	7,571,062	(8,551,167)	28,149
Occupancy	102,740	394,881	33,887	2,337,642	(1,874,278)	994,872
Insurance and Taxes	11,454	6,707	1,107,753	2,709,035	-	3,834,949
Utility Expenses	6,502	17,495	2,754,199	841,409	-	3,619,605
Repairs and Maintenance	4,570	-	2,814,905	1,119,910	-	3,939,385
Office Expenses	19,656	11,563	72,813	696,219	-	800,251
Furniture and Fixtures	-	-	695	313,312	-	314,007
Technology and Communication	108,335	170,439	148,759	4,226,919	-	4,654,452
Consumable Supplies	1,202	71,228	8,037	3,131,904	-	3,212,371
Monetary Assistance	3,535,759	-	451,281	11,185,590	(7,095,250)	8,077,380
Miscellaneous	17,708	30,928	508,514	596,812	(421,459)	732,503
Program Construction	-	-	-	11,639,705	(10,851,906)	787,799
Donated Materials and Services	-	-	-	1,350,473	-	1,350,473
Depreciation	2,679	32,958	3,036,947	2,410,961	(260,770)	5,222,775
Capital Outlay	-	-	-	2,168,909	(1,538,861)	630,048
Interest Expense	3,195,584	-	2,627,741	1,092,205	(64,896)	6,850,634
Bad debt Expense	1,518,722	7,151	86,274	115,240	-	1,727,387
Total Operating Expenses	13,371,205	2,607,799	19,858,517	177,869,803	(36,284,997)	177,422,327
NONOPERATING ACTIVITY						
Gain (Loss) on Disposal of Assets, Net	-	-	(161,503)	(606,267)	521,148	(246,622)
Impairment Loss on Real Estate	-	-	-	(18,667)	-	(18,667)
Net Nonoperating Activity	-	-	(161,503)	(624,934)	521,148	(265,289)
Total Expenses	13,371,205	2,607,799	20,020,020	178,494,737	(36,806,145)	177,687,616
CHANGE IN NET ASSETS	$ 21,731,421	$ 133,153	$ 74,028	$ 21,781,220	$ (955,008)	$ 42,764,814

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF FINANCIAL POSITION – CPLC BORROWING ENTITY
YEAR ENDED JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

	CPLC	CPLC Commercial	Totals before Eliminations	Eliminations	Total
CURRENT ASSETS					
Cash and Cash Equivalents	$ 3,119,625	$ 1,152,376	$ 4,272,001	$ -	$ 4,272,001
Receivables:			-		
Grants and Contracts, Net	3,455,233	76,780	3,532,013	-	3,532,013
Intercompany Receivables	500,902	(20,916)	479,986	(479,986)	-
Accounts Receivable from Affiliates	12,473,959	(9,307)	12,464,652	-	12,464,652
Other Receivables	1,198,244	-	1,198,244	-	1,198,244
Note Receivable, Current	201,788	-	201,788	-	201,788
Prepaid Expenses	283,233	-	283,233	-	283,233
Total Current Assets	21,232,984	1,198,933	22,431,917	(479,986)	21,951,931
LONG-TERM ASSETS					
Notes Receivable, Net	2,197,902	-	2,197,902	-	2,197,902
Real Estate Properties - Rental, Net	-	20,973,188	20,973,188	-	20,973,188
Property and Equipment - Net	24,999,086	-	24,999,086	-	24,999,086
Related Party Receivables, Net					
Real Estate Held for Sale	10,898,451	-	10,898,451	-	10,898,451
Investments in Debt and Equity Securities	4,625,744	-	4,625,744	-	4,625,744
Investments in Affiliated Entities - Cost Method	13,864,071	-	13,864,071	-	13,864,071
Deposits and Funded Reserves	409,355	104,445	513,800	-	513,800
Other Assets	-	10,652	10,652	-	10,652
Total Long-Term Assets	56,994,609	21,088,285	78,082,894	-	78,082,894
Total Assets	$ 78,227,593	$ 22,287,218	$ 100,514,811	$ (479,986)	$ 100,034,825
LIABILITIES AND NET ASSETS					
CURRENT LIABILITIES					
Accounts Payable and Accrued Expenses	$ 5,353,498	$ 214,377	$ 5,567,875	$ -	$ 5,567,875
Intercompany Payables	(20,916)	500,902	479,986	(479,986)	-
Accounts Payable to Affiliates	3,183,028	3,208,432	6,391,460	-	6,391,460
Lines of Credit - Current Portion	537,816	-	537,816	-	537,816
Mortgages Payable and Other Debt, Current	852,900	2,069,879	2,922,779	-	2,922,779
Other Liabilities	300,006	51,853	351,859	-	351,859
Total Current Liabilities	10,206,332	6,045,443	16,251,775	(479,986)	15,771,789
LONG-TERM LIABILITIES					
Deferred Revenue, Long-Term Portion	1,734,763	-	1,734,763	-	1,734,763
Mortgages Payable and Other Debt, Net	15,298,104	7,255,054	22,553,158	-	22,553,158
Total Long-term Liabilities	17,032,867	7,255,054	24,287,921	-	24,287,921
Total Liabilities	27,239,199	13,300,497	40,539,696	(479,986)	40,059,710
NET ASSETS					
Without Donor Restrictions	48,933,325	8,986,721	57,920,046	-	57,920,046
With Donor Restrictions	2,055,069	-	2,055,069	-	2,055,069
Total Net Assets	50,988,394	8,986,721	59,975,115	-	59,975,115
Total Liabilities and Net Assets	$ 78,227,593	$ 22,287,218	$ 100,514,811	$ (479,986)	$ 100,034,825

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF ACTIVITIES – CPLC BORROWING ENTITY
YEAR ENDED JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

	CPLC	CPLC Commercial	Totals before Eliminations	Eliminations	Total
REVENUES					
Contract Revenues:					
Grants and Contracts	$ 7,977,170	$ 32,968	$ 8,010,138	$ -	$ 8,010,138
Cost Reimbursement	42,416,137	-	42,416,137	-	42,416,137
Fee for Service	13,708,054	-	13,708,054	-	13,708,054
Total Contract Revenues	64,101,361	32,968	64,134,329	-	64,134,329
Noncontract Revenues:					
Rental Income	22,094	1,641,476	1,663,570	(1,222,383)	441,187
Development Fees	511,930	-	511,930	-	511,930
Client Fees	1,745,356	-	1,745,356	-	1,745,356
Donations	15,805,591	-	15,805,591	-	15,805,591
Fundraising	589,291	-	589,291	-	589,291
In-kind	1,356,948	-	1,356,948	-	1,356,948
Sales of Inventory	(874,950)	-	(874,950)	-	(874,950)
Other Revenues	9,283,518	35,428	9,318,946	-	9,318,946
Total Noncontract Revenues	28,439,778	1,676,904	30,116,682	(1,222,383)	28,894,299
Other Revenues					
Dividend Income	87,636	-	87,636	-	87,636
Investment Income (Loss)	2,458,772	-	2,458,772	-	2,458,772
Interest Income	117,137	13	117,150	(52,454)	64,696
Total Other Revenues	2,663,545	13	2,663,558	(52,454)	2,611,104
Total Revenues	95,204,684	1,709,885	96,914,569	(1,274,837)	95,639,732
OPERATING EXPENSES					
Salaries and Wages	34,848,021	112,014	34,960,035	-	34,960,035
Payroll taxes	2,531,775	10,610	2,542,385	-	2,542,385
Fringe benefits	4,696,800	14,328	4,711,128	-	4,711,128
Professional fees	2,166,594	18,558	2,185,152	-	2,185,152
Management fees	33,600	208,391	241,991	-	241,991
Staff Development	519,375	77	519,452	-	519,452
Travel	377,184	-	377,184	-	377,184
Advertising and marketing	787,911	407	788,318	-	788,318
Administrative Costs	6,486,848	-	6,486,848	-	6,486,848
Occupancy	2,081,808	-	2,081,808	(1,222,383)	859,425
Insurance and Taxes	926,037	427,427	1,353,464	-	1,353,464
Utility Expenses	604,547	139,177	743,724	-	743,724
Repairs and Maintenance	610,364	204,631	814,995	-	814,995
Office Expenses	392,464	-	392,464	-	392,464
Furniture and Fixtures	46,313	-	46,313	-	46,313
Technology and Communications	1,637,929	13,875	1,651,804	-	1,651,804
Consumable Supplies	1,187,024	-	1,187,024	-	1,187,024
Monetary Assistance	5,947,970	32,968	5,980,938	-	5,980,938
Miscellaneous	278,608	33,535	312,143	-	312,143
Program Construction	45,558	-	45,558	-	45,558
Donated Materials and Services	1,349,448	-	1,349,448	-	1,349,448
Depreciation	843,390	860,068	1,703,458	-	1,703,458
Capital Outlay	23,473	-	23,473	-	23,473
Interest Expense	332,354	278,151	610,505	(52,454)	558,051
Bad Debt Expense (Recovery)	429,723	9,960	439,683	-	439,683
Total Operating Expenses	69,185,118	2,364,177	71,549,295	(1,274,837)	70,274,458
NONOPERATING ACTIVITY					
Gain/Loss on Sale of Assets, Net	57,402	(103,132)	(45,730)	-	(45,730)
Impairment Loss on Real Estate	(18,667)	-	(18,667)	-	(18,667)
Net Nonoperating Activity	38,735	(103,132)	(64,397)	-	(64,397)
Total Expenses	69,146,383	2,467,309	71,613,692	(1,274,837)	70,338,855
CHANGES IN NET ASSETS	$ 26,058,301	$ (757,424)	$ 25,300,877	$ -	$ 25,300,877

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF ACTIVITIES – CPLC COMMUNITY SCHOOLS
YEAR ENDED JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

	Toltecalli High School	Envision High School	Total CPLC Community Schools
REVENUE			
Grants and Contracts	$ 1,807,148	$ 926,730	$ 2,733,878
Donation	307	650	957
Other Revenue	4,922	1,195	6,117
Total Revenue	1,812,377	928,575	2,740,952
OPERATING EXPENSES			
Salaries and Wages	638,383	356,022	994,405
Payroll Taxes	47,014	25,447	72,461
Fringe Benefits	105,131	44,701	149,832
Professional Fees	136,602	124,003	260,605
Staff Development	13,849	8,361	22,210
Travel	-	-	-
Advertising and Marketing	310	492	802
Administrative Costs	223,736	140,398	364,134
Occupancy	215,870	179,011	394,881
Insurance and Taxes	1,991	4,716	6,707
Utility Expenses	17,496	(1)	17,495
Office Expenses	4,117	7,446	11,563
Technology and Communication	97,848	72,591	170,439
Consumable Supplies	46,496	24,732	71,228
Monetary Assistance	-	-	-
Miscellaneous	23,224	7,704	30,928
Depreciation	18,717	14,241	32,958
Bad Debt Expense (Recovery)	3,594	3,557	7,151
Total Operating Expenses	1,594,378	1,013,421	2,607,799
CHANGE IN NET ASSETS	$ 217,999	$ (84,846)	$ 133,153

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF FINANCIAL POSITION – CPLC ESTANCIA LLC
JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

ASSETS	
CURRENT ASSETS	
Cash and Cash Equivalents	$ 100,451
Grants and Contracts Receivable, Net	14,839
Prepaid Expenses	1,957
Total Current Assets	117,247
LONG-TERM ASSETS	
Real Estate Properties - Rental, Net	12,360,488
	103,299
Other Assets, Net	193,432
Total Long-Term Assets	12,657,219
Total Assets	$ 12,774,466
LIABILITIES AND NET ASSETS	
CURRENT LIABILITIES	
Accounts Payable and Accrued Expenses	$ 241,614
Intercompany Payables	37,262
Other Liabilities	312,276
Total Current Liabilities	591,152
LONG-TERM LIABILITIES	
Mortgages Payable and Other Debt	14,303,219
Total Liabilities	14,894,371
NET ASSETS (DEFICITS)	
Without Donor Restrictions	(2,119,905)
Total Liabilities and Net Assets (Deficits)	$ 12,774,466

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF ACTIVITIES – CPLC ESTANCIA LLC
YEAR ENDED JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

REVENUE	
Contract Revenues:	
Grants and Contracts	$ 130,832
Total Contract Revenues	130,832
Noncontract Revenue:	
Rental Income	2,553,637
Other Revenues	455,224
Total Noncontract Revenue	3,008,861
Other Revenues:	
Investment Income	113
Total Revenues	3,139,806
OPERATING EXPENSES	
Salaries and Wages	426,350
Payroll Taxes	45,471
Fringe Benefits	79,864
Professional Fees	54,016
Management Fees	237,892
Staff Development	5,890
Property Administration	9,074
Travel	961
Advertising and Marketing	75,201
Insurance and Taxes	155,142
Utility Expenses	617,589
Repairs and Maintenance	728,256
Office Expenses	8,332
Technology and Communication	7,595
Monetary Assistance	140,108
Miscellaneous	191,489
Depreciation	505,619
Interest Expense	290,037
Bad Debt Expense	33,752
Total Operating Expenses	3,612,638
CHANGES IN NET ASSETS (DEFICITS)	$ (472,832)

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF FINANCIAL POSITION – CPLC FOUNTAIN VILLAS LLC
JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

ASSETS	
CURRENT ASSETS	
Cash and Cash Equivalents	$ 114,391
Grants and Contracts Receivable, Net	597
Prepaid Expenses	956
Total Current Assets	115,944
LONG-TERM ASSETS	
Real Estate Properties - Rental, Net	5,390,535
Deposits and Funded Reserves	298,726
Other Assets, Net	38,403
Total Long-Term Assets	5,727,664
Total Assets	$ 5,843,608
LIABILITIES AND NET ASSETS (DEFICITS)	
CURRENT LIABILITIES	
Accounts Payable and Accrued Expenses	$ 53,219
Intercompany Payables	13,618
Other Liabilities	58,510
Total Current Liabilities	125,347
LONG-TERM LIABILITIES	
Mortgages Payable and Other Debt	6,451,659
Total Liabilities	6,577,006
NET ASSETS (DEFICITS)	
Without Donor Restrictions	(733,398)
Total Liabilities and Net Assets (Deficits)	$ 5,843,608

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF ACTIVITIES – CPLC FOUNTAIN VILLAS LLC
YEAR ENDED JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

REVENUE	
Contract Revenues:	
Grants and Contracts	$ 19,136
Total Contract Revenues	19,136
Noncontract Revenue:	
Rental Income	996,062
Other Revenue	99,033
Total Noncontract Revenue	1,095,095
Other Revenue:	
Investment Income	235
Total Revenue	1,114,466
OPERATING EXPENSES	
Salaries and Wages	138,397
Payroll Taxes	15,533
Fringe Benefits	12,413
Professional Fees	10,804
Management Fees	86,788
Staff Development	1,139
Property Administration	2,761
Travel	590
Advertising and Marketing	10,707
Insurance and Taxes	65,265
Utility Expenses	249,007
Repairs and Maintenance	268,898
Office Expenses	1,308
Technology and Communication	4,762
Monetary Assistance	19,136
Miscellaneous	69,893
Depreciation	248,571
Interest Expense	130,825
Bad Debt Expense	10,192
Total Operating Expenses	1,346,989
CHANGES IN NET ASSETS (DEFICITS)	$ (232,523)

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF FINANCIAL POSITION – LA CAUSA CONSTRUCTION, LLC
JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

ASSETS	
CURRENT ASSETS	
Cash and Cash Equivalents	$ 322,150
Accounts Receivable	527,702
Intercompany Receivables	536,160
Total Current Assets	1,386,012
LONG-TERM ASSETS	
Property and Equipment	6,676
Other Assets	143,284
Total Long-Term Assets	149,960
Total Assets	$ 1,535,972
LIABILITIES AND NET ASSETS (DEFICITS)	
CURRENT LIABILITIES	
Accounts Payable and Accrued Expenses	$ 578,795
Intercompany Payables	2,752,429
Total Liabilities	3,331,224
NET ASSETS (DEFICITS)	
Without Donor Restrictions	(1,795,252)
Total Liabilities and Net Assets (Deficits)	$ 1,535,972

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF ACTIVITIES – LA CAUSA CONSTRUCTION, LLC
YEAR ENDED JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

REVENUE	
Fee for Service Revenue:	
Construction Revenue	$ 3,874,565
Total Revenue	3,874,565
OPERATING EXPENSES	
Salaries and Wages	713,181
Payroll Taxes	67,508
Fringe Benefits	88,418
Contractor Expense	4,001,367
Staff Development	11,338
Travel	3,660
Administrative Costs	188,147
Occupancy	14,218
Insurance and Taxes	238,642
Office Expenses	10,537
Technology and Communication	15,680
Monetary Assistance	25,000
Miscellaneous	15,512
Depreciation	924
Total Operating Expenses	5,394,132
CHANGES IN NET ASSETS (DEFICITS)	$ (1,519,567)

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF FINANCIAL POSITION – CPLC NEW MEXICO, INC.
JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

ASSETS	
CURRENT ASSETS	
Cash and Cash Equivalents	$ 23,184
Grants and Contracts Receivable, Net	891,255
Notes Receivable	97,536
Intercompany Receivables	22,432
Other Receivables	383
Total Current Assets	1,034,790
LONG-TERM ASSETS	
Property and Equipment	713,566
Investments	
Investments in Affiliated Entities - Equity Method	1,508,288
Total Long-Term Assets	2,221,854
Total Assets	$ 3,256,644
LIABILITIES AND NET ASSETS	
CURRENT LIABILITIES	
Accounts Payable and Accrued Expenses	$ 376,645
Intercompany Payables	2,195,580
Total Current Liabilities	2,572,225
LONG-TERM LIABILITIES	
Mortgages Payable and Other Debt, Net	250,000
Total Liabilities	2,822,225
NET ASSETS	
Without Donor Restrictions	434,419
Total Liabilities and Net Assets	$ 3,256,644

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF ACTIVITIES – CPLC NEW MEXICO, INC.
YEAR ENDED JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

REVENUE	
Contract Revenue:	
Grants and Contracts	$ 117,088
Fee for Service	3,423,687
Total Contract Revenue	3,540,775
Noncontract Revenue:	
Client Fees	182,500
Donations	20,000
Unrealized Loss in Investment in Affiliated Entities	6,797
Total Noncontract Revenue	209,297
Other Revenue:	
Investment Income	(69,029)
Dividend Income	75,000
Total Other Revenue	5,971
Total Revenue	3,756,043
OPERATING EXPENSES	
Salaries and Wages	468,808
Payroll Taxes	40,315
Fringe Benefits	35,675
Professional Fees	2,944,596
Staff Development	150
Travel	24,846
Advertising and Marketing	463
Administrative Costs	122,827
Occupancy	69,167
Insurance and Taxes	15,025
Utility Expenses	7,201
Repairs and Maintenance	3,371
Office Expenses	2,828
Technology and Communication	13,988
Miscellaneous	18,308
Depreciation	55,643
Bad Debt Expense	13,055
Total Operating Expenses	3,836,266
NONOPERATING ACTIVITY	
Gain (Loss) on Sale of Assets	9,107
CHANGES IN NET ASSETS	$ (71,116)

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF FINANCIAL POSITION – CPLC NEVADA, INC.
JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

ASSETS	
CURRENT ASSETS	
Cash and Cash Equivalents	$ 137,337
Grants and Contracts Receivable, Net	920,801
Intercompany Receivables	63,275
Other Assets	4,800
Prepaid Expenses	5,200
Total Current Assets	1,131,413
LONG-TERM ASSETS	
Property and Equipment	22,759
Investments in Affiliated Entities - Equity Method	383,252
Total Long-Term Assets	406,011
Total Assets	$ 1,537,424
LIABILITIES AND NET ASSETS (DEFICITS)	
CURRENT LIABILITIES	
Accounts Payable and Accrued Expenses	$ 345,760
Intercompany Payables	1,186,529
Total Current Liabilities	1,532,289
NET ASSETS (DEFICITS)	
Without Donor Restrictions	(62,057)
With Donor Restrictions	67,192
Total Net Assets	5,135
Total Liabilities and Net Assets (Deficits)	$ 1,537,424

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF ACTIVITIES – CPLC NEVADA, INC.
YEAR ENDED JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

REVENUE		
Contract Revenue:		
Grants and Contracts	$	5,545,084
Cost Reimbursement		583,086
Fee for Service		68,895
Total Contract Revenue		6,197,065
Noncontract Revenue:		
Client Fees		11,853
Donation		19,500
In-Kind		1,025
Other Revenue		616
Total Noncontract Revenue		32,994
Total Revenue		6,230,059
OPERATING EXPENSES		
Salaries and Wages		2,669,040
Payroll Taxes		310,596
Fringe Benefits		265,699
Professional Fees		222,626
Management Fees		2,743
Staff Development		21,494
Travel		17,449
Advertising and Marketing		35,223
Administrative Costs		627,628
Occupancy		63,810
Insurance and Taxes		5,536
Utility Expenses		5,346
Repairs and Maintenance		12,209
Office Expenses		79,696
Furniture and Fixtures		7,526
Technology and Communication		53,225
Consumable Supplies		9,785
Monetary Assistance		224,123
Miscellaneous		13,139
Program Construction		2,780
Donated Materials and Services		1,025
Depreciation		7,227
Capital Outlay		1,538,861
Total Operating Expense/Recovery		6,196,786
CHANGES IN NET ASSETS (DEFICITS)	$	33,273

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF FINANCIAL POSITION – CPLC TEXAS, INC.
YEAR ENDED JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

ASSETS	
CURRENT ASSETS	
Cash and Cash Equivalents	$ 8,407,364
Grants and Contracts Receivable, Net	3,246,853
Intercompany Receivables	134
Prepaid Expenses	1,282,905
Total Current Assets	12,937,256
LONG-TERM ASSETS	
Property and Equipment	736,032
Total Long-Term Assets	736,032
Total Assets	$ 13,673,288
LIABILITIES AND NET ASSETS (DEFICITS)	
CURRENT LIABILITIES	
Accounts Payable and Accrued Expenses	$ 6,431,999
Intercompany Payables	42,219
Total Current Liabilities	6,474,218
NET ASSETS (DEFICITS)	
Without Donor Restrictions	7,199,070
Total Liabilities and Net Assets (Deficits)	$ 13,673,288

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF ACTIVITIES – CPLC TEXAS, INC.
YEAR ENDED JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

REVENUE	
Contract Revenue:	
Grants and Contracts	$ 10,694
Cost Reimbursement	39,346,073
Fee for Service	43,225,818
Total Contract Revenue	82,582,585
Noncontract Revenue:	
Other Revenue	19
Total Noncontract Revenue	19
Total Revenue	82,582,604
OPERATING EXPENSES	
Salaries and Wages	24,977,268
Payroll Taxes	3,088,226
Fringe Benefits	348,303
Professional Fees	21,754,349
Staff Development	210,750
Travel	1,230,961
Advertising and Marketing	12,874,110
Administrative Costs	23,868
Occupancy	65,050
Insurance and Taxes	430,407
Repairs and Maintenance	126,011
Office Expenses	160,428
Furniture and Fixtures	258,699
Technology and Communication	2,443,839
Consumable Supplies	1,925,099
Monetary Assistance	4,658,015
Miscellaneous	115,749
Depreciation	31,894
Capital Outlay	606,575
Bad Debt Expense (Recovery)	9,912
Total Operating Expenses	75,339,513
CHANGES IN NET ASSETS (DEFICITS)	$ 7,243,091

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF FINANCIAL POSITION – CPLC CALIFORNIA, INC.
YEAR ENDED JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

ASSETS		
Cash and Cash Equivalents	$	350,000
Total Assets	$	350,000
LIABILITIES AND NET ASSETS (DEFICITS)		
LIABILITIES		
Accounts Payable and Accrued Expenses	$	14,045
Intercompany Payables		350,000
Total Liabilities		364,045
NET ASSETS (DEFICITS)		
Without Donor Restrictions		(14,045)
Total Liabilities and Net Assets (Deficits)	$	350,000

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF ACTIVITIES – CPLC CALIFORNIA, INC.
YEAR ENDED JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

REVENUE	$ -
OPERATING EXPENSES	
Salaries and Wages	6,885
Payroll Taxes	730
Fringe Benefits	4,510
Travel	1,846
Furniture and Fixtures	74
Total Operating Expenses	14,045
CHANGES IN NET ASSETS (DEFICITS)	$ (14,045)

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF FINANCIAL POSITION – CPLC FREEMONT APARTMENTS, LLC
YEAR ENDED JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

ASSETS		
CURRENT ASSETS		
Cash and Cash Equivalents	$	136,838
Grants and Contracts Receivable, Net		19,027
Prepaid Expenses		2,244
Total Current Assets		158,109
LONG-TERM ASSETS		
Real Estate Properties - Rental, Net		6,488,309
Other Assets, Net		20,982
Total Long-Term Assets		6,509,291
Total Assets	$	6,667,400
LIABILITIES AND NET ASSETS (DEFICITS)		
CURRENT LIABILITIES		
Accounts Payable and Accrued Expenses	$	11,129
Intercompany Payables		150,591
Other Liabilities		26,898
Total Current Liabilities		188,618
LONG-TERM LIABILITIES		
Mortgages Payable and Other Debt		6,517,342
Total Liabilities		6,705,960
NET ASSETS (DEFICITS)		
Without Donor Restrictions		(38,560)
Total Liabilities and Net Assets (Deficits)	$	6,667,400

CHICANOS POR LA CAUSA, INC. AND SUBSIDIARIES AND AFFILIATES
STATEMENT OF ACTIVITIES – CPLC FREEMONT APARTMENTS, LLC
YEAR ENDED JUNE 30, 2021
(SEE INDEPENDENT AUDITORS' REPORT)

REVENUE	
Noncontract Revenue:	
Rental Income	$ 71,684
Other Revenue	706
Total Revenue	72,390
OPERATING EXPENSES	
Salaries and Wages	4,950
Payroll Taxes	507
Fringe Benefits	821
Professional Fees	236
Staff Development	189
Property Administration	76
Travel	4,674
Insurance and Taxes	4,090
Utility Expenses	9,907
Repairs and Maintenance	9,138
Office Expenses	158
Technology and Communication	520
Miscellaneous	53
Depreciation	37,283
Interest Expense	11,030
Total Operating Expenses	83,632
NONOPERATING ACTIVITY	
Gain (Loss) on Sale of Assets	(27,318)
Net Nonoperating Activity	(27,318)
CHANGES IN NET ASSETS (DEFICITS)	$ (38,560)

EXHIBIT 13

IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF PENNSYLVANIA

ALICIA MARSHALL, DANIEL PRONSKY, PARIS TOWNSEND, NANCILEE HOLLAND, LEONA OWSLEY, KOLAWOLE AHMADOU, KIANA DERVIN, KRISTINA HENDERSON, DUSTIN INNIS, KELLY STALNAKER and JAMIE JONES, individually and on behalf of all others similarly situated, Plaintiffs, v. PRESTAMOS CDFI, LLC and CHICANOS POR LA CAUSA, INC., Defendants.	Case No. 5:21-cv-04337-JMG

DEFENDANT CHICANOS POR LA CAUSA, INC.'S OBJECTIONS AND RESPONSES TO PLAINTIFFS' FIRST SET OF REQUESTS FOR ADMISSION

Defendant Chicanos Por La Causa, Inc. ("CPLC"), by and through its undersigned counsel, hereby objects and responds to the First Set of Requests for Admission (the "Requests") propounded by Plaintiffs Alicia Marshall, Daniel Pronsky, Paris Townsend, Nancilee Holland, Leona Owsley, Kolawole Ahmadou, Kiana Dervin, Kristina Henderson, Dustin Innis, Kelly Stalnaker and Jamie Jones (collectively, "Plaintiffs"), as follows:

GENERAL OBJECTIONS

CPLC states the following General Objections to the Requests:

1. CPLC objects to the Requests, including the instructions and definitions provided therewith, to the extent they purport to impose any obligation greater than, or inconsistent with,

that required by the Federal Rules of Civil Procedure and the Local Rules for the Eastern District of Pennsylvania.

2. CPLC objects to the Requests, including the definitions and instructions provided therewith, to the extent they seek to alter or exceed the scope of the obligations placed on CPLC by applicable law, court rules, an/or court orders, including any obligations CPLC may have to supplement or amend its responses.

3. CPLC objects to the Requests to the extent they seek CPLC's litigation strategy, or information prepared in anticipation of litigation or in preparation for trial, or information protected by the attorney-client privilege, the attorney work product doctrine, and/or other applicable privileges and immunities. The inadvertent disclosure of privileged information or the release of privileged documents shall not constitute a waiver of any privilege.

4. CPLC objects to the definitions of the terms "you" and "your," including all variations thereof, and to the Requests using those terms, as being overbroad, unduly burdensome, and requiring CPLC to undertake an unreasonable investigation.

5. CPLC further objects to the Requests' use of the terms "you" and "your," including all variations thereof, to the extent the Request is directed to CPLC in its capacity as a service provider for Prestamos CDFI, LLC pursuant to the Intercompany Services Agreement between CPLC and Prestamos.

6. CPLC objects to the Requests to the extent they seek information that is not relevant to any claim or defense, nor proportional to the needs of the case. CPLC expressly reserves the right to object to the introduction into evidence at trial, or upon the record at any stage of this litigation, of information or documents subject to any objection contained herein. By responding to the

Requests, CPLC does not concede the relevance or admissibility of the information requested, nor does it adopt Plaintiffs' legal characterizations of terms and words used herein.

7. CPLC objects to the Requests to the extent they are overbroad, vague, ambiguous, unduly burdensome, and/or duplicative.

8. CPLC objects to the Requests to the extent they seek information already known, or seek information equally accessible to Plaintiffs or already in Plaintiffs' possession, custody or control, or to the extent the Requests are related to or purport to require the production or identification of documents, writings, records, or publications in the public domain since such information is equally available to Plaintiffs.

9. CPLC objects to the Requests to the extent they seek PPP-related data or information derived thereof that has been compiled and published by the SBA since such information is equally available to Plaintiffs.

10. CPLC objects to the Requests on the grounds of vagueness and overbreadth to the extent Plaintiffs did not provide definitions of key operative terms or phrases and have otherwise failed to delineate the scope or meaning of each Request.

11. To the extent that the information sought could be more readily and/or appropriately obtained through depositions or other means, CPLC objects to the Requests as unduly burdensome, redundant, and harassing.

12. CPLC objects to the Requests to the extent they lack foundation and/or assume facts not of record.

13. CPLC objects to the Requests to the extent they require CPLC to make a conclusion based on law, statute, regulation, or rule. CPLC's responses shall not be construed as providing or

accepting a legal conclusion concerning the meaning or application of any of the terms used in the Responses.

14. CPLC objects to the Requests to the extent they seek confidential, proprietary, trade secret, financial, or commercially sensitive business information.

15. A specific reference to a particular general objection in the following responses is not intended to exclude the application of other general objections to that response or one of the general objections to other responses. To the extent CPLC responds to a Request to which it objects, such objections are not waived.

16. CPLC does not make any representations in its responses other than those clearly articulated herein. CPLC therefore objects to any and all efforts on the part of Plaintiffs to further read or ascribe any representations, meanings, etc., to CPLC's statements, or lack of statements, in its responses.

RESPONSES TO REQUESTS FOR ADMISSION

1. Admit that You are not an authorized PPP lender.

RESPONSE:

CPLC objects to Request No. 1 on the grounds that the term "authorized PPP lender" is vague, ambiguous, and undefined. CPLC further objects to the use of the word "lender" as vague and unintelligible in this context in light of the definition Plaintiffs have provided for this term. CPLC also objects to Request No. 1 to the extent that it seeks the admission concerning a question of law.

Subject to and without waiving the foregoing Objections, CPLC ***denies*** Request No. 1.

2. Admit that both Your employees and Prestamos's employees used the "cplc.org" email domain during the relevant period.

RESPONSE:

CPLC objects to Request No. 2 on the grounds that the term "email domain" is vague, ambiguous, and undefined.

Subject to and without waiving the foregoing Objections, CPLC ***admits*** request No. 2.

3. Admit that You refer to Yourself as "CPLC Prestamos" on Your website and that You refer to Yourself and Prestamos as "CPLC Prestamos" in Your Annual Report for the fiscal year 2019-2020.

RESPONSE:

CPLC objects to Request No. 3 on the grounds that the terms "website" and "Annual Report for the fiscal year 2019-2020" are vague, ambiguous, and undefined. CPLC further objects to this Request on the grounds that it is overbroad and unduly burdensome.

Subject to, and without waiving the foregoing Objections, CPLC ***denies*** that it refers to itself as "CPLC Prestamos" on its website or in its FY19 – 20 Annual Report: A Chance to Change the World, but ***admits*** that it refers to Prestamos as "CPLC Prestamos" on the website and in the Annual Report.

4. Admit that "the Organization" as is used in Your June 30, 2021 financial statements includes You and Prestamos.

RESPONSE:

CPLC objects to Request No. 4 on the grounds that the term "June 30, 2021 financial statements" is vague, ambiguous, and undefined. CPLC further objects to this Request on the grounds that it is overbroad and unduly burdensome.

Subject to and without waiving the foregoing Objections, CPLC ***admits*** that the document titled "Chicanos Por La Causa, Inc. and Subsidiaries and Affiliates – Consolidated Financial Statements and Supplementary Information – Year Ended June 30, 2021" refers collectively to CPLC and each of its various subsidiaries and affiliates, among them Prestamos, as "the Organization."

5. Admit that You obtained and received PPP loan processing fees from Prestamos and reported those fees as revenue in Your Form 990s.

<u>RESPONSE</u>:

CPLC objects to Request No. 5 on the grounds that the term "received" and "PPP loan processing fees" are vague, ambiguous, and undefined, as is the term "Form 990" insofar as it fails to specify the filing year.

Subject to and without waiving the foregoing Objections, CPLC ***denies*** Request no. 5.

6. Admit that You Communicated with individual PPP borrowers regarding their PPP loans which designated Prestamos as lender.

<u>OBJECTION</u>:

CPLC objects to Request No. 6 on the grounds that the term "individual PPP borrowers" and "PPP loans which designated Prestamos as lender" are vague, ambiguous, and undefined. CPLC further objects to this Request on the grounds that it is overbroad and unduly burdensome. CPLC further objects to the use of the word "lender" as vague and unintelligible in this context in light of the definition Plaintiffs have provided for this term.

Subject to and without waiving the foregoing Objections, CPLC ***denies*** Request No. 6.

7. Admit that You Communicated with Blueacorn regarding PPP loans which designated Prestamos as lender.

<u>RESPONSE</u>:

CPLC objects to Request No. 7 on the grounds that the term "PPP loans which designated Prestamos as lender" is vague, ambiguous, and undefined. CPLC further objects to this Request on the grounds that it is overbroad and unduly burdensome. CPLC further objects to the use of the word "lender" as vague and unintelligible in this context in light of the definition Plaintiffs have provided for this term.

Subject to and without waiving the foregoing Objections, CPLC ***denies*** Request No. 7.

8. Admit that You Communicated with the SBA regarding PPP loans which designated Prestamos as lender.

RESPONSE:

CPLC objects to Request No. 8 on the grounds that the term "PPP loans which designated Prestamos as lender" is vague, ambiguous, and undefined. CPLC further objects to this Request on the grounds that it is overbroad and unduly burdensome. CPLC further objects to the use of the word "lender" as vague and unintelligible in this context in light of the definition Plaintiffs have provided for this term.

Subject to and without waiving the foregoing Objections, CPLC ***denies*** Request No. 8.

9. Admit that You Communicated with the Federal Reserve Bank of Cleveland or other Federal Reserve office regarding PPP lending, including without limitation concerning PPPLF loan advances that Prestamos obtained.

RESPONSE:

CPLC objects to Request No. 9 on the grounds that the terms "PPP lending" and "PPPLF loan advances that Prestamos obtained" are vague, ambiguous, and undefined. CPLC further objects to this Request on the grounds that it is overbroad and unduly burdensome.

Subject to and without waiving the foregoing Objections, CPLC ***denies*** Request No. 9.

10. Admit that David Adame, Alicia Nunez, and Max Gonzales were officers of CPLC and also simultaneously the sole members of Prestamos's governing board or Board of Directors.

RESPONSE:

Prestamos objects to Request No. 10 on the grounds that the terms "governing board," "Board of Directors," and "simultaneously" are vague, ambiguous, and undefined.

Subject to and without waiving the foregoing Objections, Prestamos ***admits*** that David Adame, Alicia Nunez, and Max Gonzales are officers of CPLC and that they comprise the "Board of Directors" of Prestamos as that term is used Prestamos's operative operating agreement.

11. Admit that You have sent Prestamos's PPP loan borrowers emails demanding payment or repayment of certain PPP loan proceeds and interest on those loans.

RESPONSE:

CPLC objects to Request No. 11 on the grounds that the terms "Prestamos's PPP loan borrowers" and "certain PPP loan proceeds" are vague, ambiguous, and undefined. CPLC further objects to this Request on the grounds that it is overbroad and unduly burdensome.

Subject to and without waiving the foregoing Objections, CPLC ***denies*** Request No. 11.

12. Admit that Jose Martinez simultaneously served as CPLC's Executive Vice President and Prestamos's President when he executed the Notice – No Oral Agreements document accompanying the PPP notes that Plaintiffs also executed.

RESPONSE:

Prestamos objects to Request No. 11 on the grounds that the term "simultaneously served" is vague, ambiguous, and undefined. Prestamos further objects to Request No. 12 as vague and ambiguous insofar as the term "also" suggests that the Request asks about notes other than those that Plaintiffs executed. To the extent the Request asks about notes other than those that Plaintiffs executed, it is overbroad and unduly burdensome

Subject to and without waiving the foregoing Objections, Prestamos ***denies*** Request no. 12.

Dated: July 14, 2023

By: /s/ Marcel S. Pratt

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CERTIFICATE OF SERVICE

I hereby certify that on the 14th day of July, 2023, the undersigned counsel caused true and correct copies of Defendant Defendant Prestamos CDFI, LLC's Objections and Responses to Plaintiffs' First Set of Requests for Documents to be served on the following counsel of record *via* electronic mail:

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Dated: July 14, 2023

/s/ *Marcel S. Pratt*
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