

DEPARTMENT OF HOMELAND SECURITY U.S. Coast Guard		OMB No: 1625-0027 Expires: 07/31/2019																								
APPLICATION FOR INITIAL, EXCHANGE, OR REPLACEMENT OF CERTIFICATE OF DOCUMENTATION; REDOCUMENTATION																										
NOTE: FILING THIS APPLICATION DOES NOT ENTITLE A VESSEL TO DOCUMENTATION OR TO ANY CHANGES SOUGHT ON A CERTIFICATE OF DOCUMENTATION. OFFICIAL NUMBERS DESIGNATED ON THE BASIS OF THIS APPLICATION ARE NOT TRANSFERABLE. ONLY A CURRENT CERTIFICATE OF DOCUMENTATION IS VALID FOR VESSEL OPERATION.																										
COMPLETE FOR ALL APPLICATIONS																										
A. VESSEL NAME (REQUIRED) SOUTHERN COMFORT (EX: PERSISTANCE) CLICK ICON TO ATTACH LIST OF ADDITIONAL VESSEL(S)		B. OFFICIAL # (IF AWARDED) 930158																								
C. HULL ID # (IF ANY) XYU7748FH788	D. IMO # (IF ANY)	E. HAILING PORT INCLUDING STATE (TO BE MARKED ON VESSEL) KNOXVILLE, TN.																								
F. NAME OF MANAGING OWNER DUSTIN S. MCCABE E-MAIL ADDRESS: TELEPHONE NUMBER:		SOCIAL SECURITY OR TAX ID NUMBER (REQUIRED - 46 USC 12104): SSN: [REDACTED] 7518 TIN:																								
G. PHYSICAL ADDRESS OF MANAGING OWNER (REQUIRED - 46 CFR 67.113) 633 SE MONET DRIVE PORT SAINT LUCIE, FL. 34984-6674		MAILING ADDRESS OF MANAGING OWNER (IF DIFFERENT THAN PHYSICAL ADDRESS - E.G., P.O. BOX) NATIONAL VESSEL DOCUMENTATION CENTER US COAST GUARD I HEREBY CERTIFY THIS TO BE A TRUE COPY OF THE RECORDS OF THIS OFFICE																								
H. NAME(S) OF OTHER OWNER(S) CLICK ICON TO ATTACH LIST OF ADDITIONAL OWNER(S)		SOCIAL SECURITY OR TAX ID NUMBER(S) OF OTHER OWNER(S) SSN: [REDACTED] TIN: [REDACTED] DOCUMENTATION OFFICER <i>ASCF</i> DATE <i>12/3/24</i> <i>ASCF</i>																								
I. PURPOSE OF APPLICATION ☒ 1. EXCHANGE OF CERTIFICATE OF DOCUMENTATION. ☐ 2. REPLACEMENT OF LOST, WRONGFULLY WITHHELD OR MUTILATED CERTIFICATE OF DOCUMENTATION. ☐ 3. RE-INSTATEMENT OF CERTIFICATE OF DOCUMENTATION FOLLOWING FAILURE TO RENEW. ☐ 4. RETURN TO DOCUMENTATION FOLLOWING DELETION. VESSEL NAME WHEN LAST DOCUMENTED: _____ ☐ 5. APPLICATION FOR OFFICIAL NUMBER AND FIRST CERTIFICATE OF DOCUMENTATION. VESSEL ☐ WAS BUILT AT _____ IN _____ OR CITY, STATE, COUNTRY ☐ IS UNDER CONSTRUCTION AT _____ AND IS SCHEDULED FOR COMPLETION IN _____ HULL MATERIAL: ☐ WOOD ☐ STEEL ☐ FIBROUS REINFORCED PLASTIC ☐ ALUMINUM ☐ CONCRETE ☐ OTHER (DESCRIBE) _____ APPROXIMATE LENGTH OF VESSEL _____ PREVIOUS NAMES, NUMBERS, OR FOREIGN REGISTRATIONS OF VESSEL _____																										
AO386-C GOVERNMENT EXHIBIT CASE NO. 24-CR-80103-AMC EXHIBIT NO. GX77																										
J. ENDORSEMENTS FOR WHICH APPLICATION IS MADE <table style="width: 100%;"><tr><td>☒ RECREATIONAL</td><td>☐ COASTWISE - BOWATERS ONLY (CERTIFICATE ON FILE)</td></tr><tr><td>☐ FISHERY</td><td>☐ COASTWISE - OIL SPILL RESPONSE ONLY (LETTER OF QUALIFICATION ON FILE)</td></tr><tr><td>☐ COASTWISE</td><td>☐ COASTWISE UNDER CHARTER TO AN ENTITY QUALIFIED TO ENGAGE IN COASTWISE TRADE</td></tr><tr><td>☐ REGISTRY</td><td>(COPY OF CHARTER ON FILE)</td></tr></table>			☒ RECREATIONAL	☐ COASTWISE - BOWATERS ONLY (CERTIFICATE ON FILE)	☐ FISHERY	☐ COASTWISE - OIL SPILL RESPONSE ONLY (LETTER OF QUALIFICATION ON FILE)	☐ COASTWISE	☐ COASTWISE UNDER CHARTER TO AN ENTITY QUALIFIED TO ENGAGE IN COASTWISE TRADE	☐ REGISTRY	(COPY OF CHARTER ON FILE)																
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K. PRIMARY SERVICE & HORSEPOWER HORSEPOWER: <u>485 X 2</u> <table style="width: 100%;"><tr><td>☐ COMMERCIAL FISHING BOAT</td><td>☐ OFFSHORE SUPPLY VESSEL</td><td>☒ RECREATIONAL</td></tr><tr><td>☐ FISH PROCESSING VESSEL</td><td>☐ PASSENGER (6 OR FEWER)</td><td>☐ RESEARCH VESSEL</td></tr><tr><td>☐ FREIGHT SHIP</td><td>☐ PASSENGER (MORE THAN 6)</td><td>☐ SCHOOL SHIP</td></tr><tr><td>☐ FREIGHT BARGE</td><td>☐ PASSENGER BARGE (6 OR FEWER)</td><td>☐ TANK BARGE</td></tr><tr><td>☐ INDUSTRIAL VESSEL</td><td>☐ PASSENGER BARGE (MORE THAN 6)</td><td>☐ TANK SHIP</td></tr><tr><td>☐ MOBILE OFFSHORE DRILLING UNIT</td><td>☐ PUBLIC FREIGHT</td><td>☐ TOWING VESSEL</td></tr><tr><td>☐ OIL RECOVERY</td><td>☐ PUBLIC TANKSHIP/BARGE</td><td>☐ UNCLASSIFIED VESSEL</td></tr><tr><td></td><td>☐ PUBLIC VESSEL, UNC</td><td></td></tr></table>			☐ COMMERCIAL FISHING BOAT	☐ OFFSHORE SUPPLY VESSEL	☒ RECREATIONAL	☐ FISH PROCESSING VESSEL	☐ PASSENGER (6 OR FEWER)	☐ RESEARCH VESSEL	☐ FREIGHT SHIP	☐ PASSENGER (MORE THAN 6)	☐ SCHOOL SHIP	☐ FREIGHT BARGE	☐ PASSENGER BARGE (6 OR FEWER)	☐ TANK BARGE	☐ INDUSTRIAL VESSEL	☐ PASSENGER BARGE (MORE THAN 6)	☐ TANK SHIP	☐ MOBILE OFFSHORE DRILLING UNIT	☐ PUBLIC FREIGHT	☐ TOWING VESSEL	☐ OIL RECOVERY	☐ PUBLIC TANKSHIP/BARGE	☐ UNCLASSIFIED VESSEL		☐ PUBLIC VESSEL, UNC	
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FOR LAW ENFORCEMENT USE ONLY
RELEASE OF THIS INFORMATION TO
OUTSIDE PARTIES IS PROHIBITED

L. CITIZENSHIP OF OWNER (CHECK THE BOX(ES) TO SHOW THE TYPE(S) OF ENTITY(IES) THAT OWNS THE VESSEL)	
☒ ONE OR MORE INDIVIDUALS I (WE) CERTIFY THAT ALL OWNERS OF THIS VESSEL ARE CITIZENS OF THE UNITED STATES	
☐ GOVERNMENT ENTITY (FEDERAL, STATE, TERRITORY, POSSESSION OR SUBDIVISION)	
☐ CORPORATION (SEE SECTION M, ITEM 5 WHEN APPLYING FOR A FISHERY OR COASTWISE ENDORSEMENT). 1. STATE OF INCORPORATION _____ 2. CITIZENSHIP OF CHIEF EXECUTIVE OFFICER BY WHATEVER TITLE _____ 3. CITIZENSHIP OF CHAIRMAN OF THE BOARD _____ 4. NUMBER OF DIRECTORS NECESSARY TO CONSTITUTE A QUORUM _____ 5. NUMBER OF ALIEN DIRECTORS _____ 6. PERCENTAGE OF STOCK OWNED BY U.S. CITIZENS ELIGIBLE TO DOCUMENT VESSELS IN THEIR OWN RIGHT, WITH THE ENDORSEMENT(S) SOUGHT ON THIS APPLICATION IN ACCORDANCE WITH 46 CFR PART 67 (SUBPART C) ☐ LESS THAN 50% ☐ 50% OR MORE: STATE EXACT PERCENTAGE _____ ☐ 75% OR MORE (AND, AT EACH TIER, IF APPLICABLE) ☐ 75% OR MORE (AND, AT EACH TIER AND IN THE AGGREGATE (IF FISHERY), IF APPLICABLE)	
LIMITED LIABILITY COMPANY (LLC): (COMPLETE EQUITY SECTION AND CHOOSE TYPE) (SEE SECTION M, ITEM 5 WHEN APPLYING FOR A FISHERY OR COASTWISE ENDORSEMENT). EQUITY INTEREST OWNED BY PERSONS ELIGIBLE TO DOCUMENT VESSELS IN THEIR OWN RIGHT WITH THE ENDORSEMENT SOUGHT IN ACCORDANCE WITH 46 CFR PART 67 (SUBPART C) ☐ LESS THAN 50% ☐ 50% OR MORE: STATE EXACT PERCENTAGE _____ ☐ 75% OR MORE (AND, AT EACH TIER, IF APPLICABLE) ☐ 75% OR MORE (AND, AT EACH TIER AND IN THE AGGREGATE (IF FISHERY), IF APPLICABLE) ☐ 1. MEMBER MANAGED LIMITED LIABILITY COMPANY I (WE) CERTIFY THAT ALL MEMBERS OF THIS LLC ARE CITIZENS OF THE UNITED STATES ELIGIBLE TO DOCUMENT VESSELS WITH THE ENDORSEMENT(S) SOUGHT IN THEIR OWN RIGHT. (CLICK ICON TO ATTACH LIST OF ALL MEMBERS)(REQUIRED) OR ☐ 2. LIMITED LIABILITY COMPANY NOT MANAGED BY MEMBERS AND NOT SIMILAR TO GENERAL PARTNERSHIP: PLEASE NOTE (A) & (B) MUST BE CHECKED FOR FISHERY AND COASTWISE ENDORSEMENTS; NOT REQUIRED FOR COASTWISE OIL SPILL OR COASTWISE UNDER CHARTER ENDORSEMENTS. ☐ A. I (WE) CERTIFY THAT ANY PERSON ELECTED TO MANAGE THE LLC OR WHO IS AUTHORIZED TO BIND THE LLC, AND ANY PERSON WHO HOLDS A POSITION EQUIVALENT TO THE CHIEF EXECUTIVE OFFICER, BY WHATEVER TITLE, AND THE CHAIRMAN OF THE BOARD OF DIRECTORS IN A CORPORATION, AND ANY PERSONS AUTHORIZED TO ACT IN THEIR ABSENCE ARE CITIZENS OF THE UNITED STATES. ☐ B. NON-CITIZENS DO NOT HAVE AUTHORITY WITHIN A MANAGEMENT GROUP, WHETHER THROUGH VETO POWER, COMBINED VOTING, OR OTHERWISE, TO EXERCISE CONTROL OVER THE LLC. STATE WHERE ORGANIZED _____	
PARTNERSHIP (COMPLETE EQUITY SECTION AND CHOOSE TYPE) (SEE SECTION M, ITEM 5 WHEN APPLYING FOR FISHERY OR COASTWISE ENDORSEMENT) EQUITY INTEREST OWNED BY PERSONS ELIGIBLE TO DOCUMENT VESSELS IN THEIR OWN RIGHT WITH THE ENDORSEMENT SOUGHT IN ACCORDANCE WITH 46 CFR PART 67 (SUBPART C) ☐ LESS THAN 50% ☐ 50% OR MORE STATE EXACT PERCENTAGE _____ ☐ 75% OR MORE (AND, AT EACH TIER, IF APPLICABLE) ☐ 75% OR MORE (AND, AT EACH TIER AND IN THE AGGREGATE (IF FISHERY), IF APPLICABLE) ☐ 1. GENERAL PARTNERSHIP I (WE) CERTIFY THAT ALL PARTNERS IN THIS PARTNERSHIP ARE CITIZENS OF THE UNITED STATES ELIGIBLE TO DOCUMENT VESSELS WITH THE ENDORSEMENT(S) SOUGHT IN THEIR OWN RIGHT. ☐ 2. LIMITED PARTNERSHIP I (WE) CERTIFY THAT ALL GENERAL PARTNERS IN THIS PARTNERSHIP ARE CITIZENS OF THE UNITED STATES, ELIGIBLE TO DOCUMENT VESSELS WITH THE ENDORSEMENT(S) SOUGHT IN THEIR OWN RIGHT. (CLICK ICON TO ATTACH LIST OF ALL GENERAL PARTNERS)(REQUIRED)	
☐ CORPORATION QUALIFIED AND APPLYING UNDER 46 CFR 68.01 (BOWATERS) I (WE) CERTIFY THAT THE CORPORATE STRUCTURE HAS NOT CHANGED SINCE ISSUANCE OF THE CERTIFICATE OF COMPLIANCE.	
☐ VESSEL OWNED OR OPERATED BY NOT-FOR-PROFIT OIL RECOVERY COOPERATIVE I (WE) CERTIFY THAT THE INFORMATION ON FILE WITH REGARD TO COOPERATIVE AND ISSUANCE OF THE LETTER OF QUALIFICATION REMAINS UNCHANGED.	
☐ JOINT VENTURE OR ASSOCIATION I (WE) CERTIFY THAT ALL MEMBERS OF THIS (JOINT VENTURE) (ASSOCIATION) ARE CITIZENS OF THE UNITED STATES, ELIGIBLE TO DOCUMENT VESSELS IN THEIR OWN RIGHT WITH THE ENDORSEMENT(S) SOUGHT. (CLICK ICON TO ATTACH LIST OF ALL JOINT VENTURERS/MEMBERS)(REQUIRED)	

TRUST ARRANGEMENT (COMPLETE EQUITY/STOCK SECTION) (SEE SECTION M, ITEM 5 WHEN APPLYING FOR A FISHERY OR COASTWISE ENDORSEMENT)

- ☐ I (WE) CERTIFY THAT ALL TRUSTEES AND ALL BENEFICIARIES WITH AN ENFORCEABLE INTEREST IN THIS TRUST ARRANGEMENT ARE CITIZENS OF THE UNITED STATES, ELIGIBLE TO DOCUMENT VESSELS WITH THE ENDORSEMENT(S) SOUGHT IN THEIR OWN RIGHT.

EQUITY INTEREST OWNED BY PERSONS ELIGIBLE TO DOCUMENT VESSELS IN THEIR OWN RIGHT WITH THE ENDORSEMENT(S) SOUGHT IN ACCORDANCE WITH 46 CFR PART 67 (SUBPART C)

- ☐ LESS THAN 50% ☐ 75% OR MORE (AND, AT EACH TIER, IF APPLICABLE)
☐ 50% OR MORE: STATE EXACT PERCENTAGE ☐ 75% OR MORE (AND, AT EACH TIER AND IN THE AGGREGATE (IF FISHERY), IF APPLICABLE)

OR

- ☐ VESSEL OWNED IN A TRUST ARRANGEMENT PURSUANT TO 46 USC 12111(c) (CROSS BORDER FINANCING)

I (WE) CERTIFY THAT THE VESSEL IS OWNED IN A TRUST ARRANGEMENT THAT QUALIFIES FOR A REGISTRY ENDORSEMENT ONLY PURSUANT TO PUBLIC LAW 104-324, SECT. 1136. ATTACHED IS AN AFFIDAVIT OF EACH TRUSTEE AND CITIZENSHIP EVIDENCE FOR THE CHARTERER AS REQUIRED UNDER THE STATUTE.

(CLICK ICON TO ATTACH LIST OF ALL TRUSTEES AND ALL BENEFICIARIES WITH AN ENFORCEABLE INTEREST)(REQUIRED)

M. I (WE) CERTIFY THAT: (MARK ALL THAT ARE APPLICABLE. ITEM 5. NOT REQUIRED FOR COASTWISE BOWATER ONLY, OIL SPILL, OR UNDER CHARTER ENDORSEMENTS.)

- ☒ 1. I AM (WE ARE) A CITIZEN(S) OF THE UNITED STATES AND LEGALLY AUTHORIZED TO EXECUTE THIS APPLICATION IN THE CAPACITY SHOWN;
- ☒ 2. IN ACCORDANCE WITH 46 CFR PART 67 (SUBPART I) THE VESSEL(S) TO WHICH THIS APPLICATION APPLIES;
 (a) ☐ HAS (HAVE) BEEN MARKED OR ☒ WILL BE MARKED;
 (b) WILL AT ALL TIMES REMAIN UNDER THE COMMAND OF A U.S. CITIZEN, UNLESS DOCUMENTED SOLELY WITH A RECREATIONAL ENDORSEMENT; WILL NOT BE OPERATED IN A TRADE NOT AUTHORIZED BY THE ENDORSEMENT(S) ON THE CERTIFICATE(S) OF DOCUMENTATION. THE NAME(S) OF THE VESSEL(S) WILL NOT BE CHANGED WITHOUT APPROVAL FROM THE NATIONAL VESSEL DOCUMENTATION CENTER; AND I(WE) WILL PROMPTLY NOTIFY THE NATIONAL VESSEL DOCUMENTATION CENTER UPON A CHANGE IN ANY OF THE INFORMATION OR REPRESENTATIONS IN THIS APPLICATION.
- ☒ 3. ☒ HAS (HAVE) NOT OR ☐ HAS (HAVE) BEEN REBUILT OR SUBSTANTIALLY ALTERED SINCE LAST DOCUMENTATION;
- ☒ 4. THE VESSEL IS
☒ NOT TITLED UNDER A STATE OR
☐ TITLED UNDER THE LAWS OF _____

THE FOLLOWING ITEM IS REQUIRED FOR COASTWISE AND/OR FISHERY ENDORSEMENTS; HOWEVER, IT IS NOT REQUIRED FOR COASTWISE BOWATER ONLY, OIL SPILL, OR UNDER CHARTER ENDORSEMENTS.

- ☐ 5. U.S. CONTROL CERTIFICATION: THERE IS NO CONTRACT OR UNDERSTANDING THAT PROVIDES MORE THAN 25% OF THE VOTING POWER IN THE PARTNERSHIP, LIMITED LIABILITY COMPANY, CORPORATION, OR OTHER OWNING ENTITY EITHER DIRECTLY OR INDIRECTLY ON BEHALF OF ANY PERSON NOT A CITIZEN OF THE UNITED STATES. BY NO MEANS WHATSOEVER IS CONTROL IN EXCESS OF 25% CONFERRED UPON OR PERMITTED TO BE EXERCISED BY A PERSON WHO IS NOT A CITIZEN OF THE UNITED STATES.

POTENTIAL PENALTIES FOR FALSE STATEMENTS OR REPRESENTATIONS BY OWNER OR REPRESENTATIVE: CIVIL, MONETARY, VESSEL FORFEITURE (46 USC 12151), FINE AND/OR IMPRISONMENT (18 USC 1001)



03/05/2020

SOLE OWNER

SIGNATURE

DATE

CAPACITY (E.G., OWNER, AGENT, TRUSTEE, GENERAL PARTNER, CORPORATE OFFICER, MEMBER)

DUSTIN S. MCCABE

PRINTED OR TYPED NAME

APPLICATION FEES ARE NOT REFUNDABLE (46 CFR 67.500 (e)).

PRIVACY ACT STATEMENT

- AUTHORITY:** 46 U.S.C. CHAPTER 121, DOCUMENTATION OF VESSELS AND 46 U.S.C. CHAPTER 125, VESSEL IDENTIFICATION SYSTEM; 46 U.S.C. § 50501, ENTITIES DEEMED CITIZENS OF THE UNITED STATES.
- PURPOSE:** TO VALIDATE CITIZENSHIP OF THE OWNER OF THE VESSEL FOR WHICH APPLICATION FOR DOCUMENTATION IS MADE, TO DETERMINE ELIGIBILITY OF THE VESSEL TO BE DOCUMENTED WITH THE PREFERRED TRADE ENDORSEMENT, AND TO PUBLISH INFORMATION RELATED TO U.S. DOCUMENTED VESSELS.
- ROUTINE USES:** AUTHORIZED USCG PERSONNEL WILL UTILIZE THIS INFORMATION TO VALIDATE CITIZENSHIP OF THE VESSEL'S OWNER AND CERTIFY VESSEL'S ELIGIBILITY TO BE DOCUMENTED WITH THE PREFERRED TRADE ENDORSEMENT. ANY DISCLOSURES OF DATA WITHIN THIS RECORD WILL BE MADE IN ACCORDANCE WITH DHS/USCG-013, MARINE INFORMATION FOR SAFETY AND LAW ENFORCEMENT (MISLE), 74 FEDERAL REGISTER (FR) 30305, JUNE 25, 2009.
- CONSEQUENCES OF FAILURE TO PROVIDE INFORMATION:** VOLUNTARY. HOWEVER, FAILURE TO PROVIDE THE REQUESTED INFORMATION WILL RESULT IN DENIAL OF APPLICATION, WHICH MAY PREVENT THE OWNER FROM OPERATING THE VESSEL(S) IN A SPECIFIC TRADE.

AN AGENCY MAY NOT CONDUCT OR SPONSOR AND A PERSON IS NOT REQUIRED TO RESPOND TO A COLLECTION OF INFORMATION UNLESS IT DISPLAYS A VALID OMB CONTROL NUMBER.

THE COAST GUARD ESTIMATES THAT THE AVERAGE BURDEN FOR THIS FORM IS 30 MINUTES. YOU MAY SUBMIT ANY COMMENTS CONCERNING THE ACCURACY OF THIS BURDEN ESTIMATE OR MAKE SUGGESTIONS FOR REDUCING THE BURDEN TO: U.S. COAST GUARD, NATIONAL VESSEL DOCUMENTATION CENTER, 792 T J JACKSON DRIVE, FALLING WATERS, WEST VIRGINIA 25419, OR OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (1625-0027), WASHINGTON, DC 20503.

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RELEASE OUTSIDE OF COAST GUARD
PROHIBITED